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Augmented Biometrics System

Discovering Thoughts, Inventing

ISSUE



## Global Journal of Computer Science and Technology: G Interdisciplinary

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## Detecting Sentiments from Movie Reviews by Integrating Reviewer's Own Prejudice

## By Kalpana Yadav, Sumit K. Yadav & Swati Gupta

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Abstract- Presently, sentiment analysis algorithms are widely used to extract positive or negative feedback scores of various objects on the basis of the text/reviews. But, an individual may have a certain degree of biasness towards a certain product/company and hence may not objectively review the object. We try to combat this biasness problem by incorporating the positive and negative bias component in the existing sentiment score of the object. This paper proposes several algorithms for a new system of implementing individual bias in the corpus of data i.e. movie reviews in this case. Each review comment has an unadjusted sentiment score associated with it. This unadjusted score is refined to give an adjusted score using the positive and negative bias score. The bias score is calculated using certain parameters, the weightage of which has been determined by conducting a survey. We lay emphasis on the degree of biasness an individual has towards or against the review parameters for the movie reviews corpus namely actor, director and genre. We equip the system with the capability to handle various scenarios like positive inclination of the user, negative inclination of the user, presence of both positive and negative inclination of the user and neutral attitude of the user by implementing the formulae we developed.

Keywords: natural language processing, sentiment analysis, opinion mining, text classification, online customer reviews, social network analysis.

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# Detecting Sentiments from Movie Reviews by Integrating Reviewer's Own Prejudice

Kalpana Yadav<sup>a</sup>, Sumit K. Yadav<sup>a</sup> & Swati Gupta<sup>p</sup>

Abstract- Presently, sentiment analysis algorithms are widely used to extract positive or negative feedback scores of various objects on the basis of the text/reviews. But, an individual may have a certain degree of biasness towards a certain product/company and hence may not objectively review the object. We try to combat this biasness problem by incorporating the positive and negative bias component in the existing sentiment score of the object. This paper proposes several algorithms for a new system of implementing individual bias in the corpus of data i.e. movie reviews in this case. Each review comment has an unadjusted sentiment score associated with it. This unadjusted score is refined to give an adjusted score using the positive and negative bias score. The bias score is calculated using certain parameters, the weightage of which has been determined by conducting a survey. We lay emphasis on the degree of biasness an individual has towards or against the review parameters for the movie reviews corpus namely actor, director and genre. We equip the system with the capability to handle various scenarios like positive inclination of the user, negative inclination of the user, presence of both positive and negative inclination of the user and neutral attitude of the user by implementing the formulae we developed. Hence, the system computes an objective score sans any individual bias for several scenarios making inferences better.

*Keywords:* natural language processing, sentiment analysis, opinion mining, text classification, online customer reviews, social network analysis.

#### I. INTRODUCTION

Sentiment analysis or opinion mining is the field of natural language processing dedicated to the computational analysis of opinions for the purpose of decision making (Kim, & Hovy, 2004). An opinion is a statement about a subject which expresses the sentiments and emotions of the opinion maker on the subject.

The main objective of sentiment analysis is to extract relevant information about the various sentiments articulated by authors about a particular subject, forming relationship patterns between the

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sentiments and the subject and helping users by presenting the huge volume of unstructured Web data in a structured form. (Wu, Wang, & Yi, 2013). In the present Internet age there is a plethora of information available to the users in every possible arena. Users are exposed to various sources of information like blogs, online reviews, and social sites.

The current trend is to look up reviews, expert opinions and discussions on the Web, so that one can make an informed decision pertaining to day-to-day tasks and purchases. (Cui, Mittal, & Datar, 2003). With so much information around, the user finds it difficult to process all of it and make an informed and rational decision. Here, sentiment analysis plays an important role by analysing all the data available and providing an over-all positive or negative feedback. (K. Dave, S. Lawrence, & D. Pennock, 2003).

Presently, Internet is extensively used as a platform for shaping up (Zuniga, Puig-I-Abril, & Rojas, 2009) views of people in diverse fields like politics (Park, Ko, Kim, Liu, & Song, 2011), (Larsson, & Moe, 2011) religious ideology, business marketing, tourism (Claster, Cooper, & Sallis, 2010) book reviews(Lin, Fang, & Wang, 2013) etc. Hence, it becomes imperative to have a mechanism to sift through this prejudiced information and get a collective objective consensus on the whole. For this evaluation, the validity of the source becomes equally important along with the content expressed.

The content authors can be classified into three types: promoters, the users who are positively prejudiced towards the object; detractors, the users who are negatively prejudiced against the object and passives, the users who are neither positively nor negatively inclined towards the object. (Wen, Dai, & Zhao, 2012). The bias or prejudice mentioned above refers to the inclination of temperament to hold a partial perspective and a refusal to even consider the possible merits of alternate points of view. The different forms of bias that have already been explored in sentiment analysis field include herd behavior, (Chen, 2008) first impression bias, (Deffuant, & Huet, 2009) sequential bias, (Piramuthu, Kapoor, Zhou, & Mauw, 2012).

The system we propose aims to deal with the individual bias in order to evaluate the validity of the content sources and hence get an objective consensus rather than the subjective (Liu, 2010) one that we are previously exposed to. Our work focuses on movie

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reviews corpus dataset as it provides a wholesome sample data from varied demographics since movies are watched by everyone.

#### II. Related Work

In this section, we focus on the related work on various types of bias and sentiment classification especially in the field of online reviews.

#### a) Sentiment Classification

The sentiment analysis has evolved over the period of time be it examining semantic orientation (Hatzivassiloglou, & McKeown, 1997) of adjectives, of adverbs,(Turney, & Littman, 2003) of emoticons (M, A. K. K., 2011) of different languages, (Martín-Valdivia, Martínez-Cámara, Perea-Ortega, & Ureña-López, 2013) of compound sentences using sentence level analysis (Mishra, & Jha, 2013), usage of appraisal groups (Whitelaw, Garg, & Argamon, 2005). and unsupervised techniques. The granularity of data mining has also evolved from document level, (Pang, Lillian, & Shivakumar, 2002) (Turney, 2002) sentence level (Riloff, & Janyce, 2006) to object level (Hu, Minqing, & Liu, 2004) techniques.

In (Cui, Mittal, & Datar, 2003), the efficiency of high order n-grams is enhanced using discriminating classifier. Also, the possibility of getting a consolidated result even with the data set comprising of varied products and authors is explored in this paper. (Dou, & Hu, 2012) explores an automated method incorporating semantic analysis and align technique to extract structured data form web pages has been developed. (Huang, & Lin, 2013) has dealt with a system where product reviews are evaluated on three parameters: product reviews, product popularity, and product release month and a proficient product ranking system is created. In (Jusoh, & Alfawareh, 2013) the sentiment classification using possibility theory has been implemented in order to determine varied degree of positive and negative sentiment score.

#### b) Bias Reviews

Various types of bias have also been discovered in the papers. In (Bencz, A. 2012), biclustering has been used along with kernel methods and baseline text classifiers to improve trust, bias and factuality classification over Web data on the domain level. The main aim is to aid researchers in obtaining large data that originates from trustworthy sources.

In (Sikora, & Chauhan, 2012), the first impression bias i.e. the tendency of the individuals to modify their opinions on the basis of first- third person review that he/she views which has been eliminated using the Kalman filtering technique. In (Schweiger, Oeberst, & Cress,2014), the confirmation bias in web based search was studied. The two data samples taken were psychotherapy and pharmacotherapy both of which are scientifically equally effective for depression treatments but the former was considered to be more effective by the public. The blog entries by experts and tag clouds were recommended to counter biased information processing on these entries. In (Wood, & Dellarocas, 2006), the reporting bias of the traders and its effects on the public feedback have been studied. The basic assumption dealt with here is that the traders are more likely to report or give a feedback when the experience has been positive rather than when it is negative. Hence, the lack of negative feedback doesn't necessarily mean the absence of it. In (Hu, Bose, Gao, & Liu, 2011), a simple statistical method has been developed in order to detect the online product reviews which are biased and how they affect the consumer reaction to the products. The two parameters on which review manipulation were judged were manipulation through ratings and manipulation through sentiments. The consumers were found to have detected successfully only the former.

In (Piramuthu, Kapoor, Zhou, & Mauw, 2012) sequential bias in the online product of the recommender systems are found and eliminated. In (Sikora, & Liangjun, 2014) the various methods used by traders to alter their reputation score in the online market have been studied. Here, the concept of replicator dynamics is used to study the evolution of different types of sellers and buyers in the market. In (Chen, & Lin, 2013), decision tree along with correlation analysis and extracted knowledge rules has been used to improve the detection of the online review manipulation by introducing eight review manipulation attributes. In (Hu, Bose, Gao, & Liu, 2011) the study on the increase in propensity of biasness in the book reviews increases with the passage of time has been explored. In (Cipriani, Guarino, & Antonio, 2012), the herd behavior in financial markets has been studied and eliminated using structural estimation framework.

The paper (Knight, & Chiang, 2008) investigates the media bias and the influence the media has on casting of votes during election time. The paper concludes that although newspapers do influence the opinion formation of the voters, it is limited by the degree and direction of the bias. In (Wang, Zhang, X. M., & Hann, 2010), the social bias in online product ratings has been explored. The degree of social influence was found to be greater for the books with that were popular, if the rating was from less experienced user, the rating was given at a later stage of review cycle and if the rating was given by a user with small social network.

After the literature review, we find that individual bias though mentioned in various papers has never been worked upon or researched on before. Since, individual bias is one aspect that can greatly modify the sentiment score, hence, we decided to concentrate on this topic as our area of work and present the user with an objective score.

#### III. PROPOSED METHODOLOGY

The proposed system has seven major steps which start from extraction of corpus for the formulae to be applied upon. The next step is to extract the user data which are the likes from his/her Facebook® profile and the profile URL and manage the database hence, created. This serves as input for the mathematical modeling of the system. The corpus extracted is fed to ALCHEMY API to give an unadjusted score for the corpus. Further, steps include mathematical modeling and application of the developed formulae to calculate adjusted and unadjusted score for the corpus. In the end, we present the user with an unadjusted score which is an objective score i.e. sans any individual bias. Framework of proposed approach is shown in Figure 1.

Step 1: Extraction of Movie Reviews (Sentimental Data) for Social Media

Movie reviews are collected from social media, weblogs, bloggers, social networking sites like Facebook®, Twitter etc. for further processing.

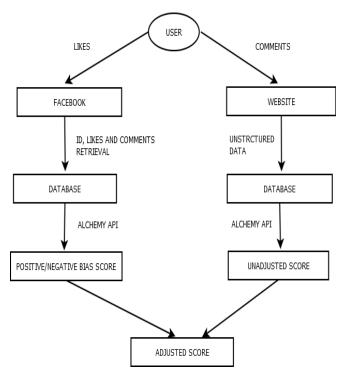
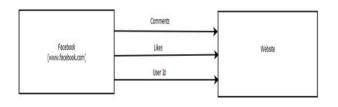


Figure 1: Framework of the proposed methodology

Step 2 : Extraction of User Data





In order to track the preferences and compute the likes and dislikes, the data related to the user i.e. the user's likes, their comments, the user ID, etc. is extracted from Facebook® in form of tokens. It is then sent to the website for further computation.

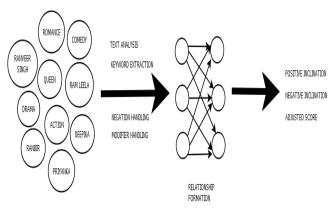
#### Step 3: Database Management

The comments, the likes, the user information and id are stored in *Phpmyadmin* database management system. The calculated sentiment score and bias score is also stored in the database. Next, unadjusted score is calculated using ALCHEMY API.

#### Step 4: Alchemy Api

The system makes use of a text analysis tool called ALCHEMY API (http://www.alchemyapi.com/). This tool provides the real-time text analysis through the method of entity and keyword extraction and provides the degree of positive and negative connotation they have.

It works on diverse document types including news articles, blog posts, product reviews, comments and Tweets.



#### Figure 3 : Alchemy API Flow

The basic idea behind this framework is that it targets unstructured data, forms relationship between the keywords and the data and gives the relevant structured result. Figure 3 showcases the working of the ALCHEMY API.

The keywords in this figure are of three types: the keywords representing Bollywood actor/actress namely Ranbir, Deepika, Priyanka, Ranveer Singh; the keywords representing Bollywood movie names namely Ramleela and Queen; the keywords representing movie genre namely Action, Comedy, Drama and Romance.

The ALCHEMY API applies multiple algorithms of text analysis, keyword extraction, negation handling and modifier handling on these keywords and gives a structured relationship between them. The final result is in the form of positive and negative bias score.

#### Step 5: Mathematical Modeling

To determine the relevant parameters and their corresponding weightage to analyze the corpus a preference survey of the varied sample of a movie audience was conducted.

Thus, the movie reviews are analyzed on two major factors namely genre and actor/director of the movie in order to determine the bias of an individual.

#### a) Genre

The genre refers to the style or category of the movie for example Drama, Romance, Action, among others.

#### b) Actor/Director

The user inclination towards or against certain actors and director in the movie can make a user biased towards the movie as well.

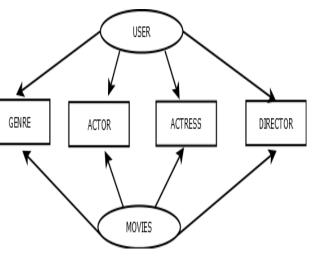


Figure 4 : Program Factors

The mathematical formulae used to calculate the positive and negative bias is given by  $\Psi$ , which represents the bias present in the data.

$$\Psi = 0.54\alpha + 0.46\beta \qquad \dots (1)$$

Here, ' $\alpha$ ' refers to the Genre Score and ' $\beta$ ' refers to the Actor/ Director Score.

The impact ratio of genre to director/actor score i.e. 54: 46 has again been inferred using the user survey sample conducted for over thousands Facebook® users. Given below is the step-by-step process for the implementation flow of the framework.

