

GLOBAL JOURNAL

OF HUMAN SOCIAL SCIENCES: D

History, Archaeology
& Anthropology

History of Aboriginal Tribes

The Phenomenon of Chaste Women

Highlights

Avant-Garde Art During the Cold War

Construction of American National Identity

Discovering Thoughts, Inventing Future

VOLUME 24

ISSUE 2

VERSION 1.0



GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ANTHROPOLOGY & ARCHAEOLOGY



GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ANTHROPOLOGY & ARCHAEOLOGY

VOLUME 24 ISSUE 2 (VER. 1.0)

OPEN ASSOCIATION OF RESEARCH SOCIETY

© Global Journal of Human Social Sciences. 2024.

All rights reserved.

This is a special issue published in version 1.0 of "Global Journal of Human Social Sciences." By Global Journals Inc.

All articles are open access articles distributed under "Global Journal of Human Social Sciences"

Reading License, which permits restricted use. Entire contents are copyright by of "Global Journal of Human Social Sciences" unless otherwise noted on specific articles.

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage and retrieval system, without written permission.

The opinions and statements made in this book are those of the authors concerned. Ultraculture has not verified and neither confirms nor denies any of the foregoing and no warranty or fitness is implied.

Engage with the contents herein at your own risk.

The use of this journal, and the terms and conditions for our providing information, is governed by our Disclaimer, Terms and Conditions and Privacy Policy given on our website <http://globaljournals.us/terms-and-condition/menu-id-1463/>

By referring / using / reading / any type of association / referencing this journal, this signifies and you acknowledge that you have read them and that you accept and will be bound by the terms thereof.

All information, journals, this journal, activities undertaken, materials, services and our website, terms and conditions, privacy policy, and this journal is subject to change anytime without any prior notice.

Incorporation No.: 0423089
License No.: 42125/022010/1186
Registration No.: 430374
Import-Export Code: 1109007027
Employer Identification Number (EIN):
USA Tax ID: 98-0673427

Global Journals Inc.

(A Delaware USA Incorporation with "Good Standing"; Reg. Number: 0423089)

Sponsors: Open Association of Research Society

Open Scientific Standards

Publisher's Headquarters office

Global Journals® Headquarters

945th Concord Streets,

Framingham Massachusetts Pin: 01701,

United States of America

USA Toll Free: +001-888-839-7392

USA Toll Free Fax: +001-888-839-7392

Offset Typesetting

Global Journals Incorporated

2nd, Lansdowne, Lansdowne Rd., Croydon-Surrey,

Pin: CR9 2ER, United Kingdom

Packaging & Continental Dispatching

Global Journals Pvt Ltd

E-3130 Sudama Nagar, Near Gopur Square,

Indore, M.P., Pin:452009, India

Find a correspondence nodal officer near you

To find nodal officer of your country, please email us at local@globaljournals.org

eContacts

Press Inquiries: press@globaljournals.org

Investor Inquiries: investors@globaljournals.org

Technical Support: technology@globaljournals.org

Media & Releases: media@globaljournals.org

Pricing (Excluding Air Parcel Charges):

Yearly Subscription (Personal & Institutional)

250 USD (B/W) & 350 USD (Color)

EDITORIAL BOARD

GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE

Dr. Arturo Diaz Suarez

Ed.D., Ph.D. in Physical Education Professor at
University of Murcia, Spain

Dr. Prasad V Bidarkota

Ph.D., Department of Economics Florida International
University United States

Dr. Alis Puteh

Ph.D. (Edu.Policy) UUM Sintok, Kedah, Malaysia M.Ed
(Curr. & Inst.) University of Houston, United States

Dr. André Luiz Pinto

Doctorate in Geology, PhD in Geosciences and
Environment, Universidade Estadual Paulista Julio
de Mesquita Filho, UNESP, Sao Paulo, Brazil

Dr. Hamada Hassanein

Ph.D, MA in Linguistics, BA & Education in English,
Department of English, Faculty of Education, Mansoura
University, Mansoura, Egypt

Dr. Asuncin Lpez-Varela

BA, MA (Hons), Ph.D. (Hons) Facultad de Filología
Universidad Complutense Madrid 29040 Madrid Spain

Dr. Faisal G. Khamis

Ph.D in Statistics, Faculty of Economics &
Administrative Sciences / AL-Zaytoonah University of
Jordan, Jordan

Dr. Adrian Armstrong

BSc Geography, LSE, 1970 Ph.D. Geography
(Geomorphology) Kings College London 1980 Ordained
Priest, Church of England 1988 Taunton, Somerset,
United Kingdom

Dr. Gisela Steins

Ph.D. Psychology, University of Bielefeld, Germany
Professor, General and Social Psychology, University of
Duisburg-Essen, Germany

Dr. Stephen E. Haggerty

Ph.D. Geology & Geophysics, University of London
Associate Professor University of Massachusetts,
United States

Dr. Helmut Digel

Ph.D. University of Tbingen, Germany Honorary President
of German Athletic Federation (DLV), Germany

Dr. Tanyawat Khampa

Ph.d in Candidate (Social Development), MA. in Social
Development, BS. in Sociology and Anthropology,
Naresuan University, Thailand

Dr. Gomez-Piqueras, Pedro

Ph.D in Sport Sciences, University Castilla La Mancha,
Spain

Dr. Mohammed Nasser Al-Suqri

Ph.D., M.S., B.A in Library and Information Management,
Sultan Qaboos University, Oman

Dr. Giaime Berti

Ph.D. School of Economics and Management University of Florence, Italy

Dr. Valerie Zawilski

Associate Professor, Ph.D., University of Toronto MA - Ontario Institute for Studies in Education, Canada

Dr. Edward C. Hoang

Ph.D., Department of Economics, University of Colorado United States

Dr. Intakhab Alam Khan

Ph.D. in Doctorate of Philosophy in Education, King Abdul Aziz University, Saudi Arabia

Dr. Kaneko Mamoru

Ph.D., Tokyo Institute of Technology Structural Engineering Faculty of Political Science and Economics, Waseda University, Tokyo, Japan

Dr. Joaquin Linne

Ph. D in Social Sciences, University of Buenos Aires, Argentina

Dr. Hugo Nami

Ph.D.in Anthropological Sciences, Universidad of Buenos Aires, Argentina, University of Buenos Aires, Argentina

Dr. Luisa dall'Acqua

Ph.D. in Sociology (Decisional Risk sector), Master MU2, College Teacher, in Philosophy (Italy), Edu-Research Group, Zrich/Lugano

Dr. Vesna Stankovic Pejnovic

Ph. D. Philosophy Zagreb, Croatia Rusveltova, Skopje Macedonia

Dr. Raymond K. H. Chan

Ph.D., Sociology, University of Essex, UK Associate Professor City University of Hong Kong, China

Dr. Tao Yang

Ohio State University M.S. Kansas State University B.E. Zhejiang University, China

Mr. Rahul Bhanubhai Chauhan

B.com., M.com., MBA, PhD (Pursuing), Assistant Professor, Parul Institute of Business Administration, Parul University, Baroda, India

Dr. Rita Mano

Ph.D. Rand Corporation and University of California, Los Angeles, USA Dep. of Human Services, University of Haifa Israel

Dr. Cosimo Magazzino

Aggregate Professor, Roma Tre University Rome, 00145, Italy

Dr. S.R. Adlin Asha Johnson

Ph.D, M. Phil., M. A., B. A in English Literature, Bharathiar University, Coimbatore, India

Dr. Thierry Feuillet

Ph.D in Geomorphology, Master's Degree in Geomorphology, University of Nantes, France

CONTENTS OF THE ISSUE

- i. Copyright Notice
 - ii. Editorial Board Members
 - iii. Chief Author and Dean
 - iv. Contents of the Issue
-
- 1. The Construction of American National Identity through Avant-Garde Art during the Cold War. *1-11*
 - 2. The Gift and not the Gun Ruined them: The Cultural History of Aboriginal Tribes of Andaman and Nicobar Islands. *13-47*
 - 3. The Phenomenon of Chaste Women in the Ming and Qing Dynasties. *49-51*
 - 4. Why Economics is not, and Cannot be, a Real Science. *53-64*
 - 5. Anna Maria Morso Bonanno: Biographical Research in a Digital Workflow. *65-76*
-
- v. Fellows
 - vi. Auxiliary Memberships
 - vii. Preferred Author Guidelines
 - viii. Index



GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ARCHAEOLOGY & ANTHROPOLOGY
Volume 24 Issue 2 Version 1.0 Year 2024
Type: Double Blind Peer Reviewed International Research Journal
Publisher: Global Journals
Online ISSN: 2249-460X & Print ISSN: 0975-587X

The Construction of American National Identity through Avant-Garde Art during the Cold War

By Xinyu Yang

Abstract- This essay explored the effect of avant-garde art on the construction of American national identity through country-administered cultural propaganda. The essay first discussed the necessity of building a national identity or image for America during that critical historical time and then demonstrated and exemplified the effectiveness of avant-garde art in achieving this goal. After that, the essay used artworks of Robert Rauschenberg that had been enrolled in the 1964 Venice Biennale and Rauschenberg Overseas Culture Interchange (ROCI) as a case study to support the above argument through visual analysis of symbols that appeared on these artworks. The Cold War between America and the Soviet Union, in the 20th century was a rivalry fought by two contrasting ideologies, Capitalism in opposition to Socialism. This intangible ideological war was reflected and visualized in political, economic, military, social, and cultural fields. Prominent examples included proxy wars, the Marshall Plan, the Containment Policy, the Space Race, and the Berlin Wall et cetera. In this regard, this essay focused on the manifestation of one important aspect of this rivalry, the construction of American national identity, in avant-garde art.

Keywords: *avant-garde art, art history, cold war, America, national identity.*

GJHSS-D Classification: LCC: N6494.A9



Strictly as per the compliance and regulations of:



The Construction of American National Identity through Avant-Garde Art during the Cold War

Xinyu Yang

Abstract- This essay explored the effect of avant-garde art on the construction of American national identity through country-administered cultural propaganda. The essay first discussed the necessity of building a national identity or image for America during that critical historical time and then demonstrated and exemplified the effectiveness of avant-garde art in achieving this goal. After that, the essay used artworks of Robert Rauschenberg that had been enrolled in the 1964 Venice Biennale and Rauschenberg Overseas Culture Interchange (ROCI) as a case study to support the above argument through visual analysis of symbols that appeared on these artworks. The Cold War between America and the Soviet Union, in the 20th century was a rivalry fought by two contrasting ideologies, Capitalism in opposition to Socialism. This intangible ideological war was reflected and visualized in political, economic, military, social, and cultural fields. Prominent examples included proxy wars, the Marshall Plan, the Containment Policy, the Space Race, and the Berlin Wall et cetera. In this regard, this essay focused on the manifestation of one important aspect of this rivalry, the construction of American national identity, in avant-garde art.

Keywords: *avant-garde art, art history, cold war, America, national identity.*

1. INTRODUCTION

Showcased in Moscow's Sokolniki Park in the summer of 1959 was a sophisticated network of steel bars, resembling the typical jungle gym in a children's playground, inlaid with multiple colorful panels that represented a kaleidoscope of American culture: there were items "in the cultural, industrial, home and leisure-time groups,"¹ toys, televisions, radios, to name a few, as well as aesthetic creations that conveyed the spiritual wealth of American culture. Visitors of this massive installation art were "immediately engulfed in a barrage of sights and sound"² upon entering, as a newspaper described the space as "a riot of color" that spectators could only "clamber through."³ This comment suited the work's purpose that "the display of these thousands of items related to daily living in the United States" would "reflect the immense variety and

the great freedom of economic system" and the importance of American consumerism."⁴

This artistic installation, *Jungle Gym*, was designed by modernist architect George Nelson, influenced by avant-garde art, who went on a cultural voyage to the USSR in 1959 as part of the American National Exhibition in Moscow. It was coordinated by the famous American propaganda agency, the United States Information Agency (USIA), to thaw the relationship between the two superpowers and boost America's social prosperity and the subsequent high living standard in front of the Soviet people. As part of this trip, Vice President Richard Nixon also boasted about American living standards in the historic "kitchen debate" with the Soviet premier Nikita Khrushchev. The exhibition could be considered an example of successful American overseas cultural propaganda as it demonstrated the booming economy and thriving consumerist society of America, enhancing its international reputation and constructing a potent and prospering national image.

However, it wasn't the first time that America employed the soft power of propaganda to achieve political goals. During WWI, President Wilson and his government conducted a series of propaganda campaigns aimed at arousing patriotism on a national scale and persuading more people to join the army. During WWII, the scale of American propaganda was expanded, and its strategies were updated. President Roosevelt created the Office of World Information (OWI) in 1942 which used multiple propaganda technologies, such as movies and radio, to communicate with the American public and inform them of progress on battlefields overseas. Thus, as the United States entered the Cold War, propaganda—"the design, production, and dissemination of these words and images—was central to the forty-year battle fought between East and West after the Second World War."⁵ During a period when both sides possessed destructive nuclear weapons, propaganda became a sharp weapon for undermining the opponent's image and improving one's great international reputation, thereby strengthening one's international influence. For instance, Joseph Stalin once contemptuously conversed with Enlai Zhou on the

Author: 9B, Building 7, Donghai Garden II, Xianglin Road 28, Futian District, Shenzhen City, Guangdong Province, China.
e-mail: dorayang529@icloud.com

¹ Charlotte Hecht, "The Aesthetics of Propaganda: Modern Design at the 1959 American National Exhibition in Moscow," May 5, 2016, <https://digitalcommons.conncoll.edu/cgi/viewcontent.cgi?article=1008&context=americanstudieshp>.

² Ibid.

³ Ibid.

⁴ Ibid.

⁵ Tony Shaw, Review of *The Politics of Cold War Culture*, by Steve Nicholson, et al., *Journal of Cold War Studies* 3, no. 3 (2001): 59–76. <https://www.jstor.org/stable/206925135>.

topic that "America's primary weapons . . . are stockings, cigarettes, and other merchandise."⁶ This statement not only revealed that America was successful in displaying its economic prosperity but also stated the stereotype of America as a consumerism-driven society. Thus, another prominent function of cultural propaganda: forming a country's national identity.

Before further exploration, it should be noted that national identity in this essay is defined as "a sense of a nation as a cohesive whole, as represented by (the maintenance of) distinctive traditions, culture, linguistic or political features", according to Oxford English Dictionary.⁷ This definition clearly stated that although national identity was defined by certain aspects characteristic of that nation, the attribution of such aspects didn't have to be just originated from people who belonged to that nation. In other words, the formation of national identity could also be influenced by external perspectives. Of course, a nation's "self-determination" of its identity couldn't be rejected, but in the cohesively connected human society, the formation of identity was a continuous conversation between the subject of identity and its

In Benedict Anderson's *Imagined Communities: Reflections on the Origin and Spread of Nationalism*, Anderson defines a nation as "an imagined political community... yet in the minds of each [member living in that community] lives the image of their communion."⁸ As Arvid Brodersen has shown, national identity is important in forming foreign impressions as well. This included 1) America's ascendancy as a world power; 2) America's grand size and wealth; 3) American emphasis on science and technology; and 4) America's great cultural tolerance.⁹ This American national identity, despite inheriting the cultural heritage built up during the Cold War, was not the exact national identity constructed through avant-garde art at that time due to the fact that national identity shifted with changes in historical context.

During the Cold War, for example, the cultural propaganda of American avant-garde art impacted the formation of American national identity at that time. In general, art was used to influence and reinforce national

identity due to its interactions with historical circumstances.¹⁰ Specifically, avant-garde art served as a suitable tool for cultural propaganda in the political rivalry because it has been associated with politics for centuries. The tradition of avant-garde, conveying social and political messages, was rooted in Henri de Saint-Simon, the great French utopian socialist and father of the term "avant-garde" art. In his *Opinions littéraires, philosophiques et industrielles*, Saint-Simon gave artists a leading role in shaping a better community by placing them "at the head of an elite administrative trinity consisting of artists, scientists, and industrialists-artists."¹¹ Consequently, the secular, social, and political tradition of avant-garde art proved this artistic genre a suitable weapon in this cultural-political rivalry.

Previous scholars have also addressed these topics, such as Walter L. Hixon. In his book *Parting the Curtain: Propaganda, Culture, and the Cold War, 1945-1961*, Hixon focused on the American government's effort in addressing anti-communism and democracy through various propagandistic means. He also acknowledged the importance of cultural diplomacy in American foreign policies.¹² However, Hixon mainly examined the roles of innovative technologies, such as wireless radios and televisions, in American cultural exportations. Christin J. Mamiya, on the other hand, examined the role of avant-garde art in shaping the American national identity through the case of Robert Rauschenberg. She argues that art conveyed certain messages in certain historical circumstances. Mamiya also researched how domestic American responses shaped the avant-garde construction of national identities but neglected the effects of foreign responses. This paper builds on prior scholarship and, in an attempt to enrich aspects of this topic that previous scholars didn't acknowledge, examines the construction of national identity through cultural propaganda of American avant-garde art and how it was influenced by both domestic and foreign reactions. The paper will use a specific case study of Robert Rauschenberg, the same as Mamiya, with an original analysis of its artistic elements to better illustrate the foreign impact on American national identity.

To elaborate on specific nationalistic elements of American avant-garde art, this paper uses Robert Rauschenberg's artworks in overseas cultural exchange exhibitions as a case study. Rauschenberg's achievements in pop art, a subset of the avant-garde, and their projected influence gained him the reputation

⁶ Walter L. Hixson, *Parting the Curtain: Propaganda, Culture, and the Cold War, 1945 - 1961* (New York: Ny St. Martin's Griffin, 1998).

⁷ "National Identity, N. Meanings, Etymology and More | Oxford English Dictionary," *Oed.com*, 2023, <https://doi.org/10.1093/OED/4775273353>.

⁸ Benedict Anderson, *Imagined Communities: Reflections on the Origin and Spread of Nationalism* (London: Verso, 1983), 15–16. Cited in Christin J. Mamiya, "We the People: The Art of Robert Rauschenberg and the Construction of American National Identity," *American Art* 7, no. 3 (July 1993): 41–63, <https://doi.org/10.1086/424194>.

⁹ Arvid Brodersen, "Themes in the Interpretation of America by Prominent Visitors from Abroad," *Annals of the American Academy of Political and Social Science* 295, no. 1 (September 1, 1954): 21–32, <https://doi.org/10.1177/000271625429500104>.

¹⁰ Christin J. Mamiya, "We the People: The Art of Robert Rauschenberg and the Construction of American National Identity," *American Art* 7, no. 3 (July 1993): 41–63, <https://doi.org/10.1086/424194>.

¹¹ Donald D. Egbert, "The Idea of 'Avant-Garde' in Art and Politics," *The American Historical Review* 73, no. 2 (December 1967): 342, <https://doi.org/10.2307/1866164>.

¹² Hixon.

of "Citizen Artist,"¹³ representative of American artists who "felt a great sense of responsibility to the life of his time, who was deeply involved in it in all sorts of different ways."¹⁴

In short, American avant-garde art constructed the American national identity during the Cold War through overseas propaganda due to its imagery integration with contemporary historical circumstances such as rivalry with the Soviet Union and avant-garde's own suitability with promoting preferable American values. Many characteristic traits and celebrated themes of avant-garde art fulfilled America's demand to create a national identity of freedom, economic prosperity, and cultural tolerance during the Cold War. Specifically, the American administration designed multiple overseas cultural exchange programs that promoted the spread of the ideal American national identity among foreign countries. However, by combining with foreigners' responses towards these propagandistic campaigns, the American national identity became malleable under different historical circumstances as well as diverse perspectives.

II. NEED FOR A NATIONAL IDENTITY IN THE COLD WAR

The American national identity was constructed partially through the cultural propaganda diplomacy issued by the American government. Shaped as a potent and ideal representative of democracy, freedom, and anti-oppression, the American national identity is not erected independently, but out of an international interactive narration—the decades-long frigid relationship with the USSR presented in cultural, economic, political, scientific, and military aspects, for example. In other words, America was redefining, refining, and renegotiating its national identity and value in accordance simultaneously with the national image created by its opponent.¹⁵ In the Cold War, Americans thrived to differentiate itself entirely from Soviets who were ideologically and socially opposite to American supported values. America's selection of avant-garde art as a cultural weapon was in accordance with this principle. Therefore, understanding the Soviet national identity in its artistic genre was important in understanding that of America because the American national identity was aiming to oppose that of the Soviets.

The Soviet national identity was reflected through its ideologies and future goals. Boris Groys described what "leaders of the October Revolution" and subsequent Soviet leaders promised:

The unordered, chaotic life of past ages was to be replaced by a life that was harmonious and organized according to a unitary artistic plan.¹⁶

The fulfillment of this general abstract guideline of replacing chaos with stability consequently replaced diversity with homogeneity. It required the economic, social, and political subordination under a single authority or a highly centralized party consisting of only a few social elites. At the launch in April 1921, Gosplan, the centralized institution responsible for mapping out the five-year economic plans was comprised of only thirty-four officers,¹⁷ a number in stark contrast to the approximately twenty million Soviet inhabitants upon whom the economic plan was imposed. Apart from this, the USSR political system, regardless of its shifting between reigns of different premiers, preserved the same political heritage from the High Stalinism era characterized by "secrecy, arbitrariness, coercion, insularity, inefficiency, mendacity, corruption, careerism, status consciousness, and lack of initiative from below."¹⁸ Scholar T. H. Rugby concluded the previous traits as "all institutions had gradually dissolved in the acid of despotism."¹⁹

The extraordinary coverage of highly centralized governmental power with limited diversity in politics and economy resulted in an overall homogeneity in Soviet social and cultural life. Harsh restriction and oppression were consequently imposed by authoritative organizations on various communities that distinguished from the homogeneous social and cultural trends. Avant-garde art became a target for several reasons. To begin with, avant-garde art contradicted the government-promoted and socially accepted artistic genre: socialist realism. Socialist realism was characterized by its demonstration of an ideal socialist society under the regime of the USSR by advancing "principles such as typicality, optimism, 'revolutionary romanticism,' 'reality in its revolutionary development.'"²⁰ These traits were perceived in a famous Soviet painting *The Dawn of Our Fatherland*. This work was composed in celebration of Joseph Stalin's seventy-first birthday and his enormous contribution to the Soviet Union. In the artwork, Stalin's straight figure is centered, dressed in a pristine white uniform that signifies his modesty; his

¹⁶ Ibid.

¹⁷ Edward Hallett Carr and Robert W Davies, *A History of Soviet Russia. 11, Foundations of a Planned Economy 1926-1929; Vol. 2* (London U.A.: Macmillan, 1978), 377.

¹⁸ Erik P. Hoffmann, "The Evolution of the Soviet Political System," *Proceedings of the Academy of Political Science* 35, no. 3 (1984): 10, <https://doi.org/10.2307/1174113>.

¹⁹ Yoram Gorlizki, "Ordinary Stalinism: The Council of Ministers and the Soviet Neopatrimonial State, 1946-1953," *The Journal of Modern History* 74, no. 4 (December 2002): 699, <https://doi.org/10.1086/376210>.

²⁰ Stephen C. Feinstein and John E. Bowl, "Russian Art of the Avant-Garde: Theory and Criticism, 1902-1934," *Russian Review* 36, no. 2 (April 1977): 238, <https://doi.org/10.2307/128925>.

¹³ Mamiya, 41.

¹⁴ Walter Hopps, quoted in Calvin Tomkins, *Off the Wall: Robert Rauschenberg and the Art World of Our Time* (Harmondsworth, Middlesex, England: Penguin Books, 1980), 297-98.

