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## Graphics & Vision

Image Segmentation for Animal  
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Discovering Thoughts, Inventing Future

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# Image Segmentation for Animal Images using Finite Mixture of Pearson type VI Distribution

By K. Srinivasa Rao, P. Chandra Sekhar & P. Srinivasa Rao

*GITAM University, India*

*Abstract-* Image Segmentation is one of the significant tool for analyzing images, the feature vector of the images are different for different types of images. In remote sensing, Environmental ecological systems, forest studies, conservation of rare animals, the animal images are more important. In this paper we developed and analyze an image segmentation algorithm using mixture of Pearson Type VI Distribution. The Pearsonian Type VI Distribution will characterize the image regions of animal images. The appropriateness Pearsonian Type VI distribution for the pixel intensities of image region in animal images is carried by fitting Pearsonian Type VI Distribution to set of animal images taken from Berkeley image data set. The image segmentation algorithm is developed using EM algorithm for estimating the parameters of the model and maximum likelihood for image component under Bayesian framework. For fast convergence of EM algorithm the initial estimates of the model parameters are obtained by dividing the whole image into K image regions using K-means and Hierarchical clustering algorithm and utilizing the moment method of estimates. The performance of proposed algorithm is studied by conducting an experiment with set of animal images and computing image quality metrics such as PRI, GCE and VOI.

*Keywords:* EM algorithm, image segmentation, performance measures, type VI pearsonian.

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# Image Segmentation for Animal Images using Finite Mixture of Pearson type VI Distribution

K. Srinivasa Rao <sup>α</sup>, P. Chandra Sekhar <sup>σ</sup> & P. Srinivasa Rao <sup>ρ</sup>

**Abstract-** Image Segmentation is one of the significant tool for analyzing images, the feature vector of the images are different for different types of images. In remote sensing, Environmental ecological systems, forest studies, conservation of rare animals, the animal images are more important. In this paper we developed and analyze an image segmentation algorithm using mixture of Pearson Type VI Distribution. The Pearsonian Type VI Distribution will characterize the image regions of animal images. The appropriateness Pearsonian Type VI distribution for the pixel intensities of image region in animal images is carried by fitting Pearsonian Type VI Distribution to set of animal images taken from Berkeley image data set. The image segmentation algorithm is developed using EM algorithm for estimating the parameters of the model and maximum likelihood for image component under Bayesian framework. For fast convergence of EM algorithm the initial estimates of the model parameters are obtained by dividing the whole image into K image regions using K-means and Hierarchical clustering algorithm and utilizing the moment method of estimates. The performance of proposed algorithm is studied by conducting an experiment with set of animal images and computing image quality metrics such as PRI, GCE and VOI. A comparative study of developed image segmentation by Gaussian Mixture model and found the proposed algorithm performed better for animal images due to asymmetrically distributed nature of pixel intensities in the image regions.

**Keywords:** EM algorithm, image segmentation, performance measures, type VI pearsonian.

## 1. INTRODUCTION

In image processing and retrievals image analysis plays a dominant role. The major task in image analysis is extracting useful information using features of the image. Generally image analysis techniques broadly grouping into groups namely (1) Structural methods (2) Statistical methods Raj Kumar et al (2011), among these two groups statistical methods are much popular. In Statistical methods one of the prime considerations is dividing whole image into different image regions using probability distributions. This type of method is usually referred as image Segmentation.

Much work has been reported in literature regarding image segmentation methods. Pal S.K and

Pal N. R. (1993), Cheng et al (2001), Srinivasa et al (2007), Srinivas Y et al (2010), Prasad Reddy et al (2007) have reviewed the image segmentation methods. There is no unique image segmentation method available for analyzing all images. The image segmentation is basically dependent on type of images. The image broadly categorized into four types of categories. They are (1) Images on Earth (2) images of Humans and animals (3) images on sky (4) images on Water and (5) images of Nature. Among these categories the images of Human beings and Animals are in different in nature and features are associated with these images are different from others in some statistical sense. These images are Skewed in nature. Hence the image segmentation methods based on Gaussian mixture model given by Cheng et al (2001), Yamazaki T. et al (1998), Zhang Z.H et al (2003), Lie T. et al (1993) may not suit well. Even the methods given by Sessa sayee et al (2011), Srinivasa et al (2011) are also may not suit since these methods also focus on symmetry of the pixel intensities in the image region. Hence to have suitable and more appropriate image segmentation methods for animals, an image segmentation method using a mixture of Pearsonian Type VI Distribution is developed and analyzed. Here it is assumed that whole image is characterized by a mixture of Pearsonian Type VI probability model. The Pearsonian Type VI Distribution is skewed in nature having long upper tails. This distribution also includes several distributions as particular case. From the Berkeley image data set collected over animal images. It is evident that the pixel intensities of these images are well categorized by mixture of Pearsonian Type VI Distribution. The model parameters are estimated by updated equations of EM algorithm. The initial values of the model parameters of EM Algorithm are carried using Histograms of the whole image and K-means and Hierarchical clustering Algorithm and moment method of estimates. The image segmentation algorithm is developed through Maximum Likelihood component under Bayesian frame. The performance of image segmentation algorithm is skewed using image quality metrics and ground truth values. The comparative study of proposed algorithm with that of Gaussian Mixture Model is also carried.

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## II. MIXTURE OF PEARSON TYPE VI DISTRIBUTION

Usually the entire image is considered as a union of several image regions in low level image analysis and the image data is quantified by pixel intensities in each image region. Because of the fact that the brightness measured at a point in the image is influenced by various random factors like environmental conditions, vision, moisture, lighting etc, the pixel intensity  $z = f(x, y)$  for a given point ( pixel ) (x, y) is a random variable. It is generally assumed that the pixel intensities of the region follow a Pearson Type VI distribution in order to model the pixel intensities of the animal and human image regions. The probability density function of the pixel intensity is

$$f_i(z/a_{i1}, q_{i1}, q_{i2}) = \frac{(z_s - a_i)^{q_{i2}} (z_s)^{-q_{i1}}}{(a_i)^{(q_{i2}-q_{i1}+1)} B(q_{i1} - q_{i2} - 1, q_{i2} + 1)} \quad , (1)$$

$$a_i \leq z_i < \alpha$$

The entire animal and human image is a collection of regions which are characterized by Pearson Type VI distribution. Here, it is assumed that the pixel intensities of the whole image follows a K – component mixture of Pearson Type VI distribution and its probability density function is of the form

$$p(z) = \sum_{i=1}^K \alpha_i f_i(z/a_{i1}, q_{i1}, q_{i2}) \quad (2)$$

where, K is number of regions ,  $0 \leq \alpha_i \leq 1$  are weights such that  $\sum \alpha_i = 1$  and  $f_i(z/a_{i1}, q_{i1}, q_{i2})$  is as given in equation ( 1 ). In the whole image  $\alpha_i$  is the weight associated with  $i^{th}$  region. Usually the intensities of the pixel in the image regions are statistically correlated and can be reduced by spatial averaging ( Kelly P.A. et al ( 1998 ) ) or spatial sampling ( Lei T. and Sewehand W. ( 1992 ) ) .The pixels are considered to be uncorrelated and independent after reduction of correlation. The mean pixel intensity of the whole image is  $E(Z) = \sum_{i=1}^K \alpha_i \mu_i$

## III. ESTIMATION OF THE MODEL PARAMETERS BY EM ALGORITHM

In this section we derive the updated equations of the model parameters using Expectation Maximization (EM) algorithm. The likelihood function of the observations  $z_1, z_2, \dots, z_N$  drawn from an image is

$$L(\theta) = \prod_{s=1}^N p(z_s, \theta^{(l)}) \quad \text{That is } L(\theta) = \prod_{s=1}^N \left( \sum_{i=1}^K \alpha_i f_i(z_s, \theta) \right)$$

$$\text{This implies } \log L(\theta) = \sum_{s=1}^N \log \left( \sum_{i=1}^K \alpha_i f_i(z_s, \theta) \right)$$

Where  $\theta = (a_{i1}, q_{i1}, q_{i2}, \alpha_i; i = 1, 2, \dots, K)$  is the set of parameters.

$$\log L(\theta) = \sum_{s=1}^N \log \left[ \sum_{i=1}^K \frac{\alpha_i (z_s - a_i)^{q_{i2}} (z_s)^{-q_{i1}}}{(a_i)^{(q_{i2}-q_{i1}+1)} B(q_{i1} - q_{i2} - 1, q_{i2} + 1)} \right] \quad , (3)$$

The first step of the EM algorithm requires the estimation of the likelihood function of the sample observations.

a) E-Step

In the expectation (E) step, the expectation value of  $\log L(\theta)$  with respect to the initial parameter vector  $\theta^{(0)}$  is

$$Q(\theta; \theta^{(0)}) = E_{\theta^{(0)}} [\log L(\theta) / \bar{z}]$$

Given the initial parameters  $\theta^{(0)}$ , one can compute the density of pixel intensity  $z_s$  as

$$p(z_s, \theta^{(l)}) = \sum_{i=1}^K \alpha_i^{(l)} f_i(z_s, \theta^{(l)})$$

$$L(\theta) = \prod_{s=1}^N p(z_s, \theta^{(l)})$$

$$\text{This implies } \log L(\theta) = \sum_{s=1}^N \log \left( \sum_{i=1}^K \alpha_i f_i(z_s, \theta^{(l)}) \right) \quad (4)$$

The conditional probability of any observation  $z_s$ , belongs to any region K is

$$t_k(z_s, \theta^{(l)}) = \left[ \frac{\alpha_k^{(l)} f_k(z_s, \theta^{(l)})}{p(z_s, \theta^{(l)})} \right] = \left[ \frac{\alpha_k^{(l)} f_k(z_s, \theta^{(l)})}{\sum_{i=1}^K \alpha_i^{(l)} f_i(z_s, \theta^{(l)})} \right]$$

The expectation of the log likelihood function of the sample is

$$Q(\theta; \theta^{(l)}) = E_{\theta^{(l)}} [\log L(\theta) / \bar{z}]$$

Following the heuristic arguments of Jeff A. Bilmes (1997) we have

$$Q(\theta; \theta^{(l)}) = \sum_{i=1}^K \sum_{s=1}^N (t_i(z_s, \theta^{(l)}) (\log f_i(z_s, \theta^{(l)}) + \log \alpha_i^{(l)})) \quad (5)$$

But we have

$$f_i(z/a_{i1}, q_{i1}, q_{i2}) = \frac{(z_s - a_i)^{q_{i2}} (z_s)^{-q_{i1}}}{(a_i)^{(q_{i2}-q_{i1}+1)} B(q_{i1} - q_{i2} - 1, q_{i2} + 1)}$$

$$Q(\theta; \theta^{(l)}) = \sum_{i=1}^K \sum_{s=1}^N \left( t_i(z_s, \theta^{(l)}) (\log f_i(z_s, \theta^{(l)}) + \log \alpha_i^{(l)}) \right)$$

b) M-Step

For obtaining the estimation of the model parameters one has to maximize  $Q(\theta; \theta^{(l)})$  such that  $\sum \alpha_i = 1$ . This can be solved by applying the standard solution method for constrained maximum by constructing the first order Lagrange type function,

$$S = \left[ E(\log L(\theta^{(l)})) + \lambda \left( 1 - \sum_{i=1}^K \alpha_i^{(l)} \right) \right] \quad (6)$$

where,  $\lambda$  is Lagrangian multiplier combining the constraint with the log likelihood function to be maximized.

Hence,  $\frac{\partial S}{\partial \alpha_i} = 0$ . This implies

$$\frac{\partial}{\partial \alpha_i} \left[ \sum_{s=1}^N t_i(z_s, \theta^{(l)}) \left[ \log \left[ \frac{(z_s - a_i)^{q_{i2}} (z_s)^{-q_{i1}}}{(a_i)^{(q_{i2} - q_{i1} + 1)} B(q_{i1} - q_{i2} - 1, q_{i2} + 1)} \right] + \log \alpha_i \right] + \lambda \left( 1 - \sum_{i=1}^K \alpha_i \right) \right] = 0$$

This implies  $\sum_{i=1}^K \frac{1}{\alpha_i} t_i(z_s, \theta^{(l)}) + \lambda = 0$

Summing both sides over all observations, we get  $\lambda = -N$  Therefore  $\hat{\alpha}_i = \frac{1}{N} \sum_{s=1}^N t_i(z_s, \theta^{(l)})$  The updated equation of  $\alpha_i$  for  $(l+1)^{th}$  iteration is

$$\alpha_i^{(l+1)} = \frac{1}{N} \sum_{s=1}^N t_i(z_s, \theta^{(l)})$$

$$\alpha_i^{(l+1)} = \frac{1}{N} \sum_{s=1}^N \left[ \frac{\alpha_i^{(l)} f_i(z_s, \theta^{(l)})}{\sum_{i=1}^K \alpha_i^{(l)} f_i(z_s, \theta^{(l)})} \right] \quad (7)$$

Therefore  $\frac{\partial}{\partial a_i} Q(\theta; \theta^{(l)}) = 0$  implies  $E \left[ \frac{\partial \log L(\theta; \theta^{(l)})}{\partial a_i} \right] = 0$

$$\frac{\partial}{\partial a_i} \left[ \sum_{i=1}^K \sum_{s=1}^N \left( t_i(z_s, \theta^{(l)}) (\log f_i(z_s, \theta^{(l)}) + \log \alpha_i^{(l)}) \right) \right] = 0$$

The updated equation of  $a_i$  at  $(l+1)^{th}$  iteration is

$$a_i^{(l+1)} = \sum_{s=1}^N \left[ \frac{-(q_{i2}^{(l)} - q_{i1}^{(l)} + 1) (z_s - a_i^{(l)}) t_i(z_s, \theta^{(l)})}{t_i(z_s, \theta^{(l)}) q_{i2}^{(l)}} \right]$$

For updating the parameter  $q_{i1}$ ,  $i = 1, 2, \dots, K$  we consider the derivative of  $Q(\theta; \theta^{(l)})$  with respect to  $q_{i1}$  and equate it to zero. We have

$$Q(\theta; \theta^{(l)}) = E \left[ \log L(\theta; \theta^{(l)}) \right]$$

Therefore  $\frac{\partial}{\partial q_{i1}} Q(\theta; \theta^{(l)}) = 0$  implies  $E \left[ \frac{\partial \log L(\theta; \theta^{(l)})}{\partial q_{i1}} \right] = 0$

$$\frac{\partial}{\partial q_{i1}} \left[ \sum_{i=1}^K \sum_{s=1}^N \left( t_i(z_s, \theta^{(l)}) (\log f_i(z_s, \theta^{(l)}) + \log \alpha_i^{(l)}) \right) \right] = 0$$

$$q_{i1} = 1 - \sum_{s=1}^N \left[ \frac{t_i(z_s, \theta^{(l)})}{\left[ \log \frac{a_i}{z_s} - \psi_0(q_{i1} - q_{i2} - 2 + 1) + \psi_0(q_{i1} - 1) \right] t_i(z_s, \theta^{(l)})} \right] \quad (8)$$

The updated equation of  $q_{i1}$  at  $(l+1)^{th}$  iteration is

$$q_{i1}^{(l+1)} = 1 - \sum_{s=1}^N \left[ \frac{t_i(z_s, \theta^{(l)})}{\left[ \log \frac{a_i}{z_s} - \psi_0(q_{i1}^{(l)} - q_{i2}^{(l)} - 2 + 1) + \psi_0(q_{i1}^{(l)} - 1) \right] t_i(z_s, \theta^{(l)})} \right] \quad (9)$$

For updating the parameter  $q_{i2}$ ,  $i = 1, 2, \dots, K$  we consider the derivative of  $Q(\theta; \theta^{(l)})$  with respect to  $q_{i2}$  and equate it to zero. We have

$$Q(\theta; \theta^{(l)}) = E \left[ \log L(\theta; \theta^{(l)}) \right]$$

Therefore  $\frac{\partial}{\partial q_{i2}} Q(\theta; \theta^{(l)}) = 0$  implies  $E \left[ \frac{\partial \log L(\theta; \theta^{(l)})}{\partial q_{i2}} \right] = 0$

$$\frac{\partial}{\partial q_{i2}} \left[ \sum_{i=1}^K \sum_{s=1}^N \left( t_i(z_s, \theta^{(l)}) (\log f_i(z_s, \theta^{(l)}) + \log \alpha_i^{(l)}) \right) \right] = 0$$

$$q_{i2} = \frac{\sum_{s=1}^N t_i(z_s, \theta^{(l)})}{\sum_{s=1}^N \left[ \log \frac{(z_s - a_i)}{a_i} + \psi_0(q_{i1} - q_{i2} - 2 + 1) - \psi_0(q_{i2}) \right] t_i(z_s, \theta^{(l)})} \quad (10)$$

The updated equation of  $q_{i2}$  at  $(l+1)^{th}$  iteration is

$$q_{i2}^{(l+1)} = \frac{\sum_{s=1}^N t_i(z_s, \theta^{(l)})}{\sum_{s=1}^N \left[ \log \frac{(z_s - a_i)}{a_i} + \psi_0(q_{i1}^{(l)} - q_{i2}^{(l)} - 2 + 1) - \psi_0(q_{i2}^{(l)}) \right] t_i(z_s, \theta^{(l)})} \quad (11)$$

Where  $t_i(z_s, \theta^{(l)}) = \frac{\alpha_i^{(l)} f_i(z_s, \theta^{(l)})}{\sum_{i=1}^K \alpha_i^{(l)} f_i(z_s, \theta^{(l)})}$

#### IV. INITIALIZATION OF THE PARAMETERS BY K - MEANS AND HIERARCHICAL ALGORITHM

Generally the efficiency of the EM algorithm depends upon the count of the regions in the image, during the estimation of the parameters. The number of

mixture components taken for K – Means algorithm is, by plotting the histogram of the pixel intensities of the whole image. The number of peaks in the histogram can be taken as the initial value of the number of regions K.

The mixing parameters  $\alpha_i$  and the model parameters  $q_{i1}$ ,  $q_{i2}$  are usually considered as known apriori. Drawing a random sample from the entire image (McLachlan G. and Peel D. (2000)) is the most commonly used method for initializing parameters. This method shows better performance, if the sample size is large and its computational time is heavily increased. When the sample size is small, there are some small regions which may not be sampled. To divide the whole image into various homogeneous regions we use the K – Means algorithm. In this algorithm the centroids of the clusters are recomputed as soon as the pixel joins a cluster.

#### a) K-Means Clustering Algorithm

It is one of the simplest clustering technique with a primary goal to find the partition of the data which minimizes the squared error or the sum of squared distances between all the points and their respective cluster centers (Rose H. Turi, (2001)). This K-means algorithm uses an iterative procedure and this procedure minimizes the sum of distances from each object to its cluster centroid, over all clusters. This procedure consists of the following steps.

- 1) Randomly choose K data points from the whole dataset as initial clusters. These data points represent initial cluster centroids.
- 2) Calculate Euclidean distance of each data point from each cluster centre and assign the data points to its nearest cluster centre.
- 3) Calculate new cluster centre so that squared error distance of each cluster should be minimum.
- 4) Repeat step 2 and 3 until clustering centers do not change.
- 5) Stop the process.