Algorithm 1: To calculate the Adjusted Sentiment Score.

i. Input

A corpus of movie review comments.

ii. Variables

An initially empty set of comments c,An initially empty set of tags t, which comprise of the three keyword types described above i.e. the keywords representing actor/actress, movie names and movie genre. An initially empty set of sentiments s.

iii. Output

Adjusted Sentiment Score A

- 1.  $C \leftarrow retrieve\_comments(c_i)$
- 2. For each  $c_i \square C$  do
- 3.  $s_i \leftarrow retrieves entiment(c_i);$
- 4.  $pos_i \leftarrow retrievepos(c_i);$
- 5.  $neg_i \leftarrow retrieveneg(c_i);$
- 6. A -adjsentscore( s, pos, neg)
- 7. return 'A'

The movie comments are collected in a set c. The index *i* refers to the fact that *i*<sup>th</sup> comment is being processed. The total number of comments is taken to be *n*. For each comment in the set the keyword extraction is done and tags are collected in another set *t*. These tags are used to get the negative inclination score. Each comment is also manipulated to extract the sentiment types which are collected in a set *s*. The variables defining the positive bias score, negative bias score and sentiment are passed on to the adjusted score function to get the composite score.

#### Step 6: Unadjusted Score Calculation

The unadjusted score gives the subjective score of the user sentiments. This score needs to be refined to get an objective adjusted score.

The unadjusted score is calculated using ALCHEMY API framework that is incorporated in the movies reviews website. This score is calculated by applying the ALCHEMY API algorithm on the user comments in the website.

The unadjusted score thus calculated is given by *S*. Here, the number of users is taken to be m, while of multiple posts by a single user a variable n is used to keep a count of comments. The score of a single user is hence represented by,

$$S = \frac{\sum_{i=1}^{n} y_i}{n} \qquad \dots (2)$$

#### Step 7: Adjusted Score Calculation

To incorporated individual bias we look at three different possible aspects. Firstly, the positive inclination or the positive bias which shows overtly promoting behavior of the source. Secondly, the negative inclination or negative bias, which shows the detractor behavior of the source. Thirdly, when there is a mixed response of both positive and negative inclination by the source.

#### iv. Alchemy Api

The ALCHEMY API is then used to evaluate the unadjusted score for the user. The bias is incorporated in the score after the implementation of the positive and negative bias algorithms.

#### IV. Conclusion

The current systems lack the ability to objectively review a product based on user comments. This is because of the inherent biasness present in their comments. We combat this biasness problem by incorporating the positive and negative bias component in the existing unadjusted sentiment score of the object using various proposed algorithms. We calculated the degree of biasness an individual has towards or against the review parameters for the movie reviews corpus namely actor, director and genre. Finally, the system functions well in various scenarios like presence of only positive inclination of the user, presence of only negative inclination of the user, presence of both positive and negative inclination of the user and neutral attitude of the user. Hence, our system computes an objective score sans any individual bias.

## V. FUTURE SCOPE

The principal contribution of our research is the implementation of individual bias in the existing sentiment analysis algorithms. This can be used in various fields like business, journalism, product development among others. The research can be implemented across different algorithms and languages too.

The future endeavor in this direction would be implementation of unexplored biases in the system like selection bias, cognitive bias, first impression bias, herd bias, etc.

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# Secure on-Line Transaction through Augmented Biometrics System

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Abstract- Internet and its facilities facilitate on-line shopping by allowing shoppers to browse the online stores and obtain their needs with minimum effort. This is not possible with familiar traditional system of buying and selling. This advantage offered by the internet is restricted by issue arising from on-line security and payment systems. Although research has been conducted and several approaches have been devised to reduce this restriction but there is need for further improvement. As a result, this research work proposes a new solution that combines biometrics technology (Finger Print) together with (password) to provide secure on line transaction through multiple factors security solution. It makes, verifying process and verification for shopper's identity more secured by recognize individual based on measurable biological characteristics (Fingerprint) and provision of a link to identify the authorized user, this minimizes frauds. This addresses and reduced the security problems that are associated with existing on line transaction and e-payments. The design was implemented using Visual Basic.Net and SQL because of their supports for implementing web-based security systems. Samples of (130) on line shoppers were used for this research work to capture fingerprints from index and thumb fingers of left and right hands, also the attitudes of the customers in terms of password selection and management.

Keywords: password, security, e-payment, fingerprint, biometric technology, on line transaction and shopper.

GJCST-G Classification: K.4.4



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# Secure on-Line Transaction through Augmented Biometrics System

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Abstract- Internet and its facilities facilitate on-line shopping by allowing shoppers to browse the online stores and obtain their needs with minimum effort. This is not possible with familiar traditional system of buying and selling. This advantage offered by the internet is restricted by issue arising from on-line security and payment systems. Although research has been conducted and several approaches have been devised to reduce this restriction but there is need for further improvement. As a result, this research work proposes a new solution that combines biometrics technology (Finger Print) together with (password) to provide secure on line transaction through multiple factors security solution. It makes, verifying process and verification for shopper's identity more secured by recognize individual based on measurable biological characteristics (Fingerprint) and provision of a link to identify the authorized user, this minimizes frauds. This addresses and reduced the security problems that are associated with existing on line transaction and e-payments. The design was implemented using Visual Basic.Net and SQL because of their supports for implementing web-based security systems. Samples of (130) on line shoppers were used for this research work to capture fingerprints from index and thumb fingers of left and right hands, also the attitudes of the customers in terms of password selection and management. The empirical results reveal above 80% password management practices, more than 85% for fingerprint recognition rate and 96.30% participation. The combined strengths of this scheme present on line shoppers a secure and usable authentication scheme. Although on line shopping is used in this research work, it can be adapt to perform airline ticket booking: do financial deals like pay bills via internet banking and online brokering to buy shares.

Keywords: password, security, e-payment, fingerprint, biometric technology, on line transaction and shopper.

#### I. INTRODUCTION

Modern software application computer programs enable ones to carry out on-line transaction irrespective of location and time, however, the issue arising from Security and payment systems like "the use of spyware and virus that allows usernames and passwords to be stolen for unauthorized access are impeding the adoption of these online applications especially those involving sensitive data like financial transactions" (Stavrou et al, 2002).

According to (Chandra and Calderon, 2005; Költzsch, 2008), "extra security measures are needed in order to protect consumers from on-line fraud and Biometric technology is increasingly being seen as a potential solution that will adequate address this problem", also (Jain et al, 2000 and Gunajit and Pranav, 2010) point out that "Biometrics provide very powerful tools for the problems requiring positive identification and provide enabling technology that have potential to make our society safer, reduce fraud and lead to user convenience".

Compared to other security measures, application of biometric technology may provide a better method to curb on line fraud, since it uses certain physical and behavioral traits that are distinctive to an individual to identify and verify the person through authentication; other forms of authentication methods have presented problems of improper authentication to users, for adequate on-line data protection and authentication, there is need to offer improved solution through biometric system (Shouvik et at. 2012, P.4, Okediran O. O., et al 2014, P. 2).

According to (Selina and Oruh, 2012), "Institutions offering Internet-based products and services to their customers should use effective methods to authenticate the identity of customers using those products and services", also (Amtul, 2011) affirmed that "fingerprint technology in particular, can provide a much more accurate and reliable user authentication method". This research work has detailed the development of a biometric identification scheme something you have (fingerprint) combined with something you know (password) for electronic payment. The combined strengths of these scheme present computer users a secure and usable authentication scheme, that reduces fraudulent practices in the payment of on line transaction payment and provides better solution.

### II. Review of Existing on Line Payment Methods

#### a) Online cash systems

Online cash systems such as Virtual BBVA in Spain and PAY offered by SNAP in Italy, Austria and Australia have been designed and implemented. The wider usage of these on-line cash payment system is limited because of inability to secure on-line payments and transaction process over the internet making user inconvenience.

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#### b) Debit cards, otherwise called ATM cards

Debit cards, otherwise called ATM cards are still the most common e-banking product used by most Nigerians. It is of great importance for all economic agents, since it enables fast and efficient payments in the national economy as well as internationally. The ability to complete payments with confidence is critical to the efficient functioning of the on-line electronic transaction and this efficiency has not been fully achieved due to existence of the various forms of crimes such as fraud and identity theft problems that are affecting on-line payment. Figure 1 shows ATM card.





#### c) Online Credit Card Payment System

According to (Laudon and Traver, 2002),"This payment system has been widely accepted by consumers and merchants throughout the world, and the most popular methods of payments especially in the retail markets". It offers several advantages over the traditional modes of payment; the consumers and merchants still faced challenges of third party involvement. Figure 2 shows Credit Card Payment Form.

Credit Card Type:	Fraud Protection Guaranteed Click Here	
Expiration date:		
Card number Card holder's name card): Full billing address card: Your email address Comment/Descript	of credit	ervice you are ordering, the domain or
username this infor up speed and assist		oplied to, or further information to help
Do you authorize u below (type in you particular company) or services rendered future services you hereby state that you	CHARGE AUT is to charge your creat r name if submitting to use the above creat d (which includes set request) until such to bu have the legal aut	THORIZATION: <i>dit card:</i> By clicking "Yes" or signing ; online) you hereby authorize (any dit card to bill you for products ordered tup fees, normal monthly fees and any time as you cancel such services, and you hority to use this credit card: GNATURE.

Figure 2 : Credit Card Payment Form Sample

#### d) Electronic Cheque Payment System

Digital cheque payment system seeks to extend the functionality of existing chequing accounts for use as online shopping payment tools. Electronic cheque system has many advantages: (1) they do not require consumers to reveal account information to other individuals when setting an auction (2) they do not require consumers to continually send sensitive financial information over the web (3) they are less expensive than credit cards and (4) they are much faster than paper based traditional cheque. The disadvantage of electronic cheque system includes their relatively high fixed costs, their limited use only in virtual world and the fact that they cannot protect the users' anonymity. Therefore, it is not very suitable for the retail transactions by consumers.

#### e) Smart Cards based Electronic Payment System

(Chakrabarti et al K, 2002) described Smart Cards based Electronic Payment System as "Plastic card that contained memory chips or embedded microprocessors of greater storage and inbuilt transaction processing capability", Smart cards are better protected from misuse than conventional credit cards, because the smart card information is encrypted. Currently, the two smart cards based electronic payment system- Mondex36 and Visa Cash are incompatible in the smart cards and card reader specification. Not knowing which smart card system will become market leader; banks around the world are unwilling to adopt either system, let alone other smart card system. Therefore, establishing a standard smart card system, or making different system interoperable with one another is critical success factors for smart card based payment system.

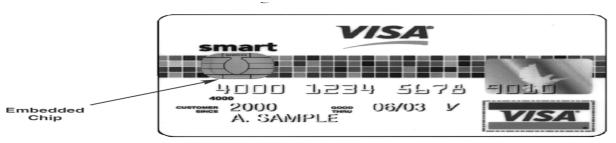


Figure 3 : Smart Card based Electronic Payment System

(Sumanjeet , 2009), reported that "Users tend not to trust existing systems due to the long history of fraud, misuse or low reliability, that resulted to non positive reputation, also potential customers often mention this risk as the key reason why they do not trust this payment system", therefore, they do not make Internet purchases with it.

#### Acquiring Domain

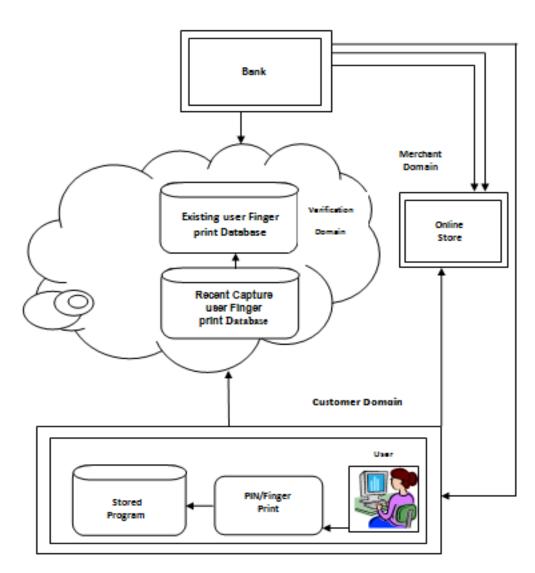


Figure 4 : Proposed Model Architecture of Secure On-Line Transaction Augmented Biometrics System

#### III. DESCRIPTION OF THE PROPOSED SYSTEM

The proposed model gives the flexibility to perform any online payment or transaction, the model is based on, three-tier security comprising the Password, provided link and finger print. The architecture aims to, makes, online payment or transaction verifying process and verification for shopper's identity more secured as much as possible. The user will be presented with a registration page for first time of use, after his registration, he will be required to scan his finger print, which will then be submitted into the fingerprint database through provided link. For subsequently use the consumer, log into his system (PC/Laptop/Phone) using (PINS), then do fingerprint using fingerprint device and send the captured information via a provided link, he browses, the online stores, when he is ready to pay for his shopping, the on line-store contacts his bank and the bank, compared his captured fingerprint to a compact and expressive digital representation of the user fingerprints already stored as a template on a cloud database. If a match is found and the user has enough fund is granted to carry out the on line transaction payment, deduction is made from the consumer's account, otherwise, the payment is denied, which ever case an applicable information is send to the consumer and the on line store to respond as appropriate.

#### IV. Implementation and Results

Hypertext markup language was employed in the Microsoft visual studio integrated development environment. The overall system was developed on the Microsoft.NET framework using Visual Studio.NET (visual C#) and MS SQL Server 2008. Samples of (130) on line shoppers were used for this research work to capture fingerprints from index and thumb fingers of left and right hands, also the attitudes of the customers in terms of password selection and management. Some of the graphical user interface of the developed system is depicted in Figures 2 - 5. The empirical results reveal more than 80% password management practices and above 85% of fingerprint recognition rate. The combined strengths of this scheme present on line shoppers a secure and usable authentication scheme.