¹⁵ Mamiya, 42.

hands crosses in the front, an iconic gesture that conveyed the steadiness and authority as the supreme leader of the country. He gazes determinedly forward, towards a glowing yellow hue, the dawn sunlight, that alludes to the ideal future made possible by industrial and social modernization on a massive scale. The tidy landscape with trackers, antenna towers, and industrial factories operating robustly indicates the Soviet's imagined bright future. This artwork combined the artistic expressions of portrait and landscape, two traditional art forms, to emphasize the grandeur of Stalin and the promising society he established. Overall, socialist realism celebrated idealistic yet realistic images of the society under the ideological leadership of Marxism, serving as a major artistic means of cultural propaganda targeted at both domestic inhabitants and international communities.

Therefore, it was unsurprising that the avant-garde art, with its abstract and rough brushstrokes, often fragmented forms, unconventional composition materials and techniques, and imprecise and non-definitive messages which were often related to anarchism or complete objection to all politics, was affirmatively unwelcomed by political-administrative of the USSR. In 1932, Stalin's government issued the 1932 Decree of the Bolshevik Central Committee "On the Restructuring of Literary-Artistic Organizations," which gave the government full control of arts in the USSR. This operation stimulated the later implementation of an official objection policy of avant-garde art. Soviet officials already developed a hostility towards avant-garde art because it deviated from the party's socialist construction in an uncontrollable way. In 1934, Stalin implemented a policy that formally unified artistic means of expression into a single genre—Socialist Realism, broadly defined as "socialist in content and realist in form"—which called for the consequential prohibitions and exaltation of four types of art genres: political art, religious art, erotic art, and "formalistic" art including avant-garde art.²¹ Indignant artists who didn't comply with the new policy were forced out of their prestigious positions in the centralized administration of culture.²²

The aesthetic style of Socialist Realism, which celebrated restriction from the Soviet government in forms and content, determined its destiny to become an opposite rivalry of avant-garde art, which was one of the reasons why the American government chose avant-garde art to compete against the USSR in the field of culture. Constructing a tolerance for pluralism to the incomprehensible and persecuted avant-garde art, contrary to that of the Soviet Union was an effective

option in separating capitalistic America from any socialist ideologies. This also reinforced the USSR government as a cruel totalitarianism government that sought to eliminate cultural diversity and, consequently democracy and freedom. This image, therefore, would undermine the Soviet's international support, especially from artists whose creeds and pursued artistic expressions were subjected to mistreatment. The response of the Soviets substantiated the hypothesized motive of America supporting avant-garde art (presented above): a reviewer from the Catholic weekly *America* noted in 1963 that "the Oct. 19 issue of *Izvestia*, the Moscow daily, charged that our American modern artists are undermining Communist principles. The United States, it was alleged, was using a current exchange show for 'ideological subversion.' All those abstract prints are ... political dynamite."²³

Apart from representing the opposite of the Soviet Union, support for avant-garde art by the American government was prompted because of several other reasons. To begin with, avant-garde arts were one of the few localized American art forms, something that America needed localized cultures to refute the Soviet's accusation of a cultural desert. Truthfully, avant-garde art didn't originate in America. Instead, its origin could be traced back to the French military term "vanguard" which referred to "those on the front-line, closest to conflict" in the Middle Ages. Later, it was transformed by Henri de Saint-Simon, the great French utopian socialist who inspired modern communism invented by Karl Marx, to refer to artists who "serve(d) as [the people's] garde," using the power of artistic creations as "the most immediate and fastest way to social, political, and economic reform."²⁴ The earliest artists associated with avant-garde art were Gustave Courbet, Jean-François Millet, and later the Impressionists, all of them processing a clear European origin. However, with the ending of World War II, Europe had lost its dominating status in global politics and economy. Countries like Britain and France abdicating their titles of superpowers as Europe set into decline as its population decreased sharply, its cities wrecked by bombs and gunpowder, its once-flourished economy dimmed by heavy military expenditure and stagnation in industrial and agricultural development due to war. As a result, the center of art shifted from Paris to New York. Hence, along with some newly risen talented American artists such as Jackson Pollock and Milton Ernest "Robert" Rauschenberg, avant-garde art gradually fit into the context of American society and assumed some of its distinct characteristics. Nonetheless, avant-garde art wasn't the only artistic

²¹ Alla Rosenfeld and Norton T Dodge, *Nonconformist Art: The Soviet Experience, 1956-1986: The Norton and Nancy Dodge Collection, the Jane Voorhees Zimmerli Art Museum, Rutgers, the State University of New Jersey* (New York: Thames and Hudson In Association With The Jane Voorhees Zimmerli Art Museum, 1995), 36–41.

²² GroisBoris, 3.

²³ "Art and Subversion," *Newsweek* 64 (6 July 1964): 620. Cited in Mamiya.

²⁴ National Galleries of Scotland, "Avant-Garde," www.nationalgalleries.org, accessed November 2, 2023, <https://www.nationalgalleries.org/art-and-artists/glossary-terms/avant-garde>.

genre that existed in America, which raises the question: why chose avant-garde art to represent the national identity and values of America during the Cold War?

III. AVANT-GARDE ART AS A CULTURAL WEAPON AGAINST THE USSR

Visual art forms were considered particularly effective at imparting sophisticated philosophical and political ideas, especially during the early age with limited literacy rate,²⁵ but avant-garde arts in particular, were more accessible both physically and mentally to normal audiences lacking professional artistic knowledge to comprehend and appreciate. To start with, avant-garde arts were relatively more physically accessible to the general crowd for their usage of materials easily obtained from everyday life. Despite preserving some traditional drawing materials such as oil paints and acrylic paints, a large proportion of avant-garde artists used resources from a variety of novel sources in comparison to oil paintings, sculptures, and watercolors that previous artists used: massively manufactured objects, cuttings from popular magazines and newspapers, consumer goods, or technologies such as photography. Duchamp's *Fountain*, a representative of avant-garde art challenged the established idea of "art" being the artwork itself. He contended that the artwork was only a medium of conveying; instead, the artist's thoughts were "art." The work itself, a standard Bedfordshire model urinal from the J. L. Mott Iron Works, 118 Fifth Avenue, was an "everyday object raised to the dignity of a work of art by the artist's act of choice."²⁶ Therefore, materials used for avant-garde artworks resulted in readily availability and fast reproduction of avant-garde artworks, traits ideal for cultural propaganda as their convenience in producing multiple copies in a short amount of time.

Apart from this, avant-garde art, especially performance art, sought to provoke, enlighten, or impart messages through the dynamic artistic creations. It was characterized by "live-ness, physical movement and impermanence", an alternative to the "static permanence of painting and sculpture."²⁷ Unlike traditional artworks isolated in glass exhibition stands and enshrined permanently in a museum, performance arts encouraged constant interactions between the author and the artworks, as well as the audience and the artworks, because interactions and movements themselves complete the artwork. Take Yoko Ono, an American Japanese female avant-garde artist, for example. Yoko's iconic performance art *Cut Piece*, was achieved through the audience coming up one by one and stripping her naked by cutting away pieces of

clothes on her body. The fleetingness of some avant-garde arts was suitable for supporting cultural propaganda, which required works commenting on recent events to be accomplished in a short amount of time. From 1960-1971, Yoko produced 125 artworks, including objects, paper works, installations, and performances.²⁸ In contrast, Andrew Nowell Wyeth, who practices Italian neorealism in conventional watercolor and tempera paintings, produces only 135 pieces ranging from the 1930s to the 2000s.²⁹ The dynamics of avant-garde artworks also resulted in the fact that it made quick cross-parochial transportation feasible, thus satisfying the prerequisite of American government-sponsored international cross-countries tour of artworks during the Cold War.

However, being physically accessible to the audience was insufficient during cultural propaganda if without some appeals to thoughts and ideas. Named for advancing into innovative political, economic, and cultural fields, avant-garde arts typically engaged with provocative or controversial social themes. San Francisco's Rincon Post Office Annex murals vividly exemplify how avant-garde art drew great public attention and generated heated social debates.

As one of the most expensive and controversial projects (\$26,000) of Anton Refregier sponsored by the Treasury Department's Section of Fine Arts, this collection of twenty-seven murals depicting the history of San Francisco located in the L-shape lobby of the Rincon Annex Post Office sparked national debates regarding the inclusion of disputable historical events in California, which the artist himself viewed as a "meaningful, significant, . . . powerful plastic statement based on the history and lives of the people."³⁰ The murals intended to cover significant but also highly controversial events from early native American art to the Golden Gate International Exhibition,³¹ including anti-Chinese Sand Lot riots, the trade unionist Tom Mooney's trial (based on fabricated evidence), and the 1934 San Francisco waterfront strike, etc., so, predictably, some citizens were displeased to see all past mistakes and blemishes exposed, claiming that the murals "placed disproportionate emphasis on violence, racial hatred, and class struggle."³² In particular, the

²⁵ Mamiya, 42.

²⁶ Tim Martin, *Essential Surrealists* (Bath: Dempsey Parr, 1999), 42.

²⁷ Tate, "Performance Art – Art Term | Tate," Tate, 2017, <https://www.tate.org.uk/art/art-terms/p/performance-art>.

²⁸ Phaidon, "Yoko Ono's Cut Piece Explained," Phaidon, accessed November 22, 2023, <https://www.phaidon.com/agenda/art/articles/2015/may/18/yoko-ono-s-cut-piece-explained/>.

²⁹ WikiArt, "Andrew Wyeth - 135 Artworks - Painting," www.wikiart.org, accessed November 22, 2023, <https://www.wikiart.org/en/andrew-wyeth/all-works#>.

³⁰ Anton Refregier, *Government Sponsorship of the Arts* (New York, 1961), 7. Quoted in Gladys M. Kunkel, "The Mural Painting of Anton Refregier in the Rincon Annex of the San Francisco Post Office, San Francisco, California" (master's thesis, Arizona State University, 1969), 27.

³¹ Peter Jenkins, *Along the Edge of America* (Boston: Houghton Mifflin, 1997), 68–89.

³² Ibid.

panel of the 1934 West Coast waterfront strike, a turmoil causing a total casualty of nine and over 100,0³³ injured³⁴, was severely criticized and protested by the Veterans of Foreign War (VFW) because when one of the mourners in that panel was spotted wearing a VFM hat, which had to be erased later. Contractionary, various groups such as the San Francisco Museum and the San Francisco Art Association claimed that these murals were “historically accurate as well as esthetically sound and politically safe.”³⁵ Debates stimulated by these avant-garde murals also suggested a diversity in interpreting the artworks, echoing the freedom of citizens’ thought, one of American national identities, as the underlying logic. Thus, it seemed plausible and reasonable for the American government to choose promoting avant-garde art as their cultural propaganda in constructing the American national identity during the Cold War.³⁶

IV. NATIONAL IDENTITY IN AVANT-GARDE ART

Even though the American government wished to model its international image as a peace-loving guardian fighting for the freedom and democracy of the general crowd so as to counteract the Soviet’s condemnation of it being an aggressive capitalist practicing global hegemonism, the progress of shaping this American identity via avant-garde art didn’t fully follow on its previously established trajectory of creating an ideal national identity of freedom, prosperity, and cultural tolerance. International responses also influenced the construction of American national identity, shaping it into a dominant image. This deviation could be explained by an extension of scholar Christin J. Mamiya’s view of interactions between art and national identity:

The ability of art to reinforce national identity is derived from the interaction of the images with specific historical circumstances that imbue them with ideological resonance.³⁷

In Mamiya’s subsequent analysis, she focuses on the dynamic construction of American national identity through arts under the historical circumstances of domestic America. In other words, the author didn’t acknowledge that foreign response to American artistic propaganda also played a role in shaping the American national identity, failing to enrich it from a more comprehensive perspective. Similarly, when the American government was eager to impose its carefully

selected and designed cultural propaganda such as exhibitions and exchange programs, it didn’t fully consider whether international reactions towards American cultural propaganda would create its desired effects. As a result, the American national identity during the Cold War was characterized by freedom, democracy, and cultural tolerance but also dominating and destructive to exotic arts. Overall, the American national identity was produced out of a bidirectional and constantly shifting process; it was shaped both by domestic governmental efforts out of strategic demand and foreign feedback upon receiving the export of American avant-garde arts.

Among the hundreds of avant-garde artists, Robert Rauschenberg is a good representation of the impact they had on constructing the American national identity through cultural propaganda. Born on October 22, 1925, Robert Rauschenberg was an American avant-garde artist famous for his contributions to the pop art movement, not only because of his skillful practice of collage but also for the widespread acknowledgment of his usage of pop art in shaping the American national identity. Walter Hopps, curator, responsible for paintings and sculptures produced in the twentieth century, at the National Collection of Fine Arts in Washington persuaded the allocation to Rauschenberg of an entire budget of the Bicentennial of the National Collection. Hopps stated that “[Rauschenberg] seemed to me the great example of the ‘Citizen Artist,’ in the eighteenth-century sense that our Founding Fathers so revered, someone who felt a great sense of responsibility to the life of his time, who was deeply involved in it in all sorts of different ways” with a conclusion that Rauschenberg was “the most deeply and quintessentially American artist.”³⁸ This comment was evidence of the fact that Robert Rauschenberg’s participation in building the American national identity using artistic expressions was acknowledged, approved, and promoted by authoritative art institutions and later on the American government, causing his works to be a famous representation of the American national identity and thus making him an avant-garde artist great for examining interactions between art and the American national identity. However, it should be noted that Rauschenberg’s artworks engaged in a bidirectional conversation with the American national identity. The latter, in a sense, actively transformed itself in partial accordance with messages implied in artistic pieces created by Rauschenberg.

In addition to being socially approved to represent American values, Rauschenberg remained an ideal research subject because his artistic expression, collage, was closely aligned with the society at the time:

³³ Howard Kimeldorf, *Reds or Rackets?* (Univ. of California Press, 1988), 68–89.

³⁴ Jane de Hart Mathews, “Art and Politics in Cold War America,” *The American Historical Review* 81, no. 4 (October 1976): 762–787, <https://doi.org/10.2307/1864779>.

³⁵ Ibid.

³⁶ Ibid.

³⁷ Mamiya, 45.

³⁸ Walter Hopps, quoted in Calvin Tomkins, *Off the Wall: Robert Rauschenberg and the Art World of Our Time* (Harmondsworth, Middlesex, England: Penguin Books, 1980), 297–98.

he often extracted his imagery or merely the physical material for his artworks either through newspapers or magazines, thereby ensuring an immediacy and a tight connection with social issues and situations at that time. Additionally, his artistic creations remained avant-garde but not radically so, with no overt indication or covert implication of anarchism or communism worshipped by some other avant-garde artists but disapproved by the general American crowd, as evident in the case of Rincon Post Office Annex murals.

Finally, from a peripheral and pragmatic perspective, Rauschenberg's pursuit of an artistic career in the 1950s coincided with the Cold War, a time when a well-defined potent American national identity was needed.³⁹ Furthermore, Rauschenberg was involved in numerous projects during the Cold War that were either organized by the American government or allowed him to represent America as a nation. Consequently, Rauschenberg's artworks could be considered as "promoting 'American' qualities."⁴⁰ Renowned examples of these artworks include the 1964 Venice Biennale and the Rauschenberg Overseas Culture Interchange (ROCI). Thus, the following sections will include an analysis of how Rauschenberg and responses to his works constructed American national identity under the historical background of American cultural propaganda during the Cold War.

a) The 1964 Venice Biennale

The Venice Biennale is an international cultural exhibition that held annually ever since 1895, presenting outstanding pieces from the fields of art, architecture, contemporary music, theatre, and contemporary dance. As one of the most significant periodic exhibitions of visual arts, the Venice Biennale is divided into various pavilions, with each artwork categorized in nationality to its according pavilion.

The XXXII Venice Biennale in 1964 upheld a unique significance because it "provided a dramatic chapter in the ongoing negotiation of American national identity, and Rauschenberg's art figured prominently in this process."⁴¹ This was because the United States pavilion in 1964, originally sponsored by private institutions such as the Museum of Modern Art, Art Institute of Chicago, and Baltimore Museum of Art, was instead sponsored by the US Information Agency under the supervision of the US government. Alan Solomon, then a curator at the Jewish Museum in New York, was selected by the USIA to fill the position of commissioner of the United States Pavilion.⁴² This transition to governmental sponsorship, along with the fact that the arena was divided between different nationalities, not individual participants, accentuated the nationalistic

keystone of the American Pavilion of the Venice Biennale. Indeed, Lois Bingham, the chief of the Fine Art section of the USIA Exhibition Division, openly declared the primary criteria for selecting the commissioner was someone who could curate "a cohesive show which said something for America."⁴³

The result fulfilled the USIA's expectations. Solomon selected Rauschenberg as a highlighted artist in the exhibition for his integration of images that emphasized the potent position of America in global politics and economy, consequently building a powerful national image.

Rauschenberg excelled at using the technique of collage to visualize the abstract philosophies of the American national identity. In his pieces of art submitted to the Venice Biennale, Rauschenberg inclined to use repetitive images, a pop art element that echoed identical products from mass productions in American current mainstream culture of consumerism, to allude to political, social, economic, and military aspects of America, such as eagles and gestures made by American presidents during their speeches, to emphasize the grand and powerful image of America in the global community. Two prominent examples of which would be *Kite* and *Buffalo*.

Kite, an oil painting on canvas created by Rauschenberg in 1963, capitalized on an allusion to an American eagle to impose a powerful image of America, conveying a sense of stability and authority. Despite its nature of a conventional oil painting, Rauschenberg nevertheless put cuttings of photographs on it: at the central bottom of the work is a record of a chaotic and dynamic marching troop, with each soldier squeezing together in disorder, an environment further discombobulated by tousy flying flags raised above their heads. Above the disorganized crowd is a gilder; its elevated relative position, along with the tumult underneath it, suggests military mayhem, perhaps a commotion during WWII given its creation time. Then, on the central top of the painting perches an eagle, stable and revering, the famous nationalistic symbol of freedom, swiftness, and superiority.⁴⁴ Between the clipart of the eagle and military chaos is a vast amount of white paint that traversed the entire canvas, completely segregating the upper and lower part, which emphasizes America's order and superiority by separating it with the chaos downward. Similar images of eagle representing American appeared in others Rauschenberg's pieces of art, such as *Tracer*, where an eagle perched on top of a prosperous street, with cars

³⁹ Mamiya, 43.

⁴⁰ Ibid.

⁴¹ Ibid.

⁴² Ibid.

⁴³ Lois Bingham, quoted in Laurie J. Monahan, "Cultural Cartography: American Designs at the 1964 Venice Biennale," in *Reconstructing Modernism: Art in New York, Paris, and Montreal 1945-1964*, ed. Serge Guilbaut (Cambridge, Mass.: MIT Press, 1990), p. 382.

⁴⁴ W. E. Woolfenden, "An American Eagle," *Bulletin of the Detroit Institute of Arts of the City of Detroit* 26, no. 3 (1947): 64-64, <https://www.jstor.org/stable/41500720>.

roaring past. In this painting, there is no distinct large boundary between the eagle and the collage of the street, hinting at a tight relationship between the two images. Therefore, *Tracer* expresses the flourishing American society, which further fortified the unified symbolism of national identity behind the image of eagle and the propagandistic purpose of Rauschenberg's paintings in the Venice Biennale.

Furthermore, in some of Rauschenberg's other works he emphasized America's booming economy and the flourishing of consumerism culture through repetitions of large-scale manufactured merchandise. In his work *Coca-Cola Plan*, three coca cola bottles, perhaps the most distinguished visualization of consumerism culture, were lined up in a row in the middle platform of a three-floor wooden case. A pair of wings were installed on wooden boards on either side of the bottles, implying the intention of journeying: international trade of Coca-Cola. Below the Coca-Cola bottles sits a wooden sphere with horizontal equidistant parallel curves, like those of latitude lines, reminding a model of earth. All these combined together to convey a sense of Coca-Cola "flying" to other regions on earth, forming a visual of large companies like Coca-Cola who "through their extensive advertising campaigns and calculated marketing strategies, were among those most responsible for the prominence of American business abroad."⁴⁵ Thus, this overtly demonstrated the spread of American consumerism culture while covertly providing a specification that America was also disseminating its culture around the world.

Finally, Rauschenberg used repeated gestures of the American president, which imposed a strong image of American political status in the world. In his work *Buffalo II*, using Kennedy's hand gesture twice, both pointing forcefully, Rauschenberg enhanced Kennedy's powerful and authoritative image, almost to the stage of mythologizing.⁴⁶

Indeed, this fulfilled the effect of portraying the political aspect of America and reinforcing its national image through repetition. In 1964, Rauschenberg became the first American to win the Golden Lion award at the Venice Biennale. For Americans, this proved that, through the success of American cultural propaganda, American art finally overcame European avant-garde art and "reached its well-deserved place as leader of the pack."⁴⁷ However, this dominant hand gesture of Kennedy, along with other repeated American symbols that were imposed upon the audience, may create some controversy. For Europeans, especially the French, the award demonstrated "the 'last frontier' of American expressionism—for it seemed that the economic and military dominance of the United States finally had been

supplemented by cultural dominance."⁴⁸ Thus, through Rauschenberg's artworks in the Venice Biennale, America established the national identity of not only prosperity, consumerism, and cultural accomplishments, but also economic, political, and social superiority

b) *Rauschenberg Overseas Culture Interchange (ROCI)*

If Rauschenberg's artworks at the Venice Biennale themselves served as potent weapons for shaping the American national identity under the grand historical circumstance of Cold War cultural propaganda, the implementation of the project Rauschenberg Overseas Culture Interchange (ROCI) itself strengthened the American cultural propaganda and its dominating national identity while also participated in the formation of a new type of national characteristics due to its different historical background.

The ROCI was designed by Rauschenberg in 1984 when the Cold War was coming to an end and America and the USSR were attempting to form a more peaceful relationship under the effort of the western-inclined Soviet leader Gorbachev. Feeling that "a one-to-one contact through art contains potent peaceful powers, and is the most non-elitist way to share exotic and common information, seducing us into creative mutual understandings for the benefit of all,"⁴⁹ Rauschenberg proposed a plan to visit what he called "sensitive areas", or developing and communist countries like China, Japan, the USSR, Venezuela, Chile, Cuba, and Mexico.⁵⁰ There, they could "meet artists and artisans 'to learn their aesthetic traditions and to talk to students,'"⁵¹

Insisting on distancing himself from governmental sponsorship, Rauschenberg refused any federal funding for this extensive project and insisted on self-financial support. However, given the following reasons, the ROCI was still counted as a representative program for American national identity. For one thing, Rauschenberg, as an individual, was celebrated as a representative of America and everything embodied due to his previous frequent engagements in American foreign cultural propaganda policy. Starting in 1961, Rauschenberg's artworks were constantly included in American exhibitions to foreign countries: For example, his works traveled abroad in governmental-sponsored "Vanguard American Painting" which toured Vienna, Ljubljana, Zagreb, and Bel-grade from June 1961 to May 1962.⁵² For another thing, the federal government endowed ROCI with official national recognition after the

⁴⁸ Ibid.

⁴⁹ Robert Rauschenberg, *Tobago Statement* (Yakush: Rauschenberg Overseas Culture Exchange, 1984), 154.

⁵⁰ Mamiya, 57.

⁵¹ Rauschenberg, *Tobago Statement*, 154.

⁵² Walter Hopps et al., *Robert Rauschenberg: A Retrospective* (New York: Guggenheim Museum, Cop, 1999), 559.

⁴⁵ Mamiya, 46.

⁴⁶ Ibid.

⁴⁷ *The New Frontier Goes to Venice*, p. ii. NEEDS FULL CITATION

conclusion of the program. In 1991, the National Gallery in Washington D.C. upheld a major show about ROCI, consequently enhancing the connection between ROCI to the nation.