In the above algorithm, once only if all points have been allocated to their closed cluster centre then the cluster centers are updated. The advantage of this algorithm is that it is a very simple method, and based on intuition about the nature of a cluster, which is that the within cluster error should be as small as possible. The disadvantage of the K-Means algorithm is that the number of clusters must be supplied as a parameter, leading to the user having to decide what the best number of clusters for the image is (Rose H. Turi, (2001)). Success of K-means algorithm depends on the parameter K, number of clusters in image.

After determining the final values of K (number of regions) , we obtain the initial estimates of  $\alpha_i, q_{i1}, q_{i2}$  and  $\alpha_i$  for the  $i^{th}$  region using the segmented region pixel intensities with Pearson Type VI distribution

.The initial estimate  $\alpha_i$  is taken as  $\alpha_i=1/K$ , where  $i = 1,2,\dots,K$ . The parameters  $q_{i1}$  and  $q_{i2}$  are estimated by the method of moments as first moment  $\mu_1$  and its three central moments ( $\mu_2, \mu_3$  and  $\mu_4$ ).

#### b) Hierarchical Clustering Algorithm

In order to utilize the EM algorithm we have to initialize the parameter  $\alpha_i$  and the model parameters  $q_{i1}, q_{i2}$  which are generally considered as known apriori. The initial values of  $\alpha_i$  can be taken as  $\alpha_i=1/K$  where, K is the number of image regions obtained from the Hierarchical clustering algorithm (Marr D. et al (1980)). The steps involved in hierarchical clustering algorithm are as follows.

*Step 1:* Start by assigning each item to a segment. Each of the N items, are associated with N segments, each containing just one item. Let the distances (similarities) between the segments be the same as the distances (similarities) between the items they contain.

*Step 2:* Find the closest (most similar) pair of segments and merge them into a single segment. The number of segments is now reduced by one. Compute distances (similarities) between the new segments and each of the old segments.

*Step 3:* Repeat steps 2 and 3 until all items are segmented.

Step 3 can be done in different ways, namely i) Single-Linkage ii) Complete-Linkage and iii) Average-Linkage segmenting. We consider the Average - Linkage methodology. Average-Linkage segmenting (also called the unweighted pair-group method using arithmetic averages), is one of the most widely used hierarchical clustering algorithms. The average linkage algorithm is obtained by defining the distance between two segments to be the average distance between a point in one segment and a point in the other segment. The algorithm is an agglomerative scheme that erases rows and columns in the proximity matrix as old segments are merged into new ones.

The proximity matrix is  $D = [d(i,j)]$ . The segments are assigned sequence numbers  $0,1,\dots,(n-1)$  and  $L(k)$  is the level of the  $K^{th}$  segment. A segment with a sequence number m is denoted by  $(m)$  and the proximity between segments  $(r)$  and  $(s)$  is denoted  $d[(r), (s)]$ . The algorithm is composed of the following steps: Begin with the disjoint segment having level  $L(0) = 0$  and sequence number  $m = 0$ .

Find the average dissimilar pair of segments in the current segment, say pair  $[(r), (s)]$ , for all pairs of segments in the current segment.

1. Increment the sequence number:  $m = m + 1$ . Merge segments  $(r)$  and  $(s)$  into a single segment to form the next segmenting m. Set the level of this segmenting to  $L(m) = d[(r), (s)]$ .
2. Update the proximity matrix, D, by deleting the rows and columns corresponding to segments  $(r)$

and (s) and adding a row and column corresponding to the newly formed segment. The proximity between the new segment, denoted (r, s) and old segment(K) is defined in this way.

$$d_{(r,s)K} = \frac{\sum_i \sum_j d(i,j)}{N_{(r,s)} N_K}$$

where  $d(i, j)$  is the distance between object  $i$  in the cluster  $(r, s)$  and object  $j$  in the cluster  $K$ , and  $N_{(r,s)}$  and  $N_K$  are the number of items in the clusters  $(r, s)$  and  $K$  respectively. The above procedure is repeated till the distance between two clusters is less than the specified threshold value.

We obtain the initial estimates of  $q_{i1}$ ,  $q_{i2}$  and  $\alpha_i$  for the  $i^{th}$  region using the segmented region pixel intensities with the moment method given by Pearsonian Type VI distribution, only after determining the final values of  $K$  (number of regions). After getting these initial estimates, the final refined estimates of the parameters through EM algorithm given in section (III) is obtained.

### V. SEGMENTATION ALGORITHM

In this section, the characteristics of the image segmentation algorithm are projected. After refining the parameters, the first step in image segmentation is allocating the pixels to the segments of the image. This operation is performed by Segmentation Algorithm which consists of four steps.

*Step 1:* Plot the histogram of the whole image.

*Step 2:* Obtain the initial estimates of the model parameters using K-Means algorithm and moment estimates for each image region as discussed in section IV.

*Step 3:* Obtain the refined estimates of the model parameters  $q_{i1}, q_{i2}$  and  $\alpha_i$  for  $i=1, 2, \dots, K$  using the EM algorithm with the updated equations given by (7), (9) and (11) respectively in section III.

*Step 4:* Assign each pixel into the corresponding  $j^{th}$  region (segment) according to the maximum likelihood of the  $j^{th}$  component  $L_j$ . That is

$$L_j = \max_{j \in K} \left[ \frac{(z_s - a_i)^{q_{j2}} (z_s)^{-q_{j1}}}{(a_j)^{(q_{j2} - q_{j1} + 1)} \beta(q_{j1} - q_{j2} - 1, q_{j2} + 1)} \right],$$

$$a_i \leq z_i < \alpha - \infty < q_{j1}, q_{j2} < \infty.$$

### VI. EXPERIMENTAL RESULTS

The performance of the developed a segmentation method for the natural images, which are considered on the earth. For implementing this algorithm, we need to initialize the model parameters, which are usually done by using moment method of estimations. Initially the feature vector is divided into

different segmented regions by making use of non-parametric methods of segmentation namely K-means algorithm and Hierarchical clustering algorithms.

An experiment is conducted with four images taken from Berkeley images dataset ([http:// www. eecs. berkeley. edu/ Research/ Projects/ CS/ Vision/ bsds/ BSDS3 00/ html](http://www.eecs.berkeley.edu/Research/Projects/CS/Vision/bsds/BSDS300/html)). The images FACE, EAGLE, NEST BIRD and TIGER, are considered for image segmentation in order to demonstrate the utility of the image segmentation algorithm. The pixel intensities of the whole image are taken as feature. The pixel intensities of the image are always assumed to follow a mixture of Pearson Type VI distribution.

That is, the image contains  $K$  regions and pixel intensities in each image region follow a Pearson Type VI distribution with different parameters. The number of segments in each of the four images considered for experimentation are determined by the histogram of pixel intensities. The histograms of the pixel intensities of the four images are shown in Figure 1.

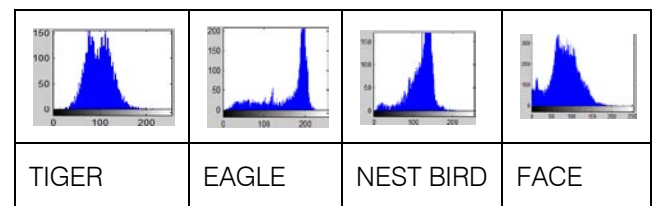


Figure 1 : Histograms Of The Images

The initial estimates of the number of the regions  $K$  in each image are obtained and given in Table 1.

Table 1 : Initial Estimates Of K

| IMAGE         | TIGER | EAGLE | NEST BIRD | FACE |
|---------------|-------|-------|-----------|------|
| Estimate of K | 2     | 3     | 4         | 4    |

From Table 1, we observe that the image TIGER has two segments, images EAGLE has three segments and images NEST BIRD AND FACE have four segments each. The initial values of the model parameters  $m_{i1}, m_{i2}, q_{i1}, q_{i2}$  and  $\alpha_i$  for  $i = 1, 2, \dots, K$ , for each image region are computed by the method given in section III.

By making use of these initial estimates and the updated equations of the EM Algorithm given in Section III, the final estimates of the model parameters for each image are obtained and presented in Tables 2.a, 2.b, 2.c, and 2.d for different images.

Table-2.a

| <i>Estimated Values Of The Parameters For TIGER Image<br/>Number of Image Regions (K =2)</i> |                                  |                     |  |                     |
|--|----------------------------------|---------------------|--|---------------------|
| Parameters   | Estimation of Initial Parameters |                     | Estimation of Final Parameters by EM Algorithm |                     |
|  | Image Region                     |                     | Image Region                                   |                     |
|  | 1-TYPEI                          | 2-TYPEVI            | 1-TYPEI  | 2-TYPEVI            |
| $\alpha_i$   | 0.500                            | 0.500               | 0.988622                                       | 0.011378            |
| $a_{i1}$   | -97.4751                         | $a_i = 453.1814$    | -0.00316                                       | $a_i = 1$           |
| $a_{i2}$   | 26.96539                         | $q_{i1} = 1.061354$ | -7.3732  | $q_{i1} = 1.06892$  |
| $m_{i1}$   | 0.783307                         | $q_{i2} = 0.061354$ | -11.0395                                       | $q_{i2} = 0.062561$ |
| $m_{i2}$   | -0.21669                         | -                   | -23.8409                                       | -                   |

Table-2.b

| <i>Estimated Values Of The Parameters For EAGLE Image<br/>Number of Image Regions (K =3)</i> |                                  |                     |          |  |                     |          |
|--|----------------------------------|---------------------|----------|--|---------------------|----------|
| Parameters   | Estimation of Initial Parameters |                     |          | Estimation of Final Parameters by EM Algorithm |                     |          |
|  | Image Region                     |                     |          | Image Region                                   |                     |          |
|  | 1-TYPEI                          | 2-TYPEVI            | 3-TYPEI  | 1-TYPEI  | 2-TYPEVI            | 3-TYPEI  |
| $\alpha_i$   | 0.333                            | 0.333               | 0.333    | 0.950792                                       | 0.00245             | 0.046758 |
| $a_{i1}$   | -42.6351                         | $a_i = -829.883$    | -35.4287 | 0.004741                                       | $a_i = -4.01E-12$   | -1.2948  |
| $a_{i2}$   | 38.46268                         | $q_{i1} = 1.027226$ | 38.6806  | -0.1653  | $q_{i1} = 1.028736$ | 14.15414 |
| $m_{i1}$   | 0.525725                         | $q_{i2} = 0.027226$ | 0.47806  | 0.225525                                       | $q_{i2} = 0.027134$ | 2.642036 |
| $m_{i2}$   | -0.47428                         | -                   | -0.52194 | 0.594823                                       | -                   | 0.405279 |

Table-2.c

| <i>Estimated Values Of The Parameters For NEST BIRD Image<br/>Number of Image Regions (K =4)</i> |                                  |                     |          |          |  |                     |          |          |
|--|----------------------------------|---------------------|----------|----------|--|---------------------|----------|----------|
| Parameters   | Estimation of Initial Parameters |                     |          |          | Estimation of Final Parameters by EM Algorithm |                     |          |          |
|  | Image Region                     |                     |          |          | Image Region                                   |                     |          |          |
|  | 1-TYPEI                          | 2-TYPEVI            | 3-TYPEI  | 4-TYPEI  | 1-TYPEI  | 2-TYPEVI            | 3-TYPEI  | 4-TYPEI  |
| $\alpha_i$   | 0.250                            | 0.250               | 0.250    | 0.250    | -0.03459                                       | 0.002342            | 0.650132 | 0.382119 |
| $a_{i1}$   | -24.3208                         | $a_i = 181.3095$    | -15.9394 | -30.2968 | -1.38028                                       | $a_i = 1$           | -0.215   | -0.26305 |
| $a_{i2}$   | 28.31176                         | $q_{i1} = 1.066072$ | 11.42341 | 14.58499 | 12.98182                                       | $q_{i1} = 1.073076$ | -0.19938 | -0.68031 |
| $m_{i1}$   | 0.462087                         | $q_{i2} = 0.066072$ | 0.582521 | 0.675036 | 0.297129                                       | $q_{i2} = 0.06951$  | 2.420679 | 2.281604 |
| $m_{i2}$   | -0.53791                         | -                   | -0.41748 | -0.32496 | 0.848453                                       | -                   | 0.469579 | 0.520931 |



Table-2.d

| Estimated Values Of The Parameters For FACE Image<br>Number of Image Regions (K =4) |                                  |         |                   |         |  |         |                   |         |
|---|----------------------------------|---------|-------------------|---------|--|---------|-------------------|---------|
| Parameters  | Estimation of Initial Parameters |         |                   |         | Estimation of Final Parameters by EM Algorithm |         |                   |         |
|   | Image Region                     |         |                   |         | Image Region                                   |         |                   |         |
|   | 1-TYPEI                          | 2-TYPEI | 3-TYPEVI          | 4-TYPEI | 1-TYPEI  | 2-TYPEI | 3-TYPEVI          | 4-TYPEI |
| $\alpha_i$  | 0.250                            | 0.250   | 0.250             | 0.250   | 0.0068   | 0.9772  | 0.0078            | 0.0082  |
| $a_{i1}$  | -18.965                          | -24.176 | $a_i = -468.346$  | -16.528 | 20.6072  | -0.1116 | $a_i = 1.000$     | -2.290  |
| $a_{i2}$  | 22.946                           | 17.734  | $q_{i1} = 1.047$  | 18.557  | 493.753  | -0.3840 | $q_{i1} = -1.055$ | 15.577  |
| $m_{i1}$  | 0.4525                           | 0.5768  | $q_{i2} = 0.0471$ | 0.4710  | -0.0283  | 2.4305  | $q_{i2} = 0.0475$ | 2.664   |
| $m_{i2}$  | -0.5474                          | -0.423  | -                 | -0.5289 | -0.0819  | 0.4662  | -                 | 0.3995  |

The probability density function of pixel intensities of each image is estimated by substituting the final estimates of the model parameters. The estimated probability density function of the pixel intensities of the image TIGER is

$$f(z_s, \theta^{(i)}) = \frac{(0.988622)(-0.00316)^{(-11.04)}(-7.37)^{(-23.84)} \left(1 + \frac{z_i}{-0.0032}\right)^{-11.04} \left(1 - \frac{z_i}{-7.373}\right)^{-23.84}}{(-0.00316 - 7.3732)^{(-11.0395 - 23.8409 + 1)} \beta(-11.0395 + 1, -23.841 + 1)} + \frac{(0.011378)(z_s - 1.000)^{0.062561} (z_s)^{-1.06892}}{(1.000)^{(0.0626 - 1.06892 + 1)} \beta(1.06892 - 0.06256 - 1, 0.0626 + 1)}$$

$$f(z_s, \theta^{(i)}) = \frac{(0.0068)(20.61)^{(-0.0283)}(493.75)^{(-0.0819)} \left(1 + \frac{z_i}{20.607}\right)^{(-0.028)} \left(1 - \frac{z_i}{493.75}\right)^{(-0.082)}}{(20.6072 + 493.753)^{(-0.0283 + 0.0819 + 1)} \beta(-0.0283 + 1, -0.082 + 1)} + \frac{(0.9772)(-0.1116)^{(2.4305)}(-0.3840)^{(0.47)} \left(1 + \frac{z_i}{-0.1116}\right)^{(2.431)} \left(1 - \frac{z_i}{-0.384}\right)^{(0.47)}}{(-0.1116 - 0.3840)^{(2.4305 + 0.4662 + 1)} \beta(2.4305 + 1, 0.4662 + 1)} + \frac{(0.0078)(z_s - 1.000)^{0.0475} (z_s)^{1.055}}{(1.000)^{(0.0475 - 1.055 + 1)} \beta(1.055 - 0.0475 - 1, 0.0475 + 1)} + \frac{(0.0082)(-2.290)^{(2.664)}(15.58)^{(0.3995)} \left(1 + \frac{z_i}{-2.290}\right)^{(2.664)} \left(1 - \frac{z_i}{15.58}\right)^{(0.3995)}}{(-2.290 + 15.58)^{(2.664 + 0.3995 + 1)} \beta(2.664 + 1, 0.3995 + 1)}$$

The estimated probability density function of the pixelintensities of the image EAGLE is

$$f(z_s, \theta^{(i)}) = \frac{(0.950792)(0.005)^{(0.226)}(-0.1653)^{(0.5948)} \left(1 + \frac{z_i}{0.005}\right)^{(0.226)} \left(1 - \frac{z_i}{-0.1653}\right)^{(0.595)}}{(0.0047 + -0.1653)^{(0.2255 + 0.5948 + 1)} \beta(0.225525 + 1, 0.5948 + 1)} + \frac{(0.00245)(z_s - 4.01E - 12)^{0.062561} (z_s)^{1.028736}}{(4.01E - 12)^{(0.027134 - 1.028736 + 1)} \beta(1.028736 - 0.027134 - 1, 0.02713 + 1)} + \frac{(0.046758)(1.2948)^{(2.64204)}(14.154)^{(0.41)} \left(1 + \frac{z_i}{1.2948}\right)^{(2.6421)} \left(1 - \frac{z_i}{14.154}\right)^{(0.41)}}{(1.2948 + 14.154)^{(2.642036 + 0.405279 + 1)} \beta(2.642036 + 1, 0.4053 + 1)}$$

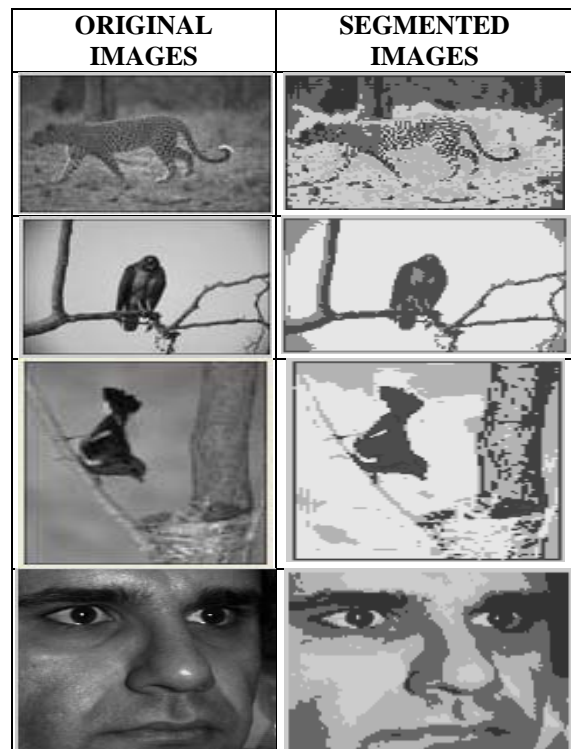
The estimated probability density function of the pixel intensities of the image NEST BIRD is

$$f(z_s, \theta^{(i)}) = \frac{(-0.03459)(-1.38)^{(0.29713)}(12.982)^{(0.8485)} \left(1 + \frac{z_i}{-1.381}\right)^{(0.2971)} \left(1 - \frac{z_i}{12.982}\right)^{(0.849)}}{(-1.38028 + 12.98182)^{(0.29713 + 0.8485 + 1)} \beta(0.2972 + 1, 0.849 + 1)} + \frac{(0.002342)(z_s - 1.000)^{0.06951} (z_s)^{1.073076}}{(1.000)^{(0.06951 - 1.073076 + 1)} \beta(0.06951 - 0.062561 - 1, 0.06951 + 1)} + \frac{(0.650132)(-0.215)^{(2.421)}(-0.1994)^{(0.4696)} \left(1 + \frac{z_i}{-0.215}\right)^{(2.4207)} \left(1 - \frac{z_i}{-0.1994}\right)^{(0.469)}}{(-0.215 - 0.19938)^{(2.420679 + 0.4696 + 1)} \beta(2.420679 + 1, 0.4696 + 1)} + \frac{(0.382119)(-0.2631)^{(2.282)}(-0.680)^{(0.521)} \left(1 + \frac{z_i}{-0.263}\right)^{(2.282)} \left(1 - \frac{z_i}{-0.68031}\right)^{(0.521)}}{(-0.26305 - 0.68031)^{(2.281604 + 0.520931 + 1)} \beta(2.281604 + 1, 0.5209 + 1)}$$