	Welcome, biigi
	User's Particulars Social Security Number 1234567 Name biigi Company hhh Contact Number ssss Email samuelseggs@yahoo.com
Sensor: DPOTCF2725. Event: Fing Sensor: DPOTCF2725. Event: Fing Sensor: DPOTCF2725. Event: Fing Sensor: DPOTCF2725. Event: Ima Template extracted successfully. H Fingerprint identified. ID = 78. Scon Sensor: DPOTCF2725. Event: Fing	ler removed. Jer Placed. ge captured. igh quality. e = 102.

Figure 4 : Shopper information interface

Serial	Name	Price	Qty	Amount	Options
1	View Sonic LCD	\$ 250	1	\$ 250	Remove
Order Total: \$250		C	Clear Cart	Update Cart	Place Order

Figure 5 : Shopping Cart information interface

Hand Tools Accessories Workwear	exerc	Contraction ullamoo
Spare Parts Power Tools	В	Billing Info
Air Tools	Order Tota	tal: 0
Hand Tools	Card pin:	n: 1234567
Accessories	Your Nam	ne: biigi
Workwear	Address	s: hhh
Spare Parts	Email:	
Special Products Makita 156 MX-VL	Email: Phone:	
777		Place Order
<del>3505</del> 270\$		
Newsletter		
your email		~



hpMyAdmir	7 Let locario	a • U 9	ipshop 🖡 🔜	ousiomers								
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<u>☆ 최 ⇒ 9 </u> € ¢		rows 0 - 7	( 8 total, Que	ry took 0.0232	sec)							
phpshop	•											
customers	SELECT FROM 'C											
orders	LIMIT 0											
order_detail										Profiling	inline] [ Edit ]	I Explain S0
										Profiling [	inline] [ Edit ]	Explain St
products	Show :	30	row(s) start	ing from row #	0	in	horizontal		• mode an	d repeat headers a		cets
products	Show : Sort by key:		row(s) start	ing from row #	0	in	horizontal		mode an			-
products			row(s) start	•	0 rtal (		horizontal	email	mode an address	d repeat headers a		-
products	Sort by key: + Options ← T →	None	row(s) start	•	rial				address	d repeat headers a		-
products	Sort by key: + Options ← T → □ 2 Edt	None		• Se Delete	rial (	pin	same	email	address SSSSSS	d repeat headers a		-
order_detail products	Sort by key: + Options + T→ 0 2 Edit	None	Edit ﷺ Copy	• se • Delete • Delete	rial 1 2	pin 1234567 1234567	name samuel	email samuelseggs samuelseggs	address 555555 555555	d repeat headers a phone 12345678		-

Figure 7 : Validation of Payment Account Interface

#### V. Evaluation of The Secure Augmented Biometrics System

#### a) Research Design

The descriptive survey design was adopted which involved the collection of primary and cross sectional data through the use of a structured questionnaire. A preliminary study visit was made to a community-Based ICT Centre Ewekoro Abeokuta Nigeria in April 2015 to find out about the feasibility of the study. The sample frame for this study comprised exhaustive list of the ICT Centre units. The purposive sampling method was adopted in selecting the respondents so as to ensure that selected individuals were those that had adequate knowledge of online shopping.

#### b) Instrument for Data Collection

Data were collected with a structured questionnaire designed in a four point Like scale, comprised four sections: Section A elicited information about units in the ICT Centre. Section B asked questions about ICT resources available in each Unit. While section C sought to ascertain the stage of ICT Global Standard in the Centre. Section D, the last section, contained questions that enquired about the challenges encountered by the units in the ICT online transaction adoption and implementation processes. The instrument was validated through face and content validity. It was subjected to thorough scrutiny by three experts in Biometric System research and two others in the field of password selection and management. Modifications were made on the instrument based on their assessments. Copies of the questionnaire were distributed to the respondents by the researchers who had initially sought the permission of the Director of the ICT Centre. A total of 135 copies of the questionnaire were distributed but 130 copies were completed and returned. This constituted 96.30% and was used for data analyses.

#### c) Data Analysis

The Statistical Package for Social Science (SPSS) software was used to carry out the analysis. The variables used to assess the Secure On-Line Transaction Augmented Biometrics System using 4point like scale was re-coded. Strongly Agree and Agree were re-coded as high while disagree and strongly Disagree were re-coded as Low. Next, a frequency distribution table was generated for all the variables.

		PASSWORD SELECTION		PASSWORD MANAGEMENT		FINGERPRINT LEFT( index and thumb)		FINGERPRINT RIGHT( index and thumb)		OPERATIONAL EFFICIENCY	
		Freq.	%	Freq	%	Freq	%	Freq	%	Freq.	%
Valid	Low	20	15.38	23	17.69	16	12.31	15	11.54	18	13.85
	High	110	84.62	107	82.31	114	87.69	115	88.46	112	86.15
	Total	130	100	130	100	130	100	130	100	130	100

Table 1 : Distribution of variables concerns the Secure Augmented Biometrics System

The distribution of variables as relates to the Secure Augmented Biometrics System is as presented in table 1.The Password selection and Password management are more than 80%, this may due to initial training giving to the user concerned password protection. While Finger Print (Index and Thumb) recorded more than 85 % .Operational efficiency is more than 85% this is owed to ease of use that make user to easily acquired sufficient knowledge and skills on the use of the system and can result to increase in number of on-line transaction. A total of 135 copies of the questionnaire were distributed to Participants but 130 copies were completed and returned. The system shows 130/135 (96.30%) participation. From the evaluation, we can conclude that the Secure Augmented Biometrics System is highly efficient, effective and satisfactory to the target users (On-line shoppers).

#### VI. DISCUSSION AND RECOMMENDATION

Internet shopping, unlike traditional retailing systems shoppers browse the online stores and obtain their needs with minimum effort. Internet shopping has been one of the mostly used facilities of the Internet. Security in online shopping and payment systems has been a wide research area since the early days of the Internet and several approaches have been devised. This research work proposes a new solution that combines password with finger print recognition. The Password selection and Password management are more than 80%, this may due to initial training giving to the user concerned password protection. While Finger Print (Index and Thumb) recorded more than 85 % .Operational efficiency is more than 85% this is due to ease of use that makes user to easily gained sufficient knowledge and skills on the use of the system this will increase on line shopping and mobile payments for goods and services through online transaction when the system is fully adopted. The system shows 130/135 (96.30%) participation. From the evaluation, we can conclude that the Secure Augmented Biometrics System is highly efficient, effective and satisfactory to the target users (On-line shoppers). It makes, verifying process and verification for consumer identity more secured by recognize individual based on measurable biological characteristics (Fingerprint) and provision of a link to identify the authorized user, this minimizes frauds. The result of this research work has demonstrated that finger print uniqueness provides adequate authentication. In this work, will combine text based password and

biometrics (finger Print), the combined strengths of these scheme present on line shoppers a secure and usable authentication scheme. Although the on line shopping was used in this research work, it can be apply to perform airline ticket booking; do financial deals like pay bills via internet banking and online brokering to buy shares.

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## Multi-Modal Biometrics: Applications, Strategies and Operations

## By Iwasokun G. B., Udoh S. S & Akinyokun O. K

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Abstract- The need for adequate attention to security of lives and properties cannot be over-emphasised. Existing approaches to security management by various agencies and sectors have focused on the use of possession (card, token) and knowledge (password, username)-based strategies which are susceptible to forgetfulness, damage, loss, theft, forgery and other activities of fraudsters. The surest and most appropriate strategy for handling these challenges is the use of naturally endowed biometrics, which are the human physiological and behavioural characteristics. This paper presents an overview of the use of biometrics for human verification and identification. The applications, methodologies, operations, integration, fusion and strategies for multi-modal biometric systems that give more secured and reliable human identity management is also presented.

Keywords: biometrics, human identity management, human verification and authentication, security, multi-modal.

GJCST-G Classification: D.4.2 F.4.3



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# Multi-Modal Biometrics: Applications, Strategies and Operations

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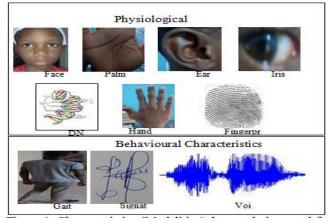
Abstract- The need for adequate attention to security of lives and properties cannot be over-emphasised. Existing approaches to security management by various agencies and sectors have focused on the use of possession (card, token) and knowledge (password, username)-based strategies which are susceptible to forgetfulness, damage, loss, theft, forgery and other activities of fraudsters. The surest and most appropriate strategy for handling these challenges is the use of naturally endowed biometrics, which are the human physiological and behavioural characteristics. This paper presents an overview of the use of biometrics for human and identification. The applications, verification methodologies, operations, integration, fusion and strategies for multi-modal biometric systems that give more secured and reliable human identity management is also presented.

*Keywords:* biometrics, human identity management, human verification and authentication, security, multi-modal.

#### I. INTRODUCTION

iometrics refers to human characteristics and traits related metrics [1]. They are the distinctive, measurable and naturallv endowed characteristics used to label and describe individuals. Any of the human physiological or behavioural characteristics is a biometric provided it satisfies some criteria that include universality, uniqueness, permanence, collectability, performance, acceptability and circumvention [2, 3]. Universality implies that every individual should possess the characteristic while uniqueness means that no two persons should be the same in terms of the characteristics. Permanence denotes that the characteristics should be invariant with time. By collectability, quantitative measurement of the characteristic must be possible and with ease while performance refers to achievable identification/ verification accuracy with different working or environmental conditions. Acceptability indicates the extent to which people are willing to accept the characteristic while circumvention refers to how difficult it is for fraudulent techniques to fool a system that is based on the characteristic. The relative comparison of the performance of the existing biometric characteristics based on these criteria is presented in Table 1 [4].

Physiological characteristics (shown in Figure 1) are related to the shape of the body and incluttern Recognitionde fingerprint, palm prints, face, deoxyribonucleic acid (DNA), hand geometry, iris recognition. retina and odor/scent. Behavioural characteristics (also shown in Figure 1) include handwriting (typing rhythm), signature, gait and voice which are all related to the pattern of behaviour of a person. The traditional human identity management methods which include possession (such as identity and smart cards) and knowledge (such as Personal Identification Number (PIN) and password) based human identification schemes suffer various limitations including theft, forgery, unauthorized access and forgetfulness. Several private and public organizations often consider strenathening their knowledge-based security systems using longer and dynamic (changing) passwords. which often reauires individuals documenting their passwords in unsecured manners. The compromise of a re-used password on different systems may lead to theft, privacy intrusion and other consequences [5]. Biometric-based human identity management systems have emerged as reliable, secure and dependable solutions to these limitations and have been deployed in numerous government and private applications [6]. The high confidence and success levels recorded for biometric-based systems have been attributed to some advantages that biometrics maintain over other methods. The advantages include strict and direct covert observation of biometric information, nonsharability, not-transferable and regeneration within short period when damaged or mutilated. In addition, biometrics-based systems are very easy to use, very friendly and repudiation-proof [7].



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Characteristics	A	В	С	D	E	F	G
Face	Н	L	М	Н	L	Н	L
Fingerprint	М	Н	Н	М	Н	М	H
Hand Geometry	М	М	М	Н	М	М	М
Keystroke Dynamics	L	L	L	М	L	М	М
Hand veins	М	М	М	М	М	М	Н
Iris	Н	Н	Н	М	Н	L	Н
Retina	Н	Н	М	L	Н	L	Н
Signature	L	L	L	Н	L	Н	L
Voice	М	L	L	М	L	Н	L
Facial thermogram	Н	Н	L	Н	М	Н	Н
DNA	Н	Н	Н	L	Н	L	L

*Table 1:* Comparison of various biometric characteristics (A=Universality, B=Uniqueness, C=Permanence, D=Collectability, E=Performance, F=Acceptability, G=Circumvention, H=High, M=Medium, L=Low)

A biometric system that is based on a single characteristic is called a uni-modal system while multimodal biometric systems rely on multiple characteristics to function. Uni-modal biometric systems rely on the evidence of a single source of information for human authentication and they are susceptible to the following limitations [8-13]:

- (a) Noisy data from sensors: this often leads to inaccurate matching and ultimately, false rejection.
- (b) High intra-class variation: This results from variation between the acquired and template biometric data during verification. Large intra-class variations ultimately increase the False Rejection Rate (FRR).
- (c) High interclass similarities: This arises from substantial similarity or correspondence between the feature characteristics of biometrics from multiple sources (individuals). It ultimately increases the False Acceptance Rate (FAR).
- d) Non-universality: Due to illness or disabilities, some individuals may lack the required standalone biometrics.
- (e) Non-individuality: This may be genetically induced for a small proportion of the population leading to very identical biometric characteristics (such as facial appearance) as may be observed for mother and daughter, father and son and identical twins. It impacted negatively on a biometric system by increasing its False Match Rate (FMR).
- (f) Non-invariant representation: This is an intra-class variation arising from varied interactions of the user with the sensor. It may be due to angular, translational, pressure, pose and expression variations when a characteristic is repeatedly captured on a sensor. Other sources include the use of different sensors during enrolment and verification, changes in the ambient environment conditions and the inherent changes arising from wrinkles or scars in the biometric trait. These variations usually increase the False Non-Match Rate (FNMR) of a biometric system.

(g) Spoofing: Some biometric systems (especially those based on facial images) can be imitated or forged.

Multi-modal approach to human authentication and verification has been considered as the most reliable method for the elimination of these limitations. Multi-modal biometric systems integrate two or more types of biometric characteristics for consolidation and meeting stringent performance requirements. Most importantly, it is extremely difficult for an intruder to spool multiple biometric traits simultaneously [5, 11]. This paper presents the motivations, strategies and limitations of fingerprint, voice, iris and other biometrics modes for human identity management. Synopses of the integration techniques, fusion levels and scenarios, modes of operations and evaluation strategies of multimodal systems are also presented.