To fulfill his commitment to this project, Rauschenberg did his best to integrate local artisans with their characteristic cultural aspects.⁵³ As scholar Christian J. Mamiya has stated in her work: "In Samarkand Stitches #1 and Guarded Mirror Rivers, for example, Rauschenberg utilized images of traditional textiles from the USSR and Venezuela." From Rauschenberg's perspective, this may be a benign intention, but some argued that this action was "imperialistic in imposing American art on a local public at the expense of indigenous art,"⁵⁴ which gave ROCI a touch of arrogance due to "cultural superiority." Indeed, when Rauschenberg arrived in Mexico, Mexican journalist and book artist Felipe Ehrenberg commented, "There was some understandable resentment that a ... museum ... would show and surround with such drums and trumpets a series of propositions already handled by artists in Mexico years back that hadn't been able to reach public forums."⁵⁵

Nonetheless, ROCI did produce some expected effects on forming a new and positive aspect of American national identity by thawing the US-Soviet relationships. This improvement could be explained by changes in the Soviets' attitudes towards avant-garde art. During and after Stalinism until the 1980s,⁵⁶ the USSR accepted only socialist realism as its official form of art while eliminating other artistic expressions such as avant-garde art as "the corrupting influence of the West."⁵⁷ However, the Western-inclined foreign policy of the USSR in the 1980s called for innovations in previously limited artistic expressions. Soviet people started to accept avant-garde art, particularly pop art, for the fact that it utilized down-to-earth images to convey social messages concerning daily life. ROCI, when it reached the USSR in 1989, was considered to be "emblematic of the radical reforms underway in the USSR"⁵⁸ and even "symbolized freedom," according to Soviet artist and critic Leonid Bazhanov.⁵⁹

Thus, ROCI fortified the American national identity established by its foreign policy at the end of the

Cold War— "the rhetoric of peace and international cooperation and friendship." The US-Soviet relation was mitigated at the opening of the exhibition when the presence of Jack Matlock, the U.S. ambassador, American ambassador Vasili Georgievich Zakharov, the Soviet minister of culture, all the first secretaries of artistic actions,⁶⁰ proving the maturity of peace between the two nations and adding new aspects to each national identity. For the American national identity— peace-loving yet still culturally arrogant.

V. CONCLUSION

The American national identity during the Cold War was specified according to contemporary historical background by avant-garde art through American cultural propaganda. It was most prominent and precise during the Cold War because of the urgency to compete against the USSR. In order to undermine the Soviet ideology and values, the United States constructed its own characteristic traits, opposite and superior to those of the Soviets so as to gain international support. More specifically, America wanted to establish the national identity of freedom which was further categorized into political potency, economic prosperity, and cultural tolerance.

In this case, it was unsurprising that Americans selected avant-garde art the opponent of artistic expression of Socialist Realism in the conflict of cultural propaganda, as a visualized reflection of a larger political, economic, and social conflict. Avant-garde art was severely suppressed in the USSR because it didn't conform to the official or conventional requirements of art. It was related to anarchism, and the USSR feared may cause social turmoil. Additionally, it couldn't fulfill the purpose of Soviet cultural propaganda—realistically yet ideally portraying the grandeur of politics and the promising future under communism.

Therefore, Americans chose avant-garde art as a weapon of propaganda, thus a factor that contributed to the definition of national identity during the Cold War since it emphasized that America was more tolerant of diverse and radical cultures. Besides, avant-garde art, the opponent of artistic expression of Socialist Realism in the conflict of cultural propaganda, was a visualized reflection of a larger military, economic, and political conflict. Repetitive famous American images indicated the above aspects in the case study of a particular iconic avant-garde artist, Robert Rauschenberg, whose utilization of collages conveys strong nationalistic motifs. In Rauschenberg's artworks, eagles referred to the American military mighty; Coca-Cola bottles referred to the prosperous consumerism culture in society; the

⁵³ Mamiya, 57.

⁵⁴ Ibid.

⁵⁵ Jane Addams Allen, "Rauschenberg's Worldwide Art Exchange" (Washington Times, May 31, 1985).

⁵⁶ The Editors of Encyclopaedia Britannica, "Socialist Realism | Art," in Encyclopædia Britannica, 2019, <https://www.britannica.com/art/Socialist-Realism>.

⁵⁷ Yevgeny Yevtushenko, *USSR* (Munich: Prestel Verla: ROCI: Rauschenberg Overseas Cultural Exchange, 1991), 51–52.

⁵⁸ Pamela Kachurin, "The ROCI Road to Peace: Robert Rauschenberg, Perestroika, and the End of the Cold War," *Journal of Cold War Studies* 4, no. 1 (2002): 27–43, <https://www.jstor.org/stable/26925157>.

⁵⁹ Mary Lynn Kotz, "The ROCI Road Show," *Artnews*, Vol. 88, No. 6, 1989.

⁶⁰ The U.S.-Soviet General Exchanges Agreement, which went into effect on 1 January 1986, revived programs that had been suspended since the Soviet invasion of Afghanistan in 1979.

hand gestures of Kennedy referred to American political superiority.

Apart from this, the national identity was malleable according to the propagandistic output of this value, the responses of those who received it, and shifts in historical circumstances. For instance, during the start of the Cold War, when the US-Soviet conflicts were revealed and intensified, America desired to create a more powerful and culturally inclusive national image to make the USSR appear weak and culturally intolerant in comparison. Conversely, America adopted a more benign, friendly, and peace-loving national identity during the last decades of the Cold War, when the Soviets began to politically, economically, and socially incline westward. The above were ideal expectations of the results of American avant-garde art through cultural propaganda. In reality, some of America's movements were too forceful that they imposed their values on reluctant foreign countries, thus also earning themselves the national image of superiority, domination, and arrogance.

BIBLIOGRAPHY

1. Addams Allen, Jane. "Rauschenberg's Worldwide Art Exchange." *Washington Times*, May 31, 1985.
2. American government. *The U.S.-Soviet General Exchanges Agreement* (1986).
3. Anderson, Benedict. *Imagined Communities: Reflections on the Origin and Spread of Nationalism*. London: Verso, 1983.
4. Brodersen, Arvid. "Themes in the Interpretation of America by Prominent Visitors from Abroad." *Annals of the American Academy of Political and Social Science* 295, no. 1 (September 1, 1954): 21–32. <https://doi.org/10.1177/000271625429500104>.
5. Deborah Jill Schildkraut. *Press "One" for English: Language Policy, Public Opinion, and American Identity*. Princeton, N.J.: Princeton University Press, 2007.
6. Edward Hallett Carr, and Robert W Davies. *A History of Soviet Russia. 11, Foundations of a Planned Economy 1926-1929; Vol. 2*. London U.A.: Macmillan, 1978.
7. Egbert, Donald D. "The Idea of 'Avant-Garde' in Art and Politics." *The American Historical Review* 73, no. 2 (December 1967): 342. <https://doi.org/10.2307/1866164>.
8. Feinstein, Stephen C., and John E. Bowl. "Russian Art of the Avant-Garde: Theory and Criticism, 1902-1934." *Russian Review* 36, no. 2 (April 1977): 238. <https://doi.org/10.2307/128925>.
9. Gorlizki, Yoram. "Ordinary Stalinism: The Council of Ministers and the Soviet Neopatrimonial State, 1946–1953." *The Journal of Modern History* 74, no. 4 (December 2002): 699. <https://doi.org/10.1086/376210>.
10. Grois Boris. *The Total Art of Stalinism: Avant-Garde, Aesthetic Dictatorship, and Beyond*. London: Verso Books, 2011.
11. Hart Mathews, Jane de. "Art and Politics in Cold War America." *The American Historical Review* 81, no. 4 (October 1976): 762. <https://doi.org/10.2307/1864779>.
12. Hecht, Charlotte. "The Aesthetics of Propaganda: Modern Design at the 1959 American National Exhibition in Moscow," May 5, 2016. <https://digitalcommons.conncoll.edu/cgi/viewcontent.cgi?article=1008&context=americanstudieshp>.
13. Hixson, Walter L. *Parting the Curtain: Propaganda, Culture, and the Cold War, 1945 - 1961*. New York, Ny St. Martin's Griffin, 1998.
14. Hoffmann, Erik P. "The Evolution of the Soviet Political System." *Proceedings of the Academy of Political Science* 35, no. 3 (1984): 10. <https://doi.org/10.2307/1174113>.
15. Hopps, Walter, Susan Davidson, Trisha Brown, and Et Al. *Robert Rauschenberg: A Retrospective*. New York: Guggenheim Museum, Cop, 1999.
16. Jenkins, Peter. *Along the Edge of America*. Boston: Houghton Mifflin, 1997.
17. Kachurin, Pamela. "The ROCI Road to Peace: Robert Rauschenberg, Perestroika, and the End of the Cold War." *Journal of Cold War Studies* 4, no. 1 (2002): 27–43. <https://www.jstor.org/stable/26925157>.
18. Kimeldorf, Howard. *Reds or Rackets?* Univ of California Press, 1988.
19. Kunkel, Gladys M. *The Mural Paintings by Anton Refregier in the Rincon Annex of the San Francisco Post Office, San Francisco, California*. Arizona State University, 1969.
20. Laurie Jean Monahan. *The New Frontier Goes to Venice*. The University of British Columbia, 1985.
21. Lynn Kotz, Mary. "The ROCI Road Show." *Artnews*, Vol. 88, No. 6, 1989.
22. Mamiya, Christin J. "We the People: The Art of Robert Rauschenberg and the Construction of American National Identity." *American Art* 7, no. 3 (July 1993): 41–63. <https://doi.org/10.1086/424194>.
23. Martin, Time. *Essential Surrealists, Bath: Dempsey Parr*, n.d.
24. National Galleries of Scotland. "Avant-Garde." www.nationalgalleries.org. Accessed November 2, 2023. <https://www.nationalgalleries.org/art-and-artists/glossary-terms/avant-garde>.
25. Rauschenberg, Robert. *Tobago Statement*. Yakush: Rauschenberg Overseas Culture Exchange, 1984.
26. Rosenfeld, Alla, and Norton T Dodge. *Non-conformist Art: The Soviet Experience, 1956-1986: The Norton and Nancy Dodge Collection, the Jane Voorhees Zimmerli Art Museum, Rutgers, the State University of New Jersey*. New York: Thames And

- Hudson In Association With The Jane Voorhees Zimmerli Art Museum, 1995.
27. Serge Guilbaut. *Reconstructing Modernism: Art in New York, Paris, and Montreal, 1945-1964*. Cambridge, Mass.: Mit Press, 1992.
 28. Shaw, Tony. "The Politics of Cold War Culture." Edited by Steve Nicholson, Christopher Mayhew, Paul Lashmar, James Oliver, Frances Stonor Saunders, Scott Lucas, Richard M. Fried, and James Chapman. *Journal of Cold War Studies* 3, no. 3 (2001): 59–76. <https://www.jstor.org/stable/26925135>.
 29. Tate. "Performance Art – Art Term | Tate." Tate, 2017. <https://www.tate.org.uk/art/art-terms/p/performance-art>.
 30. The Met Museum. "Screenprint." Metmuseum.org, 2022. <https://www.metmuseum.org/about-the-met/collection-areas/drawings-and-prints/materials-and-techniques/printmaking/screenprint>.
 31. Toc C. Rider. *Cold War Games: Propaganda, the Olympics, and U.S. Foreign Policy*. Urbana: University Of Illinois Press, 2016.
 32. Tomkins, Calvin. *Off the Wall: Robert Rauschenberg and the Art World of Our Time*. New York, N.Y.: Picador, 2005.
 33. USASOC History Office. "Psychological Operations." Washington, DC: Headquarters, Department of the Army, April 15, 2005. <https://irp.fas.org/doddir/army/fm3-05-30.pdf>.
 34. Woolfenden, W. E. "An American Eagle." *Bulletin of the Detroit Institute of Arts of the City of Detroit* 26, no. 3 (1947): 64–64. <https://www.jstor.org/stable/41500720>.
 35. Yevtushenko, Yevgeny. *USSR*. Munich: Prestel Verla: ROCl: Rauschenberg Overseas Cultural Exchange, 1991.





This page is intentionally left blank



GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ARCHAEOLOGY & ANTHROPOLOGY
Volume 24 Issue 2 Version 1.0 Year 2024
Type: Double Blind Peer Reviewed International Research Journal
Publisher: Global Journals
Online ISSN: 2249-460X & Print ISSN: 0975-587X

The Gift and not the Gun Ruined them: The Cultural History of Aboriginal Tribes of Andaman and Nicobar Islands

By Shivappa A. Awaradi

Abstract- While battles battering the battered the most or worst, is a run-of-the-mill story, the *gift* and not the *gun* destroying them is rather a rare tale in the human civilization when it comes to the modern history of all six isolated aboriginal ethnic tribes of Andaman and Nicobar archipelago, the Indian islands in Bay of Bengal. However, it is no less good save in the biocultural survival of one of those ethnic communities, the fiercely hostile Sentinelese of North Sentinel Island, by way of 'rescripting' its cultural story through the instrumentality of the Government's decision initiated and pushed through by the author, - who had befriended this truculent tribe in 1991, - to terminate the practice of hankering to placate and cultivate the animus natives by *gift* giving through contact expeditions. Consequently, enabling the Sentinelese, before it is too late, to revert to their age-old ferocity towards outsiders, since that being the most efficacious self-protective cover, and thus averting its decimation / fall from the Golden Age.

Keywords: *aborigines, outlanders, colonization, hostility, gift, befriending, golden age, dark age, silver age, epidemics, refugees, settlers, traders, travelers, welfarism, Benthamism, development, tsunami, WW II.*

GJHSS-D Classification: LCC: GN635.I4



Strictly as per the compliance and regulations of:



The Gift and not the Gun Ruined them: The Cultural History of Aboriginal Tribes of Andaman and Nicobar Islands

Shivappa A. Awaradi

Abstract- While battles battering the battered the most or worst, is a run-of-the-mill story, the *gift* and not the *gun* destroying them is rather a rare tale in the human civilization when it comes to the modern history of all six isolated aboriginal ethnic tribes of Andaman and Nicobar archipelago, the Indian islands in Bay of Bengal. However, it is no less good save in the biocultural survival of one of those ethnic communities, the fiercely hostile Sentinelese of North Sentinel Island, by way of 'rescripting' its cultural story through the instrumentality of the Government's decision initiated and pushed through by the author, - who had befriended this truculent tribe in 1991, - to terminate the practice of hankering to placate and cultivate the animus natives by *gift* giving through contact expeditions. Consequently, enabling the Sentinelese, before it is too late, to revert to their age-old ferocity towards outsiders, since that being the most efficacious self-protective cover, and thus averting its decimation / fall from the Golden Age. Though the term golden age is generally used metaphorically, the modern archeologists employ it to describe the pre-agricultural societies, while the anthropologists ascribe it to depict the story of the primordial hunters and gatherers. The existing four Negrito ethnic communities of Andaman Islands lived their golden age for more than 50,000 years i.e., ever since their ancestors came here from Africa, while the two surviving Mongoloid tribes of Nicobar Islands did it for nearly 14,000 years i.e., from the time their progenitors arrived from South-East Asia. Perhaps without a premonition, five of these aboriginal communities lost their golden age one by one to the outlanders, primarily not because of the onslaught, but due to the *gift* 'offerings' made by the interlopers. The *gifts* by non-autochthons have been proved to be the Trojan Horse in the Troy of the autochthons of A & N Islands.

Scientifically documented first multidisciplinary holistic research, conducted under aegis of the Government, among Jarawas, another primeval foraging community of the islands, confirms the golden age lived by it, as did by other aborigines also who flourished in the same eco-cultural region earlier. And this article traces the phenomenon of these native islanders' downfall from their heydays, triggered by the interlopers encroaching their territory, at different historical times under the varied circumstances, set off though unwittingly, by those diverse hordes of the outsiders themselves.

Keywords: aborigines, outlanders, colonization, hostility, gift, befriending, golden age, dark age, silver age,

epidemics, refugees, settlers, traders, travelers, welfarism, Benthamism, development, tsunami, WW II.

INTRODUCTION

Gift is a material object or a service or a system of practices not earned or evolved by the people who got it from others for free. Gift giving is the manifestation of goodwill, - the opposite of belligerency, - through a process of sharing. Its genesis could be traced back to the very basis of entire living world including the plants, right from the simple unicellular organisms to the complex civilized humans. Sharing i.e., sparing of one's energy and energy products, in turn, is essentially an act of procreation resulting in perpetuation, in which the organisms use their energy first to grow and then at maturity spare it for parting off one's self through binary fission, or for formation of their own gametes and the latter recreate that organism and the cycle continues. Human species at its evolutionary stage of *Homo sapiens* evolved a supra-biological faculty in the form of culture standing on the base of 'cooperation' which is an extension of that very sharing. Paleoanthropologists have discovered the fossil evidences to show that the *Homo sapiens* could outsmart the Neanderthals, their competitors, through their own cooperative group hunting of large animals, - this art was not developed by that archaic caveman, the solo hunter, - and then share the meat in that group. Eventually, such sharing of material / muscle has taken the shape of gift giving in different communities to accomplish varied purposes within the community or with other communities.

Gift giving is not always one way transaction between giver and receiver but it would be a kind of reciprocity effected in one or other form at some point of time, may be to bring in the peace and friendship or to occupy a territory or to strike the trade relations. Nonetheless, in the post-colonial era, especially in democratic developing countries like India, the *gift* giving could be unidirectional for execution of the state sponsored welfarism. It is the common knowledge that waging a war debilitated the conquered politically, economically and socially. On the other hand, though it sounds paradoxical that the *gift* giving by the people of modern society has altered negatively the cultural

Author: Former Director of Tribal Welfare & Tribal Research and Training Institute, Andaman and Nicobar Administration, Port Blair – 744101, India. e-mail: s.a.awaradi@gmail.com

history of not only of the long isolated primordial hunter-gatherers but even that of the settled and bit exposed major ethnic community of the Andaman and Nicobar Islands. Such a story of aborigines of A & N Islands wherein the Gifts and Not the Guns devastating them, forms probably one of the rare instances in the human history. Further, as expected, the manmade calamities like war or natural ones like tsunami, destroyed the socioeconomic fabric of that large ethnic community in the islands once, but the fallout of the atrocities committed in the battle ground or messing up the reliefs in the aftermath of the catastrophe has been bashing that community in perpetuity.

The socio-economic course that these indigenous people took during last nearly half a century is dealt here in this article. It is an anthropological documentation of the longitudinal observation of the social history of the aboriginal ethnic communities of these islands since 1977, carried out by an exceptional chance the author happened to get as mentioned hereunder. Having been trained and graduated in the university degree of Master of Science in the discipline of anthropology, the author had the rare opportunity to study the tumultuous history of aborigines of these islands for nearly five decades while working in various capacities like: a researcher of Anthropological Survey of India after having been positioned in A & N islands during the initial six years; then in course of 22 years as the state's administrator for the welfare of these aboriginal tribes after joining the India's civil service and then posting in these islands, being the Union Territory, governed by the Government of India; as an tribal expert, continued to remain associated with A & N Administration in the issues related to tribal welfare during his postings in another Union Territory i.e., National Capital Territory of Delhi; later (after superannuation), as the Director of A & N Tribal Research and Training Institute (ANTRI) located at Port Blair, the capital of these islands for five years; as the Scientific Consultant for an year and then still continuing as the member of Institutional Ethics Committee (IEC) of the regional Centre of the Indian Council of Medical Research founded in the islands with major mandate to study health aspects of aborigines; and the chairman of such IEC of the Regional Ayurveda Research Institute, Port Blair engaged in medical research in alternate medicine, focusing on tribal medicaments in these islands, apart from being the member of the Expert Committee in the Ministry of Science & Technology, Government of India to consider suitability of applying the technological innovations for the developmental welfare of tribal communities in the country including those of A & N islands.

Methodology: It is necessary to spell out the specific methodology devised for this study. The strung out association of the author in the tribal affairs of A & N

islands is perhaps not incidental on account of: (a) being an anthropologist by training; (b) A & N archipelago being unique territory as every public policy and action has a bearing on the life of the aboriginal tribes here; (c) hence the proposals of all the major policy actions or their impact evaluation reports being sent for scrutiny / comments of the author being the Director of Tribal Welfare in the A & N Administration, (perhaps here incidentally he served in that capacity for unusually long period), or being the Assistant Commissioner, or serving as the Deputy Commissioner in the tribal district, or being considered by the Government as the specialist in tribal issues. It was not possible to carry out the anthropological study by adhering to the classical methodology of participant observation living with the community for a couple of years. Nonetheless, the composite methodology designed was effective enough in the study of the aborigines, which comprised of: frequent visits to the tribal habitations; camping there among the aborigines for weeks together to study; making direct observations while the tribal plans/programs were implemented; interviewing the key informants and others; analyzing and deliberating on the personal anecdotes as well as of others, connected with the autochthons which were in fact like the trailer depicting the tribal picture; relying on government documents/reports; adopting the "periscopic technique" (Awaradi, 1990: 134-135) conceived to study the hostile tribe that got the immigrants, the modern people as the permanent neighbors.

I. ABORIGINES OF ANDAMAN AND NICOBAR ISLANDS

At present there are six indigenous tribes in the A & N islands, four of them belonging to Negrito stock occupying Andaman group of islands viz. Andamanese inhabiting Strait Island, Jarawas populating the parts of Middle and South Andaman Islands, Onges settled in Little Andaman Island, Sentinelese occupying the North Sentinel Island. And two aboriginal ethnic communities belonging to Mongoloid stock inhabit the Nicobar group of islands, i.e., Shompens live in Great Nicobar Island and Nicobarese dwell in Car Nicobar, Chowra, Teresa, Bampuka, Katchal, Kamorta, Nancowry, Little Nicobar and Great Nicobar Island. These ethnic communities have been recognized as the Scheduled Tribes under the Constitution (Andaman & Nicobar Islands) Scheduled Tribes Order, 1959 of President of India and their names are spelt in this article as in that Constitutional document (different authors have spelt them differently). All of them except Nicobarese have been categorized into the particularly vulnerable tribal groups (PVTGs) considering, inter alia, their small population. Their present (2024) populace is: 57 Andamanese (excluding non-tribals who have married

Andamanese), 131 Onges, 609 Jarawas, 100 (estimated) Sentinelese, 238 Shompens. The population of Nicobarese being 29099 as per Census of India, 2011, constitute relatively large ethnic community of "proto-foresters" commonly described as horticulturists.

Furthering, the theory "of out of Africa" migration of modern humans (*Homo sapiens*), Thangaraj, K. et.al (2005: 996) analyzed the mitochondrial DNA sequence of five persons each from the Andamanese, Onges, Nicobarese and have concluded that the Negritos occupied the Andaman Islands nearly 50000 to 70000 years ago, while the Nicobarese immigrated from South East Asian islands during past 18000 years. Similar studies have shown that Shompens tribe is the offshoot of Nicobarese and the divergence took place about 14000 years ago (Trivedi, Rajani et. al. (2006), 51:217 - 226).

a) *Golden Age of the Aborigines*

The golden age, at times, known as classical era is a period considered as apotheosis in the history of a country or people where the state of affairs for certain phenomena / aspects in the society appear to have been at their zenith, stable and better compared to other periods when the natural resources required for their optimum livelihood and health are in plenty and they have evolved social organization, collective wisdom and technological innovation to utilize those natural resources as required without going for aggrandizement. Though the phrase golden age is used metaphorically or to a mythical period in the history, the modern archaeologists attributed it to the era of pre-agricultural societies and by anthropologists to the state of dispensation / affairs among the primordial foragers. They hold that hunter-gatherers lived in their original affluence, since their limited material wants are easily satisfied in their environment endowed with plenty of resources (Sahlins, 2017:2). They argue that the hunting and gathering was humanity's original and most enduring, successful, competitive adaptation in the natural world occupying at least 90% of human history (Lee, Richard B, et. al. 1999: 1 - 20).