The estimated probability density function of the pixel intensities of the image FACE is

Using the estimated probability density function and image segmentation algorithm given in section III, the image segmentation is done for the five images under consideration. The original and segmented images are shown in Figure 2

Figure 2 : Original and Segmented Images



### VII. INITIALIZATION OF PARAMETERS BY HIERARCHICAL CLUSTERING ALGORITHM

In this section, we evaluate the efficiency of the proposed image segmentation algorithm. For this purpose of evaluation the images are collected from the Berkeley image data set. For this we need to randomly pick four images from the database and feature vector consisting of gray value for each pixel of the image. The feature vector in each image is modeled

by using Pearsonian Type VI & Pearsonian Type I Distribution. By dividing all the pixel into different regions using Hierarchical Clustering Algorithm, the initial values of model parameters  $m_{i1}$ ,  $m_{i2}$ ,  $q_{i1}$ ,  $q_{i2}$  and  $\alpha_i$  are obtained. Using these values and the updated equations of EM- Algorithm discussed in section III with MATLAB code, the final values of the model parameters are calculated and presented in the tables 3.a, 3.b, 3.c and 3.d

Table-3.a

| <i>Estimated Values Of The Parameters For TIGER Image<br/>Number of Image Regions (K =2)</i> |   |          |  |           |
|--|---|----------|--|-----------|
| Parameters   | Estimation of Initial Parameters by Hierarchical clustering |          | Estimation of Final Parameters by EM Algorithm |           |
|  | Image Region  |          | Image Region                                   |           |
|  | 1   | 2        | 1  | 2         |
| $\alpha_i$   | 0.500   | 0.500    | 2.01257  | -1.01257  |
| $a_{i1}$   | -75.717   | -11.7563 | -1.6508  | -0.0459   |
| $a_{i2}$   | 82.970  | 740.670  | 88.3755  | -28057.91 |
| $m_{i1}$   | 0.4771  | 0.0156   | 0.6101   | 40.2429   |
| $m_{i2}$   | -0.5228   | -0.9843  | 1.7071   | 0.01562   |

Table-3.b

| <i>Estimated Values Of The Parameters For EAGLE Image<br/>Number of Image Regions (K =3)</i> |   |          |          |  |          |          |
|--|---|----------|----------|--|----------|----------|
| Parameters   | Estimation of Initial Parameters by Hierarchical clustering |          |          | Estimation of Final Parameters by EM Algorithm |          |          |
|  | Image Region  |          |          | Image Region                                   |          |          |
|  | 1   | 2        | 3        | 1  | 2        | 3        |
| $\alpha_i$   | 0.333   | 0.333    | 0.333    | 0.4574   | 0.1921   | 0.3503   |
| $a_{i1}$   | -29.580   | -99.0809 | -41.2789 | -0.1968  | 0.6095   | -0.05994 |
| $a_{i2}$   | 22.567  | 14.1523  | 35.7243  | -0.7845  | -0.70016 | -1.0802  |
| $m_{i1}$   | 0.5672  | 0.87501  | 0.53606  | 0.26831  | 2.08306  | 2.50880  |
| $m_{i2}$   | -0.4327   | -0.1249  | -0.4639  | 0.67742  | 0.61615  | 0.44170  |

Table-3.c

| <i>Estimated Values Of The Parameters For NEST BIRD Image<br/>Number of Image Regions (K =4)</i> |   |         |         |         |  |         |         |         |
|--|---|---------|---------|---------|--|---------|---------|---------|
| Parameters   | Estimation of Initial Parameters by Hierarchical clustering |         |         |         | Estimation of Final Parameters by EM Algorithm |         |         |         |
|  | Image Region  |         |         |         | Image Region                                   |         |         |         |
|  | 1   | 2       | 3       | 4       | 1  | 2       | 3       | 4       |
| $\alpha_i$   | 0.250   | 0.250   | 0.250   | 0.25    | 0.8124   | 0.6205  | -0.1252 | -0.3077 |
| $a_{i1}$   | -29.742   | -42.662 | -22.721 | -13.326 | -0.39174                                       | -0.2174 | -0.5579 | -0.0467 |
| $a_{i2}$   | 22.299  | 18.108  | 27.072  | 75.188  | -0.8320  | -0.7572 | 17.451  | 1018.7  |
| $m_{i1}$   | 0.5715  | 0.7020  | 0.4563  | 0.1506  | 0.31705  | 2.2480  | 2.7025  | 5.3865  |
| $m_{i2}$   | -0.4284   | -0.2979 | -0.5436 | -0.8494 | 0.7971   | 0.5349  | 0.3905  | 0.14647 |

Table-3.d

| Estimated Values Of The Parameters For FACE Image<br>Number of Image Regions (K =4) |   |         |         |         |  |         |         |         |
|---|---|---------|---------|---------|--|---------|---------|---------|
| Parameters  | Estimation of Initial Parameters by Hierarchical clustering |         |         |         | Estimation of Final Parameters by EM Algorithm |         |         |         |
|   | Image Region  |         |         |         | Image Region                                   |         |         |         |
|   | 1   | 2       | 3       | 4       | 1  | 2       | 3       | 4       |
| $\alpha_i$  | 0.250   | 0.250   | 0.250   | 0.250   | 0.3736   | 0.2847  | 0.2526  | 0.0891  |
| $a_{i1}$  | -12.244   | -12.556 | -14.509 | -68.801 | -0.0611  | -0.1155 | -0.0811 | 1.5517  |
| $a_{i2}$  | 71.505  | 11.0781 | 9.1209  | 57.659  | 2536.35  | 0.3296  | 0.1468  | -5.4401 |
| $m_{i1}$  | 0.1462  | 0.5312  | 0.6140  | 0.544   | -0.3232  | 2.5188  | 2.3684  | 2.4925  |
| $m_{i2}$  | -0.8537   | -0.4687 | -0.3859 | -0.4559 | -2.3224  | 0.4387  | 0.4876  | 0.4465  |

Substituting the final estimates of the model parameters, the probability density function of pixel intensities of each image is estimated.

The estimated probability density function of the pixel intensities of the image TIGER is

$$f(z_i, \theta^{(i)}) = \frac{(2.01257)(-1.6508)^{(0.6101)}(88.3755)^{(1.7071)} \left(1 + \frac{z_i}{-1.6508}\right)^{(0.6101)} \left(1 - \frac{z_i}{88.3755}\right)^{(1.7071)}}{(-1.6508 + 88.3755)^{(0.6101+1.7071+1)} \beta(0.6101+1, 1.7071+1)} + \frac{(-1.01257)(-0.0459)^{(0.02429)}(-28057.91)^{(0.01562)} \left(1 + \frac{z_i}{-0.0459}\right)^{(0.02429)} \left(1 - \frac{z_i}{-28057.91}\right)^{(0.01562)}}{(-0.0459 + -28057.91)^{(0.02429+0.01562+1)} \beta(0.02429+1, 0.01562+1)}$$

The estimated probability density function of the pixel intensities of the image EAGLE is

$$f(z_i, \theta^{(i)}) = \frac{(0.4574)(-0.1968)^{(0.2683)}(-0.7845)^{(0.6774)} \left(1 + \frac{z_i}{-0.1968}\right)^{(0.2683)} \left(1 - \frac{z_i}{-0.7845}\right)^{(0.6774)}}{(-0.1968 + -0.7845)^{(0.2683+0.6774+1)} \beta(0.2683+1, 0.6774+1)} + \frac{(0.1921)(0.6095)^{(2.0831)}(-0.70016)^{(0.6162)} \left(1 + \frac{z_i}{0.6095}\right)^{(2.0831)} \left(1 - \frac{z_i}{-0.70016}\right)^{(0.6162)}}{(0.6095 + -0.70016)^{(2.0831+0.6162+1)} \beta(2.0831+1, 0.6162+1)} + \frac{(0.3503)(-0.05994)^{(2.5088)}(-1.0802)^{(0.442)} \left(1 + \frac{z_i}{-0.05994}\right)^{(2.5088)} \left(1 - \frac{z_i}{-1.0802}\right)^{(0.4417)}}{(-0.05994 + -1.0802)^{(2.5088+0.4417+1)} \beta(2.5088+1, 0.4417+1)}$$

The estimated probability density function of the pixel intensities of the image NEST BIRD is

$$f(z_i, \theta^{(i)}) = \frac{(0.8124)(-0.39174)^{(0.31705)}(-0.8320)^{(0.7971)} \left(1 + \frac{z_i}{-0.39174}\right)^{(0.31705)} \left(1 - \frac{z_i}{-0.8320}\right)^{(0.7971)}}{(-0.39174 + -0.8320)^{(0.31705+0.7971+1)} \beta(0.31705+1, 0.7971+1)} + \frac{(0.6205)(-0.2174)^{(2.2480)}(-0.7572)^{(0.5349)} \left(1 + \frac{z_i}{-0.2174}\right)^{(2.2480)} \left(1 - \frac{z_i}{-0.7572}\right)^{(0.5349)}}{(-0.2174 + -0.7572)^{(2.2480+0.5349+1)} \beta(2.2480+1, 0.5349+1)} + \frac{(-0.1252)(-0.5579)^{(2.7025)}(17.4509)^{(0.39047)} \left(1 + \frac{z_i}{-0.5579}\right)^{(2.7025)} \left(1 - \frac{z_i}{17.4509}\right)^{(0.39047)}}{(-0.5579 + 17.4509)^{(2.7025+0.39047+1)} \beta(2.7025+1, 0.39047+1)} + \frac{(-0.30771)(-0.04669)^{(5.3865)}(1018.7)^{(0.14647)} \left(1 + \frac{z_i}{-0.04669}\right)^{(5.3865)} \left(1 - \frac{z_i}{1018.7}\right)^{(0.14647)}}{(-0.04669 + 1018.7)^{(5.3865+0.14647+1)} \beta(5.3865+1, 0.14647+1)}$$

The estimated probability density function of the pixel intensities of the image BIRD is

$$f(z_i, \theta^{(i)}) = \frac{(-0.6508)(-0.2402)^{(4.1042)}(1504.84)^{(13.844)} \left(1 + \frac{z_i}{-0.2402}\right)^{(4.1042)} \left(1 - \frac{z_i}{1504.84}\right)^{(13.844)}}{(-0.2402 + 1504.84)^{(4.1042+13.844+1)} \beta(4.1042+1, 13.844+1)} + \frac{(-0.2327)(-0.1752)^{(2.9874)}(-622.13)^{(0.3331)} \left(1 + \frac{z_i}{-0.1752}\right)^{(2.9874)} \left(1 - \frac{z_i}{-622.13}\right)^{(0.3331)}}{(-0.1752 + -622.13)^{(2.9874+0.3331+1)} \beta(2.9874+1, 0.3331+1)} + \frac{(0.7248)(-96.5481)^{(2.3041)}(-5.9734)^{(0.51185)} \left(1 + \frac{z_i}{-96.5481}\right)^{(2.3041)} \left(1 - \frac{z_i}{-5.9734}\right)^{(0.51185)}}{(-96.5481 + -5.9734)^{(2.3041+0.51185+1)} \beta(2.3041+1, 0.51185+1)} + \frac{(1.1587)(-0.3530)^{(2.34037)}(-0.7436)^{(0.4979)} \left(1 + \frac{z_i}{-0.3530}\right)^{(2.34037)} \left(1 - \frac{z_i}{-0.7436}\right)^{(0.4979)}}{(-0.3530 + -0.7436)^{(2.34037+0.4979+1)} \beta(2.34037+1, 0.4979+1)}$$

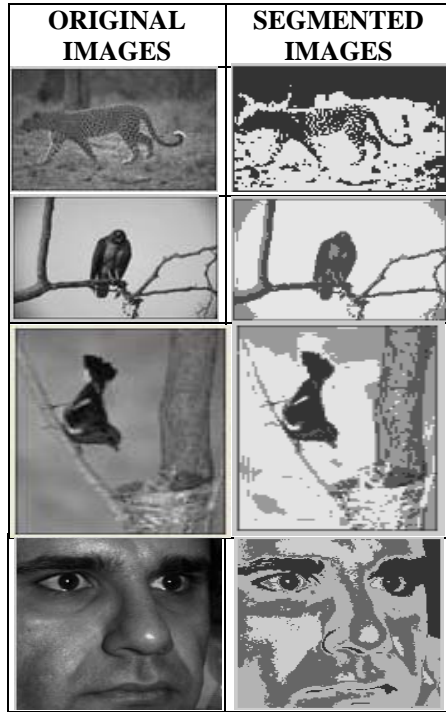
$$f(z_i, \theta^{(i)}) = \frac{(-0.6508)(-0.2402)^{(4.1042)}(1504.84)^{(13.844)} \left(1 + \frac{z_i}{-0.2402}\right)^{(4.1042)} \left(1 - \frac{z_i}{1504.84}\right)^{(13.844)}}{(-0.2402 + 1504.84)^{(4.1042+13.844+1)} \beta(4.1042+1, 13.844+1)} + \frac{(-0.2327)(-0.1752)^{(2.9874)}(-622.13)^{(0.3331)} \left(1 + \frac{z_i}{-0.1752}\right)^{(2.9874)} \left(1 - \frac{z_i}{-622.13}\right)^{(0.3331)}}{(-0.1752 + -622.13)^{(2.9874+0.3331+1)} \beta(2.9874+1, 0.3331+1)} + \frac{(0.7248)(-96.5481)^{(2.3041)}(-5.9734)^{(0.51185)} \left(1 + \frac{z_i}{-96.5481}\right)^{(2.3041)} \left(1 - \frac{z_i}{-5.9734}\right)^{(0.51185)}}{(-96.5481 + -5.9734)^{(2.3041+0.51185+1)} \beta(2.3041+1, 0.51185+1)} + \frac{(1.1587)(-0.3530)^{(2.34037)}(-0.7436)^{(0.4979)} \left(1 + \frac{z_i}{-0.3530}\right)^{(2.34037)} \left(1 - \frac{z_i}{-0.7436}\right)^{(0.4979)}}{(-0.3530 + -0.7436)^{(2.34037+0.4979+1)} \beta(2.34037+1, 0.4979+1)}$$

The estimated probability density function of the pixel intensities of the image FACE is

$$f(z_i, \theta^{(i)}) = \frac{(0.3736)(-0.0611)^{(0.3232)}(2536.35)^{(1.0010)} \left(1 + \frac{z_i}{-0.0611}\right)^{(0.3232)} \left(1 - \frac{z_i}{2536.35}\right)^{(1.0010)}}{(-0.0611 + 2536.35)^{(0.3232+1.0010+1)} \beta(0.3232+1, 1.0010+1)} + \frac{(0.2847)(-0.1155)^{(2.5188)}(0.3296)^{(0.4387)} \left(1 + \frac{z_i}{-0.1155}\right)^{(2.5188)} \left(1 - \frac{z_i}{0.3296}\right)^{(0.4387)}}{(-0.1155 + 0.3296)^{(2.5188+0.4387+1)} \beta(2.5188+1, 0.4387+1)} + \frac{(0.2526)(-0.0811)^{(2.3684)}(0.1468)^{(0.4876)} \left(1 + \frac{z_i}{-0.0811}\right)^{(2.3684)} \left(1 - \frac{z_i}{0.1468}\right)^{(0.4876)}}{(-0.0811 + 0.1468)^{(2.3684+0.4876+1)} \beta(2.3684+1, 0.4876+1)} + \frac{(0.0891)(1.5517)^{(2.4925)}(-5.4401)^{(0.4465)} \left(1 + \frac{z_i}{1.5517}\right)^{(2.4925)} \left(1 - \frac{z_i}{-5.4401}\right)^{(0.4465)}}{(1.5517 + -5.4401)^{(2.4925+0.4465+1)} \beta(2.4925+1, 0.4465+1)}$$

Using the estimated probability density function and image segmentation algorithm given in section V, the image segmentation is done for the four images under consideration. The original and segmented images are shown in Figure 3.

Figure 3 : Original and Segmented Images



VIII. PERFORMANCE EVALUATION

In this paper we have conducted the experiment and also examined its performance by making use of the image segmentation algorithm. The performance evaluation of this segmentation technique is carried by obtaining the three performance measures namely, (i) probabilistic rand index (PRI), (ii) global consistence error (GCE) and (iii) variation of information (VOI). By computing the segmentation performance measures namely VOI, PRI and GCE for the five images under study using Pearsonian Type VI Distribution (PTVID-K), the performance of the developed algorithm is studied. The computed values of the performance measures for the developed algorithm and the earlier existing finite Gaussian mixture model(GMM) with K-means algorithm and Hierarchical algorithm are presented in Table 4 for a comparative study.

Table 4 : Segmentation Performace Measures

| IMAGES | METHOD  | PERFORMANCE MEASURES |        |        |
|--------|---------|----------------------|--------|--------|
|        |         | PRI                  | GCE    | VOI    |
| TIGER  | GMM     | 0.8234               | 0.4956 | 2.568  |
|        | PTVID-K | 0.9896               | 0.4742 | 1.921  |
|        | PTVID-H | 0.9897               | 0.4762 | 1.920  |
| EAGLE  | GMM     | 0.8423               | 0.7006 | 8.354  |
|        | PTVID-K | 0.8505               | 0.7109 | 7.577  |
|        | PTVID-H | 0.8627               | 0.7054 | 7.2002 |

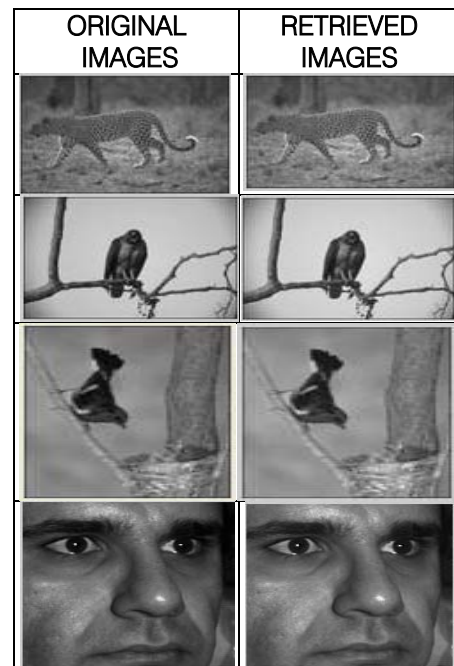
|           |         |        |        |        |
|-----------|---------|--------|--------|--------|
| NEST BIRD | GMM     | 0.9793 | 0.9142 | 8.8837 |
|           | PTVID-K | 0.0258 | 0.0124 | 6.7136 |
|           | PTVID-H | 0.0074 | 0.0001 | 7.2132 |
| FACE      | GMM     | 0.0201 | 0.0891 | 7.2546 |
|           | PTVID-K | 0.0223 | 0.0134 | 7.1556 |
|           | PTID-K  | 0.9559 | 0.8584 | 8.8772 |

From Table 4 it is identified that the PRI values of the existing algorithm based on finite Gaussian Mixture model for the five images considered for experimentation are less than the values from the segmentation algorithm based Pearsonian Type VI Distribution with K-means. Similarly GCE and VOI values of the proposed algorithm are less than that of finite Gaussian mixture model. This reveals the fact that the proposed algorithm outperforms the existing algorithm based on the finite Gaussian mixture model.