#### II. UNIMODAL BIOMETRIC SYSTEMS

A uni-modal biometric system comprises of any of the biometrics shown in Figure 1 and contains five integrated components conceptualized in Figure 2 [12, 14]. The enrolment component is a sensor that acquires the biometric data and converts into a digital format. The image-processing unit uses specified algorithms to enhance the image and extracts meaningful feature set to form a biometric template. The biometric database is a repository of the extracted templates, which are necessary data for future reference from several images. The matching unit is responsible for performing algorithm-based comparison of a reference biometric image with the template image in the database and generate a matching score. The decision component uses the results from the matching component to make a system-level decision.

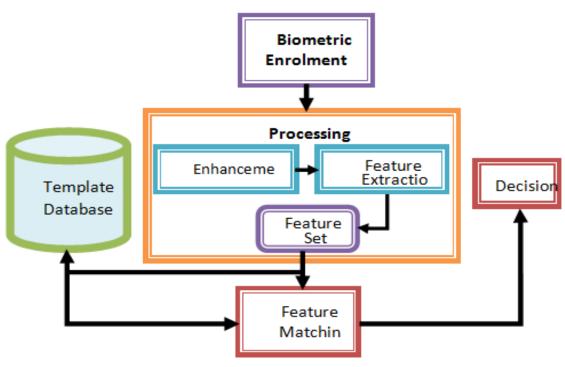
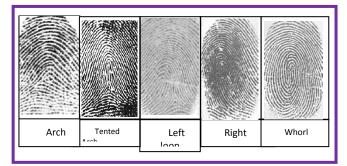


Figure 2 : Integrated components of unimodal biometric system

#### a) Fingerprint Verification System

Fingerprint is an impression that is formed through deposit of minute ridges and valleys when a finger touches a surface. Facts exist that the ridges and valleys do not change for lifetime no matter what happens and in a case of injury or mutilation, they reappear within a short period. The five commonly found fingerprint ridge patterns are arch, tented arch, left loop, right loop and whorl (Figure 3) [15, 16]. The uniqueness of friction ridges implies that no two fingers or palm prints are exactly alike [17]. Fingerprint identification involves making a comparison between two or more fingerprints to determine if they originated from the same finger under some threshold scoring rules.



#### Figure 3 : Types of fingerprints patterns

Fingerprint enrolment could be performed based on ink and live scan devices. Fingerprint enrolment via inked cards, till the mid 1990's, was the only means of acquiring the thumbprint of an individual and was primarily used by law enforcement agencies. Human verification based on fingerprint was then carried

out electronically by extracting the fingerprint patterns after scanning the inked image with high-resolution page scanners. In recent years, the need for fast and reliable fingerprint verification systems has necessitated the shift from the ink card method to live scan devices. which are categorized into optical sensors [18, 19], electrical sensors [18-20] and ultrasonic sensors [18, 21, 22]. Fingerprint image enhancement is performed to remove the enrolment attracted noise and it requires a number of processes including normalization, orientation seamentation. ridae and frequency estimation, filtering, binarization and thinning. Several algorithms had been proposed in [20, 23-27] for these processes. Existing fingerprint feature extraction algorithms include Crossing Number [19, 27-30], Adaptive Flow Orientation [31], Orientation Maps [32], Gabor Filter [33], Mathematical Morphology [34] and Minutiae Maps and Orientation Collinearity [35]. Others are Poincare Index [36-39], Curvature [40] and Multi-Resolution [41]. Several studies on fingerprint matching have produced several algorithms that are correlation, minutiae and ridge feature-based [42-50]. Fingerprint matching algorithms were also proposed in [51-53] on the basis of Delaunay triangulation (DT) computational geometry.

The matching of two minutiae sets based on these algorithms is usually posed as a point pattern matching problem and the similarity between them is proportional to the number of matching minutiae pairs. Although the minutiae pattern of each finger is quite unique, contaminants and distortion during the acquisition and errors in the minutia extraction process result in a number of missing and spurious minutiae.

Due to difficulty in obtaining minutiae points from poor quality fingerprint images, other ridge features like the orientation and the frequency of ridges, ridge shape and texture information have formed the bedrock for several fingerprint matching algorithms. However, several of these methods suffer from low identification capability. In correlation-based fingerprint matching, the template and query fingerprint images are spatially correlated to estimate the degree of similarity between them. If the rotation and displacement of the query with respect to the template are not known, then the correlation must be computed all possible rotations over and displacements, which is computationally very expensive. Furthermore, the presence of non-linear distortion and noise significantly reduce the global correlation value between two impressions of the same finger. To overcome these problems, correlation is locally done around the high curvature, minutia information and other interesting regions of the fingerprint image. One main shortcoming for fingerprint identification systems is that the presence of small injuries and burns may cause disproportionate results due to presence of false minutiae points. In fact, injury, whether temporary or permanent, can interfere with the scanning process. For example, bandaging a finger for a short period of time can impact the fingerprint scanning process. Ordinarily, a burn to the identifying finger could make the fingerprint identification process fail [54-55] while daily work can also affect or sometimes damage some of fingerprint ridges.

#### b) Voice/Speaker Recognition

Voice is a combination of physiological and behavioural biometrics [2, 56, 57] and it is the natural means of communication for human beings. While speech recognition is concerned with the interpretation of what the speaker says, speaker recognition focuses on verifying the speaker's identity [58]. The two are based on the analysis of the vibrations created in the human vocal tract which is unique in shape, larynx, size and so on and also determines the resonance of the voice across individuals. A voice recognition system uses a microphone to record the voice, which is digitised for authentication. The speech can be acquired from the user enunciating a known text (text dependent) or speaking (text independent) [4]. A text-dependent voice recognition system is based on the utterance of a fixed predetermined phrase while text-independent voice recognition system recognizes the speaker independent of what is said. A text-independent system is more difficult to design than a text-dependent system but offers more protection against fraud [57]. The first task of an Automatic Voice/ Speaker Recognition system is the collection of speech samples that contain the discriminating features and their vectors from the speakers. Features are then extracted from collected speech samples base on any of the existing voice

feature extraction methods which include Spectral Centrod, Spectral Roll Off, Spectral Flux and Mel Frequency Cepstral Coefficient (MFCC). The extracted features are then trained to extract feature vectors from the speech signals of several speakers and building the MFCC vectors, which is a small codebook that represents all the vectors in the minimum mean square sense. The spectral distance between testing utterance feature and code vectors obtained during training is then determined and the utterance is classified to its nearest speaker [59-61].

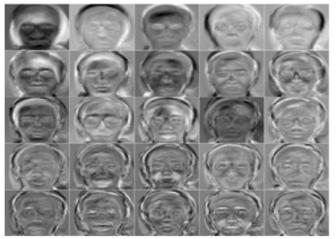
Voice/speaker recognitions have been used in variety of assistive contexts, including home computers and various mobile, public and private telephone services [11]. This is attributed to non-use of specific grammar and language independent natures; hence allowing callers to speak a particular phrase in any language of choice [62]. In addition, voice needs inexpensive equipment for capturing and can be deployed with ease for applications where other biometric modes experience difficulties [63]. Despite having lots of potentials and its growing popularity, voice/speaker recognition technologies are still not easily employed for individuals (such as older adults) with speech or communication disorders [64]. Human emotion is so unstable that accurate simulation or recognition of voice at different emotional states is highly impractical [65]. Furthermore, human voice is generated through a complex process of interactions among several body parts, especially the lungs, larynx and mouth and a temporarily or permanent damage to any of these body parts can lead to a voice disorder with significant effect on the identification process. The possibility of hacking into a system using a tape recording is another problem [10].

#### c) Iris Recognition

The iris begins to form in the third month of gestation with patterns that depend on the initial environment of the embryo. It is unchangeable after the age of two or three and highly distinct among individuals, hence making it a unique feature. The iris is isolated and protected from external environment and it impossible to surgically modify it without is unacceptable risk to vision [55]. It appears as a circular diaphragm located between cornea and lens of the human eye and controls the amount of light entering through the pupil. The average diameter of iris is 12 mm and pupil size can be 10% to 80% of the diameter [11, 66, 67]. Iris recognition identifies a person by analyzing the "unique" random and visible patterns within the iris of an eye to form an iris code that is compared to iris templates in a database. Its often involves the process of image acquisition (which involves capturing of highquality iris image while remaining non-invasive to the human operator), iris localization (which involves the detection of the edges and pupil of the iris) and normalization of the size of the iris region. Normalization is for ensuring consistency between eye images despite the stretching of the iris induced by the pupil's dilation. It also involves unwrapping of the normalized iris region into a rectangular region, extraction of discrimination features in the iris pattern, so that a comparison between templates can be done and encoding of iris features using wavelets to construct the iris code to which input templates are compared during matching [68, 69]. Challenges that are currently facing iris recognition include growing difficulty for distance larger than a few meters and it requires absolute cooperation from the individual to be identified [55]. It is also susceptible to low performance for poor quality images [70].

#### d) Face Recognition

Sometimes, faces are used in un-attended authentication applications, which are developed for human recognition by several organizations including universities, government and private agencies such as banks. Many of these organizations have facial images stored in large databases making many commercial and law-enforcement applications feasible given a reliable facial recognition system. Success in computing capability over the past few years have facilitated the development of several face-based recognition systems with simple geometric models or sophisticated mathematical representations and matching processes [55, 71, 72]. Face recognition systems detect patterns, shapes, and shadows in the face, perform feature extraction and recognition of facial identity. In the broader view, it encompasses all types of facial processing such as tracking, detection, analysis and synthesis. Existing techniques for face recognition include eigenfaces (Figure 4) and fisher-faces, which use the image of the whole face as raw input and are based on principal component analysis with higherorder statistics. Other techniques depend on extracting and matching certain features from the face, such the mouth and eyes. Some other approaches use data from the whole face as well as specific features to carry out



*Figure 4:* Images generated by Eigenfaces approach [55]

the recognition [2, 73]. While face recognition is nonintrusive, and may experience high performance and user acceptance in controlled environments, robust face recognition in non-ideal situations continues to pose challenges [74, 75]. Facial images of a person can be collected with little cooperation and may perform with very high error rates when deployed in the real world, especially for long-range recognition [55]. Facial recognition systems may also underperform when identifying the same person with different illuminations, smiling, makeup, occlusion, pose, gestures, age, and accessories (moustache, glasses) conditions [2, 11].

#### e) Gait Recognition

Gait analysis focuses on the systematic study of animal locomotion, more specifically, the study of human motion, augmented by instrumentation for measuring body, its mechanic and the activity of its muscles [76]. The gait of a person can be extracted without the user knowing they are being analysed and without any cooperation from the user in the information gathering stage. It can be captured at a distance, does not require high quality images and it is difficult to disquise [77]. Gait analysis is used to assess, plan, and treat individuals with conditions affecting their ability to walk while gait recognition is the process of identifying individuals based on their walking characteristics and it encompasses quantification and interpretation. Quantification is concerned with the introduction and analysis of measurable parameters of gaits while interpretation involves drawing various conclusions about health, age, size, weight, speed, and so on from gait pattern. Gait recognition involves the capturing of human walking image, pre-processing of the raw image, extraction of gait features (main leg angle and frame) and feature recognition. Existing feature extraction techniques include Hidden Markov Model (HMM) and an Exemplar-based HMM [78], Radon transform with Linear Discriminant Analysis (LDA) [79], Support Vector Machine (SVM) [80], Principal Components Analysis (PCA) and Maximization of Mutual Information (MMI) [81]. The block diagram for gait recognition system is presented in Figure 5.

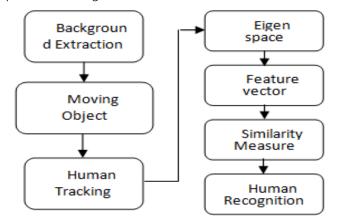


Figure 5: Block diagram for a gait recognition system

Recent gait recognition approach involves having a physical device, such as an accelerometer, attached to one's physical body to collect data about one's gait. The new sensor-based approaches, however, give up gait's potential to identify from a distance [82]. Difficulty in deliberately copying someone else's way of walking remains one of the strong motivations for gait recognition [64]. However, being a biometric, an individual's gait will be affected by certain factors including drugs and alcohol (which affect the way in which a person walks) and physical changes such as pregnancy, accident, disease and severe weight gain or loss. It is also affected by mood and clothing [74]. In addition, gait recognition is still in its infancy and has not face severe or thorough tests, especially for potential attacks [83].

#### f) Signature Recognition

A signature is the dynamics of a person's handwritten and comprises of special characters and flourishes, which in several cases, make them unreadable. Intra-personal variations and differences make the analysis of signatures as complete images rather than letters and words important and unique. This also accounts for the wide acceptance of signatures by government, legal, and commercial transactions as a method of verification [75]. Signature recognition technology consists primarily of interconnection of a pen, specialized writing tablet and local or central computer for template processing and verification. In the enrolment process, an individual is requested to sign his or her name several times on the tablet. The robustness of the enrolment template is a direct function of the quality of the writing tablet that is utilized. A high guality writing tablet will capture all the behavioural variables (timing, pressure, and speed) of the signature, whereas a lower end writing tablet may not. The constraints faced in signature acquisition include the clause that signature cannot be too long or too short. Too long signature causes too much behavioural data which results in difficulty in identifying consistent and unique data points while too short signature experiences shortage of data that increases the rate of false acceptance. Furthermore, same type of environment and conditions (standing, sitting, arm position, etc) is needed for the completion of the enrolment and verification processes. The extraction of the unique features such as the time and speed utilized for signing, the pressure applied from the pen to the writing tablet, the overall size of the signature and the quantity and the various directions of the strokes in the signature proceeds the enrolment phase. The biggest advantage that signature recognition offers is its very high resistance to imposters. Although, a wide range of signatures can be forged, it is still very difficult to "mimic" the behavioural patterns associated when signing. Compared to other biometric technologies, signature recognition is non-invasive and as a result,

experiences high acceptance rate with no privacy rights issues. More importantly, the dynamics of signature can be changed during cases of hacking or stolen templates. In terms of weaknesses, a person's signature changes with time and is highly affected by the physical and emotional conditions of the signatories. More importantly, successive signatures by the same person can show significant differences resulting in increased error rates [2, 55].