Hunting gathering communities have generally small population and have command over vast natural resources and each have a cultural practice of strict / sacrosanct territoriality thereby avoiding the conflicts with one another so that, all of them co-exist in peace. According to the anthropologist like Clerk, Spencer Larsen, the foragers had large variety of wild plants and wild animal food resources as against few plants and animals domesticated by the farmers which have the reduced nutritional value and as on today, much of the world's population relies on just three plants viz. rice, wheat and corn. Further, raising of the farm animals led to the common zoonotic diseases that can be transmitted from animals to people resulting in rising levels of infectious diseases (Grambier, Jeff, 2023,

January 16). So, the hunter-gatherers did not face the present day's waxing and nagging problem due to contagious ailments which often break out into epidemics.

Anthropologists have shown that hunter gatherers work only 3 - 5 hours in a day for their food and devote rest of their time to leisure (Sahlins, 2009: 3 - 8). Leisure is possible only if one's physical and mental health is sound and stays free from hunger and food anxiety. It is established fact that the leisure is that human ecosystem in which science, philosophy, literature, oral or otherwise and the creativity would take birth, survive and sustain in a community which is free from frequent conflicts / wars with other groups. It is also known that the leisure and leisure time activities improve physical and mental health and provide pleasant experience. The scholars like Anderson and Heyne (2012), Carrythers and Hood (2007), have identified the leisure as primary contributor to the human happiness or flourishing (Wise, J.B. 2014, 5(2): 17 - 22).

The history of aborigines of A & N islands prior to their contact with the modern societies is characterized by the above said attributes being the hallmark of their golden age. It is to be noted that the details of such golden age in respect of each of ethnic communities have not been documented except for certain observations recorded by the British colonial administrators, later by Indian officials and few anthropologists. Though, Radcliffe Brown (1964) the pioneer anthropologist did study the Andamanese during 1906 - 08 but that was nearly half a century after the end of their golden age. On the other hand, two decades back (i.e., 2001-2002), an extensive multi-disciplinary study was carried out with regard to one ethnic community that is, Jarawas which amply endorses the fact / reality of its golden age. Such findings are logically applicable to other Negrito communities of the islands as well, on two counts: first, all of them belong to one regional culture and have had similar or same nature-culture complex as they inhabited the same geographical and cultural zone, second, this study was carried out at such comparable point of time in the history of this tribe when it too was at its classical age. While the available observations on the Mongoloid tribes, that is, Nicobarese and Shompens documented in the past by the administrators, clergymen and some anthropologists do reflect the heydays lived by these aborigines too.

b) *Investigation to Uncover the Golden Age of the Jarawas*

A study was carried out at the behest of Indian judiciary at a critical juncture when the Jarawas of Middle Andaman and of South Andaman gave up their age-old hostility in 1997 and 1998 respectively towards outsiders and began interacting with them including tourists indiscriminately and that was perceived widely

by the experts world over as imminent threat for the survival of this foraging community. An intense debate arose as to what measures are needed to be taken by the government for safety of this tribe. Calcutta High Court's circuit bench at Port Blair, while deciding a public interest litigation filed by an advocate, directed the Indian government to constitute a committee of experts to recommend appropriate steps to ensure the wellbeing of the Jarawas community. The Government of India then set up a committee of seven members in which the author, - then posted as the Director of Tribal Welfare, - was one of them and the Lieutenant Governor of A & N islands was its convenor. This committee held that scientific data collected through the fieldwork is necessary to suggest the appropriate measures for protection and welfare of the Jarawas and hence asked the government to raise a team of researchers / specialists from the reputed government organizations including that of the medical, public health and hygiene, Anthropological Survey of India, Botanical Survey of India, Zoological Survey of India and Forest department to carry out a holistic study of the Jarawas and the ecological status in which this ethnic community lives. It was to unearth the state of affairs particularly in respect of major and critical aspects like livelihood, availability and accessibility of food sources, other sustenance resources, status of health, healthcare, demography, society and social organization. The multi-disciplinary study teams conducted field investigation in three phases between December, 2001 and September, 2002 to cover both dry and wet seasons in the islands. Based on the scientific findings and data collected, a comprehensive report was prepared by the said expert committee and submitted it to the government in July, 2003. This unpublished report confirms the golden age situation prevailing in the Jarawas community as described below.

Livelihood and its Resources: A & N islands have the tropical climate where South-Western as well as North-Eastern monsoons bring annual average rainfall of 3180 mm, temperature ranges between 23 to 31 degrees Celsius and relative humidity is 70 - 90%. The entire land surface of islands (prior to the arrival of modern people to occupy them) was covered with tropical rainforest with few grass patches in Central Nicobar region, i.e., Nancowry group of islands and mangrove swamps in the inter- tidal zones. The forest in the islands is known for its rich biodiversity. So, a large and unique variety of terrestrial plants and animals and huge coastal bio-stock was available for the indigenous people which continued to remain as their perennial livelihood resources since they had the wood based eco-friendly technology to harness it to meet their limited needs, clubbed with their astute understanding of local ecology and accordingly pursuing the appropriate livelihood activities.

It is found that Jarawas's food is predominantly based on animals inhabiting the humid forest, coastal areas, shallow waters, creeks, mudflats and mangrove thickets. The wild pig is main food resource for Jarawas as also for other aborigines. Population of the boar is fairly large (Expert Committee, 2003: 44). The monitor lizard is other substantial source of food for the aborigines, while the larvae of wood inhabiting beetle and at times the birds too constitute food stock for them. The hundreds of eggs buried / laid in each nest by the turtles in sandy beaches for hatching are enthusiastically collected by the aborigines as their one of the favorite food items. The variety of fishes, molluscs, giant clams, cowries, lobsters, prawns in addition to crabs in the mudflats and creeks, apart from brackish water fishes well-nourished in the mangrove swamps, form unfailing food sources for the aborigines.

The tropical evergreen forest in these islands has diverse and rich flora that provides ample food material for the tribes. Different parts like tubers, roots, leaves, fruits, nuts, seeds, as a case may be, of variety of plants are consumed by the Jarawas as food. The research teams could identify 58 plants as food source for them (ibid: 31). Honey is another significant food for the aborigines and they are extremely fond of this. It is the only food item that they collect in good quantity and store it in their wooden buckets for consumption in the near future. They chew the leaves of *Orophea* plant and spit it at beehive to repel the bees and cut the hive containing honey and larvae. Jarawas and other aborigines consume the larvae too as a delicacy.

Food Processing and Nutritional Status: The Jarawas have had healthy cooking practices using the fire intelligently to get the palatable, digestible and safe food. In the absence of cooking vessels, the ancient way of baking in the earth oven / pit oven was one of the important markers of the ingenuity of the Negritos of the islands, further, so also was the invention of the bark cooking vessel by the Shompens, described as the bobby-dazzler (Awaradi, 1990: 38). The pottery of the Chowra Island, exported to rest of the Nicobar Islands, was no less significant feature of the Nicobarese culture, since that little Chowra itself does not have the natural resources like clay for pottery and timber for making the renowned Chowra canoes and yet they have had the monopoly on these items. The required raw materials are sourced from neighboring Teressa Island. Clay pot making by coiling and beating without using the pottery wheel to shape the pot and then burning it, was the method employed in making this essential domestic earthenware widely used in Nicobar Islands, and this technique is similar to that of indigenous people of Pre-Columbian New World. Nicobarese were unaware of the potter's wheel, as it was invented by the ancient Sumerians in Mesopotamia around 3500 B.C. in West Asia, the date much later to the arrival of the Mongoloids

in Nicobar Islands who thereafter remained beyond ancient trade routes. The large sea going Chowra canoe constitutes the other main trading item with Nicobarese of other islands.

Fire is the eternal companion of the Negritos, which is carefully preserved and carried everywhere, all the time even when swimming across the creek as they did not know how to produce fire, whereas the Shompens have devised the wooden fire generator and that is yet another example of their brilliance (Awaradi, 1990: 42). Before actual cooking, the preliminary processes like, - the hunt for example, the pig is dressed to remove the gut by cut opening the abdomen on ventral side; burning away the hair on fire; separating the head and cutting the skin with thick fat layer into pieces, - constitute the integral segments of culinary practice. Other items like monitor lizard and fish are also similarly dressed systematically before baking. The notable fact is that Jarawas and other foragers of these islands did not use salt, sugar, oil, spices in their food which is considered as healthy practice. The research team after doing the nutritional assessment declared that the Jarawas have balanced diet despite total lack of intake of leafy vegetables, milk and milk products. The micronutrients namely vitamin C, carotene, vitamin K, folic acid, calcium, sodium, iron, zinc available in leafy vegetables and calcium, phosphorus and protein found in the milk are possibly compensated by variety of animal food and wild fruits consumed abundantly (Expert Committee, 2003: 83). Although animal fat intake was very high, the serum parameters namely cholesterol, triglyceride, low density lipoprotein (LDL) and high-density lipid (HDL) remained within normal range and that points out to their better fat metabolizing capacity. Serum glutamate oxaloacetate transaminase (SGOT) and serum glutamate pyruvic transaminase (SGPT) being within the normal limits connotes the excellent functional condition of liver. A lot of animal food, rich in vitamin D and phosphorus consumed by the aborigines are expected to help efficient absorption of calcium and phosphorus and thereby better bone mineralization (ibid:84). Further, the Jarawas did not face the scourge of diabetes as denoted by normal range of blood glucose levels (ibid:87). In totality, the findings of the research teams signify not only the prevailing superb nutritional status of the Jarawas, but also the availability of plenitude of food resources and their accessibility. Such an affluence could remain in its original virgin state up to the beginning of even the current century only because it was beyond the reach of the ravagers, the modern people who were kept at bay by the fierce hostility of the Jarawas. This state of affairs is comparable to the situation that prevailed up to mid-19th century for the Andamanese, up to mid - 20th century for the Onges and Shompens.

Livelihood Tools: The aboriginal communities of these islands had devised efficient tools and crafts necessary to carry out their livelihood tasks. While Negrito men have bow and arrows to hunt and fish, their women use net basket for fishing. On the other hand, the Shompens have different spears to hunt and fish, apart from the snare they put up on the tree branch near the fully ripened pandanus fruit to trap the monkey which on approaching that fruit gets ensnared / hung to death (Awaradi, 1990: 56). Conveyance being integral to the livelihood activities, every ethnic community in the islands has semi-permanent canoes as transport craft except the Jarawas who use the temporary rafts built swiftly on the spot whenever they had to cross a creek. These entirely wood-based devices especially the hunting tools invented by man several thousand years ago have undergone modifications / upgradations. The notable improvisation in the tools has been from the time that great metal, the iron became accessible to the aborigines as a novel resource though as flotsam in these islands since 1800s AD, when the iron became a ship building material and instances of shipwrecking began. It is incredible that the aborigines could adopt this alien, hard and malleable metal by using it as the tip of arrows and spears. The iron pieces were simply hammered to required shape and sharpened its edges by rubbing against the hard abrasive stone. Interestingly enough, this very method was first used by the original discoverers of iron, the Hittites of ancient Egypt (somewhere between 5000 and 3000 BCE as held by archaeologists) who extracted it from meteorites and pounded it to create their own tools and weapons. The livelihood tools of the aborigines became their prized possession because of their enhanced utility and the intense labor involved in making them.

Health and Healthcare Practices: The qualified doctors were also the part of that multidisciplinary research teams mentioned above. These medical personnel carried out general and clinical examination of 251 Jarawas both men and women in the field (Expert Committee, 2003: 106) out of their estimated total population of 300 - 350 (ibid: 159). The overall health status has been recorded in the report of the E C (ibid: 106 - 117). The observations include: (i) Pulse rate being within the normal range of 60 - 80 per minute; (ii) interestingly, the axillary temperature being 2 degree Fahrenheit below the normal; (iii) diastolic blood pressure being in between 70 to 90 mmHg in 43% of population while being less than 70 mmHg in 57% of the populace, none of the Jarawas showing their diastolic pressure more than 90 mmHg and further most among them having systolic blood pressure within 120 mmHg; (iv) in ABO and Rh (D) blood group system, 70% of Jarawas being "O" Rh positive and 30% "A" Rh positive while Rh negative being totally absent; (v) iodine



deficiency disorder / goitre being not found among them; (vi) congenital abnormalities being absent except for the vitiligo (patchy loss of skin color) of lips that too only in one family and the absence of innate disabilities, is due to a cultural practice, the ethno-medical termination of infirm infants (ETI) explained later; (vi) nothing abnormal being detected in their central nervous system, cardiovascular system and genito-urinary system; (vii) hepatitis B surface antibodies (anti - HBs) being noticed among 70% of the populace which means that major chunk of their population was infected by hepatitis B virus and thereby the immunity against this virus was got built up; (viii) test for hepatitis B surface antigen (HBsAg) being positive in 49% of the population, in other words, that proportion of the community having had the hepatitis B virus in their blood thereby implying its endemicity; (ix) it is remarkable that their serum bilirubin being found within the normal limits which signifies that they i.e., HBsAg aborigines are healthy carriers and not menaced by any sort of morbidity and mortality; (x) yet another striking feature being that the liver function tests, that is, serum glutamic pyruvic transaminase (SGPT) and serum glutamic-oxaloacetic transaminase (SGOT) tests revealed the levels that are within normal limits in 94% of the population in spite of high prevalence of hepatitis B infection; (xi) none of the aborigines was tested for syphilis, the venereal disease research laboratory (VDRL), hepatitis C virus (HCV) causing fatalities due to liver damage / cirrhosis, liver cancer and human immunodeficiency virus HIV) were found reactive, that is, Jarawas tribe is free from the above maladies. This situation would change in due course following their interface with the modern people; (xii) blood platelet counts were found to be normal in 98% of population.

Nonetheless, 42% of the Jarawas population was inflicted by skin infection mainly of fungal origin, 9% had respiratory tract infection, 5% had viral fever and 1% had malaria. It is relevant to note here that the first Settlement colony (1789 -1796) founded in Andaman Islands by British East India Company was closed because of several deaths of the settlers due to malaria and the islands were considered as inhospitable and dreaded place and hence the Colony was closed. In contrast, it appears that the Jarawas and other indigenous people had successfully adapted to the ecological conditions here including that for the frightening malaria. They did not face health related problems linked to malnutrition, undernutrition or imbalanced diet since every tribe had rich and extensive hunting ground. That is, about 5600 sq. km. Great Andaman chunk, - comprised of North, Middle and South Andaman Islands, together, - was shared by Andamanese and Jarawas; about 700 sq km of Little Andaman Island was exclusively for Onges; North Sentinel Island of about 60 sq. km. is entirely occupied by the Sentinelese; Great Nicobar Island of about 910

sq. km. majorly used by Shompens and its small patches at few coastal hamlets were for the Nicobarese; while the Car Nicobar, Chowra, Teresa, Katchal, Kamorta, Trinket, Nancowry, Pillomilo, Kondule, Little Nicobar Island together having an area of about 920 sq. km. were totally under the sway of the Nicobarese. The nomadic aborigines had enough physical exercise through routine hunting and gathering activities, while the settled Nicobarese too were active in fishing, canoeing, collecting coconuts, building and maintaining their hutments and tending their semi-feral pigs, apart from participating in the traditional games and sports. As such, all the groups of aborigines were free from lifestyle ailments or non-communicable diseases like cardiovascular problems, diabetes, stroke and cancer.

Anyway, the aborigines have evolved a traditional method to address their endemic illnesses however few and minor they might be, as the healthcare practice was one of the ways for them to flourish in the local environment. The Negritos of Andaman Islands have developed protocols to treat specific diseases by applying the parts of identified medicinal plants, animals and natural substances on their body externally, while the Shompens do go for ingestion for some health issues. The multidisciplinary research teams have observed variety of practices among the Jarawas in treating the problems like headache, stomachache, body pain, neck pain, fever, snake-bite, scorpion bite, centipede bite etc. by tying the leaves / bark of specific plants, or applying the extract of leaves / bark and red ochre on the concerned part or spot of the body (ibid: 32 - 33). The Jarawas have medicine and procedure for smooth and painless childbirth, the Shompens have medicines not only to treat themselves but to tame the wild pigs and the Onges have treatment to address the scalp baldness (Awaradi, 2022:180).

Furthermore, the age-sex distribution in the population of Jarawas as found out by the research teams reveals that about 47% of the population was below 15 years of age, 46% between 15 and 44 years, only 7% of population was above 45 years of age and overall sex ratio was estimated to be 97 females for 100 males (ibid: 27). Infant mortality is estimated at 40%. In other words, Jarawas population having higher number of young individuals followed by high proportion of reproductive and productive adults with balanced sex ratio signifying their progressive population trend despite high infant mortality for which ETI (explained later) must be the main reason, and yet not exploded but remained almost stable as the senile people are in lowest proportion.

Indigenous Wisdom to Overcome the Natural Calamities: Natural hazards like flood, drought, earthquake, epidemics or holocaust are known to be the destroyers of even great civilizations at some point of time. The indigenous people of Andaman and Nicobar archipelago appears to have escaped such mass

destruction in the past. The islands come under the zone V, seismically the most active region and so the islands are visited by quakes of varying magnitude frequently. Since, the aborigines had hutments of timber and thatch, they did not suffer the casualties due to collapse of such structures except for some of those 'modernized' Nicobarese who had built masonry houses imitating outsiders and so lost their lives on 26th December, 2004 due to deadly earthquake which was soon followed by that Asian monstrous tsunami. While thousands of other islanders were killed in that leviathan, the Onges and other Negritos escaped from the clutches of death, since they ran away onto a high ground on seeing the inordinately excessive receding of shoreline after very high magnitude earthquake. In their traditional knowledge, such a phenomenon of unusual drop in shoreline is held as the precursor of tsunami. On the other hand, the ignorant outlanders went far down to the vastly exposed sublittoral zone to see the rarity of exotic sea bed and thereby they could not run back swiftly traversing that long and rugged costal bed to the safe place away from the coastline when unimagined tsunami giant waves lashed the shore and so, most of them met their sea burial.

Though the tropical cyclones during the monsoons are common in Andaman and Nicobar Islands, they are not as devastating here as in the eastern coast of Indian mainland and Bangladesh, except for bringing heavy rains in the islands. As there are no rivers in these hilly islands other than small ones in Great Nicobar Island, the downpour does not lead to the floods. However, the monsoons, if delayed by a couple of months may result in prolonged dry season causing scarcity of drinking water in the islands, hence for the settlers and other outsiders it becomes extremely difficult to face this crisis. On the other hand, the aborigines have developed a strategy to tide over such tough times. Thick evergreen forest especially bamboo brakes in the Andaman Islands sustain many brooks even during such protracted dry spells and the Negritos use them wisely as the drinking water source. Apart from relying on such rills, the indigenous people could survive on the super drinks of bamboo water in Andaman Islands or on the coconut water in Nicobar Islands. Infernos due to forest fires are unknown in these islands because of damp evergreen jungle, though the aborigines in the Andaman keep the burning fire with them all the time. The aborigines do not face the threat due to wild animals because: (a) there are no large carnivores in these islands; (b) aborigines have evolved certain practices to protect themselves from dangerous reptiles like few species of poisonous snakes and deadly crocodiles. For example, the Negritos sleep in the night / day close to the fireplace, while Mongoloid tribes sleep on platform raised on stilts so that snakes or crocodiles would not be able to come near them. Further, the Negritos raise pegs around the fireplace to

avoid themselves slipping into the fire. Still further, while, the aborigines of Andaman Islands are highly vigilant against these dangerous creatures, the snakes and crocs, in fact, these are the game / source of food for Shompens. The following personal anecdote reveals much about the Jarawas's vigilance. Once during my tenure as the Director of Tribal Research and Training Institute, I was returning from North Andaman Island in the jeep. It was a summer late evening. On seeing a Jarawas couple on foot along the Andaman Trunk Road (now National Highway 4) in South Andaman Island, I got down to join them in their fast walk and loud talk. In course of our that speed walk and deep conversation with them regarding the drinking water issue in their area, the Jarawas lady with a heavy basket on her back hung from her scalp suddenly pulled me back uttering something, her husband also similarly carrying a big basket swiftly picked up a roadside large stone nearby and threw it with force. It took me a while to realize as to what was the dramatic episode. She could see a big snake slithering across the road, a couple of meters ahead of us even in that dark night. While she saved me from that imminent peril by holding me back, her husband could kill that poisonous serpent with a single hit which was sharp on the head of the snake even in that darkness.

The Jarawas and other Negritos of Andaman Islands avoid the deadly salt water crocodiles by taking every precaution in their foraging activities. On the other hand, for the Shompens, it is a good game to hunt. They have an ingenious way to kill this dangerous and biggest reptile on the earth. They incite the crocodile by poking it with a long and soft wood pole; the enraged beast bites that pole with its deadly crunch, due to which its teeth penetrate deep into the soft pole thereby its powerful jaws get locked together and thus it turns utterly defenseless. Then, the Shompens spear it repeatedly to maim it without endangering themselves, as neither it could run or swim away because of long pole hindrance or could move to attack them (Awaradi, 1990: 55). And lastly the research teams did not find any clue regarding past disease outbreaks in the archipelago (ibid: 115). Hence, it could safely be inferred that aborigines were not ravaged by such ailments which took the epidemic form before the arrival of modern colonizers in the islands.

Leisure and Lighter Moments: Leisure and leisure time activities generating lighter moments in the human life were expected from those individuals whose basic necessities including their food and physical security were firmly ensured and their community recognizes such activities as normal and even desirable too. The research teams observed the Jarawas men and women spending long hours in leisure (ibid: 82). Further, if the people are healthy and not troubled by frequent ailments or major outbreaks, they would be engaged in variety of aesthetic or creative or recreational activities.

Personal body adornment, the oldest and never dying art, the man has ever invented, is pretty prominent among both women and men of the aboriginal communities. Since, there was no functional requirement to cloak their bare body in the tropical hot and humid climate here in the islands, it was but natural for the aborigines to go about naked. Further, not having body hair, the Negritos paint their face, chest, boobs, back, thighs, upper arms and other bare parts with red or white ochre mixed with animal fat. The diverse geometric line-painting designs carved out on their body reflect the painters' creative imagination. Apart from aesthetics, certain beliefs are also associated with body paintings. Furthermore, the aborigines decorate themselves with variety of ornaments made of plant fibers, bark, leaves, flowers and fruits. While Shompens women wore the bark cloth skirt, the nude Jarawas dames, at times, draped their body with green leaves. The multidisciplinary team could identify 63 plants used for body decking by the Jarawas (ibid: 35). The scalp-band, neck-band, garland, girdle, necklace, armlets, were some of their ornaments. Sea shells were also used for making a kind of jewelry by the aborigines. Some bands of the Shompens men and women decorate themselves with wooden ear studs, the wood piece in their pierced earlobe. The aborigines thus not only deck themselves but they meticulously decorate their tools and implements like bows and chest-guard too by engravings. The Jarawas wooden bucket is decked with colorful strips made of orchids.

Singing, dancing, gossiping and wisecracking are also the leisure time activities for aborigines. Andamanese had a wooden sound board, a kind of musical instrument to produce euphonic resonance by rhythmic thumping it with the foot of the player with the accompaniment of chorus. The aborigines indulge in cracking jokes and in horse laughter in every opportune situation. For instance, when we gave the urine culture transport tubes (UTT) to the Shompens and Jarawas men to collect their urine in those containers and return them to us during our fieldwork (2017 - 19) among them for disease burden study, they burst in to loud laughter asking us "will you drink it"? The Nicobarese are known for their traditional sports, like canoe race, pig-fight, pole-fight, and variety of other tribal games apart from their songs and dance. Such hilarious activities creating lighter moments, merry making or playing games, or crooning and swaying signify the happiness among the aborigines. Another remarkable aspect about the indigenous people of these islands was the absence of addictive substances and even beverages amidst them, apart from any addictive behaviors except for the Nicobarese toddy drink obtained from the coconut inflorescence. The toddy is taken as a healthy drink though it is bit intoxicating.