After developing the image segmentation method , it is required to verify the utility of segmentation in model building of the image for image retrieval. By subjective image quality testing or by objective image quality testing the performance evaluation of the retrieved image can be done. Since the numerical results of an objective measure allow a consistent comparison of different algorithms the objective image quality testing methods are often used. There are several image quality measures available for performance evaluation of the image segmentation method. An extensive survey of quality measures is given by Eskicioglu A.M. and Fisher P.S. (1995).

Using the estimated probability density functions of the images under consideration the retrieved images are obtained and are shown in Figure 4.

Figure 4 : The Original and Retrieved Images



For the above retrieved images FACE, NEST BIRD AND EAGLE The calculated image quality measures using proposed PTVID and GMM with K-means and Hierarchical algorithm are displayed in the Table 5.

Table 5 : Comparative Study of Image Quality Metrics

| IMAGE     | Quality Metrics       | GM M   | PTVI D-K | PTVI D-H | Standard Limits    |
|-----------|-----------------------|--------|----------|----------|--------------------|
| TIGER     | Average Difference    | 0.4837 | 0.4203   | 0.4193   | Close to 0         |
|           | Maximum Distance      | 1.0000 | 1.000    | 1.0000   | Close to 1         |
|           | Image Fidelity        | 1.0000 | 1.000    | 0.99996  | Close to 1         |
|           | Mean Square Error     | 0.6011 | 0.4103   | 0.4100   | Close to 0         |
|           | Signal to Noise Ratio | 6.6542 | 6.0885   | 6.6416   | As big as possible |
|           | Image Quality Index   | 1.0000 | 1.0025   | 1.0025   | Close to 1         |
| EAGLE     | Average Difference    | 0.5946 | 0.5141   | 0.4538   | Close to 0         |
|           | Maximum Distance      | 1.0000 | 1.000    | 1.0000   | Close to 1         |
|           | Image Fidelity        | 1.0000 | 0.9717   | 0.8628   | Close to 1         |
|           | Mean Square Error     | 0.4946 | 0.3487   | 0.2367   | Close to 0         |
|           | Signal to Noise Ratio | 5.6828 | 11.1517  | 15.1083  | As big as possible |
|           | Image Quality Index   | 1.0000 | 0.9051   | 0.673046 | Close to 1         |
| NEST BIRD | Average Difference    | 0.4930 | 0.4094   | 0.3773   | Close to 0         |
|           | Maximum Distance      | 1.0000 | 1.000    | 1.0000   | Close to 1         |
|           | Image Fidelity        | 1.0000 | 0.9136   | 0.856846 | Close to 1         |
|           | Mean Square Error     | 0.4930 | 0.4453   | 0.3783   | Close to 0         |
|           | Signal to Noise Ratio | 5.6897 | 13.8803  | 14.1535  | As big as possible |
|           | Image Quality Index   | 1.0011 | 0.7311   | 0.571059 | Close to 1         |
| FACE      | Average Difference    | 0.579  | 0.3170   | 0.2217   | Close to 0         |
|           | Maximum Distance      | 1.0000 | 1.000    | 1.0000   | Close to 1         |
|           | Image Fidelity        | 1.0000 | 0.5703   | 0.6804   | Close to 1         |
|           | Mean Square Error     | 0.5079 | 0.4556   | 0.1652   | Close to 0         |
|           | Signal to Noise Ratio | 5.6251 | 19.6707  | 20.7562  | As big as possible |
|           | Image Quality Index   | 1.0007 | 0.0366   | 0.0336   | Close to 1         |

It is perceived that all the image quality measures for the five images are meeting the standard criteria as given in the Table 5. Basing on the above

mentioned quality metrics we can retrieve images accurately by using the proposed algorithm. A comparative study is done on the algorithm based on finite Gaussian mixture model with the proposed algorithm and it reveals that the MSE of the proposed model is less than that of the finite Gaussian mixture model. The performance of the proposed model in retrieving the images is better than the finite Gaussian mixture model by making use of these quality metrics.

### IX. CONCLUSION

In this paper, by using finite mixture of Pearsonian Type VI distribution a new model image segmentation is introduced and analyzed. The pixel intensities of animal images better characterizes the mixture of Pearsonian Type VI distribution which is validated through experiment with Berkeley image data set. The model parameters are estimated by using the EM Algorithm. By using the Maximum Likelihood estimates, the Segmentation Algorithm is developed under Bayesian framework. The Experiment on the Berkeley image data set reveals that this image segmentation method outperforms in segmenting the animal images then that of the existing algorithm basing on Gaussian mixture model with respect to image segmentation quality metrics such as PRI, GCE and VOI. The proposed algorithm is much useful for image analysis and image retrievals. The image that is developed can be extended with a K-dimensional feature vector for color images which will be takes as elsewhere.

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# Emotion Profiling: Ingredient for Rule based Emotion Recognition Engine

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*Abstract-* Emotions are considered to be the reflection of human thinking and decision-making process which increase his/her performance by producing an intelligent outcome. Hence it is a challenging task to embed the emotional intelligence in machine as well so that it could respond appropriately. However, present human computer interfaces still don't fully utilize emotion feedback to create a more natural environment because the performance of the emotion recognition is still not very robust and reliable and far from real life experience. In this paper, we present an attempt in addressing this aspect and identifying the major challenges in the process. We introduce the concept of 'emotion profile' to evaluate an individual feature as each feature irrespective of the modality has different capability for differentiating among the various subsets of emotions. To capture the discrimination across target emotions w.r.t. each feature we propose a framework for emotion recognition built around if-then rules using certainty factors to represent uncertainty and unreliability of individual features.

*Keywords:* human computer interaction; emotion; rule based system; emotion profile; multimodalities.

*GJCST-F Classification :* I.7.5 , I.4.0



*Strictly as per the compliance and regulations of:*



# Emotion Profiling: Ingredient for Rule based Emotion Recognition Engine

Dr. Preeti Khanna <sup>α</sup> & Dr. Sasi M Kumar <sup>σ</sup>

**Abstract-** Emotions are considered to be the reflection of human thinking and decision-making process which increase his/her performance by producing an intelligent outcome. Hence it is a challenging task to embed the emotional intelligence in machine as well so that it could respond appropriately. However, present human computer interfaces still don't fully utilize emotion feedback to create a more natural environment because the performance of the emotion recognition is still not very robust and reliable and far from real life experience. In this paper, we present an attempt in addressing this aspect and identifying the major challenges in the process. We introduce the concept of 'emotion profile' to evaluate an individual feature as each feature irrespective of the modality has different capability for differentiating among the various subsets of emotions. To capture the discrimination across target emotions w.r.t. each feature we propose a framework for emotion recognition built around if-then rules using certainty factors to represent uncertainty and unreliability of individual features. This technique appears to be simple and effective for these kind of problems.

**Keywords:** human computer interaction; emotion; rule based system; emotion profile; multimodalities.

## I. INTRODUCTION

The difference between machine and human is not only that a human being is intelligent but also that he/she is emotional (Marinez-Miranda et al., 2005). The emotions enable human to interact intelligently and effectively with other humans. Same concept could be extended to human computer interaction (HCI). It deals with various procedures and methods through which humans interact with computer. According to Foley (1996) HCI is a socio-technological discipline whose goal is to bring computer and communication system to society and its people in such a way that both become accessible and hence useful in working, learning, communicating and recreational lives (Foley, 1996). The study related to HCI draws from supporting knowledge on both the machine and the human side. On the machine side computer graphics, operating systems, and programming languages are relevant while on the human side communication, social sciences, cognitive psychology, and human performance are relevant. As computers become more pervasive in culture, researchers are increasingly looking for new and innovative ways to design these interfaces more interactive and efficient. By embedding emotions in the

interaction of human with machine, machine would be in a position to sense the mood of the user and change its interaction accordingly. The system will be friendlier to the user and its responses will be more similar to human behavior. Motivations for emotional computing are manifold. From a scientific point of view, emotions play an essential role in decision making, as well as in perception and learning. Emotions influence various cognitive processes of people (Lisetti & Nasoz, 2005) including perception and organization of memory (Bower, 1981), categorization and preference (Zajonc, 1984), goal generation, evaluation, and decision-making (Damasio, 1994), strategic planning (Ledoux, 1992), focus and attention (Derryberry & Tucker, 1992), motivation and performance (Colquitt et al., 2000), intention (Frijda, 1986), communication (Birdwhistle, 1970; Ekman & Friesen, 1975; Chovil, 1991), and learning (Goleman, 1995). A common everyday task is driving, and yet research suggests that people emote while driving and their driving is affected by their emotions (James & Nahl, 2000). The inability to control one's emotions while driving is often identified as one of the major causes for accidents. Also by knowing the user's emotions, computer agents can become more effective in tutoring. A computer agent can learn the student's preferences and offer better interactions. Surveillance is another application domain in which the reading of emotions may lead to better performance in predicting the future actions of subjects. In this way, the emotion driven technology can enhance the existing systems for the identification and prevention of terrorist attacks in public places. Certainly not all computers need to pay attention to emotions, or have emotional abilities. Some machines are useful as rigid tools, and it is fine to keep them that way.

The paper begins by identifying the challenges in problem domain of emotion recognition. A complete framework of emotion recognition using rule based approach independent of any modalities (like speech or facial expressions) is then introduced. Core of this approach is feature analysis which has been explored using 'emotion profiling'. Finally the whole approach of rule based emotion recognition has been implemented using a running case scenario of facial expressions. Finally the performances of recognizing the target emotions have been reported. We conclude the paper by summarizing the results and consider some challenges facing the researchers in this area.

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## II. EMOTION RECOGNITION IS CHALLENGING!

Research related to emotion recognitions is tough because understanding emotion is difficult. To address the problem of emotion recognition various modalities like speech (Khanna & Kumar, 2010; Khanna & Kumar, 2011), facial expression, gesture, keyboard interaction (Khanna & Kumar, 2010), etc. had been explored. Some of the major challenges in this domain are as follows.

### a) *Choice of Features*

The number of features used in the process of recognizing emotions from different modalities varies and depends on the application. Having a large number of features increases the complexity of the system, normally results in longer system training time and demand rich set of training data. Hence selection of features is a critical task.

### b) *Choice of Machine Learning Techniques*

Depending on the context and the type of data, the classification algorithms used for emotion recognition have been constantly evolving in course of time. Various recognition methods have been used in the literature. One major dimension for variability among algorithms is the nature of knowledge representation used by these algorithms.

### c) *Emotional Database Issues*

Data is of utmost importance. Having an appropriate database that is collected with a particular application and target user profile in mind can be expected to minimize the confusions that occur while organizing and labeling the emotional database. For example issues like emotion elicitation method (i.e., whether the elicited emotion displays are posed or spontaneous), size (the number of subjects), modality (audio, visual, etc.), emotion description (category or dimension), and labeling scheme is tedious job. Hence choice of database is another concern.

### d) *Choice of Emotions*

This requires identification of the emotional states which have a bearing on HCI. It is not important to track all variants of emotion as a principle. Literature defines various subsets of emotions based on desired granularity and other parameters. Researchers still do not agree on what an emotion is and many of them do not consider a specific subset of emotions as 'basic set'. Hence defining and identifying the emotional state is a challenge.

### e) *Choice of Modalities and Fusion*

The studies show multiple different modalities as the source for emotion like face, voice, gesture, etc. The accuracy of recognition from different sources may vary with time, for example, facial expression recognition is dependent on the system getting an adequate frontal

view under good illumination. But in reality, one uses a combination of all these and they do not exist independently. Indeed, at times, the signals from the different sources may conflict each other, indicating different emotional states. However, most of the time the different sources provide additional information reinforcing the estimates made using one source and thus in determining the states with better confidence. Given the difficulties in mapping emotional states to recognizable characteristics in the various individual modalities, it becomes important to use multiple sources together. Picard (1997) observes that affect recognition is most accurate when it combines multiple modalities, information about the user's context, situation, goal, and preferences. But too much information from different modalities simultaneously seems to be confusing for human judges (Picard, 1997). Does this pertain in HCI too, needs to be addressed?

Hence due to multimodalities, problems related to data fusion are common. Humans simultaneously employ modalities of sight and sound. Does this tight coupling persist when the modalities are used for human behavior analysis, as suggested by some researchers, or not, as suggested by others? Does this depend on the machine learning techniques employed? In literature, some attempts like (De Silva & Ng, 2000), (Sebe et al., 2006) and (Zeng et al., 2007) have considered the integration of information from facial expressions and speech. Kim and Andre (2006) concentrated on the integration of physiological signals and speech signals for emotion recognition based on short term observation (Kim & Andre, 2006). In general there are two broad approaches which combine the inputs from different sources- feature based fusion and decision based fusion. Feature based fusion involves simply merging the features of each modality into a single feature vector. Decision based fusion is based on the fusion of decisions from each modality where the input coming from each modality is processed independently and these results are combined at the end. Several works like (Corradini et al., 2003), (Liao, 2002), (Kettebekov & Sharma, 2000), and (Sharma, 1998) discussed many issues and techniques of multimodal fusion. Finding an optimal fusion type for a particular combination of modalities is not straightforward. Hybrid fusion attempts to combine the benefits of both feature level and decision level fusion method. This may be a good choice for some multimodal fusion problems. However, based on existing knowledge and methods, how to combine the information coming from different modalities for the target set of emotions is still an open problem. In this paper we propose a rule based approach to recognize target emotions. This approach remains independent of modalities (speech or facial expressions or others).

### III. RULE BASED APPROACH

A rule based system, in general, consists of if-then rules, a bunch of facts, and an interpreter controlling the application of the rules. One of the major strength of rule based representation is its ability to represent various uncertainties. Uncertainty is inherently part of most human decision making. This uncertainty could arise from various sources like incomplete data or domain knowledge used being unreliable. If – then rules is often represented like 'If A, B, C ----> then D, with certainty 'X', where X represents the degree of belief or confidence in the rule (Kumar et al., 2007). To handle uncertainties, there are two broad approaches, those representing uncertainty using numerical quantities and those using symbolic methods. For example, Bayesian reasoning (Shortliffe & Buchanan, 1975), Evidence theory (Gordon & Shortliffe, 1984) and Fuzzy set approaches (Negoita, 1985) are numerical models. On the other hand, symbolic characterization of uncertainty is mostly aimed at handling incomplete information, for example Assumption Based Reasoning (Doyle, 1979), Default Reasoning (Reiter, 1980) and Non-monotonic Logic (McDermott & Doyle, 1980). In our domain, the basic problem is that there are hardly any feature or feature combinations which can infer any emotion to complete certainty. Therefore, we concentrate on numerical approaches for handling the uncertainty. We have adopted the 'Confirmation Theory' as used in MYCIN approach (Shortliffe & Buchanan, 1975). This approach works well with rule based representation of domain knowledge.

Shortliffe and Buchanan, 1975 developed the Certainty Factor (CF) model in the mid-1970s for MYCIN, an expert system for the diagnosis and treatment of infections of the blood (Shortliffe & Buchanan, 1975). Since then, the CF model has been widely adopted for uncertainty management in many rule based systems. Each rule is assigned CF by domain experts. Higher CF indicates that the conclusion can be asserted with higher confidence when the conditions are true. CF denotes change in belief in a hypothesis given some evidence. A value of +1.0 indicates absolute belief and -1.0 indicates absolute disbelief. The method generally used to propagate the measure of uncertainty in the antecedents and the uncertainty attached to the rule to the conclusions being derived is briefly explained below. This propagation is done in two steps (Kumar, et al., 2007).

- The different antecedents in the rule, in general, have different values of uncertainty attached to them. As a first step, we aggregate these values into a single CF, using the option considering the strength of the weakest link in a chain as the strength of the chain. This is defined as:  

$$CF_{\text{antecedents}} = \{\text{minimum of CFs of all antecedents}\} \quad (1)$$

- Then this measure (uncertainty for the set of antecedents) is combined with the measure of uncertainty attached to the rule to give a measure of uncertainty for the conclusion of the rule.

$$CF \text{ of the conclusion from rule} = \{CF \text{ associated with rule } R1\} * \{CF_{\text{antecedents}}\}, \text{ provided } CF_{\text{antecedents}} \geq \text{threshold} \quad (2)$$

It can be seen that the CF obtained for a conclusion from a particular rule will always be less than or equal to the CF of the rule. This is consistent with the interpretation of the CF used by MYCIN, that is, the CF of a rule is the CF to be associated with the conclusion if all the antecedents are known to be true with full certainty. In a typical rule based system, there may be more than one rule in the rule base that is applicable for deriving a specific conclusion. Some of them will not contribute any belief to the conclusion, because CF of antecedents is less than the threshold. The contributions from all the other rules for the same conclusion have to be combined. For MYCIN model, initially CF of a conclusion is taken to be 0.0 (i.e. there is no evidence in favour or against) and then as different rules for the conclusion fires, the CF gets updated. MYCIN uses a method that incrementally updates the CF of the conclusion as more evidence for and against is obtained. Let  $CF_{\text{old}}$  be the CF of the conclusion so far, say, after rules  $R_1, R_2, \dots, R_m$  have been fired. Let  $CF_{\text{in}}$  be the CF obtained from firing of another rule  $R_n$ . The new CF of the conclusion (from rules  $R_1, R_2, \dots, R_m$  and  $R_n$ ),  $CF_{\text{new}}$ , is obtained using the formulae given below.

$$CF_{\text{new}} = CF_{\text{old}} + CF_{\text{in}} * (1 - CF_{\text{old}}) \text{ when } (CF_{\text{old}}, CF_{\text{in}} > 0) \quad (3)$$

$$CF_{\text{new}} = CF_{\text{old}} + CF_{\text{in}} * (1 + CF_{\text{old}}) \text{ when } (CF_{\text{old}}, CF_{\text{in}} < 0) \quad (4)$$

$$CF_{\text{new}} = (CF_{\text{old}} + CF_{\text{in}}) / (1 - \min(|CF_{\text{old}}|, |CF_{\text{in}}|)) \text{ otherwise} \quad (5)$$

We adopt this calculus in our model and explained later with a running example in section VI. Before that the concept emotion profiling and the complete framework of emotion recognition system has been introduced.