#### g) Hand Geometry Recognition

Hand geometry of individuals is based on the shape of their hands and it is a stable biometric whose physical characteristics are not susceptible to major biological changes (except for conditions of arthritis, swelling, or deep cuts). Hand geometry recognition has been among the oldest and has established itself as a viable technology. During a hand geometry-based recognition, the subject's hand is placed onto a platen which then captures the ridges (black images) and valleys (white images) of the top and sides of the hand. Moderately unique features which include the finger thickness, length and width, the distances between finger joints, the hand's overall bone structure and so on are located in the structure of the images. Hand geometry recognition is often seen as one of the easiest to use, administer and environmental friendly biometric technologies. It is the least susceptible to privacy rights issues primarily because of its simple enrolment and verification procedures. Hand geometry is not distinctive, especially when applied to a large population. Thus, it is most suitable for purposes of verification rather than identification. Hand geometry may not be an ideal biometric to use for a population, which includes children whose hand-geometry template may vary during their growth period [84]. In addition, most hand-geometry systems perform with procedures that restrict the positional freedom of the hand [55, 85].

#### h) Palm Print Recognition

Just like fingerprint recognition, palm print technology uses the information presented in a friction ridge impression for human identification. This information combines ridge flow, ridge characteristics, and ridge structure of the raised portion of the epidermis. The data represented by these friction ridge impressions allows a determination that corresponding areas of friction ridge impressions either originated from the same source or could not have been made from the same source. The uniqueness and high permanence levels of fingerprint and palm print have been used as a trusted form of identification. However, palm recognition has been a slower automated system due to limitations in computing capabilities and live-scan technologies. Palm identification, just like fingerprint identification, is based on the aggregate information presented in a friction ridge impression. A palm recognition system is designed to interpret the flow of the overall ridges to

assign a classification and then extract the minutiae detail as a subset of the total amount of information obtained from a coordinated search of a large repository of palm prints. Minutiae information includes the flow of the friction ridges, the presence or absence of features along the individual ridge paths and their sequences as well as the intricate detail of a single ridge. Minutiae are limited to location, direction and orientation of the ridge endings and bifurcations (splits) along a ridge path [86].

#### i) Deoxyribonucleic Acid (DNA) Recognition

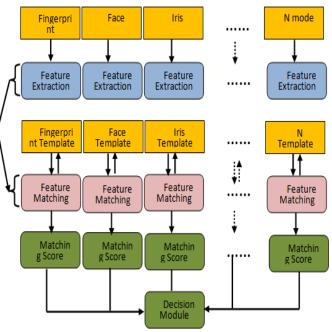
DNA is a well-known double helix structure present in every human cell. DNA fingerprint is produced as a robust and unchangeable (by surgery or any other known treatment) human attribute which is the same for every single cell of a person. The molecular structure of DNA can be considered as a zipper with the letters: A (Adeline), C (Cytosine), G (Guanine) and T (Thymine) representing each tooth and with opposite teeth forming one of two pairs, either A-T or G-C [87]. The sequence of letters along the zipper determines the DNA information [2, 88] and presents unique differences in the DNA fragments and molecules resulting in different biological pattern between individuals. DNA is widely used in the diagnosis of disorders, paternity tests and criminal identification and very high level of success and accuracy has been reported [55]. The use of DNA however experiences computational complexity with enormous time requirements. It is often considered as a violation of privacy and not always unique between monozygotic twins [11, 57].

#### III. Multi-Modal Biometric Systems

Some of the limitations imposed by unimodal biometric systems can be addressed through multimodal sources (MMS) of information for establishing identity [89]. MMS are expectedly more reliable due to their multiple, (fairly) independent pieces of evidence [90]. They also provide stringent performance requirements imposed by various applications and also address the problem of non-universality, since multiple traits ensure sufficient population coverage. They also deter spoofing since it would be difficult for an impostor to spoof multiple biometric traits of a genuine user simultaneously. Furthermore, they facilitate a challengeresponse mechanism by requesting the user to present a random subset of biometric traits thereby ensuring that a 'live' user is indeed present at the point of data acquisition [91]. A generic biometric system is presented in Figure 6 with four important modules; namely sensor, feature extraction, matching and decision modules [91, 92].

The sensor module captures the trait (raw biometric data), while the feature extraction module processes the data to extract a feature set that is a compact representation of the trait. The main function of the matching module is to generate the matching scores

based on comparison of the extracted feature set with the templates in the database by a classifier. Based on a matching score, the decision module rejects or confirms a claimed identity. Important considerations for the design of multi-modal biometric system include architecture, choice of biometric modality, total number of modalities, level of accumulation of evidences, level and methods for fusion, safety and user friendliness and cost versus the matching performances. Others are level of security and reliability, mode of operations, assigning weights to biometrics and multimodal database [11, 93]. Challenges confronting multimodal biometric systems include failure of sensors to show consistency in various operating environments, poor design due to lack of proper understanding of biometric technologies and public confidence. Other challenges are complex and unverifiable matching algorithms, misleading results due to poor scalability and lack of standard guidelines for auditing biometric system and records [94].



## *Figure 6 :* Structural view of a typical multi-modal biometric

#### a) Fusion levels

In a multi-modal biometric system, information reconciliation may be attained via the fusion of the raw data, extracted features or the matching scores. Information may also be obtained at the decision levels. While fusion at the data or feature level is performed when either the data or the feature sets originating from multiple sensors/sources are fused, fusion at the match score level involves an integration of the scores obtained by multiple classifiers pertaining to different modalities. When the final information is obtained from the fusion of different decision levels, the final output of the multiple classifiers is consolidated using majority voting or any other suitable method [95]. Biometric systems that integrate information at an early stage (using features set) perform better than those that perform integration at a later stage [91, 92]. This is attributed to the richer information offered by the features when compared to the matching score or the output decision of a matcher. However, in practice, fusion at the feature level is difficult to achieve due to complexities that trail the task of providing a common feature set for various modalities. Fusion at the decision level on its own is believed to be rigid due to its limited information. Thus, for its relatively easy access, fusion at the match score level is usually preferred.

#### b) Fusion Scenarios

As shown in Figure 7, existing multi-modal biometrics fusion scenarios depend on the number of traits, sensors and feature sets and are classified into the following categories:

- a. Single biometric trait, multiple sensors: Multiple sensors record the same biometric trait to obtain different raw biometric data [96, 97].
- b. Single biometric trait, multiple classifiers: This involves only a single sensor and multiple classifiers, each of which either operates on the same extracted feature set or generates its own feature sets [98-102].
- c. Single biometric trait, multiple units: In the case of iris (or ear), it is possible to integrate information presented by two iris (or both ears) of a single user. This scenario provides an inexpensive methodology for improving system performance as it does not entail deploying multiple sensors nor incorporating additional feature extraction and/or matching modules.
- d. Multiple biometric traits: This involves the use of two or more biometric traits of an individual for identity management. Such systems employ multiple sensors to acquire data pertaining to different and independent traits towards ensuring that a significant improvement in performance is obtained [1, 6, 9, 102 107].

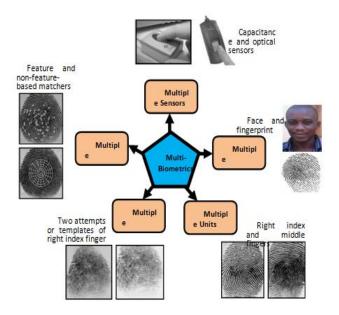


Figure 7 : Scenarios in a multi-modal biometric system

The existing biometrics fusion algorithms include Score Normalization [1, 102], Minimum Average Correlation Energy Filter [105], Neyman-Pearson (Product) Rule and Gaussian Copla Models [108], Principal Components Analysis (PCA), Fisher's Linear Discriminate Methods [109] and Geometry Preserving Projection [106]

#### c) Modes of Operation

The existing modes of operation for a multimodal biometrics scheme are serial, parallel and hierarchical which are presented in Figure 8. The output of one modality is traditionally used to determine if the next modality will be used in the serial mode. This implies that simultaneous acquisition from multiple sources of information (such as multiple traits) is not required and final decision could be made with any modality. For the parallel mode, simultaneous acquisition of multiple modalities takes place and final decision is based on the integration of information (output) from the various modalities. The hierarchical scheme combines individual classifiers in a treelike structure and it is only applicable for large number of classifiers [91, 102, 110].

#### d) Integration Strategies

Fusion at the feature and matching score levels are the two major strategies for the integration of multimodal systems. Fusion at the feature level is accomplished through the concatenation of two compatible feature sets before a feature selection or reduction technique is employed for handling any dimensionality problem [91]. The authors in [1, 12, 102, 105, 111, 112] had carried out detailed studies on fusion at the match score level. Base on robust and efficient normalization techniques [9, 59, 102, 106, 112, 113, 116], scores from multiples matchers are transformed into a common domain prior to consolidating them. In the context of verification, the feature vector is constructed using the matching scores output of the individual matchers and then classified into accept (genuine user) or reject (impostor) [91]. Fusion of individual matching scores generates a single scalar score that is used for taking the final decision [116, 117]. General strategies for combining scores from multiple classifiers include principal component analysis [109], majority voting [95], behaviour knowledge space method [118], weighted voting based on the Dempster-Shafer theory of evidence [119], AND/OR rules [120] and Score normalization [121]. Others are simple sum rule [89], weighted product, bayes' rule, mean fusion, Linear Discriminant Analysis [LDA], k-nearest neighbour [KNN] and hidden Markov model [HMM].

e) Evaluation Strategies

The evaluation of multi-modal biometrics provides for establishing systems basis their performance and adequacy levels. Benchmarked evaluation strategies include False Rejection Rate (FRR), False Acceptance Rate (FAR), Receiver Operating Characteristics (ROC) Curve, Equal Error Rate (EER), Cumulative Match Curve (CMC) and Average Matching Time (AMT). If an imposter score exceeds the threshold, it results in a false accept, while genuine score that falls below the threshold results in a false reject. FRR is therefore the rate of occurrence of a scenario of two biometrics (same mode) from the same source (subject) failing to match and FAR is the rate at which two biometrics (same mode) from different sources (subjects) are found to match. An ROC curve measures the overall performance of a multi-modal biometric system base on the plot of FRR against FAR for all possible matching thresholds. In the ideal case, both FAR and FRR should be zero and the genuine and imposter distributions should be disjoint. In such cases, an 'acceptable' ROC curve presents a step function at the zero FAR. On the other extreme, if the genuine and imposter distributions are equal, then the ROC curve is a line segment with 450 slope and an end-point at zero FAR. In practice, the ROC curve falls between these two extremes [122]. For each matching threshold i, EER is presented as the value at which FAR (i) and FRR (i) are equal. CMC is another indicator that is similar in nature to ROC curve [123, 124].

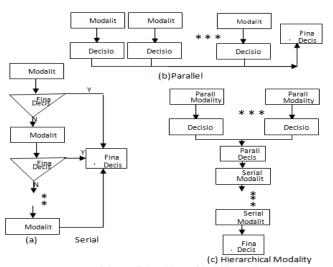


Figure 8 : Serial, parallel or hierarchical biometric modes

### IV. Conclusion

The motivations, methodologies, strengths and weaknesses of the physiological and behavioural modes for human identity management had been presented. The integration, fusion and evaluation strategies for multi-modal approach to human identity management are also presented. Multi-modal biometric systems have performed well in addressing the problems of unimodal systems by combining information from different sources and improve the systems performance, raise the scope, discourage spoofing, and promote indexing. Improved performance has been noticed with uncorrelated traits and integration of parameters that are user's specific in multimodal systems. Without doubt, the widespread deployment of biometric systems in government and private establishments across the world will offer more secured and reliable human identity management.

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## Evolutionary Computing based an Efficient and Cost Effective Software Defect Prediction System

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Abstract- The earlier defect prediction and fault removal can play a vital role in ensuring software reliability and quality of service. In this paper Hybrid Evolutionary computing based Neural Network (HENN) based software defect prediction model has been developed. For HENN an adaptive genetic algorithm (A-GA) has been developed that alleviates the key existing limitations like local minima and convergence. Furthermore, the implementation of A-GA enables adaptive crossover and mutation probability selection that strengthens computational efficiency of our proposed system. The proposed HENN algorithm has been used for adaptive weight estimation and learning optimization in ANN for defect prediction. In addition, a novel defect prediction and fault removal cost estimation model has been derived to evaluate the cost effectiveness of the proposed system. The simulation results obtained for PROMISE and NASA MDP datasets exhibit the proposed model outperforms Levenberg Marquardt based ANN system (LM-ANN) and other systems as well. And also cost analysis exhibits that the proposed HENN model is approximate 21.66% cost effective as compared to LM-ANN.

Keywords: software defect prediction, artificial neural network, adaptive genetic algorithm, levenberg marquardt, object oriented software metrics, cost estimation.

GJCST-G Classification: D.4.8



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Abstract- The earlier defect prediction and fault removal can play a vital role in ensuring software reliability and quality of service. In this paper Hybrid Evolutionary computing based Neural Network (HENN) based software defect prediction model has been developed. For HENN an adaptive genetic algorithm (A-GA) has been developed that alleviates the key existing limitations like local minima and convergence. Furthermore, the implementation of A-GA enables adaptive crossover and mutation probability selection that strengthens computational efficiency of our proposed system. The proposed HENN algorithm has been used for adaptive weight estimation and learning optimization in ANN for defect prediction. In addition, a novel defect prediction and fault removal cost estimation model has been derived to evaluate the cost effectiveness of the proposed system. The simulation results obtained for PROMISE and NASA MDP datasets exhibit the proposed model outperforms Levenberg Marquardt based ANN system (LM-ANN) and other systems as well. And also cost analysis exhibits that the proposed HENN model is approximate 21.66% cost effective as compared to LM-ANN.

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#### I. INTRODUCTION

Whith the increase in information technologies and associated software applications, the inevitable requirement of software reliability has alarmed scientific societies, industries as well as academician to develop certain optimal paradigm to ensure defect free software applications for long run reliability.