Indigenous Sovereign Autonomy: In their entire long history of more than 50,000 years, the Negritos in the Andaman Islands and of nearly 14,000 – 18,000 years, the Mongoloids in Nicobar Islands remained largely independent in their own world till the arrival of interlopers in the modern era. Nonetheless, the aborigines did not live in absolute isolation since many sea-going travelers visited the archipelago from second century onwards, for example, Cladius Ptolemy in second century AD, I-Tsing a Buddhist monk in seventh (Temple, 1903, III:47), two Arabian travelers in ninth, Marcopolo in thirteenth (Yule, 1903:309), Frair Odoric in fourteenth, Nicolo Conte in fifteenth, and Master Federic in sixteenth century. These travelers did not pose any threat whatsoever to the aborigines. As against this, the East Asian slave traders from Malaya, Burma and China were menacing as they often raided the islands to capture and take away the aborigines to sell them in their countries and this is held to be the major reason for the fierce hostility of the aborigines towards all outsiders. Then the Western spice traders mainly British - who were already in that international commercial business in mainland India since the beginning of seventeenth century and subsequently indulging in political control and domination over the Indian rulers, - attempted to expand their such dominance on the aborigines of Andaman Islands too, towards the end of eighteenth century, though their original purpose was to set up a port of refuge in the islands for the safety of their merchant ships in distress due to bad weather or of the castaways during voyages in Indian Ocean / Bay of Bengal. This venture failed because of repeated attacks by the aborigines and the dreadful malaria in these islands, thereby prolonging the indigenous sovereignty of the aborigines. The Chola rulers of South India founded their forward naval base in Nicobar Islands which was used for war expeditions against the regimes in South-East Asia in the eleventh century but the Cholas did not subjugate the Nicobarese, who thus continued with their indigenous autonomy. On the other hand, the Malaya, Burmese and Chinese traders indulged in economic exploitation of Nicobarese by selling them the tobacco, cloth, iron tools, rice at premium price and procuring the coconuts at cheap rate. Later, for nearly hundred years from mid-eighteenth century, various Europeans like Danes, Moravians, Austrians, British, Italians and French tried unsuccessfully to occupy the Nicobar Islands and some of them even made futile attempts to proselytize the Nicobarese. Hence, all ethnic tribes of the archipelago, except to some extent the Nicobarese, retained largely their golden age till mid nineteenth century.



II. THE END OF THAT GOLDEN AGE OF ABORIGINES

The Golden Age ends in Silver Age and latter in Dark Age depending on the extent of penetration of extraneous forces and intrinsic strength of an ethnic community. Though silver age and dark ages are taken here metaphorically, certain specific and real attributes are linked to the respective Age for the purpose of describing the bio-cultural history of the aborigines of Andaman and Nicobar Islands. The silver age is used in this context as the extended or short transitory phase between the golden age and dark age. The silver age can roll back in to the golden age or fall in to the dark age as the case may be, depending on the trend in the socio-economic and the population dynamics of a community in the course of its history. The silver age is characterized by: the compromised self-reliance i.e., with livelihood practices in a fluid state to an extent; cultural traditions being influenced by exotic elements; continuing under threat of epidemic diseases and hence utterly dependent on others / on modern medical care. On the other hand, the dark age would be marked by: total loss of self-dependence for livelihood; crisis in cultural identity; loss of ethnic identity; death of native language; and population decadence due to epidemic alien diseases. As a matter of fact, the ascent of a community in dark age to its golden age is improbable. Now, if one studies the history of the islands in the Bay of Bengal, it is evident that the golden age of different indigenous communities of Andaman and Nicobar Islands was wrecked by various interlopers, may it be the traders or colonizers or settlers but remarkably not by the invaders, in the diverse global historical circumstances at large and at Indian subcontinent in particular.

Age of Exploration: The world history reveals that the ancient Silk Road, the overland Eurasian trade route facilitating economic links and also politico-cultural exchange between the East, known for its spices and the West for using those spices to preserve the meat, was blocked by the Ottoman Empire in 1453. This led to disruption of that flourishing spice trade which spurred the European seafarers, pioneered by Portuguese mariner Vasco-da-Gama to discover Cape Route, the European-Asian sea route, thereby ushering in the Age of Exploration and first commercial monopoly in spices by Portuguese Empire. Later, other Europeans began to use that maritime route for spice trade with Indies. In 1599, a group of prominent English merchants and explorers set up a venture which was later known as British East India Company for trade with India and South-east Asia. English monarch, queen Elizabeth I granted in 1600 the charter to this Company for the trade in spices along with tea and cotton. The Moghul emperor Jahangir conferred the Company in 1615 with

the exclusive rights to trade in India by establishing the fortified trading centers / factories at various places like Calcutta (now Kolkata), Surat, Madras (now Chennai) and other locations. This Company eventually became world's largest trading corporation at its peak having had a large fleet of merchant ships sailing between England and Indies across Indian ocean often braving rough weather with grave risk for the crew and the merchandise onboard due to shipwrecks. Taking note of such sporadic instances of scuttling / ships in distress due to frequent cyclones in Indian Ocean region, especially in Bay of Bengal thereby necessitating the need for rescue of crew and safe anchorage of the vessels in such a situation, the East India Company brought up in 1789 a port of refuge in Andaman at Port Cornwallice, now Port Blair with convict laborers. But the horrors, due to repeated ambushing attacks by the aborigines and dreadful malaria killing many of those workers and others, were so terrifying that the Company had to withdraw this *first* English Settlement in 1796 and thus the original purpose of providing safety to the merchant shipping remained unmet.

Second Penal Settlement: In the meanwhile, the British East India Company was empowered by the English king to raise its own army, wage war, sign treaties, annex and acquire the territories in India and elsewhere, when similar trading companies from Portugal, Holland, France, Spain and other European regimes were also in the race for domination in the trade. So, the British East India Company kept fighting wars with its European competitors and also with Indian rulers in series of battles. The victory in the Battle of Plassey in 1757 against the Nawab of Bengal resulted in annexing the Bengal province and the beginning of the Company rule in India. Then onwards, the Company seized control over major part of northern India, after defeating the combined army of Indian states of Bengal, Awadh and Moghul Empire in the Battle of Buxar in 1764. The Company's commercial and territorial expansion continued by winning battles, dictating the terms of treaties signed postwar and enforcing the self-serving policies like the 'doctrine of lapse' or mis-governance at the cost of princely states. As a result, many Indian rulers lost their thrones and others were obliged to pay heavy 'protection money' to the Company. Indian soldiers / sepoys (both Hindu and Muslim) serving in Company's army in large number were unhappy as the salary given to them was too less in comparison to that given to their fellow English soldiers; officers' positions were reserved exclusively for English men as Indians were eligible only for lower ranks and further the sepoys were faced with institutional racism, ruthless punishment and summary discharge from army. Such dissatisfied Indian soldiers, - enraged by the rumor that the cartridges which sepoys had to bite off its ends to load the new Enfield rifles were lubricated with the grease

made out of the lard obtained from the cow (sacred animal for Hindus) and pig (unclean creature, *haram* for Muslims), – rose in revolt against the Company and rallied behind the deposed dynasties and simmering other princely states leading to what is variously termed as Indian Rebellion of 1857, Sepoy Mutiny, or First War of Indian Independence. This rebellion met initial success but later it was quelled brutally by the Company with deployment of huge British regular army shipped from England. Though large number of captured rebels were hanged to death and blown from cannon, still the Indian prisons were heaving with so many more prisoners of war, apart from other convicts. At this juncture, British crown, after taking over the rule from the East India Company, applied on the mutineers, the draconian punishment of 'transportation for life' to a far-flung place for setting up a penal colony in Andaman Islands in 1858. This British *second* Penal Settlement brought about the end of the several millennia old Golden Age of the Andamanese. A century later, the Indian Settlements (of course not penal ones) led to similar consequences in respect of the Onges, Jarawas and Shompens, while the British practice of befriending the hostile natives continued by the Indian authorities was instrumental for impairing the Golden Age of Sentinelese, though only for couple of years. And the Nicobarese community suffered the far-reaching debilitation in the aftermath of the natural calamity, the monstrous Asian tsunami of December, 2004. The downfall of each tribe is discussed in detail as under.

III. THE FALL OF THE ANDAMANES TRIBE

Colonial Confrontation and Realization: The second Penal Settlement founded with convict labors in 1858 by the British in these islands too had initial troubles. The aborigines, as expected, vehemently resisted this upcoming Penal Colony that occupied their certain territory i.e., their hunting-ground. The Andamanese, the largest ethnic group with the estimated population of more than 5000 among the Negrito tribes, though were awestruck by the fire powered weapons of the newcomers, they were not cowed down. With their superior tactical guerilla attacks, despite having just bows and arrows, the aborigines could keep the powerful encroachers on tenterhooks inflicting casualties, apart from killing the convicts entering deep in to the forest to escape from the cruelties dealt by the British in the Penal Settlement. Frequent hit-and-run gang warfare apart, a large mob of aborigines ambushed the barracks at Aberdeen locale of Port Blair in the penal colony in the wee hours of 17th May, 1859. This full-scale assault being known as the famous Battle of Aberdeen in the history would have been the Waterloo for the British in the Andaman Islands but for the traitor Mr. Doodhanath Tiwari, an escapee convict (Awaradi, 2021: 71). The heart-wrenching story, – how Mr. Tiwari,

who feigned death when his co-fugitives actually succumbed to the arrows on the spot, was shown unexpected mercy by the aborigines by not killing him when they found him still alive despite fatal wounds; how he was not only allowed to live with them, partake their food, participate in their hunting and other activities but even marrying a tribal girl to him; then how he betrayed them by quietly deserting their war expedition forward camp in the forest at the outskirts of Port Blair as he learnt their strategic plan of assault and reached the Penal Settlement to alert the British officers on 16th May night about the imminent attack early next morning by the Andamanese; how the British quickly mobilized their armed forces and massacred the aborigines as the bows and arrows were not the match for the guns in such a pitched battle; and how he was rewarded at the end by the British for his treachery by releasing him from the punishment of transportation for life that he was undergoing in Andaman Islands – is well known in the islands. It is remarkable that despite a massive bloodshed on their side, the Andamanese were not deterred and continued with their sporadic attacks. Thus, the situation remained creepy for the residents of Settlement and lingered on as a burning problem for the British in running / maintaining the Penal Colony peacefully without the loss of life on both sides.

So, the British realized that their approach of retaliation hitherto through bloody punitive expeditions against aborigines was not only futile but a costly affair on account of raising and maintaining large armed police to organize such expeditions, to guard the Settlement against the tribal raids and provide protection to the convicts engaged in clearing the forest and other works. Moreover, the British were concerned with the long-standing issues relating to the safety of crews of foundering ships, as the hostile tribes attacked the castaways. Furthermore, the authorities of the Penal Settlement began to confront a new problem of desertion by convicts. The prisoners in groups resorted in series, to abscond from the Settlement to escape the grueling and inhuman daily labor / physical tasks assigned to each of them, like unreasonably hefty quota of manual extraction of coconut oil through the process of the cold-press by running the *ghani* mill and breaking the stones. Clubbed with this, they were driven by the false / unfounded hope to reach back their home in mainland India finally one day after long trekking the Andaman Forest and swimming / rowing across the narrow sea between these islands and Burma (now Myanmar), being the eastern part of Indian subcontinent. Such desertions resulted in the depletion of convict laborers in the Settlement as they were killed by the aborigines. If such trend was not curbed, the authorities conceded that the ambitious plan of raising the grand Colony by building infrastructure, that is, laying of roads, constructing water reservoirs,

bungalows and playgrounds for English officers would have been jeopardized. As such, authorities decided to address all these issues cleverly by befriending the Andamanese who thereafter would not only not attack the people in the Settlement and shipwreck crews, but also bring back the runaway convicts instead of killing them in the forest.

Shun the Gun and Give the Gifts: British adopted the strategy to befriend the aborigines instead of punitive action of gunning them down and burning their huts. The campaign of befriending the Andamanese through the *gift* giving mode began by way of: a contact party going by boat, cruising in the coastal water and dropping the coconuts on seeing the aborigines in the woods nearby; or leaving the *gifts* in the huts deserted by the aborigines on reaching there by the contact team; or capturing a couple of them, detaining them in the Settlement for certain period, treating them with food and drinks, then releasing them back in their area with lot of *gifts*. Such operations of friendly gestures for about four years, won the confidence of Andamanese, resulting in the rapport with them by 1863 (Expert Committee, 2003: 6) and thereby making the beginning of their downfall because of the fallout of their interface with interlopers. The Andamanese began to come to the Settlement and continued to do so accompanied by their women folk and children. The inhabitants of the Penal Colony were the mutineers, criminals convicted for committing heinous crimes apart from few British officials. The colony was administered by a senior officer designated initially as the Superintendent and later as the Chief Commissioner. Under this officer, a junior English official was asked to manage what came to be known as "Andamanese Home" often referred as the "Andaman Home" whereat the aborigines visiting the Colony could stay as long as they wish, get food and *gifts*. The overt purpose of Andaman Home, as reflected in the 1867 report of the British officer, Humfrey was, to "tame" and civilize the aborigines who would be an aid in tackling the issues in running the Colony and in maintaining the British suzerainty over these islands and prevent other European powers from occupying these islands (Portman, 1899: 549). No doubt, these colonial objectives were met but at the heavy cost of indigenous people.

Impact of the Gifts: With increase in the Andamanese visits to the Penal Colony, the convicts particularly the criminal perverts being notorious as drunkards, addicts of tobacco and opium, got chances to interact and strike friendly relations with the aborigines and that was the onset of the Dark Age of Andamanese. The aborigines saw the convicts consuming the addictive substances like alcohol, tobacco and opium. The convicts began to offer such substances as the special *gifts* to the Andamanese and latter accepted them as curious gifts. Soon, these *gifts* fired in both men

and women, a craze around such substances, then cravings for them and finally the aborigines turned themselves as addicts and thus vulnerable for easy exploitation. In that Penal Colony of men in early days, the only women that too naked were the visiting Andamanese dames who were also given variety of exotic gifts like mirror, beads, clothes apart from the addictive substances as a lure and then were sexually abused repeatedly by the convicts. All such interactions led to the decimation of Andamanese population on account of spread of alien diseases including venereal diseases and long-term impact of narcotics especially opium.

Introduction of addictive substances to the aborigines led to the 'chain reaction' in a way that their craze for the opiates having short term analgesic and euphoria impact pushed them to visit Penal Colony often, leading to partaking more narcotics resulting in intense craving for them which drove the aborigines to the Settlement more frequently to consume the addictive substances more and more thereby turning them as hardened addicts and that was the death knell for Andamanese. These narcotic substances had the telling long term impact on the aborigines. The opium for instance, impaired the menstruation among the Andamanese women, dampened sex drive and affected the childbearing capabilities contributing to decline in the population. Recent scientific investigations have confirmed the deleterious impact of narcotics like opium on reproduction. For instance, the population-based cohort study in rural areas of Iran indicates increased risk of compromised fetus before or during labor (Paige, L Williams (2017; 5812(4): e0176588). Perhaps more catastrophic impact on the population of Andamanese due to their interface with outlanders that is, people of Penal Colony was the new diseases brought by such outsiders. Each of the alien ailments broke out into an epidemic, since the aborigines had no resistance and immunity against the exotic maladies. There were four major epidemics viz. pneumonia of 1868, syphilis of 1876, measles of 1877 and influenza of 1892 which took a huge death toll among the Andamanese thereby reducing their population to 625 by the end of the 19th century from estimated 5000 in 1858. Other diseases which broke out were ophthalmia in 1876, mumps in 1886, gonorrhea in 1892 (Tomas, 1991, quoted by Krishnakumar, 2009:111).

In addition to the initial channel of interface in which Andamanese visited the Penal Settlement, there were other ways of interaction wherein outlanders frequented the Andamanese camps. For example, few Andamanese too were recruited into the Police force by the British along with other men of Indian and Burmese origin (Burma was a province of colonial British India during 1824 -1937 and from where too the convicts were deported to Andaman) to help the colonial Administration in dealing with hostile Jarawas tribe. This



led to regular interaction of these outlanders especially Burmese with Andamanese resulting in long term visible impact by way of love affairs / adultery / marriages, apart from the women adopting the Burmese dress pattern, - *lungyi*, the ankle-length wraparound skirt and jacket, while the blokes imitated the dress of their colleagues and thereby the half pant and bush shirt became the menswear among the Andamanese. Such style of women's costume continued till 1990s and later they especially the younger lot adopted different Indian / modern garb. Young and healthy tribesmen of Chota Nagpur region in central India brought since 1918 to Andaman Islands through Catholic Labor Bureau at Ranchi to work in forestry operation, (as the timber being the major natural resource), also had sporadic interface with Andamanese. Some of these tribesmen, colloquially known as 'Ranchis' had sexual affairs / living relations with Andamanese dames leading to spread of alien diseases. All such interactions too were leveraged on mainly through the *gift* giving.

Rehabilitation and Welfare: The population decadence of Andamanese continued beyond the end of colonial period and touched its lowest of just 19 in 1961 because of these diseases and reproductive impairments, though the ailments did not explode again into an epidemic. With India becoming a democratic welfare state, the Andaman and Nicobar Administration realized that these few surviving, distraught Andamanese dispersed in North, Middle and South Andaman group of islands, - some as solitary individuals and few in pairs, but all in miserable state, - could go in to total extinction, if the government were not to intervene with suitable rehabilitative measures. These distressed and wondering aborigines, yet speaking in their own mother tongue, and still sticking to many of their cultural practices, were rehabilitated by the Administration on Strait Island, an islet of less than 3 sq. km in 1969. The community in this new Settlement was provided with the *gift* of basic facilities like healthcare through Medical sub-center, residential houses, water supply, electricity, *anganwadi* (rural childcare center), community hall, primary school in Hindi medium and a jetty, apart from meeting their essential needs including ration items and clothes for free and cash allowances to get required consumer goods through their own Cooperative Society which however was managed by the officials of welfare agency i.e., Andaman Aadim Janjati Vikas Samithi (AAJVS), all under the State tribal welfare program. Further, the community coconut plantation, small piggery and poultry farms were also raised in their colony. Police Out Post and Police Radio Centre too were provided in the Strait Island. A set of officials like the social worker, power house operator, pharmacist, *anganwadi* worker, teacher, farm attendant, police constables and police radio operator were positioned for a period of three years or more in the colony to

render the public services. Free residential accommodation for these officials and a small Guest House for touring officers were made available in the Settlement. The social worker being in-charge of the Settlement, dispensed the *gift* in the form of government *doles* and *freebies* to the aborigines.

Impact of Welfare: It was, in fact, a hard and perhaps long posting in Strait Island for all these officials accustomed to urban living. Most of them were young unmarried men living in such a desolate place where: there was no usual means of entertainment available to officials for timepass after their duty hours, as the satellite television provided by the Information and Publicity Department remained mostly out of order, since service of maintenance engineers was not easily accessible at this remote island; facilities for sports and games were not available; nonetheless the hook and line fishing, a feasible, easy, affordable and individual sporting activity could have been a good timepass, but somehow it was not picked up by the officials; no means of communication with their family and friends as there was no telephone link to the island (it was pre-mobile phone / pre-internet world), however, the police radio could be used for non-official i.e., personal purposes too by the officials for sending the telegraphic messages in their crying needs; no provision for the any day travel in the absence of inter-island shipping service to this islet in those days, nonetheless, a ship could certainly arrive at Strait Island in medical emergency for evacuation of serious patient, when such help is sought through the police radio; otherwise, the small boat owned by the welfare agency (AAJVS) under Tribal Welfare Department would provide a free lift for the approved movement of officials periodically, say once in a month, during its scheduled voyages for reaching ration articles / consumer goods to the Andamanese Settlement; and no eatery / restaurant at all on the island, hence officials had to daily labor in preparing their own food by buying groceries from the Andamanese cooperative society. Thus, the everyday life of the officials at Strait Island was undoubtedly onerous, exacting and perhaps monotonous. Hence, they would be geeked out to seize any diversion whatsoever including the vices like drinking the smuggled liquor and womanizing the tribal dames. Such employees offered the alcohol as *freebie* to the blokes, most among them were known bibulous guys and hence would readily turn addicts, while they gave the cosmetics, often drinks too as *gifts* to women folk to lure and abuse them to such an extent that many women bore love children who stood out distinctly with biological features / looks that are not at all of Negritos. Andamanese largely pandered to such officials as they became dependent on liquor given by the officials which was obtained clandestinely, since its supply was prohibited at a Strait Island, the notified tribal reserve.

The Andamanese children witnessed the elders drinking alcohol wantonly and indulging in adultery which influenced them in their upbringing. Hindi became the lingua franca at Strait Island, even amongst aborigines themselves, replacing their tribal dialect. Few grown up boys and girls were given low jobs as welfare *gift* by the Administration and so, they came in contact with non-tribal people away from Strait Island. These contacts developed in to love affairs leading to marriages and the most of such wedlock landed eventually on the rocks, like in one case, the non-tribal husband abandoned his tribal wife after many years; in another case the non-tribal wife became notorious by her bossiness over her husband and even on other Andamanese; in yet another but much later case, the tribal husband could not endure the atrocious behavior of his non-tribal wife and ultimately committed suicide. Notwithstanding few such distressing cases of inter community marriages and exploitation by a handful of officials posted there, the Andamanese had a modicum of community life, observing certain traditional customs and practices in the Settlement for three and half decades at Strait Island with some increase in their population though at a snail's pace. Author, being the then Director of Tribal Welfare, sanctioned liberally for the tools, equipment and every other benevolent help sought by the Andamanese, apart from putting in place an effective administrative arrangement to ensure regular visit of the doctor to the Settlement to provide necessary health care in situ, and further strongly recommended for a short duration posting of the fully briefed officials mandated with clearly defined welfare specific duties, and also for regular inspection visits of the supervisory officers to the island, so that, those officials in the island perforce remained, all through their short-term posting, preoccupied in tribal welfare and salutary activities. Consequently the author could note distinctly during his periodical tours to that island, the visible replacing of the old gloom by their zest for life with a ray of hope and an elan in their day today activities like: building canoes by old people; adults going for turtle hunting; women collecting the turtle eggs, coconuts and fire wood for cooking; families comprising of husband, wife and children sailing occasionally in their canoes for more than ten hours to reach the distant Englis Island and camp there for days engaged in their traditional hunting and gathering ventures. Thus, the Andamanese would have continued in this way to live a nearly normal and happy life.