### IV. CONCEPT OF EMOTION PROFILING

Emotion profile (EP) is introduced for understanding the variation of each feature for different emotional states. This is the core domain of rule based system to classify the target emotions. We define the emotion profile as the degree by which a given feature could reasonably differentiate among target emotions. If E is denoted as set of emotions and  $2E$  is the set of subsets of emotions, then EP of feature ( $F_i$ ) is defined as

$$EP(F_i) = \{X_i | X_i \in 2E; i = 1, 2, \dots, N\}$$

such that all elements of E occurs once and only once in the emotion profile.

There could be two extreme scenarios mentioned below as 'worst scenario' and 'best scenario'.

$$EP(F_i) = \{\{E_1, E_2, E_3, E_4, E_5, E_6, \dots, E_N\}\}$$

represents 'worst scenario' because the feature  $F_i$  is not able to differentiate between any of the target emotions. This is normally due to the variation in the feature value being independent of the emotional state, and generally means the feature is not a useful one for this purpose.

$$EP(F_i) = \{\{E_1\}, \{E_2\}, \{E_3\}, \{E_4\}, \{E_5\}, \dots, \{E_N\}\}$$

represents 'best scenario' as the feature  $F_i$  is strong enough to differentiate between every individual emotions. For example, if feature  $f_1$  (distance between nose and lip) observed to differentiate the emotional states 'disgust' and 'happy' but not able to differentiate between 'fear' and 'sad' and 'anger' from 'neutral' (as their range of values are very close) then we represent emotion profile of the feature  $f_1$  as

$EP(F_1) = \{\{H\}, \{D\}, \{F, S\}, \{A, N\}\}$  where D, H, F, S, A and N stands for 'disgust', 'happy', 'fear', 'sad', 'neutral', respectively.

This is further validated by certain rules (illustrated in section VI). As all the features considered for our problems of emotion recognition are numeric in nature, we considered the average value as the final value to define the range and hence to understand the partition between emotions. This process is very much useful in finding the useful set of features. Relevant set of features acts as an ingredient for emotion classification problem. The next section will illustrate the complete process with a concrete example of emotion recognition using facial expressions.

## V. GENERAL FRAMEWORK FOR EMOTION RECOGNITION

The conceptual framework for emotion recognition includes preprocessing, feature extraction, feature analysis, selection of the features, formulation of rules and measuring performance to classify the target emotional states. This will be explained using facial expression as an input in next section.

### a) Preprocessing and Feature Extraction

The objective of preprocessing is to make the input data in a standard format and suitable for extracting the desired features. Feature extraction involves identifying relevant features and formulating algorithms to extract these features from their respective input data.

### b) Feature Analysis and Emotion Profiling

Once the basic feature set is ready, the next step is analysis of these features. The question, 'how does each of these features vary with the emotion'

needs to be answered here. Each feature has been analyzed carefully by looking its emotion profile respectively. Usually all features don't contribute to the same extent to recognize different emotional states.

### c) Formulation of Rules Using Features

Influential and useful features can be used to define rules, as follows:

- Emotion profile had been created for each feature to analyze its ability to distinguish among the target emotional states, and accordingly useful features were shortlisted.
- Rules are formed using each of these features for different target emotional states. A feature may yield one or more rules. Generally these rules have the form: if feature  $F_1$  has value less than  $T_1$  and feature  $F_1$  has value greater than  $T_2$  then conclude emotion =  $e_1$ . For each rule, the cut off points  $T_1$  and  $T_2$  for a given emotion class is taken to be the approximate average of the value of that emotion with its immediate emotion neighbor.
- To each rule, we associate CF values for each emotional class. These values of CFs are decided as per guidelines mentioned in Table 1.

There may be multiple rules associated with each feature. Multiple rules when fired simultaneously (based on values of different features) may saturate the values of CF associated with them. To minimize this possibility, we have chosen relatively lower range of CF values. Given our observation that most features do not provide a high degree of discrimination for any of the target emotion, a high value did not appear justified for any individual feature. The chosen range also allows the CF value to climb steadily to a high range, when there are many features supporting an emotion. The rules may point to a specific emotional state or a set of emotional states. If the distance of an emotion with its neighboring emotion is found to be less than 5% to 6% of the entire spread (overall range i.e. difference between upper value and lower value) for that features value, then these emotions are grouped as a subset. Allocation of these CF value to the target classes is done based on the three interclass rules (IR-1, IR-2 and IR-3). This is derived based on analysis of the emotion profile.

#### IR-1 (High Interclass Distance):

If the interclass distance of an emotional class (either singleton or non-singleton) with its neighbors (left side as well as right side) is more than 15% of the entire spread for that feature, then the chances of a confusion with the neighboring class is low and hence the CF value associated with this class for that feature is considered to be 0.3.

#### IR-2 (Medium Interclass Distance):

If the interclass distance of a emotional class (either singleton or non-singleton) with its neighbors (left side as well as right side) is in between 6% to 15% of the

entire spread for that feature, then the CF value associated with this class is considered to be 0.2.

#### IR-3 (Low Interclass Distance):

If the interclass distance of a emotional class (either singleton or non-singleton) with its neighbors (left side as well as right side) is less than 6% of the entire spread for that feature, then the CF value associated with this class is considered to be 0.1.

#### d) Recognizing Emotions a using Rules

Overall system's performance for recognizing emotions was measured with the final value of CF corresponding to all the emotional states for all images in the test set. The highest value of final CF is considered.

## VI. CASE STUDY FOR FACIAL EXPRESSION

The standard database, Cohn-Kanade (CK) (Kanade, et al., 2000) of the static images have been used, where individuals are constrained to look straight at the camera and they are photographed with single colored background and illumination conditions do not vary drastically. Therefore, preprocessing issues are not a concern here. Total of 184 images from 57 subjects (32 female and 25 male subjects) have been selected for the emotional states of neutral, anger, happy, fear, sad, and disgust.

| Table 1: Defining Certainty Factor (CF) for Rules |           |                      |                             |
|---|-----------|----------------------|-----------------------------|
| Range of the CF                                   | CF Values | Belief and Disbelief | Indicated by                |
| Greater than 0.2 and up to 0.4                    | 0.3       | High evidence        | High Inter class distance   |
| Greater than 0.1 and up to 0.2                    | 0.2       | Moderate evidence    | Medium Inter class distance |
| Equal to 0.1                                      | 0.1       | Low evidence         | Low Inter class distance    |

#### a) Feature Extraction

The frontal view face model (Pantic & Rothkrantz, 2000b) is composed of many elements like mouth, nose, eyes and brows (Figure 1 and Table 2). By using a set of 18 points in the frontal view image, total of 21 features ( $f_3, f_4, f_5, f_6, f_7, f_8, f_9, f_{10}, f_{11}, f_{12}, f_{13}, f_{14}, f_{15}, f_{16}, f_{17}, f_{19}, f_{20}, f_{21}, f_{22}, f_{23}, f_{24}$ ) as shown in Figure 1, mostly in the form of inter-point distances had been extracted. For example, the feature  $f_3$  is the distance between left eye

outer corner, A to left eyebrow outer corner, E. Similarly feature  $f_4$  (symmetrical to  $f_3$ ) is the distance between right eye outer corners,  $A_1$  to right eyebrow outer corner,  $E_1$ . Each of these points has been extracted from the image. The distances are compiled and are used for further analysis. All these distances were obtained for different emotions including the neutral state for all subjects. Facial expressions are often characterized by variation of a feature from its value in the neutral state, rather than its absolute value in a given state.

Therefore, standardization of these features w.r.t their neutral value was done. These parameters were normalized in the following manner:

$$\text{Normalized Value} = (\text{Measured Value} - \text{Neutral State Value}) / \text{Neutral State Value} \quad (6)$$

Hence forth, in the remaining paper the reference made to use these normalized values as feature value as an input variable.

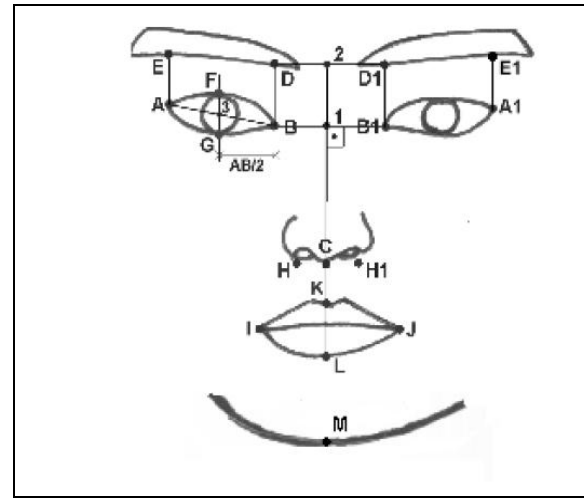


Figure 1 : Facial points (Pantic & Rothkrantz, 2000b)

#### b) Feature Analysis and Emotion Profiling

As discussed earlier all features might not be useful in forming the rules. Individually each of these has to be analyzed. For example, the feature, lip distance (horizontal distance-  $f_{16}$  and vertical distance-  $f_{17}$ ) could be seen as varying with emotions (Figure 2 and Figure 3).

The emotion profile of these feature (i.e.  $f_{16}$  and  $f_{17}$ ) are represented as

$$EP(f_{16}) = \{\{A, D\}, \{N\}, \{S\}, \{F\}, \{H\}\}$$

$$EP(f_{17}) = \{\{A\}, \{S\}, \{N, D\}, \{F\}, \{H\}\}$$

The lip movement (horizontal lip distance,  $f_{16}$  and vertical lip distance,  $f_{17}$ ) provides good separation between 'happy', 'sad', 'fear' w.r.t 'neutral' state (as per table-1). The emotions 'anger' and 'disgust' appear to be very close with each other for  $f_{16}$ . But the feature  $f_{17}$  is able to discriminate 'anger', 'sad', 'fear' and 'happy' but 'disgust' if found to be in the vicinity of 'neutral'. This

exercise is done for all features. Few observations are as follows:

Symmetrical pairs of features (like left eye vertical distance,  $f_9$  and right eye vertical distance,  $f_{10}$ ) do not always have the same emotion profile. For example,

$f_9$  clearly differentiates between 'disgust' and 'fear', but doesn't show a reasonable separation between other pairs of emotions e.g. {'anger', 'happy'} and {'neutral', 'fear'}.

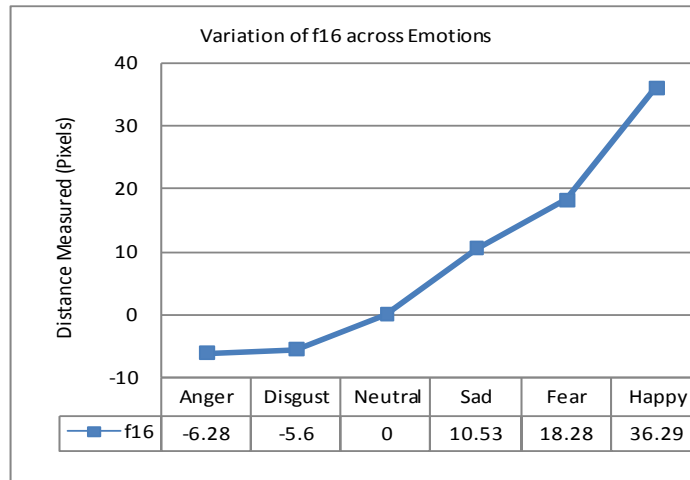


Figure 2 : Variation of feature  $f_{16}$  across emotions

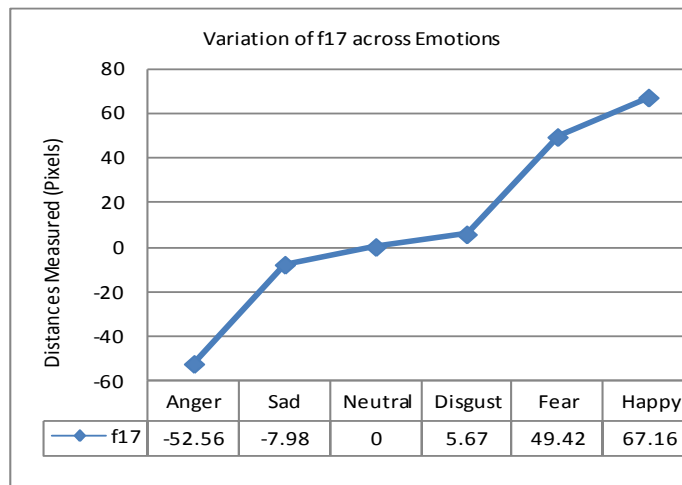


Figure 3 : Variation of feature  $f_{17}$  across emotions

The feature  $f_{10}$  differentiates reasonably well between all the target emotions. The same is true with  $f_{12}$  feature (distance between left lip and left eye). But  $f_{13}$  feature (distance between right lip and right eye) differentiate a cluster of emotion {'happy', 'disgust'} and {'sad', 'fear'}.

The symmetrical features,  $f_{12}$  and  $f_{13}$  show the same results for 'neutral' and 'anger' only. It is observed that total of eleven features (i.e.  $f_3, f_4, f_9, f_{10}, f_{11}, f_{12}, f_{13}, f_{14}, f_{15}, f_{16}$ , and  $f_{17}$ ) shows significant variation across the target emotional states among all twenty one features. Hence these 11 features will be most relevant and useful in designing rules further for recognizing emotions.

| TABLE 2: Features of the facial points (Pantic & Rothkrantz, 2000b) |  |
|---|--|
| Features  | Feature Description  |
| $f_3$   | Distance AE  |
| $f_4$   | Distance $A_1E_1$  |
| $f_5$   | Distance 3F, 3 is the centre of AB (See Figure 1)            |
| $f_6$   | Distance $4F_1$ , 4 is the centre of $A_1B_1$ (See Figure 1) |

|                 |   |
|-----------------|---|
| f <sub>7</sub>  | Distance 3G   |
| f <sub>8</sub>  | Distance 4G <sub>1</sub>  |
| f <sub>9</sub>  | Distance FG   |
| f <sub>10</sub> | Distance F <sub>1</sub> G <sub>1</sub>  |
| f <sub>11</sub> | Distance CK, C is 0.5HH <sub>1</sub>  |
| f <sub>12</sub> | Distance IB   |
| f <sub>13</sub> | Distance JB <sub>1</sub>  |
| f <sub>14</sub> | Distance CI   |
| f <sub>15</sub> | Distance CJ   |
| f <sub>16</sub> | Distance IJ   |
| f <sub>17</sub> | Distance KL   |
| f <sub>19</sub> | Image intensity in circle (r(0.5BB <sub>1</sub> ), C(2)) above line (D, D <sub>1</sub> )  |
| f <sub>20</sub> | Image intensity in circle (r(0.5BB <sub>1</sub> ), C(2)) below line (D, D <sub>1</sub> )  |
| f <sub>21</sub> | Image intensity in circle (r(0.5AB), C(A)) left from line (A, E)  |
| f <sub>22</sub> | Image intensity in circle (r(0.5A <sub>1</sub> B <sub>1</sub> ), C(A <sub>1</sub> )) right from line (A <sub>1</sub> , E <sub>1</sub> ) |
| f <sub>23</sub> | Image intensity in the left half of the circle (r(0.5BB <sub>1</sub> ), C(I))   |
| f <sub>24</sub> | Image intensity in the right half of the circle (r(0.5BB <sub>1</sub> ), C(J))  |
| Total           | 21 Features   |

### c) Formulation of Rules

From the trend of feature f<sub>16</sub> (Figure 2), it is seen that the emotions 'neutral', 'sad', 'fear' and 'happy' are distinguishable individually, whereas the emotions, 'disgust' and 'anger' are found to be close together (as the distances with its neighbour are found to be in the range of 5% to 6% of the entire spread). Depending on the interclass distances of these classes CFs has been allocated (as per Table 1) and rules have been formed. For each rule (of the type if – then), the cutoff point (i.e., upper limit, T<sub>2</sub> and lower limit, T<sub>1</sub>) belonging to the emotion class is taken to be the average of the value of that class with its immediate emotional class. From the figure 2, it is clear that for 'sad' emotion the cutoff points (i.e. T<sub>1</sub> and T<sub>2</sub>) to be considered are 5 and 14, forming

the singleton class and due to high inter class distances the CF values is to be considered as 0.3 (see Table 1). Similarly, the feature f<sub>17</sub> also varies across emotions (Figure 3). It is observed that 'neutral' along with 'disgust' is forming a non-singleton class while rest of the emotions is acting as singleton classes. It is observed that for 'sad' emotion the cutoff points (i.e. T<sub>1</sub> and T<sub>2</sub>) to be considered are -30 and -3.

Depending on distances between these classes, CFs has been allocated and rules have been formed. We found a total of five conditions each for the feature f<sub>16</sub> and feature f<sub>17</sub> to classify emotions. Examples of rules (Rule 1 and Rule 2) are shown below.

*Example Rule 1:* Using dist\_horizontal\_lip (f<sub>16</sub>) for emotion identification

- (i) if (dist\_horizontal\_lip <= -3)
  - CF<sub>Dis</sub>=0.2; CF<sub>Ang</sub>=0.2;
- (ii) if ((dist\_horizontal\_lip > -3) && (dist\_horizontal\_lip <= 5))
  - CF<sub>Neu</sub>=0.3;
- (iii) if ((dist\_horizontal\_lip > 5) && (dist\_horizontal\_lip <= 14))
  - CF<sub>Sad</sub>=0.3;
- (iv) if ((dist\_horizontal\_lip > 14) && (dist\_horizontal\_lip <= 27))
  - CF<sub>Fear</sub>=0.3;
- (v) if (dist\_horizontal\_lip > 27)
  - CF<sub>Hap</sub>=0.3;

*Example Rule 2:* Using dist\_vertical\_lip (f<sub>17</sub>) for emotion identification

- (i) if (dist\_vertical\_lip < -30)
  - CF<sub>Ang</sub>=0.3;
- (ii) if ((dist\_vertical\_lip < -3) && (dist\_vertical\_lip >= -30))
  - CFS<sub>Sad</sub>=0.2;
- (iii) if ((dist\_vertical\_lip < 27) && (dist\_vertical\_lip > -3))
  - CF<sub>Neu</sub>=0.3; CF<sub>Dis</sub>=0.3;
- (iv) if ((dist\_vertical\_lip >= 27) && (dist\_vertical\_lip < 58))
  - CFF<sub>Fear</sub>=0.3;
- (v) if (dist\_vertical\_lip >= 58)
  - CFH<sub>Hap</sub>=0.3;

TABLE 3: Examples of computed values of CF using rules from face for female subject

| Updated Value of CF computed using rules for respective emotion |                |                   |                       |                     |                     |                    |                       |
|---|----------------|-------------------|-----------------------|---------------------|---------------------|--------------------|-----------------------|
| Subject   | Actual Emotion | CF <sub>Sad</sub> | CF <sub>Neutral</sub> | CF <sub>Angry</sub> | CF <sub>Happy</sub> | CF <sub>Fear</sub> | CF <sub>Disgust</sub> |
| s <sub>1</sub>  | S              | 0.83              | 0.56                  | 0.30                | 0.36                | 0.72               | 0.00                  |
| s <sub>1</sub>  | N              | 0.00              | 0.97                  | 0.00                | 0.00                | 0.30               | 0.00                  |
| s <sub>1</sub>  | A              | 0.10              | 0.37                  | 0.91                | 0.20                | 0.50               | 0.51                  |
| s <sub>1</sub>  | H              | 0.30              | 0.30                  | 0.30                | 0.82                | 0.36               | 0.30                  |
| s <sub>1</sub>  | F              | 0.78              | 0.37                  | 0.00                | 0.20                | 0.84               | 0.00                  |
| s <sub>1</sub>  | D              | 0.00              | 0.00                  | 0.85                | 0.51                | 0.20               | 0.87                  |

Such kind of exercise is done for each of the selected features. Symmetrical pair of features like ( $f_3$ ,  $f_4$ ), ( $f_9$ ,  $f_{10}$ ), ( $f_{12}$ ,  $f_{13}$ ) and ( $f_{14}$  and  $f_{15}$ ) do not vary in the same way across different emotions and hence the resulting rules may differ. Total of 11 rules have been formed for emotion identification using facial static images.