Furthermore, the cost factor for software products and services also suggests the defect free software solutions, so as to eliminate probability of faults in future and iterative maintenance. In order to accomplish these objectives, the efficient software defect prediction (SDP) systems are of great significance. In order to ensure optimal software reliability, the defect prediction has become an inevitable part of software development life cycle (SDLC)

Author o: Professor, Department of Computer Science, Sri Krishnadevaraya University, Andhra Pradesh, India. e-mail: bachalasatya@yahoo.com that intends to eliminate the probability of software failure in run time. The earlier defect prediction can enable software professional to identify fault-prone modules and thus can debug the defects to ensure quality of service provisioning. In recent years the application of open source software has increased tremendously and professional prefer to customize software modules and implement as per need. Still, these modules are prone to defect in real time scenarios, thus demanding for fault prediction and verification [1, 2, 3, 4] before introducing product to the users. The SDP might be functional on the basis of certain software metrics [3, 4, 5] like changes in source code, earlier defect or fault etc. Typically, software metrics do represent certain quantitative factor that characterizes the properties of software source code, which can be employed to predict fault proneness of software during function. On the other hand, in recent years majority of software applications are being developed using Object-Oriented (OO) paradigm. The object oriented paradigm enables certain metrics that that can be employed to examine the quality of software application and associated fault proneness. Some of the predominantly proposed software metrics are MOOD [6], QMOOD [7], Bieman and Kang [8], Briand et al. [9], Etzkorn et al. [10], Halstead [11], Henderson-sellers [12], L and H metrics suite [13], McCabe [14], Tegarden et al. [15], Lorenz and Kidd [16] and CK metric suite [17]. The implementation of object oriented metrics enables software practitioners to examine quality of software in terms of precision, accuracy, fault-resilience, reliable functionality, adaptability, supportability, usability, portability, and cost effectiveness etc. In fact, it makes testing enhanced for large scale software applications. This is the matter of fact that a number of researches have been made for defect prediction. Some of the predominantly employed SDP techniques are based on machine learning and artificial neural network [18, 19, 20, 21, 22], clustering techniques, statistical method, data mining based fault identification, random forest [23, 24, 25] approaches etc. However, the emerging software complexities, critical software applications, reliable service assurance, guality oriented service provisioning, and cost effective or economical solutions etc., motivate researchers to develop certain

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cost effective defect prediction solution. In recent years, primarily, support vector machine (SVM) and artificial neural network (ANN) approaches are being explored for SDP utilities. The emergence of artificial intelligence based applications have motivated researchers to explore ANN based defect prediction that works based on the human brain functions, while encompassing multiple neurons and directed edges possessing certain weights values between input and output layers. In fact, ANN is a complex non-linear mapping process that employs output as the input for learning certain complex non-linear input-output relationship between input and output layers. In function ANN encompasses data sets to optimize key factors such as weight parameters, risk minimization mechanism for stopping training once the learning error enters in expected margin level. Although, ANN has established itself as a potential candidate for prediction and classification applications, still its limitations in terms of slow learning ability, local minima and convergence can't be ignored. In order to enhance the performance of ANN based defect prediction some researchers [26, 27] have suggested evolutionary computing paradigm that could enable optimal classification and prediction without introducing any computational complexity and premature convergence.

Considering efficiency of evolutionary computing techniques such as Genetic Algorithm (GA) in this paper a robust Adaptive genetic algorithm based ANN learning algorithm has been developed, which has been used for software defect prediction. In addition, to enhance the performance of GA for huge data elements and efficient performance, the genetic parameters (crossover and mutation probability) have been selected dynamically that makes overall system much robust as compared to conventional approaches. In order to examine the performance of the proposed HENN system, a Levenberg Marquardt based ANN (LM-ANN) algorithm has been developed and the comparative performance analysis with the object oriented software metrics, CK metrics [17] has revealed that the proposed HENN algorithm provides better fault detection as compared to LM-ANN. Furthermore, the fault removal cost analysis for both the algorithms has stated that the proposed system is cost effective and can be used for real time defect prediction utilities.

The remaining sections discusses, related work in Section II, the research contributions and problem definitions for the proposed software defect prediction model are presented in III, which has been followed by proposed HENN and LM-AMM based SDP model discussion and implementation in Section IV. Section V presents the results and analysis and conclusion has been discussed in Section VI. The references used in this paper are given at the last of the manuscript.

## II. Related Work

Software reliability is of course an inevitable need for quality service provisioning. The reliability oriented software defect prediction (SDP) has motivated researchers to develop optimal system for cost efficient defect prediction. Researchers examined the relationship between object oriented software metrics and associated faults [28, 29, 30, 31, 32, 33] by means of machine learning algorithms and detected fault proneness of software. To achieve better prediction some other approaches such as decision trees, naïve Bayes, and 1-rule [34] based fault detection scheme were developed, where the standard datasets such as NASA MDP was used to examine classification accuracy of the SDP approaches. Chug et al [35] demonstrated fault identification using data mining and employed conventional J48, Random Forest, and Naive Bayesian Classifier (NBC) schemes for performance comparison but still couldn't employ the benefits of advanced classification approaches. To optimize conventional random forest based defect prediction Pushphavathi et al [36] incorporated a hybrid random forest (RF) and Fuzzy C Means (FCM) clustering model for software defect prediction. Unfortunately, these approaches could not address the issue of unbalanced datasets, which motivated researchers to come up with Adaboost. Nc [37] which implemented a number of class imbalance approaches, re-sampling, threshold variations, and ensemble algorithms. Exploring insight, this approach can be found to be complicate and not a cost effective solution for large scale dynamic data. Researchers used SVM based defect prediction scheme [38, 39] and a dynamic SVM model was proposed that intended to detect faults in source code by means of error data and faulty code execution. In [40, 41]an ANN based defect prediction model was developed. A defect severity model using conventional back-propagation learning based ANN was developed in [42]. Similarly in [43] a Radial Basis ANN was used for SDP. ANN based SDP for Halstead data metrics has been done in [44]. In [45] the Bayesian Regularization (BR) technique based ANN model was developed for software fault detection. Almost all ANN based defect prediction model employs conventional learning and weight estimation techniques that confines applicability with huge datasets with dynamic functional environment. The conventional learning and weight estimation approaches can't eliminate the key issues of local minima and convergence issue that limit the performance of generic ANN. The enhancement of learning scheme and further optimization through certain evolutionary computing approaches can make ANN robust for SDP applications. In fact, cost feasibility is one of the key factors that decide employability of certain SDP model, but till no any research work has addressed the issue of cost estimation of the defect prediction model. This paper has considered these limitations as motivation and has developed an evolutionary computing A-GA based SDP model which has been compared with Levenberg Marquardt based ANN and respective fault removal cost estimation has been done.

#### III. Our Contribution

In SDLC the fault resilience and reliability is of great significance. The implementation of efficient SDP strengthensearly fault detection and thus it enables software practitioner to remove faults to ensure reliability and QoS of the software solution. The predominant question in this paper is whether the implementation of Adaptive Genetic Algorithm can enable efficient and cost effective SDP solutions? In this paper, object oriented software metrics [17] has been considered for defect prediction and using proposed SDP models, the fault proneness of metrics data has been retrieved, whether the data is faulty or non-faulty. In order to perform classification of faulty and non-faulty data, initially the conventional ANN learning scheme with Leven berg Marquardt (LM) algorithm [45] has been developed and respective performance towards software defect prediction with NASA defect datasets has been done. This is the matter of fact that LM based ANN performs better as compared to other approaches such as back-propagation or feed-forward learning based NN, still it suffers due to prime limitations of ANN, such as local minima and weight update issues. Thus, considering higher employability of artificial intelligence techniques and respective limitations for critical software applications, in this paper an evolutionary computing based optimization scheme called Genetic Algorithm has been used for weight estimation during ANN learning. Further to ensure optimal performance of GA, in this paper a novelty has been introduced in terms of adaptive GA parameter (Crossover and Mutation probability) selection. The proposed Adaptive Genetic Algorithm (A-GA) performs adaptive weight estimation and learning optimization so as to ensure optimal fault classification and accuracy. The A-GA optimization scheme alleviates the issue of premature convergence and local minima. Such enhancement has lead better classification and accuracy for fault detection in huge datasets.

In order to examine the performance of the proposed SDP model, the object oriented software metrics (here, CK metrics [17]) has been considered. The implemented metrics characterizes various software features. In this paper, six predominant software metrics have been considered in fault identification. The considered metrics are WMC, NOC, DIT, CBO, RFC, and LCOM. The individual metrics has been feed as the input of the ANN and performing learning with the proposed HENN model the classification for faults has been done. The discussion of the proposed A-GA

based ANN (HENN) has been discussed in the next section of the presented manuscript. In this paper, in order to examine the cost effectiveness of the developed SDP models, certain cost efficiency model can be used [46, 47, and 48] and with certain standard threshold the applicability of the proposed SDP model for large scale software data can be examined. The performance analysis of the proposed model has been done in terms of accuracy, precision, recall, F-Measures and fault removal cost efficiency. The discussion of the proposed SDP models and its implementation is discussed in the following sections.

#### IV. System Model

In this section, the proposed Levenberg Marquardt learning based ANN and our proposed HENN based software defect prediction schemes and its algorithmic implementation have been discussed.

#### a) Artificial Neural Network based Software Defect Prediction

This is the matter of fact that the Artificial Neural networks (NN) have seen an explosion of interest over the years, and it has been implemented across a range of problem domains, specifically classification and prediction. In fact, the major problems dealing with prediction and classification, ANN is considered to be the dominating solution. For SDP scenario, ANN can be used with different learning schemes like Gradient Descent (GD), Gauss Newton, and Levenberg Marquardt (LM) etc. Unfortunately majority of existing learning paradigm are ineffective to alleviate the key limitations of ANN such as local minima and convergence issue. Even though, researches have revealed that Levenberg Marquardt (LM) can be a potential candidate for ANN learning due to its stable nature and flexible implementation. In this paper, in addition to LM-ANN algorithm, an evolutionary computing technique called Adaptive Genetic Algorithm (A-GA) has been used for dynamic weight estimation for prediction enhancement. In the proposed ANN model and ultimately intended SDP system, it has been intended to find relation between object oriented software metrics and fault prone classes of the six CK metrics; WMC, NOC, DIT, RFC, CBO, LCOM, which has been considered as independent variable. The fault data has been taken as the dependent data. Figure-1 illustrates the architecture of our proposed ANN model comprising three layers i.e., input layer, hidden layer and output layer. Here, 6 input nodes have been defined that takes six CK matrix [17] having multiple classes as individual input. Since, in the proposed ANN model, the expected outputs are either FAULTY or NO-FAULTY, therefore only one output node is needed. Here, we have considered 8 hidden layers so as to avoid unwanted computational complexity. Thus in the defined ANN architecture, 56 weights (input node +

*Output Node*) \* *hidden node*) are required to be estimated for fault prediction and classification. At the input layer, the linear activation function has been used that enables the output of the output layer same as the input of the input layer ( $O_o = I_i$ ).

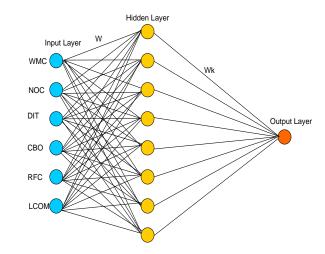


Figure 1 : ANN model for Defect prediction

In our model, the sigmoid function has been used at the hidden layer  $O_h$  and thus the output of the hidden nodes  $O_h$  with input  $I_h$  would be  $O_h = \frac{1}{1+e^{-I_h}}$ . The final output at the output node come of output nodes  $O_o$  can be obtained as mathematically by  $O_o = \frac{1}{1+e^{-O_i}}$ .

Generally, the ANN model is defined in terms of a function Y' = f(W, X) where Y'states for the output vector and W and X represent the weight vector and the input vector respectively. In learning process, the weight factor W is updated iteratively so as to minimize the Root Mean Square Error (RMSE), which can be estimated by:

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (y'_i - y_i)^2$$
(3)

Where *y*depicts the actual output  $andy_i^{'}$  represents the expected output.

In order to make computation efficient and to process multidimensional data with ANN, it is inevitable to perform the normalization. In the proposed ANN based SDP models; the data normalization has been done using Min-Max approach, which is discussed as follows:

#### i. Data normalization

In this paper, normalization has been performed on the defect datasets that strengthens the proposed ANN based software detect prediction systems for better readability and classification. In the proposed SDP model, the data normalization has been done over the range of [0, 1] so as to adjust the defined range of input feature value and avoid the saturation of neurons. There a number of normalization approaches such as

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Min-Max normalization, Z-Score normalization and decimal scaling etc. We have normalized the defect data using Min-Max normalization scheme that performs a linear transformation on the original data and then maps individual data  $x_i$  of attribute X to the normalized value  $x'_i$  in the range of [0, 1]. The normalization using Min-Max approach has been done using following equation:

$$Normalized(x_i) = x_i^{"} = \frac{x_i - \min(X)}{\max(X) - \min(X)}$$
(4)

where max(X) and min(X) are the maximum and minimum values of the attribute *X* respectively. In the proposed SDP model, performing data normalization the ANN model has been implemented for fault classification.

In ANN based systems, the efficient weight estimation and learning approach is of great significance. Till a number of approaches have been developed for learning optimization in ANN based artificial intelligence applications. Some of the predominant approaches are: Gauss Newton, Gradient descent, Levenberg Marquardt (LM) etc. Interestingly LM can work as both gradient descent as well as gauss Newton. Some researchers also have advocated that LM can outperform other existing learning schemes in ANN. Thus considering significance of LM for effective learning for SDP, in this paper initially LM based ANN (LMANN) has been developed for SDP model. The discussion of the proposed LMANN model for SDP application is given as follows:

#### b) Levenberg Marquardt (LM) Learning based ANN for Software Defect Prediction

The prime scope for ANN optimization is the enhancement of its weight estimation and respective learning optimization. Therefore, considering these factors, a number of algorithms have been proposed for weight update in ANN learning (Table-1). In this paper, considering the higher efficiency of Levenberg Marquardt (LM) algorithm, we have used this algorithm for weight update (W) during ANN training for defect prediction.