Aftermath of Tsunami and Ultimate Dark Age: But then comes the tsunami disaster of 26 December, 2004. Though the Andamanese did not suffer casualties under monstrous sea waves, - as they ran to high ground away from coast relying on their ethnic premonition of impending danger at sea shore following a severe earthquake, - the tsunami destroyed the infrastructure

on Strait Island including their houses, school, jetty, powerhouse. They were evacuated to Port Blair and housed in the existing tribal transit accommodation, the Aadi Basera, which was declared as relief camp where they were to stay long with least chance to pursue their traditional activities. So, the author, - who as per the decision of the Administration, was flown to the worst affected island, the Car Nicobar, by Indian Air Force aircraft in the afternoon of the same day of tsunami bang, to manage the disaster in its immediate aftermath, in view of his earlier experience as the Deputy Commissioner of the Nicobar district, - ensured, on his return after weeks from Nicobar, that the Andamanese get the necessary raw materials and tools, so that they could keep themselves engaged in productive activity like making their traditional artefacts including variety of tribal ornaments during their stay in that relief camp instead of idling around that camp / street corners in the town. The children were given admission in Port Blair schools including private schools and the Administration footed the bill covering every expenditure under the *gift* package of free education as the school at Strait Island was not restarted since its building reconstruction took years. Later, only adult Andamanese were sent back to Strait Island after their houses were somehow renovated to a large extent, while their gullible school going children continued to stay in Port Blair and grew up among non-tribal people. Most of them had love affairs and many of them married non-tribal youths, thereby facing the consequences of troubled marriage. The exposure to non-tribals was not the only villain of the inter-ethnic matrimonies, in fact, the tiny size of the population of the Andamanese, that is, just 43 (Census 2001) was the major conspirator. The incest taboo norms did not permit marriage alliance of the youngsters within that small tribal society and so elders tacitly approved the inter-community nuptials along with silent acquiescence of Tribal Welfare department. The Administration then employed virtually all literate youths by providing them with some petty jobs in various departments without going through normal competitive process and also allotted residential accommodation out of turn as the exceptional case, which in effect was a part of the state welfare *gift*. Many unemployed non-tribal youths particularly men turned out as the suitors who found such Andamanese in government service as the propitious choice to marry and get the assured livelihood source for themselves. The employed Andamanese were all posted in Port Blair itself, though the author suggested Strait Island as the place for their positioning and further to meet the government's basic rule, recommended for shifting such low-key posts to the Strait Island in view of larger tribal interest to enable these young government servants to rejoin their parents and try to lead the community life. However, the bureaucratic tangle failed that suggestion. These



youngsters while living in Port Blair became habitual drunkards as the alcohol was available in open in that town and the salary they got was squandered largely on liquor and some even purchased motor cars under the influence of non-tribal people on payment of instalments offered by automobile dealers and hired the drivers as they did not have the driving license themselves. The social and economic link with their parents and relatives of these employees got increasingly weakened under the impact of their non-tribal spouses and the newfound interpersonal relations with urban people. Over a period of time, they lost their tribal dialect and culture under the domineering influence of non-tribal people in that town, apart from being subjected to various exploitation especially of women. The unmistakable reality is that these government employees on their superannuation, bereft of all those *gifts*, will have to vacate the residential accommodation, thereby they would become homeless as they failed to build their own house in the town since they did not have savings. They would no longer afford lavish drinks with meagre amount of pension leading to depression along with deteriorating health. Having lost their socio-economic linkage with their aging root community at Strait Island, they would be miserable and likely to land up in vagrant pre-1969 situation, unless the preventive and promotive measures contained in the draft Tribal Policy for the Andamanese submitted by the author (as the Director of Tribal Research Institute) to the Administration in 2019 are implemented. In addition to the loss of ethnic culture, they are set to lose even their Negrito racial identity totally in the years to come and that would be the ultimate Dark Age of the Andamanese tribe and the saddest fallout of the *gift* giving.

IV. FALL OF THE ONGES FROM THE GOLDEN AGE

Heydays of the Onges: After the Andamanese, it is the Onges who fell from their golden age and once again the *gifts* played the trick. In the long past, it was the only Onges who populated Little Andaman Island and had the full sway over the Passage, Cinque and Rutland Islands for their subsistence. They were hostile to all outsiders whoever landed at Little Andaman and therefore, they remained insulated against all foreign ills and lived a vibrant hunting gathering way of life with large enough population. That was their long Golden Age. Later, they too faced almost similar vicissitudes like those of Andamanese, subsequent to the founding of Penal Settlement in the Andamans at Port Blair by the British. In 1867 eight members of crew including the captain of the ship, the 'Assam Valley' were killed when they landed on Little Andaman and encountered the Onges. Subsequently, British administration sent a punitive expedition of armed forces to Little Andaman and nearly 70 aborigines were massacred in fierce battle

on the island and five soldiers of that battle got the highest British gallantry award, the Victoria Cross.

Despite such a gory experience in the hands of the powerful British mariners and their naval supremacy, the Onges neither turned in, nor gave up their ferocity. They continued their tradition of going to the neighboring northern islands for their routine livelihood activities. Their age-old practice of sailing in the canoes to up to Rutland Island to collect the turtle eggs was kept up without any let up. During one such trip, 25 aborigines were seized by the British in 1885; 11 of them were brought to Port Blair; were treated well and then sent them back to the Little Andaman with a lot of *gifts*. In due course, the Onges gave up their hostility under the persistent influence of such friendly overtures by the British. In furtherance of friendly relations, there were several other visits to Little Andaman by various English men and thereby some details about the Onges were gathered. Accordingly, the population of Onges was estimated in 1901 as 672, which declined to roughly 631 in 1911, 321 in 1921, 250 in 1931 and this sliding trend continued with exact headcount of 150 in 1951, 129 in 1961, 112 in 1971 (Census of India 1931, 1951, 1961 and 1971). The actual reason for such decline is not known, however, the most likely cause could have been the alien diseases spread through the sporadic contacts with the visiting outsiders, as that was the only novelty, the new situation appearing in the later part of their golden age i.e., following the founding the Penal Settlement by British in the islands.

The End of Onges Golden Age: The second chapter of the cultural history of Onges began in 1967 with the influx of the outlanders to become their permanent neighbors on Little Andaman being the fallout of partition of vast British India into two independent nations i.e., India and Pakistan at the end of colonial rule in 1947. The world's worst displacement of more than 14 million people and their cross exodus took place, as a result of which thousands and thousands of Bengalis from East Pakistan (now Bangladesh) migrated to the Indian states of West Bengal, Assam and Tripura, apart from, even larger migration of Punjabis and Sindhis from the Pakistan to Indian states of Punjab, Delhi, Haryana and Himachal Pradesh. These uprooted Bengali people were accommodated in number of the refugee transit camps waiting for their resettlement by the Government. Further, the mass migration of people in reverse direction after several decades, in the backdrop of the British colonialism, and its end in Indian subcontinent is another human agony, like in the case of repatriation of 600000 Indian origin Tamil speaking Sri Lankans to India in terms of Srimavo-Shatri Pact, 1964 (also known as Indo-Ceylon Agreement). Still further, following that uprooting, the process of resettling those repatriates led to unsettling of some others elsewhere which is, yet

another human misery. Under such historical situation, apart from other Indian states, the suitable islands in the Union Territory of Andaman and Nicobar archipelago being sparsely populated were also chosen to rehabilitate these Bengali refugees and other displaced communities.

Accordingly, parts of thickly forested Little Andaman along with other sufficiently big islands were cleared to settle the uprooted families as the agriculturists. Out of 734 sq. km of Little Andaman, about 34 sq. km. eastern part of the island was reserved and notified as the revenue area for implementation of the colonization scheme drawn up by the Government to relocate: (a) 375 families of Bengali refugees (Sen, 2017:160) constituting the largest community among settlers of Little Andaman; (b) while smaller number of families of Sri Lankan Tamil repatriates; (c) the descendant families of Moplahs of South Andaman (estimated 1400 (Sasikumar, 2021: 29), about 2000 (Anderson, C, 2018) convicts belonging to Moplah, the Muslim community of Malabar region in South India involved in Moplah Rebellion of 1921 were transported to Andaman by British; in view of rampant “unnatural vice” in Penal Settlement, the Government, by easing out their punishment term, the Moplah convicts, were helped to get their families from Malabar and to settle in South Andaman by allotting them agricultural land; their population increased over the years; later, the request for land for their offsprings was considered by the Administration under the ongoing colonization scheme); and (d) 100 Nicobarese families of densely populated Car Nicobar Island (to reduce its population pressure). As such, the endings of 1960s and mid '70s with settling of all such outlanders in Little Andaman Island, made the beginning of the end of the golden age of Onges.

Government Gift Package: Concerned with well-being of the hunting gathering Onges in the context of settling of disproportionately large number of outsiders, the Andaman and Nicobar Administration, in their own wisdom to assuage this original inhabitant ethnic community, decided to give the Onges a *gift* package of welfare programs in 1976 by settling them permanently in a place. In fact, the declared purpose, as indicated by an article included in the booklet titled *Retrieval from Precipice* brought out in 1976 by the registered Society (Andaman Aadim Janjati Vikas Samithi (AAJVS), an organization 100% funded by the government and headed by the Administrator of A & N Administration), was to “provide the basic facilities of hygienic living and protection against the elements of nature.” Under this *gift* package, the aborigines were persistently persuaded: (a) to settle permanently in the houses built for them at Dugong Creek in the North-Eastern part, away from settlers’ villages, instead of moving from place to place in search of food; (b) to accept the

monthly free ration items of rice, wheat flour, vegetable oil, spices, salt, sugar, tea powder to subsist; (c) to don garments supplied and desist moving around naked; (d) to accept the free medical care; (e) and to send children to the school opened at Dugong Creek. The other *gifts* in that package given were in the form of water supply, power supply, helipad, jetty, medical sub-center, community hall, police radio center for communication and large coconut plantation, tribal cooperative society. Like in Strait Island, a small contingent of officials, including a social worker, auxiliary nurse & midwife (ANM), pharmacist, powerhouse operator, police radio operator, teachers, a detachment of the Police force, was positioned in the Dugong Creek to render necessary services. Unlike in the case of Andamanese, these officials did not become the meddlers for Onges, except turning their men as boozers which however was no less a bane, discussed below. Administration considered the Dugong Creek Onges Settlement, founded in 1976, as a model project for welfare of nomadic tribe and made it as a routine to show it to the dignitaries visiting Andaman Islands by organizing every time a short event in the community hall and get the *gift* packets containing clothes, biscuits and such other items distributed to the aborigines through the guests on the flying visit by helicopter.

Other welfare schemes including dairy and piggery were also *gifted* to the Onges by supplying cows, bulls and pigs by the Animal Husbandry department with a view to improve their diet. They were told to milk the cows and consume it themselves and feed the children also with milk, but the aborigines did not do so. It was beyond their imagination that the milk meant for calves could be snatched away and used by the man. In this context, the recent researches may be recalled here which show that the humans began to use the milk just 5000 years ago, long after the Agricultural Revolution (Grambler Jeff, 2023, January 16), while the aborigines of Andaman Islands have remained isolated from the rest of the world since their occupation of these remote territories more than 50,000 years ago and hence were unaware of dairy items as food. A small number of dairy animals provided as *gift* to the Onges proliferated into a big herd in few years, as there was abundant foliage in and around the Settlement to graze and further the calves could grow fast and healthy on getting their mother's milk exclusively for themselves. That is not the end. It became a common scene of the strong bulls fighting not only among themselves but also fatally charging the people in Dugong Creek including Onges. It was a tough task for this author in early 1990s as Director of Tribal Welfare to get these dangerous cattle herd shifted away from Dugong Creek by catching the ferocious bulls and cows, load them on to the ship for transporting them elsewhere. The *gift* of piggery scheme introduced among aborigines was also



withdrawn within a couple of years as the aborigines did not like the slumbering, big Yorkshire white pigs reared in the enclosures, against the familiar wild, black pigs, oinking and trotting in the forest, those they hunt.

The *gift* of regular food supply had far-reaching consequences on the Onges. Over the years, they gave up the agile hunting gathering activities, building canoes and other livelihood pursuits by leading indolent sedentary way of life subsisting on the *gift* of ration supplied every month. This inactive living, clubbed with cereals based, carbohydrate rich diet replacing the animal-based protein and fat rich balanced paleo-diet led to the advent of alien morbidity like obesity, hypertension, diabetes and tuberculosis. Further, while some adults turned obese, the children became under-nourished and one of the reasons for this malnutrition could be the mere change in the schedule of cooking the food in the family. Onges women began to prepare *paratha*, - the oiled thick *roti* (unleavened bread) introduced along with *gift* ration - mostly late in the forenoon and not in the morning for the first meal of the day, till then the children remained hungry, thereby missing the breakfast, as against the old practice of keeping, all the time, the big chunk of pork hung from the roof over hearth for smoking, in their huts, so that the children, for that matter anybody, could grab pieces of it and eat whenever hungry. Further, the Onges aborigines have become depressing dole dependent, that too on an external agency, the AAJVS, providing them that *gift* package of largesse, which is not under their control, thereby losing their age-old and high-spirited, total self-reliance.

Gift of Liquor, Chieftain System and Associated Tragedies: Though the Dugong Creek was remote place, it was not totally isolated as the officials can trek for about three hours to reach the bustling settlers' villages and the Hut Bay, a mofussil town to rejoice with food, drinks and reboot themselves before returning to dull place, the Dugong Creek. In fact, many of them keep making some or other official visits, for example, the teacher taking students' attendance sheet to submit it to the higher authorities; constable reaching some report to Police Station at Hut Bay; pharmacist going to Primary Health Center to collect medicines or the social worker going to meet Tehsildar, the administrative head of Little Andaman. Nonetheless, some officials did take to regular drinking the liquor obtained stealthily to overcome boredom / loneliness at Dugong Creek. They began to offer clandestinely the smuggled liquor to Onges men as a *gift* initially and later in return of the domestic services, like sweeping, dishwashing etc. rendered by those Onges men in the official residences of the Government employees leading to the addiction of menfolk to the drinks and eventually turning dependent on such officials. Fortuitously, the women folk did not fall prey to liquor addiction as they were not exposed to outsiders and their tricks. Hence, they did

not undergo the sexual abuse by the outlanders that the Andamanese women folk did. Furthermore, Onges did not face the serious crisis due to nonexistence of eligible and marriageable youths within their community though there were a couple of families with spouses of incompatible age. For instance, former 63-year-old widower Onges Chief married a 13-year-old girl, and parallel to this, an 18-year-old boy had to marry a widow of 46-year-old; that Chief passed away before his young wife came of age and this youthful dowager could marry another man after many years, and thus she frittered away her own reproductive age, while in the other case, that old wife also died at her mid-sixties without bearing a child for his husband. So, these twin episodes were not cheering for the small community, otherwise, that Chief's remarriage with that midlife widow would have been instrumental for some growth in the Onges population, contributed through the expected marriage of those juvenile girl and boy. The explanation for such a situation lies in the state *gift* package implemented among the Onges. Disregarding the egalitarian / non-stratified Onges society, the authorities in early days nominated an elderly man as the chief of tribe to provide a communication link between the tribe and the Administration and he was nominated as the Member of the erstwhile (1979 - 1994) Pradesh Council, the democratically elected body in archipelago as the representative of the islands' PVTGs. He got a newfound high status with dominating attitude over his fellow tribesmen and so, he could choose to marry a little girl which otherwise was not acceptable, if he were not given the position of the Chief by the Administration. So, in a way, it was a *gift* in the form of a new system imposed on the Onges by the outsiders with the tragic consequences.

The government employees on completion of their fixed tenure of 2 to 3 years at a place like Dugong Creek are generally transferred to another place. It appears that the officials posted at the Onges Settlement in 2008 were incidentally teetotalers, that means, the Onges men did not get liquor from them and further, it was not all that easy for them to go to the far away mofussil township, the Hut Bay to work there to obtain liquor and above all, no non-tribal would venture to employ Onges because of various government restriction meant for tribal protection. Thus, Dugong Creek became actually dry area in that period (in accordance with the existing laws under which prohibition should be the norm in the tribal areas). Under such dispensation, one day, two Onges men found a big brown bottle on the beach washed ashore and to their pleasant surprise, they got the smell of alcohol on opening it (practice of collecting such containers for their use is common). So, they mistook the chemical in that bottle as liquor and they guzzled enough of it. They brought home that bottle still containing a lot of liquor and shared it with other men.

Within hours they fell seriously ill, two of them who drank first succumbed in the Dugong Creek, others were taken in the small boat to the Primary Health Centre in the settlers' village (Ramkrishnapuram) where six more of them lost their lives and two lost their vision permanently. Subsequent enquiry revealed that the chemical in that bottle was a poisonous liquid with alcoholic content. It was a major tragedy of death of eight persons resulting in grave setback for this small tribe within a couple of days.

Relevance of Schooling: Formal education through the school is another *gift* received by the Onges leading to repugnant consequences. The record is that, not a single Onges has passed out the 10th standard (equivalent to British General Certificate of Secondary Education and US Sophomore) in the nearly five-decade history of school at Dugong Creek. In the modern societies, a system has come to prevail wherein undergoing a prescribed formal education and certification to that effect is taken widely as a primary step to get a job to earn the livelihood. In that context, the relevance of such education becomes questionable in respect of the Onges tribe having their own age-old independent, sound and self-reliant livelihood. The analytical evaluation reveals that such schooling has been alienating the aborigines from their vivacious vocation as the children are bombarded with locally irrelevant information and turning their attention away from the interest in their time-tested livelihood matters. Some students have started idling / watching films downloaded in mobile phones. In fact, the schooling is doing more harm than any good as evident in the case of Ramu, a literate Onges youth. He was appointed to a lower job in the Shipping Services Department by the A & N Administration and was posted away in Port Blair where he, having enough money received as salary, began to drink alcohol excessively and so, lost his health within few years. Further, one day in a drunken state, he playfully kept on enraging the Forest Department's tusker in the Hut Bay area when its *mahout* (elephant keeper) was away. That annoyed elephant, to get rid of this nuisance grabbed Ramu by its trunk, then flung him and crushed him to death instantly. Thus, this Onges youth ended his life without leading the normal married life in Dugong Creek and leaving no offspring of his own, failing thereby to contribute in the growth of this tiny ethnic population.

Onges cultural practices, intricately connected with their traditional livelihood, are disappearing on losing the relevance in their new way of dull life sustaining on alms and the *gifts*. A separate Tribal Policy for the Onges and a concrete plan for reversing the current disconcerting way of the Onges existence and then for their rejuvenation, that is, for their transiting into the New Golden Age has been submitted in 2019 to the Andaman and Nicobar Administration by the author

in his capacity of the Director of Tribal Research Institute. Now, it is high time for the Administration to work for ensuring the meaningful life for the Onges. The medical care provided to them has a positive impact to the extent of gradual increase in their population to 131 in 2023. Further, the aborigines including youths need to be persuaded to avail the traditional knowledge of old people and go for active life necessary for their healthy living by engaging themselves in hunting, fishing and gathering activities, before the exit of the old generation from the scene. The elderly people are willing to lead the youngsters and thereby revive their cultural elements to lead meaningful life and the Administration can provide the benevolent *gift* package by way of facilitating the Onges community in this direction.

V. JARAWAS'S TRANSIT FROM GOLDEN AGE TO SILVER AGE

Attempts to Oust the Outlanders: With the decimation of Andamanese population by the early 20th century because of their failure to realize the trap laid by the outsiders through the *gifts*, their vast territory became accessible to their neighbors, the Jarawas. The astute Jarawas could notice some of the changes in the lifestyle of their next-door co-residents, the Andamanese, for example: cloaking their body with alien material i.e., cloth *gifted* by outsiders; infrequent hunting and gathering activity pursued by them, as they frequented the habitation of that outlanders; and perhaps an eye-opener, the alarming mass fatality due to the epidemic diseases unknown to them. Further, they were wise enough to foresee the such peril in the friendship with strangers and hence, continued to use their protective shield, the age-old hostility to outsiders and stoutly rejected the friendly overtures of those outlanders. The Jarawas who themselves were not more than 300 to 350 in number mainly homed in the interior regions, -- hence they did not have canoes like those possessed by all other Negrito tribes -- enjoyed the unlimited resources available in an expanded extensive territory including coastal zone once occupied by their erstwhile neighbors, the Andamanese. Such riches of the Jarawas remained pristine till mid-20th century and then began to get poundings in 1950s to 1970s and beyond with execution of Indian government project of the rehabilitation of Bengali refugees as agriculturists mentioned earlier accompanied by a slew of development programs for these outlanders by encroaching the Jarawas territory. First Five-Year Plan approved by the government in 1952 envisaged 20,000 acres and subsequent Plan 11,900 acres of forest land for clearance (Krishnakumar, 2009:110). The flat lands and valleys, - having sea connection directly or through straits / creeks, - wherever found in North, Middle and South Andaman group of islands were selected for clear-felling the standing forest by deploying the gangs

of workers, heavy machinery and also the elephants (for logging) under the protection of armed Bush Police. Thereafter, the displaced families were settled by allotting each of them five acres of paddy land and five acres of hilly land for homestead horticulture at the cleared land to live there as farmers. The waterways and not motorways were the lifeline for these new islanders, in otherwards, boats / ships were the means of transport for them.

At the outset, the Jarawas took these gangs manifestly as their enemies for destroying the very base of their livelihood resource, the forest and also as the devils for possessing lifeless yet powerful things like bulldozers, earthmovers and grotesque alien animals, the tuskers. Determined to fight these invaders out, the Jarawas began to shoot them from behind large tree trunks / buttresses with their bow and arrows. In return, they could hear only booming and nothing else to see, but the impact was fatal, as the bullets shot by the Bush Police were deadly. It is not known as to how many aborigines were killed during such forest clearing operations. Then the Jarawas saw large groups of people coming to live in thatched shelters raised in one corner of the cleared land, while the thick grass coming up in rest of that cleared land subsequently. Later, they saw that grass being cut and shifted to the huts (for threshing) and at this, the bewildered aborigines believed that these strange humans subsist on grass and not on games or fruits. Naturally, they were then unaware of the paddy crop (which looks like grass) grown by the farmers for food. Further, the nomadic autochthons were amused to find these strangers continuing to stay in the same location instead of shifting their encampments after certain period. On the other hand, they witnessed hordes of such outlanders indulging in similar activities in many more other areas thereby encroaching their hunting ground. In less than two decades a large number of settlers' villages for about 4000 Bengali refugee families (Lorea, 2017) came up across the Great Andaman group of islands.

The Jarawas, further noticed the newcomers not confining their activities within the cleared land i.e., villages, but continuing to exploit the forest resources by them in variety ways through frequent forays in to the jungle. The settler families were provided with facility under the A & N Islands Protected Forests, Rules, 1986 (<https://forest.and.nic.in>) to draw the royalty-free forest produce at the prescribed scale mentioned below for their domestic consumption: 15 cubic meter timber in round for construction of a new house once; 6 cbm once in 5 years for house repairs; 1 cbm once in 5 years for construction of agricultural implements / dinghy; and every year the Minor Forest Produce like: 2 cords of firewood; 500 bamboos; 200 canes; 100 *ballies*; 20 posts; 2000 thatching leaves. As such, settlers kept going in to forest to obtain their said quota. The fresh water resources i.e., streams flowing in the forest were

taped to supply drinking water to the villagers and small dams across such streams, pipeline laid in the forest were regularly maintained. Further, the forest was surveyed to make 'working plan' by the government to extract the timber / softwood for sale, since the colonial policy of commodification of forest was still not given up by Indian government. Andaman forest was considered as the good source to meet the growing demand in timber, plywood, match, and packaging industries in the country and also to earn foreign currency (Krishnakumar, 2009: 110). Spotted deer, - introduced by British as the game animal in early 1900s, - having been proliferated freely in the absence of its carnivore predators, and being good swimmer spreading across the Jarawas territory i.e., Great Andaman group of islands, became the game for hunting by the newcomers mainly for its meat. Above all, to avoid the encounter with Jarawas, Bush Police kept firing in air intermittently along the patrolling line to scare them away from the vicinity of that line and thereby resulting in interference in pig hunting, as the boar aimed at would sprint off due to gun boom. All these actions in the forest amounted to an unending onslaught by the outsiders on autochthons and their resources. Hence, the latter were ruthless in arrowing down the former whenever found in the jungle.