#### d) Recognizing Emotions using Rules

All these rules have been tested on the database and final value of CF has been computed corresponding to each of the 6 emotional states. The emotion with the highest value of final CF is considered and counted against the expected emotion class for each image for all the subjects. For example, Table 3 shows the computed values of CF corresponding to all the six emotions - sad (S), neutral (N), anger (A), happy (H), fear (F) and disgust (D).

A row in this table indicates an input image of an individual subject ( $s_1$ ) in a particular emotional state. Final outcome for the same is indicated in these CF values under the six columns labelled from CF<sub>Sad</sub> to CF<sub>Disgust</sub>. For example, row 3 corresponds to subject-1 (i.e.  $s_1$ ) in 'angry' state; the table shows the maximum value of CF under the emotion class of 'anger' (i.e. 0.91) showing correct identification. Similarly, the maximum value of CF for the subject-1 (i.e.  $s_1$ ; row 6) is 0.87 and is for the target emotion of disgust. Though the value belonging to 'anger' is coming close to this value, we are considering the highest value of CF to identify the target emotion associated with the input image. Hence, the computed emotion matches with the 'predicted emotion' which is 'disgust' in this case and 'anger' in the previous case. Similarly computed value of CF has been analyzed for each of the emotions. Table 4 shows the overall recognition results for each emotion class using confusion matrices.

The literature discusses results for various face based emotion classification systems (Azcarate et al., 2005; Zhao & Kearney, 1996; Fasel & Luetttin, 2003; Pantic & Rothkrantz, 2000a; Sebe et al., 2007; Pantic & Rothkrantz, 2000b, Kobayashi & Hara, 1992; Edwards et al., 1998; Lyons et al., 1999; Huang & Huang, 1997; Hong et al., 1998 and Kulkarni et al., 2009). The average expression recognition rate of all of these systems is around 82% (in the range of 64% to 100%). Some of these studies have used limited testing data for training and for testing.

In comparison, the overall correctness of recognizing emotions using our rule based approach from facial expression is found to be 86.43%. The recognition rates are found to be 80% and 88.89% for female and male subjects respectively. Recognition rate of 'anger' and 'fear' is high for male subjects as compared to female subjects. For example, it is observed that rate of recognition for 'anger' is coming out to be 100% for male and 69% for female.

This rule based approach could be extended to any other modalities easily as it is based on the set of rules which could be extracted from different modalities (e.g. facial expression, speech or others). The overall process remains same i.e. to design the rules all the relevant features needs to be studied in more detail in the similar fashion. Emotion Profiling of each feature acts as an important ingredient as it is useful to map the relevant feature set for target emotional states. Influential and useful features were selected for defining the rules. Performance of the system could be improved by modifying, adding and deleting rules.

**TABLE 4: Confusion Matrices for Gender Independent Case**

|             | S | Z  | A  | H  | F  | D  |
|-------------|---|----|----|----|----|----|
| Sad (S)     | 8 | 1  | 1  | 0  | 2  | 1  |
| Neutral (N) | 0 | 57 | 0  | 0  | 0  | 0  |
| Anger (A)   | 1 | 1  | 20 | 0  | 0  | 3  |
| Happy (H)   | 0 | 1  | 1  | 36 | 2  | 2  |
| Fear (F)    | 1 | 0  | 0  | 1  | 10 | 0  |
| Disgust (D) | 0 | 2  | 2  | 2  | 1  | 28 |

## VII. CONCLUSION AND FUTURE WORK

Emotion is assuming increasing importance in HCI, in general, with the growing feeling that emotion is central to human communication and intelligence. While various aspects of this problem have been addressed in the literature, the full problem has not received much attention so far. The primary concern in emotion recognition is inaccurate knowledge and data. There are hardly any features or feature combinations which can infer any emotion to complete the certainty. In general, there are no features that are universally effective for recognizing all emotions. There are some features which provide reasonable discrimination among various subsets of emotions. Hence the concept of 'emotion profile' is useful for extensive analysis and evaluation of individual features. We used the confirmation theory as used in MYCIN system where the values of CF are allocated to the emotional classes based on interclass distances. These have been derived based on the analysis of the emotion profile of individual features. Rule based systems have certain advantages. Because of the uniform syntax, each rule can be easily analyzed. The syntax is usually quite simple, so it is easy to understand the rules without an explicit translation. Rules could be considered as independent pieces of knowledge about the domain and this independence leads to a high degree of modularity. Performance of the system could be improved by modifying / adding / deleting rules. This rule based system is applicable for any modalities like speech, gesture, facial expressions, etc. if provided with set of features. To validate further a study was done on speech and keyboard usage modality using the above mention rule based system.

Given the vast scope of the work needed to build reliable emotion recognition system and use the same for enhancing the HCI, and the unavailability and difficulty in collecting reliable datasets for emotion

recognition, this work covers only a part of the journey. A number of aspects require further investigation and refinement. To mention a few limitations against the use of certainty factor is that they have no sound theoretical basis; though, they often work well in practice. We allocated the values of CF to the emotional classes based on heuristic rules as defined in section III. These have been derived based on the analysis of the individual features across different emotions. In this work, we have ignored the possibility of having more than one emotional state at a time. Also the investigation to alternative uncertainty models like the Dempster-Shafer Theory is still open. Dempster Shafer theory provides more flexibility in assigning belief to various subsets of emotions. The databases used for the expression analysis are all based on subjects who "performed" a series of different expressions. There is a significant difference between expressions of a spontaneous and of a deliberate nature. Without a database of spontaneous expressions, the expression analysis system cannot be robust enough. This database issue is common for all the modalities – may be speech, facial expressions, etc. The multimodal data fusion for emotion recognition remains an open challenge as several problems still persist, related to finding optimal features, integration and recognition. Completely automated multimodal emotion recognition system is still at the preliminary phase, shows very limited performance and is mostly restricted to the lab environment.

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## Robust Image Retrieval using Dominant Colour with Binarized Pattern Feature Extraction and Fast Correlation

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*Abstract-* The modern technology providing the sharing of information at very fast rate such as audio, video and images. The sharing of such data also increased as the social networking sites become popular among young generation. Now the online databases of images is so huge having millions of images, and the searching of images we need is crucial task. For such applications various image retrieval methodologies is proposed. In this paper we are proposing very efficient image retrieval technique based on dominant colour features extraction and pattern feature extraction. In the simulation results we have found that from around 6000 images proposed algorithm takes only 1.5 seconds to retrieve results. That is why this approach is significant in terms of retrieval speed.

*Keywords:* image retrieval, colour features, binarized pattern features, retrieval speed and correlation.

*GJCST-F Classification :* I.4.0



ROBUSTIMAGERETRIEVALUSINGDOMINANTCOLOURWITHBINARIZEDPATTERNFEATUREEXTRACTIONANDFASTCORRELATION

*Strictly as per the compliance and regulations of:*



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# Robust Image Retrieval using Dominant Colour with Binarized Pattern Feature Extraction and Fast Correlation

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**Abstract-** The modern technology providing the sharing of information at very fast rate such as audio, video and images. The sharing of such data also increased as the social networking sites become popular among young generation. Now the online databases of images is so huge having millions of images, and the searching of images we need is crucial task. For such applications various image retrieval methodologies is proposed. In this paper we are proposing very efficient image retrieval technique based on dominant colour features extraction and pattern feature extraction. In the simulation results we have found that from around 6000 images proposed algorithm takes only 1.5 seconds to retrieve results. That is why this approach is significant in terms of retrieval speed.

**Keywords:** image retrieval, colour features, binarized pattern features, retrieval speed and correlation.

## I. INTRODUCTION

Content Based Image Retrieval is a term used to describe the process of retrieving images from a large collection on the basis of features (such as COLOUR, texture etc) that can be automatically extracted from the images themselves [19]. The retrieval thus depends on the contents of images. CBIR is comparatively a new topic and has turned into a hot research subject in last few years. The features that are used in CBIR should correspond directly to general routine notions of the human vision. For example, COLOUR, texture and shape are general terms used by most of people.

These features are mostly used for CBIR. But it is hard to define precisely how these features are discriminated by humans. Also, such discriminations are different for different people. Thus we need to pre-define the suitable feature representation scheme for each of these features. These features can be applicable over complete image or over a small region of the image. It is found that in most image retrieval systems, COLOUR based features play a prominent role. Indeed, COLOUR is the most important factor in human perception. The majority common representation of COLOUR information is in the form of COLOUR histogram, which

statically is the probability of any given pixel having a specific intensity in each of the COLOUR channels. COLOUR anglogram [20], correlogram [18], COLOUR co occurrence matrix (CCM) [22] are some of the other feature representations for COLOUR.

## II. SYSTEM MODEL

In content-based image retrieval (CBIR) image databases are indexed with descriptors derived from the visual content of the images. Most CBIR systems are worried with estimated queries where the aim is to find pictures visually similar to a particular target picture. In most cases the goal of CBIR systems is to replicate human perception of image similarity as well as possible. CBIR presents a challenging problem since it has common elements with both the general image understanding problem (which seems to remain unsolvable for computers at least in the near future) and the field of general information retrieval. Humans excel in image understanding when compared with computers. In contrast, in systematic handling of large databases computers have an edge over us. For this reason CBIR is also potentially very rewarding.

Global histogram gives information about the COLOUR contents of image and not the spatial distribution of COLOUR in image. Thus COLOUR feature alone cannot give satisfactory results. Texture can give additional information about the spatial arrangements and patterns of varying intensity available in image. Texture is an important element to human vision. Texture has been found to provide cause to scene depth and surface orientation. People also tend to relate texture elements of varying size to a 3-D surface. Even in graphic systems greater realism is achieved when textures are mapped to 3-D surfaces. Gabor filters, Tamura filters, Gray level co occurrence matrix (GLCM) etc are used for the texture representation. Discrete Wavelet Transform (DWT) is found to be an effective tool for signal analysis. Wavelets have properties that are suitable for representation of an image texture. Daubechies wavelets and Haar wavelets are some of the examples of texture representation [19]. Shape information is considered to be one of the most difficult features to extract reliably from images since there are no mathematical definitions of shape similarity which

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can take into account the various qualities which human assign to shape. While using shape, it is important that the representation should be invariant to basic transformations such as rotation, scale etc. some of the features that are used to represent shape are moment invariants, circularity, area, minimum and maximum axis.

These features of the database images are calculated offline and stored into database. User gives one image as the query image (which may be uploaded or selected from the previous results). Then a system calculates corresponding features for the query image. Similarity measure takes these feature values and those from database and calculate the similarity among query image and each of the database images.

Database images finally are ranked and displayed according to similarity measure.

In general, CBIR can be described in terms of following stages:

- a) Identification and utilization of intuitive visual features.
- b) Features representation
- c) Automatic extraction of features.
- d) Efficient indexing over these features.
- e) Online extraction of these features from query image.
- f) Distance measure calculation to rank images.

### III. PROPOSED METHODOLOGY

The image retrieval system proposed in this paper is described below with the block diagram and flow charts. In Fig. 3.1 block diagram of feature database preparation is described. in Fig. 3.2 block diagram of image retrieval is proposed. In Fig. 3.3 flow chart of feature database preparation algorithm is explained. In Fig. 3.4 flow chart of image retrieval algorithm is explained.

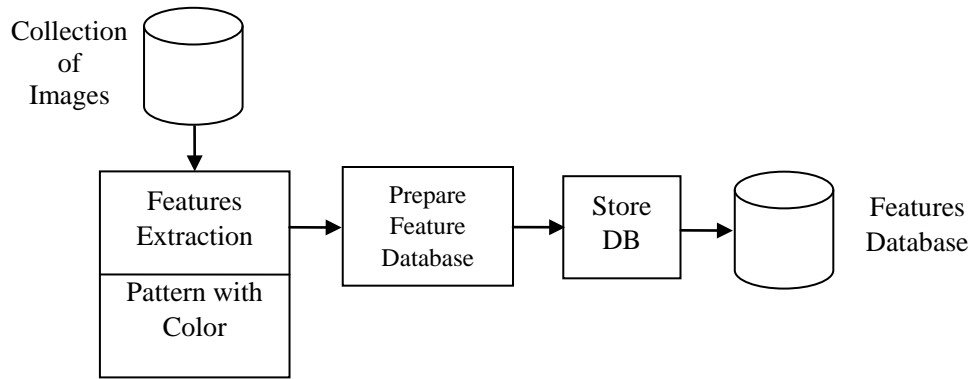


Figure 3.1 : Block Diagram of Feature Database is preparation

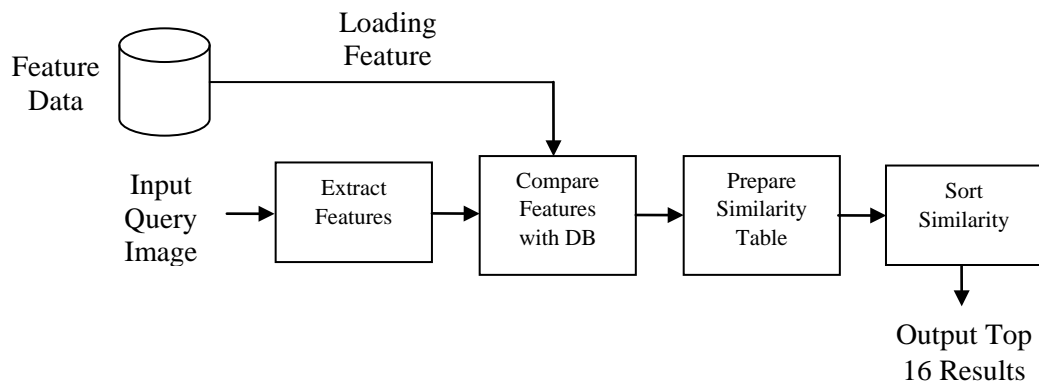


Figure 3.2 : Block Diagram of Image Retrieval

In the block diagrams the proposed methodology is described in first figure the Feature Database is prepared by using the collection of images, features extraction and pattern with color so the preparation of database has been done and then after store in Database.

In this figure the Image Retrieval process is described by using the Feature, Extract the Features from image and compare with Feature Data Base then prepare the similarity table at the end 16 Similar results have been carried out.

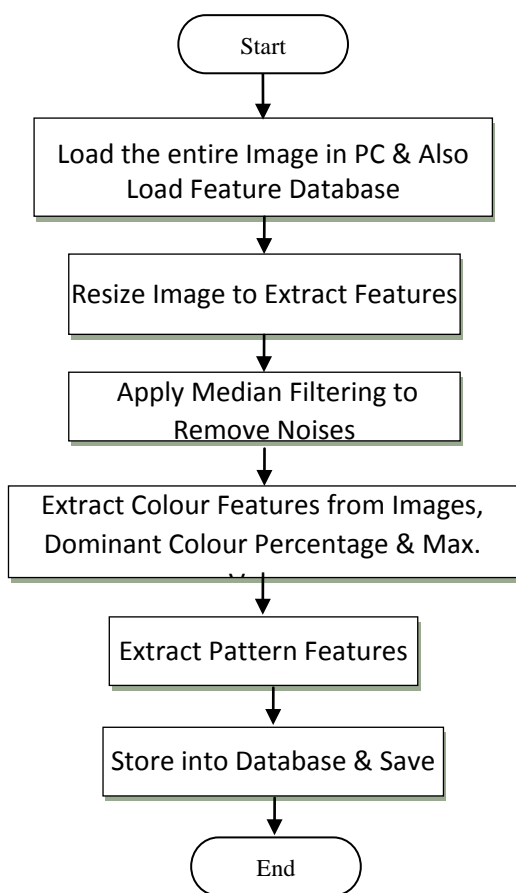


Figure 3.3 : Flow char of feature database preparation

a) *Preparing Features Database*

In the first flow graph preparing the features database process is achieved in which all images is loaded in PC and also feature database is loaded then resizing to extract feature is done then after Median Filter is adopted for removing the noise then extraction of colour feature from images is done then find out the dominant colour percentage and maximum value is achieved then extraction of pattern feature and store into database.

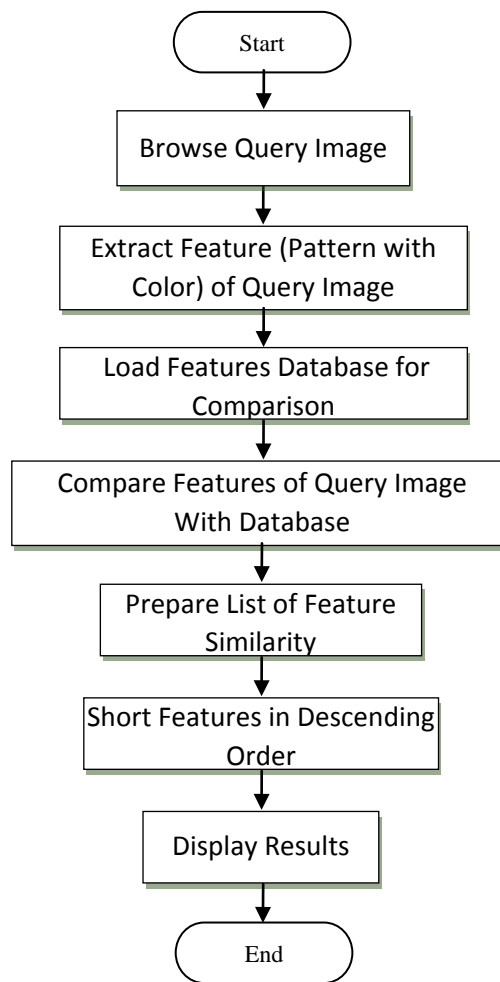


Figure 3.4 : Flow char of image retrieval

b) *Image Retrievals*

In this flow graph the image retrieval process is achieved. First of all browsing the Query Image then extract feature (Pattern with Color) of Query Image then Loading of Features Database for Comparison purpose then Comparing Features of Query Image after that list is prepared for Feature Similarity then short features in descending order and finally the results have been displayed.

IV. SIMULATION RESULTS

Image retrieval proposed methodology is implemented on simulation tool and various results are found. The main reason is to develop advanced technique is to get the images from the huge collection faster than every other technique with meaningful results. In this section simulation results of proposed method is shown with different color and shapes.