	•	• • •	
Algorithm	Weight Update Rules	Convergence	Computation Complexity
EBP Algorithm	$W_{k+1} = W_k - \alpha g_k$	Stable, Low	Gradient
Newton Algorithm	$W_{k+1} = W_k - H_k^{-1}g_k$	Unstable, Fast	Gradient and Hessian
Gauss-Newton Algorithm	$W_{k+1} = W_k - (J_k^T J_k)^{-1} J_k e_k$	Unstable, Fast	Jacobian
Levenberg-Marquardt Algorithm	$W_{k+1} = W_k - (J_k^T J_k + \mu I)^{-1} J_{k^e k}$	Stable, Fast	Jacobian
NBN Algorithm	$W_{k+1} = W_k - Q_k^{-1} g_k$	Stable, Fast	Quasi Hessian

Table 1 : Specifications of varied Weight Update algorithms

Levenberg Marquardt (M) algorithm performs localization of the bare minimum value of multivariate function in a repetitive manner, which is expressed as the sum of squares of non-linear real-valued functions. Similar to GD algorithm, in HENN, LM algorithm updates the weights during NN learning process. Considering the performance novelty, the proposed LM algorithm comprises the functional ability of Steepest Descent and Gauss Newton method. The proposed LM algorithm can update the weight vector by following expression:

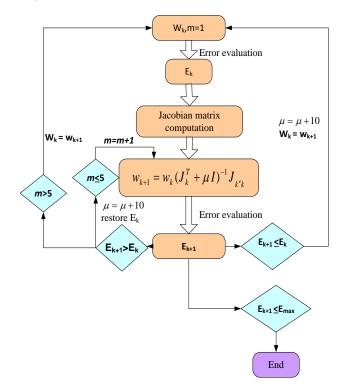
$$W_{k+1} = W_k - (J_k^T J_k + \mu I)^{-1} J_k e_k$$
(1)

Where  $W_{k+1}$  is the updated weights,  $W_k$  is the current weights, I represents the identity or unit matrix, *J* is the Jacobian matrix and $\mu$ , the combination coefficient is always positive. With  $\mu$  as very small, it functions as

Gauss Newton method while making  $\mu$  as very large makes it functional as Gradient descent method. The Jacobian matrix derived as given as:

$$J = \begin{bmatrix} \frac{d}{dW_1}(E_{1,1}) & \frac{d}{dW_2}(E_{1,1}) & \cdots & \frac{d}{dW_N}(E_{1,1}) \\ \frac{d}{dW_1}(E_{1,2}) & \frac{d}{dW_2}(E_{1,2}) & \cdots & \frac{d}{dW_N}(E_{1,2}) \\ \vdots & \vdots & \vdots & \vdots \\ \frac{d}{dW_1}(E_{P,M}) & \frac{d}{dW_2}(E_{P,M}) & \cdots & \frac{d}{dW_N}(E_{P,M}) \end{bmatrix}$$
(2)

Where N refers the weight counts and the input patterns are P. The output patterns are indicated by M. The overall training function by the proposed LM algorithm is presented in the following figure.



*Figure 2*: Levenberg–Marquardt algorithm based HENN training:  $W_k$  is the current weight,  $W_{k+1}$  is the next weight,  $E_{k+1}$  is the current total error, and  $E_k$  is the final error

In the proposed SDP model, in the initial phase the LM algorithm has been used to estimate the weights for the learning scheme. Figure 3 represents the adaptive weight estimation approach using LM algorithm. The weights are updated dynamically so as to reduce RMSE and satisfying the stopping criteria, the classification has been done for fault prediction. On the basis of fault classification, the confusion matrix has been obtained which has been employed further to examine performance of the proposed SDP model.

This is the matter of fact that LM-ANN has been employed for varied classification utilities but considering the specific requirements of fault prediction and robust function with huge data sets in real time software utilities, the local minima problem and convergence issues of ANN can't be ignored. Thus, considering these limitations, in this paper, the evolutionary algorithm Adaptive-Genetic Algorithm (A-GA) has been used for parameter optimization that can strengthen the function of the proposed system to yield more precise, accurate and efficient outputs. The implementation of A-GA for ANN based SDP utility has been discussed in the following section

#### c) HENN: Hybrid Evolutionary Computing Based Neural Network for Software Defect Prediction

In recent years a number of optimization schemes have been developed on the basis of the concept of human evolution and Genetic Algorithm (GA) is one of the predominant one. GA is an adaptive search approach based on the evolutionary concepts of natural selection that intends to find certain optimal or near optimal solutions. In fact, the basic concept of GA is based on the philosophy of natural selection and Darwin principle of the survival of fittest. In function, GA at first performs random population generation, where population represents certain set of solutions. In fact, these solutions are nothing else but a chromosome possessing a form of binary strings where all the comprising parameters are supposed to be encoded. Performing population generation, GA calculates the fitness value, also known as fitness function for the individual chromosome. The fitness value represents a user-defined function that provides the estimation results for individual chromosome, and thus a higher fitness value signifies the chromosome to be the dominant one. On the basis of retrieved fitness values, the offspring are generated by means of genetic operators called crossover and mutation. Implementing genetic operators the population generation continues until the stopping criteria is achieved. Here, it must be noted that after every generation, chromosomes having fitness value more than defined threshold are considered for next generation otherwise are mutated out of competition.

As depicted in Figure-1, the developed HENN model [59] encompasses i - h - o network

configuration having*i* input layer, *h* hidden layer and *0* output layer or nodes. In the proposed ANN model, all the six CK metrics under consideration have been fed as input to the individual input nodes, where the individual metrics can have multiple classes depending on the size of software and dimensions. As already discussed with the considered 6-8-1 ANN configuration, the total number of weights, N to be calculated are:

$$N = (i+0) * h \tag{5}$$

In the proposed model the individual weight is considered as a gene in the chromosomes and is a real number. Consider l, the gene length or the number of digits bel, then the length of the chromosome  $L_{Chrom}$  can be obtained using following equation:

$$L_{Chrom} = N * l = (i + 0) * h * l$$
(6)

In the proposed A-GA based scheme all chromosomes are considered as the population and for each chromosomes the fitness values and weights are estimated. In our proposed model, the weights ( $W_k$ ) has been obtained using following equation:

$$= \begin{cases} W_k \\ if \ 0 \le x_{kl+1} < 5 \\ -\frac{x_{kl+2*} 10^{l-2} + x_{kl+3*} 10^{l-3} + \dots + x_{(k+1)l}}{10^{l-2}} \\ if \ 5 <= x_{kl+l} <= 9 \\ +\frac{x_{kl+2*} 10^{l-2} + x_{kl+3*} 10^{l-3} + \dots + x_{(k+1)l}}{10^{l-2}} \end{cases}$$
(7)

To perform A-GA based weight estimation in ANN, the fitness values for individual chromoseomes are needed to be obtained. The algorithm developed for fitness value estimation is given in the following figure (Figure-3).

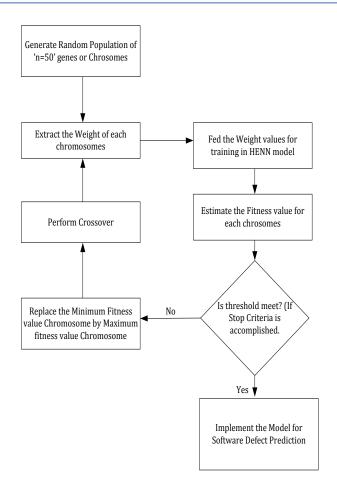
Algorithm for Fitness Estimation Input:  $\overline{I_i} = (I_{1i}, I_{2i}, I_{3i}, \cdots, I_{li})$ Output:  $\overline{T}_i = (T_{1i}, T_{2i}, T_{3i}, \cdots, T_{ni})$ Where  $\bar{I}_i, \bar{T}_i$  state the input and output pairs of the i - h - oconfiguration of neural network. **Phase-1** :Calculate weights  $\overline{W}_i$  for  $C_i$  by: if  $0 \le x_{kd+1} < 5$  $W_{k} = \begin{cases} -\frac{x_{kd+2*}10^{d-2} + x_{kd+3*}10^{d-3} + \dots + x_{(k+1)d}}{10^{d-2}} \\ if 5 <= x_{kd+d} <= 9 \\ +\frac{x_{kd+2*}10^{d-2} + x_{kd+3*}10^{d-3} + \dots + x_{(k+1)d}}{10^{d-2}} \end{cases}$ **Phase-2:**Assuming $\overline{W}_i$  be a constant weight, perform training of N input instances and calculate output  $O_i$ **Phase-3**:CalculateMSE  $E_i$  for all input instance j,  $E_i =$  $(T_{ii} - O_{ii})$ **Phase-4:** Calculate RMSE of chromosome C<sub>i</sub>  $E_i = \sqrt{\frac{\sum_{j=1}^{j=N} E_j}{N}}$ Where N is the number of training data **Phase-5:** Calculate the fitness value for chromosome  $C_i$  $F_i = \frac{1}{E_i} = \frac{1}{\left[\sum_{j=1}^{j=N} E_j\right]}$ 

#### Figure 3 : Fitness generation using A-GA

Genetic algorithm (GA) has been considered as a potential global optimization approach for major applications; still this approach can be further optimized to alleviate issues of premature convergence. In this paper, in order to alleviate these issues, the genetic parameters, cross over probability ( $P_c$ ) and mutation probability ( $P_m$ ) has been selected dynamically so as to get optimal or sub-optimal solution efficiently without converging. To update  $P_c$  and  $P_m$  the following mathematical equations has been used:

$$(P_c)_{k+1} = (P_c)_k - \frac{C_1 * n}{5}$$
$$(P_m)_{k+1} = (P_m)_k - \frac{C_2 * n}{5}$$
(8)

where  $(P_c)_{k+1}$  and  $(P_m)_{k+1}$  denote the updated crossover probability and mutation probability respectively. The other variables  $(P_c)_k$  and  $(P_m)_k$  are the current crossover and mutation probability,  $C_1$  and  $C_2$ can be any positive constant and *n* represents the number of chromosome having similar fitness value. In the proposed HENN model, the A-GA continues functioning till 95% of chromosomes are having similar fitness value. Once the stopping criterion is achieved the A-GA terminates and the final output at output layerO<sub>o</sub> is obtained. If the final estimated output is more than 0.5, it signifies class as FAULTY otherwise NON-FAULTY. On the basis of retrieved FAULTY and NON-FAULTY data, a confusion matrix is obtained, which is further used for performance assessment. Figure-4 represents the flow diagram of the proposed HENN based SDP model.



### Figure 4 : Proposed HENN Scheme for Software Defect Prediction

The overall discussion of the proposed HENN model is given as follows:

#### HENN-SDP Simulation

Since, the proposed HENN model operates on the basis of genetic algorithm principle; it also encompasses processes such as, population generation, selection, crossover, fitness estimation, and mutation. A brief discussion of the implemented HENN simulation model is given as follows:

*Step-1 Population Initialization:* In our model randomly 50 chromosomes are selected randomly to perform competition. These randomly selected chromosomes perform crossover with defined crossover and mutation probability.

Step-2 Weight Estimation: HENN estimates weight  $W_k$  for each selected chromosomes as input to the hidden layer and hidden layer to the output layer using equation (7).

Step-3 Fitness Estimation: On the basis of weight estimated, the fitness value is obtained for individual chromosome with an intention to minimize the root mean square error (RMSE) obtained at the output node of ANN.

Step-4 Chromosome Ranking and Mutation: On the basis of fitness values for the individual chromosomes, the ranking is performed which is followed by mutation of the chromosomes having lower fitness values and chromosomes with higher ranking replaces chromosomes with lower fitness.

Step-5 Crossover: In the proposed HENN model, the two point crossover is performed with the selected chromosomes. Here to enhance computational efficiency the GA parameters,  $P_c$  and  $P_m$  are varied adaptively, as per equation(6). Initially,  $P_c$  and  $P_m$  have been assigned as 0.6 and 0.1 respectively and *n*refers the number of chromosome having similar fitness value.

• Stopping Criteria: The process of weight estimation using HENN algorithm continues till the stopping criteria is not achieved and the 95% chromosomes in gene pool achieves unique fitness value, as beyond it the fitness level of chromosomes get saturated.

Step 6 Fault Classification: Considering step-3, and stopping criteria, with the optimal RMSE, the final output at output layer of ANN is obtained that more than 0.5 signifies towards FAULTY class otherwise NON-FAULTY.

*Step 7 Confusion Matrix:* On the basis of FAULTY and NON-FAULTY label of comprising classes, a Confusion Matrix is derived that is used for performance evaluation.

Thus, implementing the above mentioned approaches, the proposed HENN model performs Software Defect Prediction.

This is the matter of fact that a number of SDP systems have been developed but only prediction accuracy and precision can't be the justification for a system to be employable in real time scenarios. Industries demands for certain cost effective and efficient system for defect prediction. A system with higher computational efficiency with minimal cost of fault detection and removal can be of great significance and can be suggested to be used in real time SDP applications.

Thus, considering the need of a novel cost analysis mechanism, in this paper a novel cost estimation approach has been developed which has been used to assess the computational (Fault detection and removal) cost analysis for both our proposed HENN based SDP as well as reference, LM-ANN based SDP model. The discussion of the proposed cost estimation model is given as follows:

d) Software Fault Estimation and Removal Cost analysis In this paper, a novel cost estimation approach

has been developed that estimates the cost of fault

detection and removal, as the efficiency to be considered as a criterion that decides whether the system should be used or not in real time applications. The proposed cost estimation model has been derived from [46]. In the developed cost estimation approach, certain constraints have been assumed such as, varied testing phases might take different cost for certain fault removal as different softwares are developed in varied software platform and with varied development standards, and it is impractical to perform comprising unit testing on all the associated modules [47]. In the proposed cost estimation model, the identification efficiency model proposed in [48] has been incorporated that suggests following efficiencies to be used for cost estimation model.