The aborigines noticed yet another strange activity of the non-autochthons in clearing the long and narrow strip of standing forest along the ridges, instead of that in wide areas at valleys and plains unlike in the past, and of course, deploying the same heavy machines and tuskers for this task. It was the road construction work connecting the village settlements and laying of Andaman Trunk Road (ATR) in 1970s, overlooking the fact that it was the sea and not the road as the economical and sustainable infrastructure of transport in the islands' territory. As expected, the Jarawas tried to stall those works of ATR, now National Highway 4 connecting Port Blair in South Andaman with Diglipur in North Andaman Island cutting across the hunting ground of the autochthons. Besides shooting down the workers gang from behind the sprawling tree buttresses with their arrows in a lightning fashion giving a shocking surprise to Bush Police guard, the aborigines raised the road-blocks in the nights, despite many of them getting electrocuted because of contrivances put up by the road construction agency as the stratagem to overcome the tribal stiff resistance. Unrecorded number of such killings became a controversial public issue and the Government suspended the work of ATR construction. But after few years, the voice of settlers demanding road connectivity subserving their interest became so much more powerful over the interest of autochthons that the Administration yielded to it and finally the laying of ATR was completed and was opened for public transport. Even then, the Jarawas did not relent their fight against

the non-autochthons and continued their attacks with their bows and arrows now on travelers / motorists i.e., buses, trucks and cars moving on the ATR. The convoy system for the safety of travelers against the fatal arrows, - allowing the vehicles with all windows fully closed to ply in limited and fixed convoys during daytime only under the armed police escort and with a set of stern Do's and Don'ts for motorists and passengers, - did not hinder the Jarawas in their attempt to oust the strange outlanders.

Befriending the Jarawas: A sizable Bush Police force was positioned at strategic camp locations for regular security patrolling along the cleared narrow path at the periphery of the villages to prevent the Jarawas attacks and also enforce tribal protection law, that is, Protection of Aboriginal Tribes Regulation, 1956 by preventing the entry of non-tribal people into notified Jarawas tribal area, in addition to providing protection to highway travelers. Despite such tight security, the undeterred Jarawas continued their guerrilla warfare against these new outlandish neighbors and so, the latter suffered many casualties. Though ultimately, the Jarawas could not expel these encroachers, nonetheless they remained as the most dreaded people for the settlers and all other outsiders thereby the latter's safety persisted as the burning issue for the Administration. So, the Bush Police under the Andaman and Nicobar Administration intending to break this perpetual hostile situation, took an initiative to adopt the colonial British strategy of befriending the hostile tribes. Thus, began the practice of organizing friendly contact missions regularly, approaching the autochthons from the sea front by using the boats and then on sighting the Jarawas group in the coastal area, drop the *gifts* of coconuts, banana and pieces of red cloth on the beach at the safe distance from the Jarawas and retreat quickly. Jarawas readily discovered the coconut and banana as edible items, since coco palm was found in Andaman Islands though rarely and so was the wild variety of banana. Red being a prominent and clearly visible color, the cloth of that hue was taken just as a marker of the contact team. Such cloth could be easily procured from the local market and pieces of that could be wrapped conveniently around the head of the contact team members to attest symbolically the identity of the friendly contact party and also give it as a goodwill signifier *gift* to the Jarawas. Years of such efforts led in striking friendly contact with the Jarawas of Middle Andaman in 1974 and thereby, they turned affable to the visiting contact party. No such feat could be achieved in next one and half decade in respect of the Jarawas of South Andaman.

Though, this friendly contact was the first major step in addressing the hostilities, the aborigines still carried on with their unabated killing raids on villagers. Generally, such attacks took place in the witching hours

of moonlit nights having no requirement of other light and further finding the enemies including the security forces asleep. Otherwise, the attacks in the dark nights carrying their burning resin torch could have been strategically suicidal as they could have been spotted from distance by someone or the other awake and alerted the Bush Police to open fire. Further, in the post-contact situation, the Jarawas began to plunder the coconuts, banana, clothes and iron implements that they found them in farms of the villagers. The aborigines were well aware of the utility of iron in making the arrow tips and knives for more than hundred years and now they could find it inland as well in addition to the coastal areas. The cloth they picked up during the raids was torn into fine strips and used them for their personal ornamentation as necklace or waist-lace particularly by women. After 1974, the Andaman and Nicobar Administration began to send a bigger vessel to Middle Andaman carrying the contact party consisting of anthropologists, linguists, doctor, and others including Police under the leadership of an officer of the Tribal Welfare department. It was not difficult for the Jarawas of Middle Andaman from Middlestrait in south to Louise Inlet Bay in north to differentiate the periodical visitors i.e., benefactor contact party from the encroachers of their territory, the neighborhood villagers, and accordingly they were friendly to contact team and hostile to the villagers.

Intelligence and Quick Learning: Though the Administration was at its wits end over the hostile attitude of the Jarawas towards settlers and friendly to contact party, the contact missions were not given up. The author, who was posted as the Director of Tribal Welfare in 1988, began to lead the contact expeditions and initiated certain new practices like: taking the pieces of iron also as another *gift*, since, this metal being useful for the Jarawas in making their implements; prolonging the time duration spent with the Jarawas on the beach and studying them; encouraging other members of the contact team also to make observations on the behavior of the aborigines and holding deliberations on them onboard the vessel during its return voyage; record their voices during the contact with them so as to enable linguists to study their dialect; ensuring that none of the team members poaching the beach to collect sea shells, driftwood and colorful floats (detached from fishing nets) as memento of Jarawas contact; inspiring the doctor, though primarily meant for the team, to attend to the minor health issues of aborigines, particularly treating their physical injuries being quite common among them, as a goodwill gesture / *gift*. This turned out as a big hit leading to more and more Jarawas folk seeking such effective cure by approaching the doctor, on their own, in next/ subsequent visits as their wounds got healed fast and fully. It was just a primary care given by applying the



first-aid antibiotic ointment and gauge bandage. That was highly effective since they were all non-diabetic (as revealed a decade later in the multidisciplinary study noted earlier). Jarawas were intelligent enough to assess the efficacy of the treatment and so, accepted it readily

A new type of *gift* giving system was introduced by way of carrying the coconut seedlings and banana suckers in addition to other usual *gift* items of coconuts, banana-hands and then involving the Jarawas in the demonstration of the entire process of transplantation i.e., selecting the open patches of land in the forest near their huts, digging the pits, planting the seedlings and fencing them. They were sharp enough to quickly learn and then began to plant on their own taking the material from the contact party when they understood that in near future, they need not have to undertake the hazardous raids on settler's farms to get coconuts and banana, as the seedlings planted by them would be bearing such fruits. Similarly in another situation few years later, their striking ability of fast learning was noticed when both men and women could pick up Hindi within a year following their interface with outsiders in villages and roads. As a result of active participation of Jarawas in planting the seedlings, a number of small patches of dispersed plantation came up in Middle Andaman including Spike Island within next 5 – 6 years, which were well tended by the Jarawas, as recorded during the contact expeditions. All this apart, they did not stop attacking the villagers as their attempts to boot out the outsiders were not petered out, since their problem due these outlanders persisted and hence, the Administration on its part did not let up in its efforts by continuing the practice of sending befriending expeditions. While leading such contact missions to Middle Andaman, the author accomplished the first friendly contact with three Jarawas men of Port Campbell region of South Andaman on 1st March, 1989, by landing and giving them the usual *gifts*. This was another breakthrough after 15 years, following the one in 1974 mentioned above, therefore it hit the headline news in the local Press (*The Daily Telegrams* dated 3rd March, 1989). Thereafter, purposefully no such friendly contacts with the Jarawas of South Andaman were made, because their hostility was the most effective protection against outlanders. Hence, there was no question of *gift* giving to these autochthons and thus, the entry of Trojan Horse in to the Troy of the Jarawas of South Andaman was averted.

Canniness and Communication: The Jarawas are vastly ingenious, extremely keen observers and good communicators as noticed by us on several occasions during the contact expeditions. For example, it was not unusual for the contact party at times to not find the aborigines in the coastal areas. During one such mission, our ship kept mooring in the coastal waters,

wailing the siren intermittently for a couple of hours, but our binoculars failed to show us any Jarawas around. A little later, when we began to sail back home, a faint cloud of smoke emanating through the coastal forest was noticed and so, we turned around and reached there by lifeboats to find a Jarawas couple which had spotted our ship from a hilltop and then had run to the shore to raise fire smoke signaling us about their presence and about ours to other Jarawas. Within half an hour, a large flock of them arrived there at the spot with their hunt and food collections and thereby our that expedition was also consummated. Another instance showing their candid common sense is recalled hereunder. The contact team always comprised of the bare bodied men in short pants. However, on one of occasion, a young lady officer in lose shirt and full pant insisted to accompany the team despite the admonitory of the mission leader and after knowing very well that it would be embarrassing for her as the reaction of Jarawas men towards women visitors was not known till then. After standard medical screening, our party reached the Jarawas area and landed on shore as usual. They saw, for the first time, so closely a fully cloaked curvy human, whom they readily suspected as a female. A group of women Jarawas encircled that lady officer, fondled her chest one by one to feel the boobs under her shirt and brassiere and came back giggling while the men chuckled at that. Anyway, after a short while that busty lady was sent away to board the ship to avoid likely melee at the shore.

Buffer zone for Jarawas: A large part of western region of South and Middle Andaman Islands was declared in 1957 as the tribal reserve by the Administration under the Protection of Aboriginal Tribes Regulation, 1956 (Notification No. ANPATR/3/(1)/1 dated 2nd April, 1957, *The Andaman & Nicobar Gazette Extraordinary*) to prevent the entry of non-tribal people in to the reserve area. However, this step served a limited purpose as villagers entering the forest could not make out the notified area, since the demarcation on the ground was not done to indicate the boundary of that prohibited area. And of course, this act of outlanders was not only unknown but meaningless for the Jarawas. As such, there was no improvement in the intercommunity relation for next four decades. So, the Jarawas's hostility issue for non-autochthons and latter's trespass issue for the Jarawas were to be addressed and for this, the anthropological approach was chosen. Patchy fieldwork was done during the periodical friendly contacts to gather titbits about cultural behavior of Jarawas. For instance, Jarawas looked askance at contact team members in *khaki* (beige color) half pant i.e., Bush Policemen. They had seen the patrolling and firing Bush Police in *khaki* uniform, and hence that was an expression of derision about *khaki* clad men. Employed the "periscopic technique" (Awaradi, 1990: 134-135), to

understand a little more about the Jarawas by analyzing the observations made by: (a) retired and serving policemen of the Bush Police, as this dedicated force created in 1905 (Singh, 1978: 164) to deal exclusively the autochthons had built up an institutional knowledge on behavior of the Andamanese and Jarawas during its nearly nine decades of operation till then; (b) old villagers having lived as the neighbors of hostile Jarawas for more than forty years and having the folklore about these aborigines. Further, the old and well-oiled practice of territoriality in the inter-tribal i.e., Andamanese and Jarawas interface was widely known, wherein both groups strictly confined their activities within the tacitly accepted boundary, the violation which would result in bloodshed.

Based on all such inputs, the author incorporated the concept of "buffer zone" in the book, 'Master Plan (1991 - 2021) for the Welfare of Primitive Tribes of A & N Islands' published under the aegis of the Administration. The buffer zone is an eight-meter-wide belt in the forest with undergrowth cleared and the trunks of the trees on its edge towards villages / revenue areas, painted with the figures of gunman in *khaki* color against white background indicating danger for Jarawas, and the trunks of the trees on its edge towards tribal reserve, painted with the figures of bowman in black hue on white background signaling grave risk for villagers. Such a belt demarcated beyond 500 meters of revenue area boundaries, leaves rest of the forest exclusively for the Jarawas as tribal reserve, while that up to buffer zone belt remains as resource base for obtaining the minor forest produce by the settlers (Awaradi, 1990: 171 - 175). It was to be a martial coexistence for peace, a tacit truce for both the communities on either side of the buffer zone for the years to come or till the drastic change in field situation. In the meanwhile, as per the mundane government practice, the author was transferred and posted as the Deputy Commissioner of Nicobar district and the Master Plan remained as the proposal only waiting for its execution. Much later, that concept was referred to in the judgement order of Calcutta High Court, while deciding on a public interest litigation filed by an advocate Shyamli Ganguly mentioned earlier and then it was incorporated in the Jarawas Tribal Policy of 2004, notified by the Government and thereafter it was embodied in that Regulation of 1956 through an amendment in 2012 but with different parameters creating perpetual practical problems and litigation.

Enmei Episode: All this while, the Administration continued the system of sending contact expeditions and the Jarawas hostility issue remained as the burning problem for government. Then one day in 1996, about 17-year-old Jarawas boy named Enmei was found by the settlers, lying immobilized with his leg bone broken in the forest at the outskirts of the village Kadamtala in

the Middle Andaman. Going by the commonplace approach, the authorities decided for hospitalization of that boy and thereby provide him the welfare *gift*, not realizing that it was a misplaced one and without considering the possible alternate mode of rendering medical aid. Thereafter, the chain of events and the whole story of nearly 10 years was widely reported in Indian and international Press, social media, apart from the books like, *Jarawas Contact: Ours with Them, Theirs with Us* (Mukhopadhyay, K, et.al. 2002) and academic journals including, *The Oriental Anthropologist* (Awaradi, 2022: 22 (1) 173 - 185). The stormy story in brief is about how: (a) that boy was evacuated to the government hospital at Port Blair; (b) he was given treatment including plaster bandage, that is, orthopedic cast, hospital food, dress, several months long confinement in hospital, his interaction with nurses particularly with one who had the dark skin almost similar to his own; (c) then after discharge he was given plenty of *gifts* of clothes along with other items and brought back to set him free at the same spot from where he was picked up; (d) then after an year gap, one day in 1997, that Enmei along with a small group of boys ventured unarmed into that settler's village Kadamtala in broad day light as a big surprise to the Police and villagers who thronged around them, whom they knew only as hostile *jungle* till then; (e) overjoyed Police took them to Police Station, cooked rice in big *ditchi* (aluminium cookware for preparing a community meal) served it to those Jarawas boys treating them as the *guests* before they were sent off; (f) next and following days, Enmei came with his bigger group that included elders and women too to receive similar hospitality from the villagers and the Police in which they observed the usefulness of *ditchi* and hence it turned out as one of the items they began to ask later as the *gift* from the Administration; (g) such friendly visits of Jarawas became the major breaking news in Press and radio broadcasts; (h) then people from distant places also began to reach Kadamtala to have glimpses of the Jarawas; (i) seeing the naked aborigines daily coming out from the jungle, the commoners believed that the Jarawas must be utterly poor and facing dearth of food, and hence began to give them the *gift* of old clothes and all sorts of food items; (j) although it was the Jarawas's curiosity about the outsiders, their strange material objects and perhaps the fun time in the villages that pulled them out and not the hunger; (k) giving the Jarawas some food became soon a kind of cult, as the Indians believe and hold that, of all the charities, offering food to the hungry is supreme deed to gain the religious merit; (l) in the following year i.e. 1998, the Jarawas faction inhabiting South Andaman who after knowing the experience of their northern brethren also began to visit villages and forest camps along the ATR to get similar response from outsiders including residents of Port Blair

town, who while travelling started throwing packs of biscuits through windows on seeing the Jarawas on ATR, as they also believed that Jarawas suffered starvation ; (m) amidst such *gift* showering interface, the Jarawas got afflicted with the diseases spread from these *gift* givers resulting in the outbreaks of pneumonia epidemic in 1998, measles in 1999 and malaria in 2000 and 2001; (n) during these outbreaks all those who could be brought to the hospitals were saved but the fate of the rest who lived in the inaccessible interior forest remained clouded; (o) bringing the ailing Jarawas to hospital, feeding them with alien food like rice here becoming routine and consequently they developing taste for such food; (p) then the Jarawas issue came under the judicial purview with filing of a public interest litigation in the Calcutta High Court by an advocate; (q) the Expert Committee submitting the report mentioned earlier and based on which the government finally drawing up the Jarawas policy and notifying it in 2004.

Jarawas Tourism: A concerted campaign – (a) by publishing Dos & Don'ts; (b) through public meetings, debates / discussions in schools to bring about awareness among the villagers and travelers regarding the danger to the Jarawas due to *gift* giving and their interaction with outsiders; (c) shifting away the forest camps from roadside of the ATR; (d) removing the eateries at Middlestrait jetty being the source of alien food given by the ATR travelers - was run by the Administration for nearly five years. The field officials were engaged to send the aborigines entering the villages and the roads back to their area in the forest by giving them the *gifts* of banana. Resultantly, the villagers could, by and large, realize the situation and thus they restrained from further giving *gifts* of food, clothes etc. But by then, the tourism wave - facilitated and encouraged through the government policies and plans for vigorous economic reconstruction of Andaman and Nicobar Islands in the aftermath of tsunami disaster of 2004 - brought another twist in the Jarawas's history. It was not just the clean, not so heavily crowded beaches, crystal clear blue sea and enchanting scenes in different islands, limestone caves or mud volcano of Baratang Island but above all, the ancient hunting gathering nude Jarawas became the attraction for the tourists. Since, interaction with the aborigines by non-tribals was prohibited, a sneaky tour circuit, that is, the journey on Andaman Trunk Road from Port Blair to Baratang island and back that goes through the Jarawas hunting ground, became popular and this was run by the private tourism operators. Tourists were prepared to spend heavy sum in taking this package with a hope that they would have glimpses of the Jarawas by chance enroute. This kind of "Jarawas tourism" - despite regulating the traffic including vehicles' moment in limited convoys and other restrictions for the travelers - began to assume ugly shape with despicable instances like: tourists

offering the aborigines the food items and crazy *gifts*; indulging in breakdance dragging the naked Jarawas women into it and its videography appearing in social media. These things brought deep discredit to the Administration which came under wide spread criticism for failing in protecting the dignity of the Jarawas.

Gift Clothes: While the Administration was desperate to address above said contemptible situation due to 'Jarawas tourism', the idea of enrobing the naked Jarawas women and men was floated by an expert promoting the notion that it is the nudity that draws the tourists. Buying that proposition the authorities readily approved the plan of providing clothes to the aborigines as the *gift* without realizing its futility and the likely fall out. The field officials began to enforce this newfound decency code of dress for the Jarawas. But contrary to the plan objective, there was no letup in the popularity in 'Jarawas tourism' and in the footfall of tourists. On the other hand, clothing kicked up a series of issues impacting the wellbeing of the Jarawas, who as the population has adapted to the hot, humid, tropical island climate in the long existence of more than 50 millennia. Nudity undoubtedly is best suited to the both weather conditions of summer as well as monsoons in the archipelago for the Jarawas. As against this, the men clad in pant and shirt and women in gown perspire profusely and irritatingly in the muggy summer during their routine hunting gathering activities, apart from the clothes being unwelcome hindrance in their swift moment through bushes in the forest. Sweat dirty clothes started stinking and thereby adversely impacting their skin health, then a suggestion came up for providing the washing soap as the supplementary *gift* to the Jarawas and teach them how to wash clothes, but again without realizing the harm that the chemical in the detergent could cause to Jarawas' health when they clean their clothes during the peak summer (March to May) in tiny brooks or in puddles along the channels which would be scanty and the only source of drinking water for them. Furthermore, this *gift* of clothing became bigger liability for the Jarawas, because during the long rainy season (June to December) their clothes remained wet throughout the hunting gathering as well as while resting in their huts. They could not have so many pairs of clothes to change wet ones every now and then. (It was no less great save that none came with yet another quixotic proposal of *gifting* the raincoat or umbrella to the hunting gathering Jarawas). Prolonged wet clothing had impacted the aborigines with repeated / recurring respiratory problems, while the long-term effect on their libido, their sex life and procreation is not known yet.

Gift Clothes' Link to Scabies and Infant Mortality: The Jarawas began to develop a craze for dress which turned out as an opportunity for the poachers who were already in the clandestine business of supplying the

venison to the consumers after collecting the deer ensnared in the traps raised by them in the forest. Hunting of deer was by then declared as illegal. Now, these pillagers began to *gift* the used clothes to the Jarawas and taught them to raise the deer trap and bring the hunt for them in return of the gift. Such *gift* brought in yet another alien disease, the scabies prevalent among the non-autochthons. The parasite causing scabies i.e., itch mite (*Sarcoptes scabiei*) reached the Jarawas' skin through these used clothes given by the poachers and others and caused this highly irritating skin disease which broke out into an epidemic in 2017-18 requiring the concerted attention of the health authorities to fight it out. Furthermore, this non-lethal ailment turned out indirectly fatal to little children by driving the aborigines to resort to their one of the esoteric practices which the author has termed it as ethno-medical termination of infirm infant (ETI) in his small enquiry report submitted to the Administration (Awaradi, 2022:177-178). Because of this tradition, Jarawas suffering the disability by birth are not found at all and it is a unique feature of this tribe. The ETI has been roughly equated with modern procedure of medical termination of pregnancy (MTP) -- which is resorted, if the severe abnormalities of the baby in the womb are detected through ultrasound at 18 to 20 weeks of pregnancy or carrying the pregnancy is lethal to the mother, -- but with the difference that the serious congenital deformity could be detected by the Jarawas only after the child birth / in the infant stage and the child having such disability is done away with. It is worth noting in this context that, as per medical science, the most disabilities of the humans are manifested within a year after birth. One instance has been recounted here to illustrate this tradition of ETI. A child in the South Andaman during the scabies epidemic (2017-18) suffering the worst with the impetigo scalp terrified his parents when they saw the scabies blisters all over the kid's scalp oozing the sap, that being the disease symptom. The aborigines had not seen such a scary disease before the introduction of clothing and for this ailment they did not have their ethnomedicine. So, with a strong belief and conviction, they held that the child would not be able to live the normal life of hunter gatherer and should be sent to their God, *Ankaanel* in the other world, where the child will get cured instantly and live there happily forever. In reality the child was handed over to a specified adult person who took the child to an undisclosed location and was actually done to death. During that scabies epidemic many such cases of ETI were reported and thus the *gift* of clothes and alien practice of clothing thrust upon them resulted in infanticide among the Jarawas.

Tutoring and "Midday Meal": Despite knowing the undesirable impact of imposing the school education on the Onges, a similar *gift* of imparting the education has

been thrust upon the Jarawas also by the Administration based on yet another proposition promoted by that expert (though the author in his capacity of the Director of Tribal Research Institute questioned the pertinence of this proposal when his comments were sought by the Administration) under which children are collected by the junior field officials, brought to a place likened to the school, the numerals and alphabets are taught by those field officials who are neither qualified as teacher nor had training in tutelage. Some adult Jarawas were also called to "teach" the children the traditional practices of hunting gathering and other livelihood activities in that school! And the stated purpose of such teaching was to preserve the Jarawas culture. Further, emulating the system of providing the students with the midday meal prevailing in schools elsewhere in India, three kilograms of raw rice (not meal) per child per month and a pair of uniforms to every student were given as an incentive to the Jarawas to send their children to the school. This little quantity of rice distributed in the school though not sufficient for each family to subsist upon, but nonetheless good enough to drive them to hanker after more rice and this triggered the whole underground business transaction between the Jarawas and poachers. These miscreants began to give rice to the aborigines in return of the crabs, the latter having high demand in the market, since the tourists staying in the hotels are told that the crabs from Jarawas territory are unique and so, such crab-dish is special / Jarawas cuisine in the islands. The worst part is that these scoundrels indulge in sexual exploitation of women Jarawas resulting in the birth of mulattos who were not accepted in their society in the beginning and hence they were killed by them. Further, these criminals, to circumvent the law, that is, PAT Regulation, 1956 often call the adults out of the tribal reserve territory to their homes in the villages, give the liquor to men and crazy *gifts* like small mirror, used clothes to women and sexually abuse them secretly. Still worst is that young men posted in remote Bush Police posts indulging in sexual abuse of dames by giving them the leftover food and sundry *gifts*.