Figure 4.1 : Retrieval Results of Red Colour and time taken is 1.8268 seconds

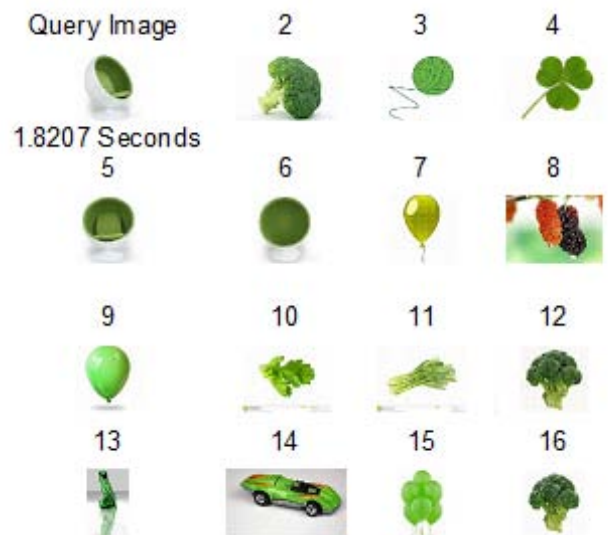


Figure 4.2 : Retrieval Results of Green Colour and time taken is 1.8207 seconds

In Fig. 4.1 proposed algorithm trying to retrieve images of red colour looking similar to girl and the similar results are displayed in the figure. In the retrieved results algorithm first trying to find out the similar images in descending order that is most similar images appear first in the results. The time taken to get the results is 1.8268 seconds.

In Fig. 4.2 proposed algorithm trying to retrieve images of green colour looking similar to cup and the similar results are displayed in the figure. In the retrieved results algorithm first trying to find out the similar images from images collection and arrange in descending order i.e. most similar images appear first in the results. The time taken to get the results is 1.8207 seconds.

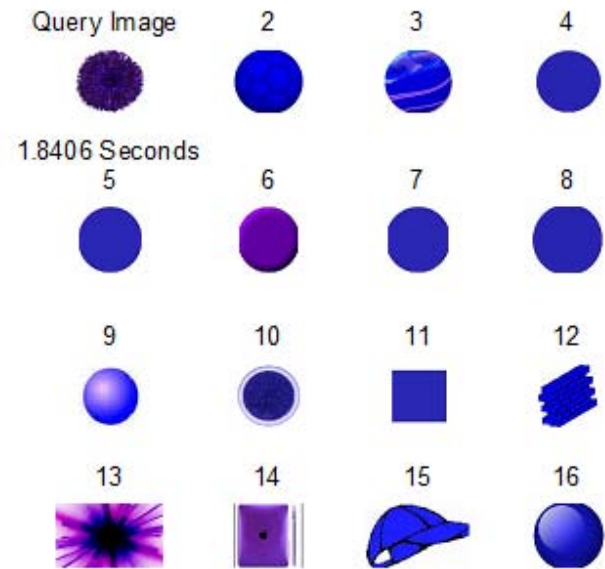


Figure 4.3 : Retrieval Results of Green Colour and time taken is 1.8406 seconds

In Fig. 4.3 proposed algorithm trying to retrieve images of blue/purple colour looking similar to circle or sphere and the similar results are displayed in the figure. In the retrieved results algorithm first trying to find out the similar images from images collection and arrange in descending order i.e. most similar images appear first in the results. The time taken to get the results is 1.8406 seconds.

As we were tried for other shapes and colours the results algorithm takes on an average 1.8 seconds to retrieve each images for each query.

## V. CONCLUSION AND FUTURE SCOPE

Image retrieval is growing and in demand technique used in wide area of application like search engines, social networking sites, surveillance systems etc. The need of image searching is either colour based or patterns. In the proposed methodology of this paper we have adopted method for colour based retrieval as well as pattern based retrieval and we have tried to make is faster like 1.8 seconds to get results.

In the upcoming time hybrid form of multiple techniques definitely improve the accuracy as well as retrieval time.

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## Sift Algorithm for Iris Feature Extraction

By Kinjal M. Gandhi & Prof. R. H. Kulkarni

*Pune University, India*

*Abstract-* Iris recognition is proving to be one of the most reliable biometric traits for personal identification. In fact, iris patterns have stable, invariant and distinctive features for personal identification. Reliable authorization and authentication are becoming necessary for many everyday applications. Iris recognition has been paid more attention due to its high reliability in personal identification. But iris feature extraction is easily affected by some practical factors, such as inaccurate localization, occlusion, and nonlinear elastic deformation. The objective of the study and proposed work is to adapt the increasing usage of biometric systems which can reduce the iris preprocessing and describe iris local properties effectively and have encouraging iris recognition performance. This work presents an efficient algorithm of iris feature extraction based on modified scale invariant feature transform algorithm (SIFT) .

*Keywords:* iris recognition, feature extraction, occlusion, biometric systems, sift.

*GJCST-F Classification :* I.2.10



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# Sift Algorithm for Iris Feature Extraction

Kinjal M. Gandhi <sup>α</sup> & Prof. R. H. Kulkarni <sup>σ</sup>

**Abstract-** Iris recognition is proving to be one of the most reliable biometric traits for personal identification. In fact, iris patterns have stable, invariant and distinctive features for personal identification. Reliable authorization and authentication are becoming necessary for many everyday applications. Iris recognition has been paid more attention due to its high reliability in personal identification. But iris feature extraction is easily affected by some practical factors, such as inaccurate localization, occlusion, and nonlinear elastic deformation. The objective of the study and proposed work is to adapt the increasing usage of biometric systems which can reduce the iris preprocessing and describe iris local properties effectively and have encouraging iris recognition performance. This work presents an efficient algorithm of iris feature extraction based on modified scale invariant feature transform algorithm (SIFT).

**Keywords:** iris recognition, feature extraction, occlusion, biometric systems, sift.

## I. INTRODUCTION

The recent advances of information technology and the increasing requirement for security have led to a rapid development of intelligent personal identification systems based on biometrics. Biometrics employs physiological or behavioral characteristics to accurately identify an individual. Iris is the best characteristic that can be used for person's identification and authentication in comparison with fingerprints, face, voice, and signature. It combines all the characteristics that a practical biometric should have. Iris pattern is unique to each person even that difference exists between twins. In the same time, the iris pattern is different between the right and left eye of the same person. Moreover, iris is very stable and changeless human characteristic over the time. Also, the sensing device that is used in order to measure the iris characteristic is a camera. This will be convenient for user population. Iris pattern is the safest biometric of all because it cannot be duplicated. The idea of iris identification trace back to the Paris prison in eighteenth century, where police discriminated criminal by inspecting their irises color [3]. The latest threats of security have led to the increased awareness of biometric technologies. Iris recognition is one of the most secure biometric approaches as it is non-invasive and stable throughout life [9][10]. Moreover, it does not require physical contact with the camera. In this way, the hygienic issue is minimized.

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Reliable authorization and authentication are becoming necessary for many everyday applications such as boarding an aircraft, performing financial transaction, logging to a secure system etc. Identity verification becomes a challenging task when it has to be automated with high accuracy and with low probability of break-ins and low rates of false match. Moreover, person verification is not a new problem and society had created three traditional modes of designation. Iris recognition has been paid more attention due to its high reliability in personal identification. But iris feature extraction is easily affected by some practical factors, such as inaccurate localization, occlusion, and nonlinear elastic deformation and so on.

In order to solve the problem, this work presents an efficient algorithm of iris feature extraction based on modified scale invariant feature transform algorithm (SIFT)[8]. It analyze the local feature in iris region to make use of the method of local feature matching, which overcomes the overall feature coding disadvantages to iris recognition. It not only to be an effective approach to simplify the iris image preprocessing, but also to solve the difficulties of iris recognition with iris occlusion and also to improve recognition performance efficiently.

## II. REVIEW OF RELATED WORK

Although there are many proposed iris recognition systems, all of them approximately share the following main stages: iris Segmentation, iris normalization, feature extraction, and feature comparison, as shown in Figure 1.

Daugman's 1994 patent described an operational iris recognition system in some detail. In 2004 his new paper said that image acquisition should use near-infrared illumination so that the illumination could be controlled. Daugman's approximated the pupil and iris boundaries of the eye as circles. So, he proposed an Integro-Differential operator for detecting the iris boundary by searching the parameter space. Because not all images of an iris are in the same size ( e.g. The distance from the camera affects the size of the iris in the image, illumination variations and angle of the image capturing), Daugman proposed the rubber sheet model to normalize the segmented iris. This model represents the iris using a fixed parameter interval in a doubly dimensionless pseudo polar coordinate system. The iris is remapped from raw Cartesian coordinates (x,y) to the dimensionless polar coordinate system,

which consists interval  $[0,1]$  and  $\theta$  is an angle in  $[0,2\pi]$ . This makes all irises have the same size and also simplifies subsequent processing. To extract the features from the normalized iris Daugman applied a two dimensional texture filter called Gabor filter to an image of the iris and extracted a representation of the texture, called the iris code. The iris code is a set of bits, each one of which indicates whether a given band pass texture filter (Gabor filter in Daugman algorithm) applied at a given point on the iris image has a negative or nonnegative result. To compare two iris templates Daugman used Hamming distance as the similarity measure for two iris signatures. Wildes described an iris biometrics system uses different techniques from that of Daugman. To accomplish iris segmentation Wildes used a gradient based binary edgemap construction followed by circular Hough transform Wildes applied a Laplacian of Gaussian filter at multiple scales to produce a template and compute the normalized correlation as a similarity measure after normalizing the segmented iris. He used an image registration technique to compensate scaling and rotation then an isotropic band-pass decomposition is proposed, derived from application of Laplacian of Gaussian filters to the image data. In the Comparison stage a procedure based on the normalized correlation between both iris signatures is used. Although Daugman's system is simpler than Wildes' system, Wildes' system has a less intrusive light source designed to eliminate specular reflections. Wildes' approach is expected to be more stable to noise perturbations, it makes less use of available data, due to binary edge abstraction, and therefore might be less sensitive to some details. Also, Wildes' approach encompassed eyelid detection and localization. Li Ma, Tieniu Tan, Yunhong Wang, and Dexin Zhang proposed a new algorithm for iris recognition by characterizing key local variations. The basic idea is that local sharp variation points, denoting the appearing or vanishing of an important image structure, are utilized to represent the characteristics of the iris. First, the background in the iris image is removed by localizing the iris by roughly determine the iris region in the original image, and then use edge image enhancement is applied to handle the low contrast and non-uniform brightness caused by the position of light sources. In feature extraction stage they constructed a set of 1-D intensity signals containing the main intensity variations of the original iris for subsequent feature extraction. Using wavelet analysis, they recorded the position of local sharp variation points in each intensity signal as features. Directly matching a pair of position sequences is also very time-consuming. So, they adopted a fast matching scheme based on the exclusive OR operation to solve this problem intensity signal as features. Directly matching a pair of position sequences is also very time-consuming. So, they adopted a fast matching scheme based on the exclusive OR operation to solve this problem.

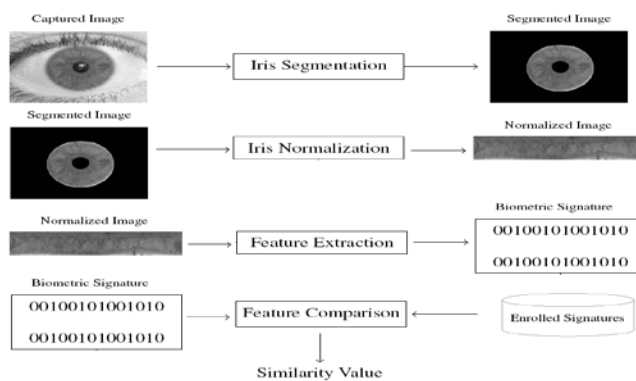


Figure 1 : Main stages of the iris recognition systems

#### a) Existing Techniques for Iris Recognition

The K-means algorithm is an iterative technique that is used to partition an image into  $k$  clusters by assigning each point to the cluster whose center (also called centroid) is nearest. The center is the average of all the points in the cluster that is, its coordinates are the arithmetic mean for each detection and Hough transform to exactly compute the parameters of the two circles in the determined region. Then lighting correction and dimension separately over all the points in the cluster. The basic K-means algorithm we used is:

Compute the intensity distribution (also called the histogram) of the intensities.

The Distance in our algorithm is typically based on pixel intensity. K-means clustering requires to specify the number of clusters to be partitioned and a distance metric to quantify how close two objects are to each other.

The Hough transform is a standard computer vision algorithm that can be used to determine the parameters of simple geometric objects, such as lines and circles, present in an image. It can be described as a transformation of a point in the  $x, y$ -plane to the parameter space. The parameter space is defined according to the shape of the object of interest. The circle is actually simple to represent in parameter space, compared to other shapes, since the parameters of the circle can be directly transfer to the parameter space. The circular Hough transform can be employed to deduce the radius and centre coordinates of the pupil and iris regions. It works as follow; at each edge point result from previous edge detection step we draw a circle with center in the point with the desired radius. This circle is drawn in the parameter space Figure 2 shows this process. At the coordinates which belong to the perimeter of the drawn circle we increment the value in our accumulator matrix which essentially has the same size as the parameter space. In this way we sweep over every edge point in the input image drawing circles with the desired radii and incrementing the values in our accumulator. When every edge point and every desired radius is used, we can turn our attention to the accumulator. The accumulator will now contain

numbers corresponding to the number of circles passing through the individual coordinates. Thus the highest numbers selected in an intelligent way, in relation to the radius correspond to the center of the circles in the image.

There are many methods for edge detection, but one of the most optimal edge detection methods is Canny edge detection. It receives a grayscale image and outputs a binary map correspondent to the identified edges. It starts by a blur operation followed by the construction of a gradient map for each image pixel. A non-maximal suppression stage sets the value of 0 to all the pixels of the gradient map that have neighbors with higher gradient values. Further, the hysteresis process uses two predefined values to classify some pixels as edge or non-edge. Finally, edges are recursively extended to those pixels that are neighbors of other edges and with gradient amplitude higher than a lower threshold.

### III. SYSTEM ARCHITECTURE

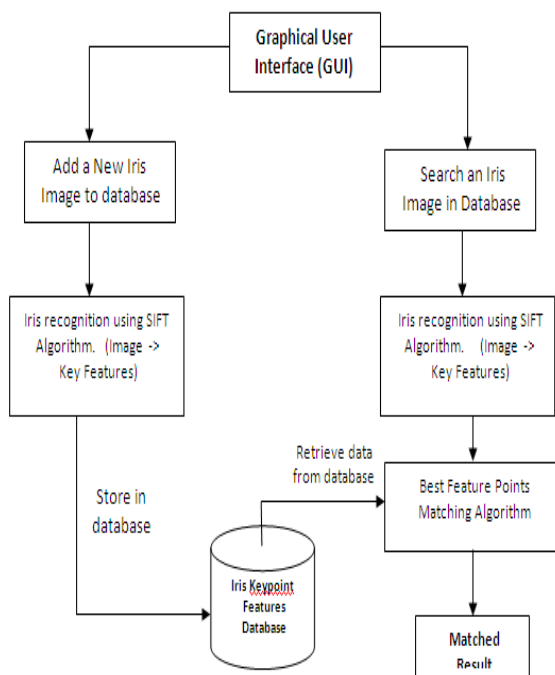


Figure 2 : System Architecture of proposed system

The proposed work implements an efficient method for describing local properties of an image as SIFT as shown in figure-3. The SIFT feature description method is revised appropriately, and is applied to iris recognition. It extracts the feature points which are reliable, stable and diverse. The feature vector is invariant to image translation, scaling, rotation and partially invariant to illumination changes. It can effectively extract the nature feature information of iris veins, so it can solve the traditional problem of low correct identification rate due to occlusion, inaccurate

localization and so on. Moreover, it can simplify the iris preprocessing. It also does the satisfactory identification and searching through directly extracting and matching feature from iris images.

#### a) System Modules

- Iris Acquisition
- Image pre-processing
- Iris Feature Extraction
- Iris Identification

### IV. PROBLEM STATEMENT

Reliable authorization and authentication are becoming necessary for many everyday applications such as boarding an aircraft, performing financial transaction, logging to a secure system etc. Identity verification becomes a challenging task when it has to be automated with high accuracy and with low probability of break-ins and low rates of false match. Moreover, person verification is not a new problem and society had created three traditional modes of designation. Iris recognition has been paid more attention due to its high reliability in personal identification. But iris feature extraction is easily affected by some practical factors, such as inaccurate localization, occlusion, and nonlinear elastic deformation and so on. In order to solve the problem, this work presents an efficient algorithm of iris feature extraction based on modified Scale Invariant Feature Transform algorithm (SIFT). It analyze the local feature in iris region to make use of the method of local feature matching, which overcomes the overall feature coding disadvantages to iris recognition. It not only to be an effective approach to simplify the iris image preprocessing, but also to solve the difficulties of iris recognition with iris occlusion and also to improve recognition performance efficiently.

### V. ALGORITHM DESIGN

We implement SIFT algorithm for feature extraction and to generate feature vector. SIFT is an efficient method for describing local properties of an image. This implementation tries to extract an image from a collection of keypoints. These are oriented features of the image, so they are invariant to deformation like translation, rotation and scaling. They are partially invariant to change of illumination as well. In SIFT approach, some key points insensitive to illumination, rotation and scale changes are first detected. Then, for each key point, its feature vector is formed using the gradient directions of pixels in a block centered at the point. Hence, each local feature vector is invariant to image translation, scaling, and rotation, and partially invariant to illumination changes. It follows the following methods to generate the features as,

To perform the Iris reorganization and searching based on the feature matching we implemented the

Java Imaging API and SWT kit of eclipse to take advantage to design the interface. We implement the following java classes to achieve the function for evaluation.

The project is implemented in 2 phases as:

a) *Phase-1 System Training*

To perform the system training we implement the following java classes to generate the feature base for iris recognition. We generate feature database for our proposed SIFT Algorithm and Gabor Filter to evaluate the searching performance. It will be automatically reads all the images provided for training and store the extracted feature into database. We maintain two different database as S Database. iris for SIFT Algorithm and G Database. iris for Gabor filter.

b) *Phase-2: Database Searching*

To perform the database searching we utilized the features extracted during the training phase. It takes a selected input image for searching and using a selected algorithm approach to extract the image features. The obtained feature will be compared against the database to find the matching.