Table 2 : Cost Estimation for different testing				
approaches (Staff hour per faults)				

Testing	Min	Max	Median
Unit	1.5	6	2.5
System	2.82	8.37	6.2
Field	3.9	27.24	27

In this paper, the following notations have been used to formulate mathematical model for fault estimation and removal cost.

Cost <sub>Estm_SDP</sub>	Estimated fault removal cost of the software when fault prediction is performed		
Cost <sub>Estm</sub> _WSDP	Estimated fault removal cost of the software without using fault prediction approach		
Cost <sub>Norm</sub>	Normalized Estimated fault removal cost of the software when fault prediction is utilized		
Ci	Initial setup cost of used fault-prediction technique		
C <sub>u</sub>	Normalized fault removal cost in unit testing		
Cs	Normalized fault removal cost in system testing		
C <sub>f</sub>	Normalized fault removal cost in testing		
M <sub>p</sub>	percentage of classes unit tested		
FP	Number of false positive		
FN	Number of false negative		
TP	Number of true positive		
TN	Number of true negative		
TC	Total number of classes		
FC	Total number of faulty classes		
$\delta_{u}$	Fault identification efficiency of unit testing		
δs	Fault identification efficiency of system testing		

Table 3 : Cost Estimation Metrics

The derived cost estimation expressions are given as follows:

$$Cost_{Estm\_SDP} = C_i + C_u * (FP + TP) + \delta_s$$

$$* C_s$$

$$* (FN + (1 - \delta_u) * TP)$$

$$+ (1 - \delta_s) * C_f$$

$$* (FN + (1 - \delta_u) * TP)$$
(9)

$$Cost_{Estm\_WSDP} = M_p * C_u * TC + \delta_s * C_s$$
  
\*  $(1 - \delta_s) * FC + (1 - \delta_s)$   
\*  $C_f * (1 - \delta_u) * FC$  (10)

$$Cost_{Norm} = \frac{Cost_{Estm\_SDP}}{Cost_{Estm\_WSDP}}$$

$$\{ < 1, Significant SDP System \\ > 1$$
Not Suitable (11)

Here, Cost  $_{Estm\ SDP}$  represents the estimated fault removal cost for software with fault prediction scheme, Cost  $_{Estm\ WSDP}$  is the fault removal cost without using any SDP system. The variable Cost  $_{Norm}$  refers the normalized cost with the SDP models. As illustrated in above expression, the minimal normalized cost signifies better employability of a defect prediction system. In this

paper, the cost analysis for both the proposed HENN as well as Levenberg Marquardt based ANN (LMANN) has been done. The results obtained are given in Table 7.

### V. Result and Analysis

This section discusses the experimental setup, benchmark fault data, results and performance analysis.

In this paper, the overall algorithms for artificial neural network, Levenberg Marquardt based ANN, Adaptive Genetic Algorithm and its implementation with ANN for defect prediction, etc have been developed using MATLAB2012b software model. In addition, the toolboxes of machine learning and artificial neural network have been considered to perform simulation. In order to examine the performance of the proposed HENN model, object oriented software metrics suite, CK Metrics [17] has been considered, which has been derived from the fault data taken from PROMISE [49] and NASA MDP [50] fault data repository. The software metrics from the fault datasets (JEdit, Ant, Camel and IVY) have been derived using Chidamber and Kemerer Java Metrics tool (CKJM) tool that extracts software metrics by executing byte code of compiled Java cases and assigns a definite weight of the comprising classes having feature vectors. In this paper, six predominant CK metrics have been considered as depicted in the Table-4.

*Table 4* : Object Oriented Software Metrics (CK Metrics [17])

WMC	Overall complexities of the methods in comprising classes		
NOC	Number of sub-classes subordinate to a class in the class hierarchy		
DIT	Maximum height of the class hierarchy		
CBO	Number of other classes to which it is		
	allied with		
RFC	A set of approaches that can be executed in response to a message received by an object of that		
	class		
LCOM	Dissimilarity measurement of varied methods in a class using instanced attributes/variables		

In our work, the six software metrics have been considered as the independent data while the fault data has been taken as dependent variable.

The considered data *JEdit, Ant, Camel* and *IVY* comprise static code measures along with varied modules sizes, defective modules and defect rates. In the proposed SDP models the respective extracted weights and features of the data classes have been taken as input to the ANN as illustrated in Figure-1. On the basis of final outcome of the both SDP models, LM-ANN as well as HENN for individual datasets, the confusion matrix has been obtained. A confusion matrix comprises two rows and columns representingtrue positive (TP), false negatives (FN), false positive (FP) and true Negative variables. The variables in confusion matrix represent the faulty and non-faulty data and its severity. As depicted in Table-5, TP depicts modules

which are classified as FAULTY, FN represents the modules which are FAULTY but are classified incorrectly as NON-FAULTY. Similarly, FP represents the modules which are non-faulty but are classified as faulty.

Table 5 : Confusion Matrix

	Predicted Defective	
FAULTY	True Positive	False Negative
NON-FAULTY	False Positive	True Negative

In this paper, the performance of the proposed HENN as well as LM-ANN SDP models has been examined in terms of fault prediction accuracy, precision, F-measure, recall, specification and fault detection and removal cost. The mathematical expression for considered performance parameters are given in Table-6.

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Construct	Mathematical Expression	
Recall	TP/(TP + FN)	
Precision	TP/(TP + FP)	
Specification	TN/(TN + FP)	
F-measure	Recall. Precision	
	$\frac{2}{Recall + Precision}$	
Accuracy	(TN + TP)/(TN + FN + FP + TP)	

Table 6 : Performance Parameters

#### a) Result Analysis

The following section represents the results obtained from the proposed HENN based SDP model and a reference model based on Leven berg Marquardt based ANN. Here, from the results obtained it can be found that the proposed HENN based SDP model performs better than Leven berg Marquardt algorithm based ANN (LMANN). Here, it can be found that the average fault prediction accuracy of the proposed

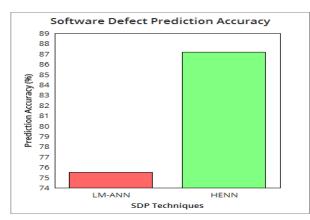
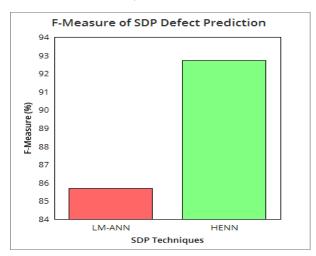
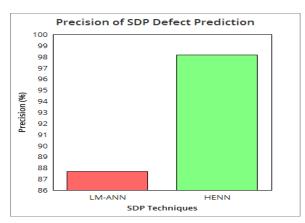


Figure-5 : Accuracy analysis of software defect prediction



*Figure-7 :* F-Measure analysis of software defect prediction

HENN model is 87.23%, on contrary, the LM-ANN based SDP models delivers 75.48% and hence the proposed system outperforms the existing and till most efficient ANN model, LMANN. In addition, the analysis results states that the proposed system provides 98.2% precision, 92.74% F-measure, 88.55% of recall, which is 87.7% 85.7%, and 85.4% for LMANN based SDP system, respectively. The following figures (Figure 5-8) represent the average performance of the proposed system with four benchmark datasets (JEdit, Ant, Camel and IVY). The performance results for the developed SDP models with individual datasets are given in Table-7. Considering cost effectiveness of HENN and LMANN based SDP models, Figure 9 depicts that the proposed HENN based system is most cost efficient as compared to LMAMM, and hence it can be implemented for real time applications intending software defect prediction and removal.



## *Figure-6* : Precision analysis of Software defect prediction precision

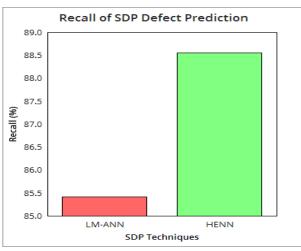


Figure-8 : Recall Analysis of Software defect prediction

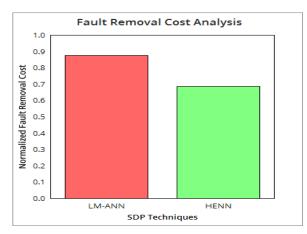


Figure -9 : Fault detection and removal cost analysis

The cost analysis results depict that the 21.66% cost efficient as compared to LMANN based proposed HENN based SDP model is approximately SDP system.

Table 7 : Performance analysis of the proposed HENN model and LM-ANN based SDP system

Data	Modules	Tech.	Accuracy	Precision	F-Measure	Recall	Specification	Norm. Fault Removal Cost (Norm.)
JEDIT	492	HENN	0.9799	1	0.9897	1	0.9756	0.2406
		LMANN	0.8394	0.8503	0.9119	0.9832	0.0526	0.2927
ANT	744	HENN	0.8145	0.9343	0.8867	0.8438	0.6346	0.9149
		LMANN	0.7675	0.9879	0.8684	0.7748	0	0.9763
IVY	352	HENN	0.8835	0.9936	0.9380	0.8883	0.3333	0.7115
		LMANN	0.6278	0.6955	0.7681	0.8577	0.0404	0.8936
CAMEL	965	HENN	0.8114	1	0.8952	0.8102	1	0.8771
		LMANN	0.7845	0.9743	0.8792	0.8011	0	1.3401

Table 7 depicts that the proposed defect prediction approach is highly robust and efficient as compared to Levenberg-Marquardt based ANN system, which is supposed to be the most effective ANN system till. The proposed HENN model has exhibited better cost effectiveness for the fault detection and removal than LMANN. Further to explore effectiveness of the proposed HENN model as compared to other existing systems, a comparison has been done (Table-8) and results revealed that the proposed system can be the best optimal solution for defect prediction for object oriented software applications.

Table 8 : Performance comparison for different SDP schemes

SDP Techniques	Accuracy (%)	Precision (%)	F-Measure (%)
LLE-SVM[51]	81.1	82.5	80.4
SVM [51]	69.4	68.1	69.7
SVM [52]	55.3	88.0	83.2
Natural Gas [57]	94.2	-	-
Symbolic	89.50	-	-
Regression [57]			
RBP-NN [57]	80.0	-	-
LP [52]	86.6	86.6	87.4
Naive Based [52]	85.6	83.1	83.9
CPSO[53]	69.2	67.6	-
T-SVM [54]	75.8	84.1	80.9
GANN[53]	73.4	81.6	-
AdaBoost [53]	79.1	82.3	-
Random Forest [58]	91.4	-	-
k-NN [56]	91.8	-	-
C4.5 [56]	88.3	-	-

J 48 [56]	90.9		
Levenberg-	88.0	-	-
Marquardt-NN [56]			
NNEP-Evolutionary	88.8	81.2	-
[53]			
PSO [55]	78.7	-	-
PSO-NN [57]	97.7	-	-
HENN SDP	97.9	1	98.9

### VI. CONCLUSION

In order to ensure optimal software reliability and quality of service the earlier prediction of faults and its removal is of great significance. In addition, the cost effective solution for defect prediction and fault removal has motivated industries as well as academician to develop a novel SDP solution that could ensure cost effective and optimal defect prediction solutions. In this paper, an object oriented software matrix based defect prediction model has been developed.

Considering the limitations of artificial intelligence techniques such as artificial neural network, in this paper an evolutionary computing technique named Adaptive Genetic Algorithm (A-GA) has been developed for ANN dynamic weight estimation and learning optimization. The proposed Hybrid Evolutionary computing based Neural Network (HENN) based system has been employed for SDP system. Furthermore, Levenberg Marquardt algorithm based ANN algorithm (LMANN) has been developed for defect prediction. Considering cost effectiveness of the defect prediction systems, a novel mathematical model has been derived and the cost analysis results confirms that the proposed HENN model is cost effective as well as performs better as compared to other existing systems. The simulation results obtained with PROMISE and NASA MDP datasets exhibits that the proposed model performs on average 87.23% accuracy and the best classification accuracy obtained is 97.99% with 100% precision. The proposed model delivers 98.97% of Fmeasure. The cost analysis exhibits that the proposed HENN model is approximate 21.66% cost effective as compared to LMANN. The comparative analysis in this paper reveals that the proposed HENN model performs better as compared to other existing techniques. This paper could perform cost analysis of only HENN and LMANN, hence in future other defect prediction models can also be examined for their cost effectiveness for real time applications.

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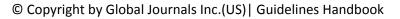
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- 2. Ethical Guidelines,
- 3. Submission of Manuscripts,
- 4. Manuscript's Category,
- 5. Structure and Format of Manuscript,
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#### Abstract:

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- Fundamental goal
- To the point depiction of the research
- Consequences, including <u>definite statistics</u> if the consequences are quantitative in nature, account quantitative data; results of any numerical analysis should be reported
- Significant conclusions or questions that track from the research(es)

#### Approach:

- Single section, and succinct
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#### Approach:

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#### Approach:

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Content

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- In manuscript, explain each of your consequences, point the reader to remarks that are most appropriate.
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- Explain results of control experiments and comprise remarks that are not accessible in a prescribed figure or table, if appropriate.

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#### Approach

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- Give details all of your remarks as much as possible, focus on mechanisms.
- Make a decision if the tentative design sufficiently addressed the theory, and whether or not it was correctly restricted.
- Try to present substitute explanations if sensible alternatives be present.
- One research will not counter an overall question, so maintain the large picture in mind, where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

#### Approach:

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Methods and Procedures	Clear and to the point with well arranged paragraph, precision and accuracy of facts and figures, well organized subheads	Difficult to comprehend with embarrassed text, too much explanation but completed	Incorrect and unorganized structure with hazy meaning		
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Discussion	Well organized, meaningful specification, sound conclusion, logical and concise explanation, highly structured paragraph reference cited	Wordy, unclear conclusion, spurious	Conclusion is not cited, unorganized, difficult to comprehend		
References	Complete and correct format, well organized	Beside the point, Incomplete	Wrong format and structuring		

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