## VI. MATHEMATICAL MODEL

The SIFT keys derived from an image are used in a nearest-neighbour approach to indexing to identify candidate object models. Collections of keys that agree on a potential model pose are first identified through a Hough transform hash table, and then through a least-squares fit to a final estimate of model parameters. When at least 3 keys agree on the model parameters with low residual, there is strong evidence for the presence of the object. Since there may be dozens of SIFT keys in the image of a typical object, it is possible to have substantial levels of occlusion in the image and yet retain high levels of reliability. To achieve rotation invariance and a high level of efficiency, we have chosen to select key locations at maxima and minima of a difference of Gaussian function applied in scale space. This can be computed very efficiently by building an image pyramid with resampling between each level. Furthermore, it locates key points at regions and scales of high variation, making these locations particularly stable for characterizing the image. SIFT is an efficient method for describing local properties of an image. It uses Gaussian kernel scale function which finds the difference of Gaussian image can be computed from the difference of two nearby scales separated by a constant multiplicative factor  $k$  in scale space. The Gaussian kernel is used to create scale space as,

$$L(x, y, \sigma) = G(x, y, \sigma) * I(x, y),$$

Where

$$G(x, y, \sigma) = \frac{1}{2\pi\sigma^2} e^{-(x^2+y^2)/2\sigma^2}.$$

The  $\sigma$  determines the width of the Gaussian kernel, and  $x, y$  is the spatial coordinate of image  $I$ . Each feature point is assigned a dominant orientation so that the feature vectors describing feature point  $P(x_0, y_0)$ , are invariant to rotation.

$$m(x, y) = \sqrt{(L(x+1, y) - L(x-1, y))^2 + (L(x, y+1) - L(x, y-1))^2}$$

$$\theta(x, y) = \tan^{-1}((L(x, y+1) - L(x, y-1)) / (L(x+1, y) - L(x-1, y)))$$

where  $L(x, y)$  is produced from the convolution of a variable scale Gaussian,  $G(x, y, k\sigma)$ , with an input image  $I(x, y)$ . Peaks in the orientation histogram correspond to dominant directions of local gradients. The highest peak in the histogram is detected, and then any other local peak that is within 80% of the highest peak is used to also create a feature point with that orientation.

$$w(x, y) = m(x, y) \exp(-((x-x_0)^2 + (y-y_0)^2) / 2\sigma^2)$$

Finally, the feature vectors are generated.  $F(x, y) = (F_1, F_2... F_N)$  is feature vector of feature point  $P(x_0, y_0)$ ;  $N$  is the number of  $4 \times 4$  sub-region in feature point region.

## VII. RESULT DISCUSSIONS

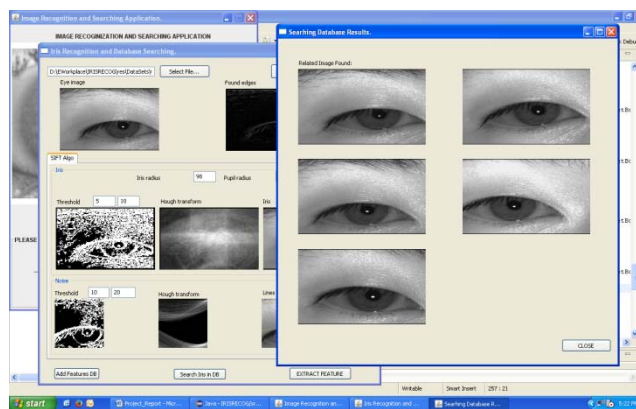


Figure 3 : Search Result of Input Iris with > 80% similarity using SIFT

Figure 4 shows the output search result match of an input image. The obtain result is based on percentage of feature matching. The obtain result is above 80% feature similarity compares to input images. We evaluated the search by varying the feature similarity percentage to > 90% and 100%. The obtained search result is shown below in figure 4 and 5. iris search using

Gabor filter. The obtain result shows a difference in feature matching and result retrieval in compare to SIFT Algorithm. To evaluate further we run this with various

different samples and finally we measures the precision and recall ratio.

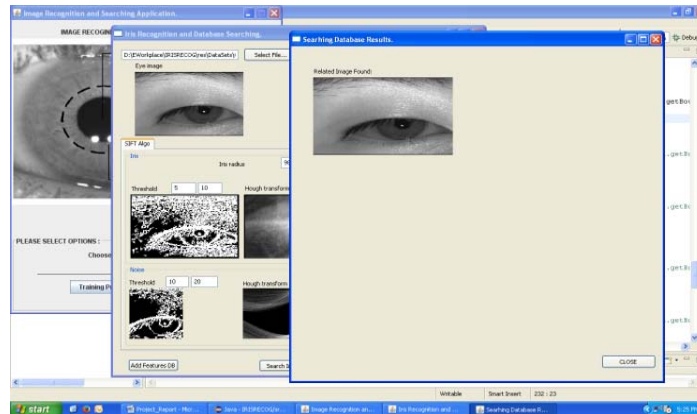


Figure 6 : Search Result of Input Iris with 100% similarity using SIFT

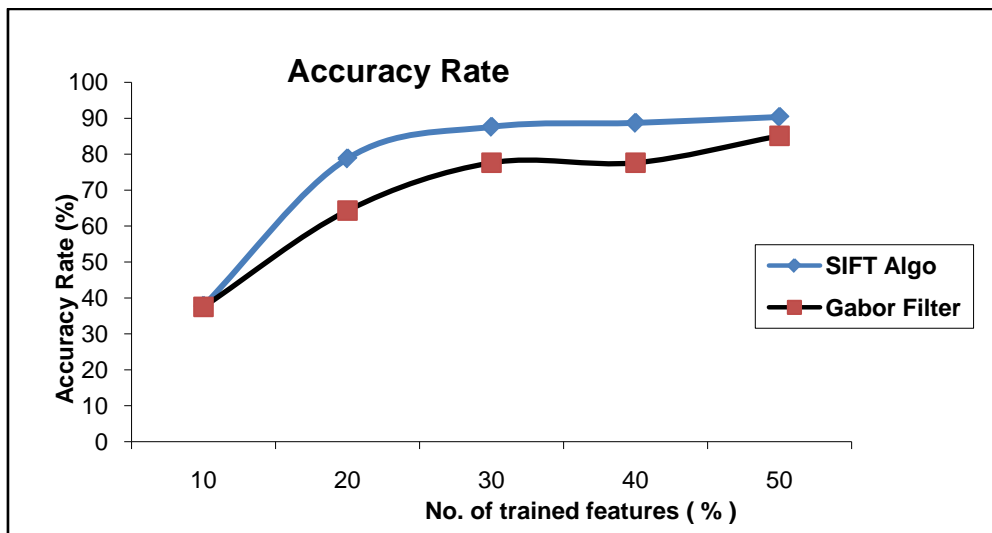


Figure 7 : Rate of accuracy comparison at different trained features

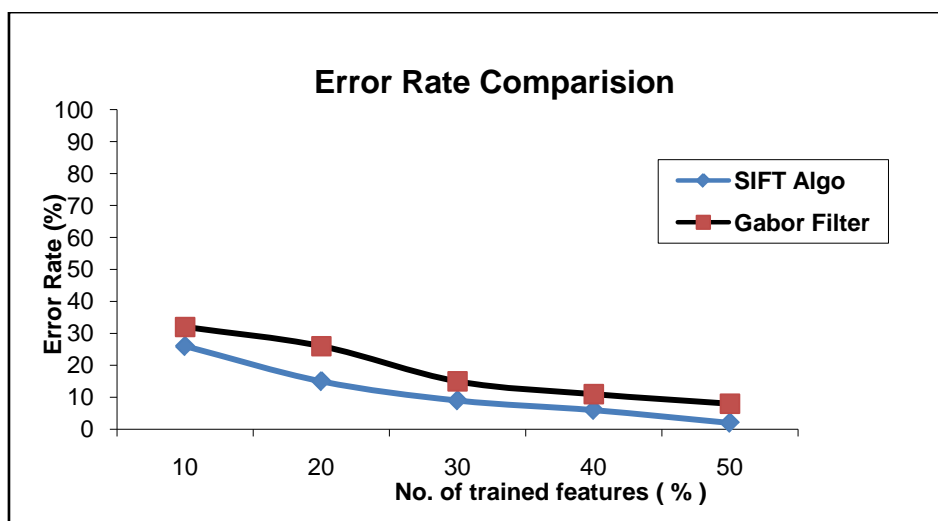


Figure 8 : Error Rate comparison at different trained features



## VIII. CONCLUSION

The requirements of biometric operation in identification mode by exhaustively searching a large database are vastly more demanding than operating merely in one-to one verification mode. A major approach for iris recognition today is to generate feature vectors from individual iris images and to perform iris matching based on some distance metrics and most of the commercial iris recognition systems implement a famous algorithm using iris codes proposed by Daugman. We proposed a work is to adapt the increasing usage of biometric systems which can reduce the iris preprocessing and describe iris local properties effectively and have encouraging iris recognition performance using SIFT Algorithm. The experiment observation shows that SIFT feature description method is revised and appropriately can be applied to iris recognition. Moreover, it simplifies the iris preprocessing and also does the satisfactory identification and searching through directly extracting and matching feature from iris images. Although the developed system has recorded good results with the data sets presented, there are still some factors to consider if the software was to be used with a hardware camera in future enhancement of this work for a real-time evaluation.

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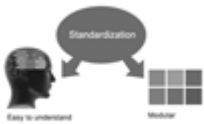




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“

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3. Submission of Manuscripts,
4. Manuscript's Category,
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- Submit all work in its final form.
- Write your paper in the form, which is presented in the guidelines using the template.
- Please note the criterion for grading the final paper by peer-reviewers.

### Final Points:

A purpose of organizing a research paper is to let people to interpret your effort selectively. The journal requires the following sections, submitted in the order listed, each section to start on a new page.

The introduction will be compiled from reference matter and will reflect the design processes or outline of basis that direct you to make study. As you will carry out the process of study, the method and process section will be constructed as like that. The result segment will show related statistics in nearly sequential order and will direct the reviewers next to the similar intellectual paths throughout the data that you took to carry out your study. The discussion section will provide understanding of the data and projections as to the implication of the results. The use of good quality references all through the paper will give the effort trustworthiness by representing an alertness of prior workings.



Writing a research paper is not an easy job no matter how trouble-free the actual research or concept. Practice, excellent preparation, and controlled record keeping are the only means to make straightforward the progression.

### **General style:**

Specific editorial column necessities for compliance of a manuscript will always take over from directions in these general guidelines.

To make a paper clear

- Adhere to recommended page limits

Mistakes to evade

- Insertion a title at the foot of a page with the subsequent text on the next page
- Separating a table/chart or figure - impound each figure/table to a single page
- Submitting a manuscript with pages out of sequence

In every sections of your document

- Use standard writing style including articles ("a", "the," etc.)
- Keep on paying attention on the research topic of the paper
- Use paragraphs to split each significant point (excluding for the abstract)
- Align the primary line of each section
- Present your points in sound order
- Use present tense to report well accepted
- Use past tense to describe specific results
- Shun familiar wording, don't address the reviewer directly, and don't use slang, slang language, or superlatives
- Shun use of extra pictures - include only those figures essential to presenting results

### **Title Page:**

Choose a revealing title. It should be short. It should not have non-standard acronyms or abbreviations. It should not exceed two printed lines. It should include the name(s) and address (es) of all authors.



## Abstract:

The summary should be two hundred words or less. It should briefly and clearly explain the key findings reported in the manuscript-- must have precise statistics. It should not have abnormal acronyms or abbreviations. It should be logical in itself. Shun citing references at this point.

An abstract is a brief distinct paragraph summary of finished work or work in development. In a minute or less a reviewer can be taught the foundation behind the study, common approach to the problem, relevant results, and significant conclusions or new questions.

Write your summary when your paper is completed because how can you write the summary of anything which is not yet written? Wealth of terminology is very essential in abstract. Yet, use comprehensive sentences and do not let go readability for briefness. You can maintain it succinct by phrasing sentences so that they provide more than lone rationale. The author can at this moment go straight to shortening the outcome. Sum up the study, with the subsequent elements in any summary. Try to maintain the initial two items to no more than one ruling each.

- Reason of the study - theory, overall issue, purpose
- Fundamental goal
- To the point depiction of the research
- Consequences, including definite statistics - if the consequences are quantitative in nature, account quantitative data; results of any numerical analysis should be reported
- Significant conclusions or questions that track from the research(es)

## Approach:

- Single section, and succinct
- As an outline of job done, it is always written in past tense
- A conceptual should situate on its own, and not submit to any other part of the paper such as a form or table
- Center on shortening results - bound background information to a verdict or two, if completely necessary
- What you account in an conceptual must be regular with what you reported in the manuscript
- Exact spelling, clearness of sentences and phrases, and appropriate reporting of quantities (proper units, important statistics) are just as significant in an abstract as they are anywhere else

## Introduction:

The **Introduction** should "introduce" the manuscript. The reviewer should be presented with sufficient background information to be capable to comprehend and calculate the purpose of your study without having to submit to other works. The basis for the study should be offered. Give most important references but shun difficult to make a comprehensive appraisal of the topic. In the introduction, describe the problem visibly. If the problem is not acknowledged in a logical, reasonable way, the reviewer will have no attention in your result. Speak in common terms about techniques used to explain the problem, if needed, but do not present any particulars about the protocols here. Following approach can create a valuable beginning:

- Explain the value (significance) of the study
- Shield the model - why did you employ this particular system or method? What is its compensation? You strength remark on its appropriateness from an abstract point of vision as well as point out sensible reasons for using it.
- Present a justification. Status your particular theory (es) or aim(s), and describe the logic that led you to choose them.
- Very for a short time explain the tentative propose and how it skilled the declared objectives.

## Approach:

- Use past tense except for when referring to recognized facts. After all, the manuscript will be submitted after the entire job is done.
- Sort out your thoughts; manufacture one key point with every section. If you make the four points listed above, you will need a least of four paragraphs.



- Present surroundings information only as desirable in order hold up a situation. The reviewer does not desire to read the whole thing you know about a topic.
- Shape the theory/purpose specifically - do not take a broad view.
- As always, give awareness to spelling, simplicity and correctness of sentences and phrases.

#### **Procedures (Methods and Materials):**

This part is supposed to be the easiest to carve if you have good skills. A sound written Procedures segment allows a capable scientist to replacement your results. Present precise information about your supplies. The suppliers and clarity of reagents can be helpful bits of information. Present methods in sequential order but linked methodologies can be grouped as a segment. Be concise when relating the protocols. Attempt for the least amount of information that would permit another capable scientist to spare your outcome but be cautious that vital information is integrated. The use of subheadings is suggested and ought to be synchronized with the results section. When a technique is used that has been well described in another object, mention the specific item describing a way but draw the basic principle while stating the situation. The purpose is to text all particular resources and broad procedures, so that another person may use some or all of the methods in one more study or referee the scientific value of your work. It is not to be a step by step report of the whole thing you did, nor is a methods section a set of orders.

#### **Materials:**

- Explain materials individually only if the study is so complex that it saves liberty this way.
- Embrace particular materials, and any tools or provisions that are not frequently found in laboratories.
- Do not take in frequently found.
- If use of a definite type of tools.
- Materials may be reported in a part section or else they may be recognized along with your measures.

#### **Methods:**

- Report the method (not particulars of each process that engaged the same methodology)
- Describe the method entirely
- To be succinct, present methods under headings dedicated to specific dealings or groups of measures
- Simplify - details how procedures were completed not how they were exclusively performed on a particular day.
- If well known procedures were used, account the procedure by name, possibly with reference, and that's all.

#### **Approach:**

- It is embarrassed or not possible to use vigorous voice when documenting methods with no using first person, which would focus the reviewer's interest on the researcher rather than the job. As a result when script up the methods most authors use third person passive voice.
- Use standard style in this and in every other part of the paper - avoid familiar lists, and use full sentences.

#### **What to keep away from**

- Resources and methods are not a set of information.
- Skip all descriptive information and surroundings - save it for the argument.
- Leave out information that is immaterial to a third party.

#### **Results:**

The principle of a results segment is to present and demonstrate your conclusion. Create this part a entirely objective details of the outcome, and save all understanding for the discussion.

The page length of this segment is set by the sum and types of data to be reported. Carry on to be to the point, by means of statistics and tables, if suitable, to present consequences most efficiently. You must obviously differentiate material that would usually be incorporated in a study editorial from any unprocessed data or additional appendix matter that would not be available. In fact, such matter should not be submitted at all except requested by the instructor.



## Content

- Sum up your conclusion in text and demonstrate them, if suitable, with figures and tables.
- In manuscript, explain each of your consequences, point the reader to remarks that are most appropriate.
- Present a background, such as by describing the question that was addressed by creation an exacting study.
- Explain results of control experiments and comprise remarks that are not accessible in a prescribed figure or table, if appropriate.
- Examine your data, then prepare the analyzed (transformed) data in the form of a figure (graph), table, or in manuscript form.

### What to stay away from

- Do not discuss or infer your outcome, report surroundings information, or try to explain anything.
- Not at all, take in raw data or intermediate calculations in a research manuscript.
- Do not present the similar data more than once.
- Manuscript should complement any figures or tables, not duplicate the identical information.
- Never confuse figures with tables - there is a difference.

### Approach

- As forever, use past tense when you submit to your results, and put the whole thing in a reasonable order.
- Put figures and tables, appropriately numbered, in order at the end of the report
- If you desire, you may place your figures and tables properly within the text of your results part.

### Figures and tables

- If you put figures and tables at the end of the details, make certain that they are visibly distinguished from any attach appendix materials, such as raw facts
- Despite of position, each figure must be numbered one after the other and complete with subtitle
- In spite of position, each table must be titled, numbered one after the other and complete with heading
- All figure and table must be adequately complete that it could situate on its own, divide from text

### Discussion:

The Discussion is expected the trickiest segment to write and describe. A lot of papers submitted for journal are discarded based on problems with the Discussion. There is no head of state for how long a argument should be. Position your understanding of the outcome visibly to lead the reviewer through your conclusions, and then finish the paper with a summing up of the implication of the study. The purpose here is to offer an understanding of your results and hold up for all of your conclusions, using facts from your research and generally accepted information, if suitable. The implication of result should be visibly described. Infer your data in the conversation in suitable depth. This means that when you clarify an observable fact you must explain mechanisms that may account for the observation. If your results vary from your prospect, make clear why that may have happened. If your results agree, then explain the theory that the proof supported. It is never suitable to just state that the data approved with prospect, and let it drop at that.

- Make a decision if each premise is supported, discarded, or if you cannot make a conclusion with assurance. Do not just dismiss a study or part of a study as "uncertain."
- Research papers are not acknowledged if the work is imperfect. Draw what conclusions you can based upon the results that you have, and take care of the study as a finished work
- You may propose future guidelines, such as how the experiment might be personalized to accomplish a new idea.
- Give details all of your remarks as much as possible, focus on mechanisms.
- Make a decision if the tentative design sufficiently addressed the theory, and whether or not it was correctly restricted.
- Try to present substitute explanations if sensible alternatives be present.
- One research will not counter an overall question, so maintain the large picture in mind, where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

### Approach:

- When you refer to information, differentiate data generated by your own studies from available information
- Submit to work done by specific persons (including you) in past tense.
- Submit to generally acknowledged facts and main beliefs in present tense.



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| <i>Methods and Procedures</i> | Clear and to the point with well arranged paragraph, precision and accuracy of facts and figures, well organized subheads  | Difficult to comprehend with embarrassed text, too much explanation but completed                   | Incorrect and unorganized structure with hazy meaning              |
| <i>Result</i>                 | Well organized, Clear and specific, Correct units with precision, correct data, well structuring of paragraph, no grammar and spelling mistake   | Complete and embarrassed text, difficult to comprehend  | Irregular format with wrong facts and figures                      |
| <i>Discussion</i>             | Well organized, meaningful specification, sound conclusion, logical and concise explanation, highly structured paragraph reference cited   | Wordy, unclear conclusion, spurious   | Conclusion is not cited, unorganized, difficult to comprehend      |
| <i>References</i>             | Complete and correct format, well organized  | Beside the point, Incomplete  | Wrong format and structuring                                       |



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