Deadly infections like HIV AIDS could spread among the Jarawas community through such interface with catastrophic consequences, if the required protective measures are not taken. Further, a public opinion is already building up for arranging the *gift* of providing free ration, clothes, medical care and all the rest to this tribe too and rehabilitating it at small island / in a fixed area in Middle Andaman Island by allocating houses and other facilities under tribal welfare program, as done in the case of the Onges. And possibly, one day, applying the principle of Benthamism, the Administration may concede to such an outcry accepting the majoritarian view of keeping such a large chunk of land as exclusive tribal reserve for Jarawas as

unjustified when the land is required for economic development in the islands. The philosophy of Jeremy Bentham has already been applied once by restricting the reserve area (through the Notification No. 16/2013, A & N Gazette Extraordinary dated 17th January, 2013) to 1 km in littoral zone instead of 5 km from high tide mark towards the seaward side on the western coast starting from Constance Bay in South Andaman to Lewis Inlet Bay (sic) in Middle Andaman, yielding to the pressure of non-autochthon fishermen, by modifying the earlier Notification No. 159/2004 through which coastal sea up to 5 km from hightide line was declared as tribal reserve which was proposed by the author as Director of Tribl Welfare in 2004.

Misplaced Welfare Gift: Medical treatment is oft-repeated *gift* given by the Administration, which in some cases brings about grief instead of relief. Because, certain medical procedures presuppose the existence of two preconditions, viz. (a) accessibility of required physical infrastructure; (b) necessary aftercare with conducive cultural milieu. Otherwise, it results in tribulation to the sick as evident in the case of a girl child of South Andaman having congenital talipes equinovarus (CTEV), a foot handicap. The parents and other Jarawas decided to send this child having that disability to the care of their god in the paradisaical world in keeping with the practice of ethnomedical termination of infirm infant. However, the officials who held such ETI as an infanticide, interfered and gave that girl the *gift*, by way of getting an orthopedic surgery done in a super specialty hospital at faraway place in mainland India. But the post-operative treatment back in the government hospital at Port Blair requiring prolonged stay as indoor patient was aborted, as the parents of that girl did not remain there in the town so long, missing their seasonal livelihood pursuits like harvesting of honey. Hence, they went back home in the forest with the child, then removed the orthopedic cast, on their own, as it was inconvenient for their daughter. And this led that child growing up with that disability, thereby pushing her into lifelong agony of dependency and sufferings. She would face starvation after the demise of her parents. No one will be ready to marry her, but everyone would rush for sex with her, making her life miserable with repeated pregnancies, and that way this *gift* bringing her the perpetual *grief*. It is relevant to note that the prevalence of sexual abuse of helpless among the Jarawas was confirmed to a nurse in the government hospital by a middle-aged widow of Faul Bay area by narrating her own repeated painful experience with the randy youths running after her. (In situ healthcare delivery system proposed to the Administration in 2019 by the author as Director of Tribal Research Institute would address such issues).

Of course, the famous Enmei episode and the welfare *gift* thereto described above was a turning point

in the cultural history of the Jarawas, rendering their existential future ridden with uncertainties. The recent history of this ethnic community would have a peachy recourse, only if, the decision makers at that juncture in 1996 were to refer to just a couple of pages, 176 – 177 of the *Master Plan (1991 - 2021)* brought out by the Administration itself in 1990 (mentioned earlier). Instead of hospitalizing Enmei in Port Blair for many months, a better alternate course could have been opted involving: (a) transferring Enmei to a makeshift medical hostelry at Lakralungta, in Middle Andaman -- the location where there is a Jarawas camp and whereat several friendly contacts were made with Jarawas by the expedition team, -- once the main procedure of putting a splint / orthopedic cast is performed in the hospital; (b) thereafter a medical team visiting him periodically during his long recuperation; (c) then at the end taking him to hospital for removing the cast. This would have ensured the certainty about the Jarawas future in their healthy survival after their transit to the second phase of peaceful coexistence as envisaged in that above mentioned document.

VI. STOPPAGE OF THE SENTINELESE TRANSIT FROM THEIR GOLDEN AGE

Age-old Hostility: Today, the Sentinelese continue to live in their golden age though they too were on transit from it for a brief period in early 1990s. It is the same *gift* giving in their case also that tricked them. As the British colonial administration befriended the Andamanese and the Onges, the Indian administration, on their part, continued the policy of befriending the Jarawas, compelled by the historical circumstances requiring the rehabilitation of the Bengali refugees as agriculturists and safeguarding them and their interest from the Jarawas hostility as described earlier. On the other hand, there was no such pressing need to apply that same policy to the fourth and the last Negrito tribe, the Sentinelese, having a self-contained and absolutely independent civilization of their own on the North Sentinel Island, away from other islands occupied by the mainland Indians and from rest of the world. While they did not pose any threat to those Indians and others, it is the outlanders who laid a big threat for the Sentinelese. These ethnic people are aware of the formidable sailing crafts, the ships, as against their humble canoes and incredible flying machines, the helicopters and airplanes possessed by the occasional visitors to their island territory. Further, they know about the sinister acts of violence using deadly fire arms and abduction committed by those visiting cruel humans including slave traders in the past. That is why, the Sentinelese armed with their deathly effective bows and arrows resisted all such callers from landing on the shores of their island. Despite all this, the Administration resumed the practice of carrying out contact expeditions to North

Sentinel Island and so, it is worthwhile to decipher the motivating force behind it.

Why Befriending: Firstly, there was no review of this old policy of organizing the contact missions to Sentinelese at the government level, hence it was continued as a routine bureaucratic exercise. Secondly, for most of the officers who headed the expeditions having a contingent of armed police guard, it was an adventure to meet the hostile Sentinelese and perhaps the officers were ambitious to befriend them and at times crazy to achieve it as fast as possible and make the groundbreaking record like that of Bhaktawar Singh, a Bush Police officer who is credited with the feat of befriending the Jarawas mentioned earlier. In that process, their heavy-handed approach to strike the friendship was resolutely rejected repeatedly by the Sentinelese and often such overbearing acts turned violent. For instance, the Sentinelese came down to shores armed with bows and arrows and took positions to shoot as usual when they saw two lifeboats, - one carrying the Deputy Commissioner of Andaman district and the author, then being the researcher from Anthropological Survey of India, along with other contact party members, while the other lifeboat ferrying the Superintendent of Police, Andaman District in life-jacket, - approaching the island on 24th October, 1981. After dropping the *gift* of coconuts in the coastal water to wash ashore, that police officer, seeing the Sentinelese busy in picking up the floating coconuts, took his lifeboat about 150 meters away from that Sentinelese gang and landed there on the shore. He wanted to set a record of at least landing on the North Sentinel Island. While he could hardly walk a few steps on the beach, an arrow pierced his bulging life-jacket. Firing from his pistol in self-defense, he scrambled back to his lifeboat and retreated quickly and thus narrowly escaped the fatality. Thanks to the life-jacket, a polyester device stuffed with foam cubes that gave him a larger sized torso, misleading the Sentinelese in their targeting, who must have misjudged that, such a daring man must have a large trunk. Otherwise, they are sharp shooters at the bull's eye. Likewise, many more officers tried their hand for several years but the Sentinelese continued to rebuff them all.

First Friendly Contact: Finally, it was on 4th January, 1991 the author was able to establish the first friendly contact with Sentinelese (Awaradi, 2022:183-185). The same old technique of *gift* giving was employed by him but the difference was adherence to the anthropological methodology. The first step for an anthropologist is to establish a rapport with that ethnic community which he intends to study. He proceeds further generally, on that community accepting him as a friend and reposing enough faith in him believing that he will not inflict any harm or loss whatsoever to the community. However, for that the catch here in respect of Sentinelese was the

absolute lack of communication with them and wide gap in understanding each other's intentions. As such, building the affable credibility and identity was the primary step. Hence, the approach involving ample patience and more importantly such actions that are universally comprehensible as amiable in the human world was chosen by way of : (a) expedition reaching North Sentinel Island by ship regularly on or around every full moon day (provided it is not a severe cyclone) as that being the astronomical phenomenon readily intelligible to Sentinelese, after taking adequate care to exclude from the contact party those members suffering from communicable diseases; (b) dropping the *gifts* of dried fresh coconuts near the shore of the island which would wash ashore to enable the Sentinelese to pick them up; (c) observing their behavior from a distance for three to four hours without landing and then departing from the island.

This kind of exercise was carried out for nearly three years since 1988, when the author as the Director of Tribal Welfare began to lead the contact expeditions. Every time, the Sentinelese appeared on the shore armed with bows and arrows and enacting the war dance by jumping up and down holding bow in one hand and arrows in other. But in the early morning of 4th January, 1991, they came down to the shore without bows and arrows along with women and children in big number. Reading this visible change in their action, an indication of a friendly gesture, the author took the team's doctor along and proceeded towards the shore with the *gift* of coconuts in two lifeboats, while the rest of the team members were still asleep onboard the ship M.V. Tarmugali. As the lifeboats neared the shore, a young Sentinelese began to approach our lifeboats wading through the water, and in response, our lifeboats moored ahead to reach that youth and then the author handed few coconuts to him leaning from the lifeboat. In the meanwhile, other Sentinelese too reached the lifeboat and the author (S. A. Awaradi) got down in the waist deep water and distributed the coconuts to all of them. Thus, the first ever friendly contact with Sentinelese was established (this breakthrough event was reported by the media). Then the doctor, Arun Mallik also gave away coconuts before we returned to the mother ship to load more coconuts and take other members of the team including a lady official, Madhumala Chattopadhyay from Anthropological Survey of India to give more coconuts to the Sentinelese second time. This groundbreaking episode was reported in detail on the same day by the author to the Chief Secretary of Andaman and Nicobar Administration through Police wireless message in Morse code from onboard M. V. Tarmugali, the transcript of which is delivered to the addressee by Police as per the practice (that transcript kept in the relevant file is now available in the Archives of A & N Administration). All India Radio broadcast this as the main news, while the local Press,



'The Daily Telegraphs' dated 8th January, 1991, published by the Government Press, A & N Administration, carried it as the headline news. Thereafter, such friendly contacts were repeated in subsequent expeditions, including the one in February, 1991 accompanied by T. N. Pandit from Anthropological Survey of India, as one of the members of expedition team. These officials from the Anthropological Survey wrote books and articles in the Press, gave interviews in media, presenting themselves as a first woman / first anthropologist to meet the Sentinelese, and the same is reflected in digital literacy / online open access knowledge repositories also by its contributors without verifying the facts from the records and archival material as to who was the first person from the modern world to establish the first ever friendly contact with Sentinelese. (Moreover, preoccupied with the higher responsibilities in public administration, the author could not afford to attend to academic works till his retirement from fulltime public service in 2020)

Bonhomie Hazard: The news of Sentinelese becoming friendly reached everywhere and soon it became a much sought after official tour for senior officers to accompany the contact team to give the *gifts* and get their photographs with Sentinelese clicked. Within few months, the expeditions turned out as big *tamasha* (show of enjoyment) for bigwigs in distributing the *gifts* to Sentinelese and its photo sessions. It became difficult to exclude the dignitaries having contagious ailments like common cold or influenza through medical screening to ensure the precaution against possible spread of these communicable diseases among Sentinelese. As noted earlier, it is this influenza that broke out in to an epidemic among the Andamanese killing large number of them. The rich fish wealth around North Sentinel turned out as a new fishing destination for the outlander fishermen now, who in the past did not dare to go near the island. This would have led to heavy exploitation of the only marine resource accessible to the Sentinelese, since these depredator fishers had motorized boats and advanced fishing gears as against the indigenous shallow coastal water punting humble canoes (not having oars and hence not seagoing) and simple fishing arrows. The interface with the contact expedition parties and exploitative fisher gangs posed, within a couple of years, an imminent threat for the survival of Sentinelese due to possible spread of epidemic diseases and depletion in shallow water shoal. In other words, the Sentinelese could have drifted into the Dark Age through their brief transit of friendship towards the outlanders.

Policy to Abandon Contact Missions: Armed with the above said situational analysis, the author in the capacity of the Director of Tribal Welfare mooted and pushed through a proposal to discontinue the practice of sending regular contact missions, so that newly

developed friendly attitude is not nourished further, but get perished on its own and the Sentinelese go back to their age-old impregnable protective strategy of hostility towards all outsiders. Argued that, it was the high time to review the policy of organizing contact expeditions as it did not serve any public good and on the contrary, there was certainly an unpardonable and readily avoidable harm to the indigenous ethnic community. The Administration approved this proposal which included, in addition to cessation of contact missions: (a) intensification of patrolling around the North Sentinel Island by Marine Police and other security forces to prevent the poachers and other miscreants approaching the island; (b) sending the expedition team once in a while to observe the Sentinelese from distance; and (c) notifying the extension of North Sentinel Island's tribal reserve into the littoral zone too in the coastal sea up to five km from high tide mark of the island as the tribal area, entry into which by outlanders is legally prohibited.

Return to Hostility: As expected, the Sentinelese did revert back to their original state and resumed their hostility to outsiders as evident from the later incidents of killing two fishermen intruders in 2006 and slaying of the American evangelist John Allen Chau in 2018 who ventured, clandestinely taking the help of local fishermen of South Andaman, with his missionary zeal to contact them and spread the message of Jesus among the Sentinelese, the primordial lone animist community in the world. Thus, the Sentinelese have continued to live their Golden Age till now and likely to carry through, unless the modern manmade disasters like the kind mentioned below strike this tiny island: (a) an air crash on North Sentinel and subsequent armed confrontation between security forces and Sentinelese while rescuing the crash survivors / retrieving the dead, as such hazard is more likely with current increase in domestic as well as international air traffic since Andaman Nicobar islands have become the hot tourists destination and further with Great Nicobar island being developed in to a free trade zone having a mega international city with international airport and transshipment port (author in his note to the Administration has suggested to notify air space above North Sentinel Island as 'no fly zone' to head off such hazard); (b) bombardments on North Sentinel island too by the nuclear powers when these islands in Bay of Bengal turn out as the war theater which is not unlikely in the wake of recent militarization of Indian Ocean region.

VII. TRANSITS FROM GOLDEN AGE TO IMPENDING DARK AGE OF SHOMPENS

Golden Age: Ever since they evolved their livelihood practices harnessing the local geo-ecological resources in the Great Nicobar Island, the Shompens lived their golden age for long following their arrival here nearly 14 millennia back. As the hunters and gatherers, the

nomadic Shompens bands each having 25 to 30 people occupied different region of this huge (910 sq. km.) tropical island covered with evergreen rainforest endowed with rich biodiversity covering numerous plants bearing edible fruits, medicinal plants, other useful and commercially valuable vegetation including rattan. They had the sole and total freehold or sway over the entire island and its resources, except small patches of land along the south-western and south-eastern coast hogged by few Nicobarese villages. Furthermore, the Shompens were known to be stronger and overpowering people and hence the Nicobarese were scared of them. Five rivers including Galathea and several streams plush with fish and prawn, traverse across highly undulating terrain of this island, and as such, it is gifted with many fertile river-valleys suitable for arboriculture of pandanus (breadfruit). Apart from planting the pandanus in such arable valleys, patches of colocasia, chillis, tobacco, lemon and other species of herbage are raised and tended by the aborigines in their camps. The island has the abundance of variety of wildlife that forms the animal source food resource from monkeys to pigs to megapodes to crocodiles to snakes to fishes to arthropod larvae, apart from the honey. Shompens in their hunting expeditions spear the adult, chubby wild pigs, their favorite game, and spare the piglets, bring them home, treat them with medicinal herbs to rear fondly like their own children and partake the cooked pandanus till they grow up. These "domesticated" hogs are slaughtered for their pork whenever needed and therefore are described as 'living larder' (Awaradi, 1990: 54). Freshwater fish, prawn and crab flourishing in the inland water bodies mentioned above constitute significant part of their diet. The aborigines are sapient enough to impound water in series of puddles by raising mud bunds with tiny exits across the channel along the streams to ensure the survival of these aquatic creatures in those pools even during prolonged dry season when streams turn into brooks with very little flow, a life threat for such fauna and thus assure themselves with fish diet round the year. They facilitate the honey bees to build their hive by way of locating the old trees with hallowed trunk due to termite action, blow a small hole in to such bole using their tools and leave it at that. Honey bees would find those trees in due course, build their hive and flourish in that sheltered hallows, and one day the tribesman would come back to harvest their much-cherished syrup. This type of apiculture along with husbandry of that "living larders" and the arboriculture would endorse the Shompens as "proto-agriculturists." All this together with their efficient tools and devices meeting their every need, mountainous habitat beyond the reach of tsunami waves, their aversion for interface with other people, efficacious ethnomedicine and their hilarious habits signify their golden age.

Wood Age: Unlike the Negritos of the Andaman Islands, the Shompens do not have bows and arrows as their hunting implements. Spears, the earliest contrivance ever made by man, is the main hunting and fishing tool for these aborigines. Today, the hog hunting wooden spear has leaf shaped dagger like iron head with a barb on one side or on both sides, while fishing multi-pronged spear has 4 or 5 slender needle like curved, out stretched and forward pointing iron tips. Nonetheless, some bands do continue to possess the ancient totally wooden spears having the shaft that tapers at one end into a singular sharp tip or slender slightly spread-out multiple tips. Their material culture had been entirely wood based in the past, may it be livelihood tools, shelters, transport craft, bark cooking vessel, water cask, bark cloth, fire generator or ear-plug and hence such an era has been described as their 'wood age' (Awaradi, 1990:48) and in fact, it can be said that the wood age was their golden age. All such items are carved out of the soft tender wood, and the molluscan shells having sharp edges must have been used to cut and shape them into required articles, which could be used once dried and hardened. Later, the iron was grafted on to the hunting and fishing tools as their tips, subsequent to the beginning of metallic ship building industry around 1800 AD. The aborigines sourced this metal from the drift iron through the "silent barter" with their neighbors, the coastal Nicobarese. Ultimately the iron was derived from wreckages as the frequent incidents of shipwrecks began with the dawn of flourishing maritime trade by the European countries with Indies mentioned earlier. The aborigines are known to be quick to adopt and use any new material that they come across which bears utilitarian value.

Silent Barter: Much before the Europeans, the people of South Asia and East Asia had established maritime trade link with each other since 11th century. For example, Chola rulers of South India used Nicobar Islands as strategic naval base (discussed later) and had control / influence over the trade in the Indian Ocean region as indicated in the Thanjavur inscription of 1050 AD. The Burmese, Malaya and Chinese sailors indulged in slave trading of Negritos of Andaman Islands and commodity trading with Mongoloids of Nicobar Islands. They brought iron tools like machete, cloth, rice and tobacco to exchange with coconuts from the Nicobarese. Further, these traders had an eye on the red and white cane available in the interior region of Great Nicobar, the Shompens' territory. But they had no contact with this ethnic community to strike the trade relations, hence they used the Nicobarese as the middlemen. The Census of India, 1931 report mentioned about the Chinese traders collecting these canes through Nicobarese for further export to Strait Settlement (Bonnington, 1932: 63). However, Nicobarese themselves did not have direct interaction, as they were jittery



about the burly Shompens and both communities knew very well about the activities of each other from distance observation and a bit of each other's dialect too. Shompens came to be aware of the big knife, the iron machete and other foreign items that the Nicobarese got from the traders. Nicobarese must have offered these imported items of machete, cloth and tobacco initially as *gifts* to the Shompens by keeping them at the outskirts of their own village, so that the Shompens could pick them up. Once the Shompens understood the use and value of these new items, their exchange with that prized forest product, the canes began as a new item along with the traditional ones like resin, lemon and honey. Thus, it was an indirect exchange of goods through what is known as "silent barter"(Awaradi, 1990: 58). They did not meet face to face but kept their respective items at the designated place in the forest not far away from the Nicobarese villages where from they could pick up the other's items. The imported machete came to be a treasure item for the aborigines, as it was an efficacious and handy wood-cutting multifunctional tool used for various tasks from their hair-cutting to pork cutting; textile cloth was taken as a better loincloth compared to their indigenous rough bark cloth, while, the other item i.e., tobacco being an addictive substance, both men and women got badly addicted to tobacco chewing and hence all these were much sought after items. At the same time, on the other side, there was a great demand for the red and white canes, and therefore, the exchange of these local items with those foreign goods continued for long through the silent mode till it was disrupted in the Second World War.

Noisy Barter: It appears that the interruption in the supply of tobacco during the War drove the Shompens to approach the Nicobarese in their villages and the latter offered toddy to the former as the *gift*, being an alternate to the tobacco, since it is also an intoxicating substance like nicotine. In return, on their part, the Shompens brought their usual items of honey, resin, lemon for Nicobarese and this transaction brought in the face-to-face interface between the two communities. That marks the transition of the Shompens from their golden age to silver age. Thereafter, they were not taken as dreaded people and so, the Nicobarese were no longer scared of them. The Nicobarese began to get the heavy works like felling the trees, building the canoes, repairing their huts done by giving them toddy. British, who reoccupied the Andaman and Nicobar Islands at the end of Second World War, granted an Indian business house - named Jadwets, the license to carry on with trade in the Nicobar Islands to facilitate the availability of such goods that were brought by the traders earlier i.e., pre-war period to sell them against coconut / copra. The Jadwets opened their outlets in bigger Nicobarese villages in all islands including Great Nicobar. As such, the Nicobarese could once again

offer the other imported items like machete, tobacco and cloth to the Shompens, apart from the toddy and in return, extract from them more and more physical works and then in due course, began to exert their domination over the Shompens.

Ex-servicemen Settlements: Indian government launched in 1969, an ambitious project of settling 2000 families of ex-servicemen by allotting them the land for agriculture along the south-eastern coast of the Great Nicobar, an island inhabited by the pre-literate Shompens and illiterate Nicobarese. The purpose was to populate this remote island situated 1200 km far off from Indian mainland with the well-informed and alert people and thereby prevent its possible occupation by the foreigners, as this border island territory being very close to Indonesia and other south-east Asian countries. The Border Roads Organization of Government of India laid 51 km North-South Road connecting Campbell Bay, the administrative headquarters of the island with six ex-servicemen villages along the south-eastern coast and 47 km East-West Road cutting through the Shompens hunting ground across the island connecting the Campbell Bay with proposed villages for 1700 ex-servicemen families on the western coast by deploying huge labor force brought from Central Indian tribal belt.

Several labor camps were set up along the East-West Road thereby opening a new window for the Shompens to barter their forest produce with laborers and get tobacco in exchange. Road construction work was completed within few years, but later the Government's grand plan of rehabilitating ex-servicemen families on western coast was given up considering the fact that it would be detrimental to the aborigines and the island's biodiversity. So, the labor force, camping along the road for its maintenance was withdrawn and disbanded. Hence most of the laborers encroached the government land and continued to live near Campbell Bay. As such, Shompens barter transactions with these laborers perpetuated and in this process inhabitants of Campbell Bay town and other villages also got involved in the trade, whereby tobacco turned out as the major exchange item superseding other costlier items like machetes and cloths. In the meanwhile, Andaman and Nicobar Administration, concerned with the well-being of the aborigines, initiated welfare program for them under the supervision of the Assistant Commissioner of Great Nicobar Sub-division and positioned a doctor, a social worker and a small contingent of supporting staff at the base camp, the Shompens Hut Complex at 27 km point on East-West Road near the Galathea upriver to provide the state *gift* of welfare measures including healthcare. As a goodwill gesture and to encourage aborigines to visit that base camp to avail such free benefits, they were fed with cooked rice, provide primary medical care at the time of their visit and give the *gift* of cloth pieces, machetes and

tobacco too, as it was an old customary practice for the government officials to give this item i.e., tobacco to tribal people to strike rapport with them. Being familiar with reciprocal transaction, the Shompens began to frequent the base camp with their usual forest produce of honey, lemon and resin to give to the officials there. Later, during 1985 to 1988 (when the author was posted as the Assistant Commissioner), such transactions were regulated, so that only the machetes are given in exchange of their forest produce, while tobacco was totally prohibited and rice was avoided as it was unwarranted.

Dark Age: On the other side, over a period of time, the Nicobarese particularly of the Chingen village on south-eastern coast had further deepened their relations with the Shompens of the down-stream Galathia river by encouraging them to live in that village, instead of frequenting it for barter. Gradually Shompens started to stay with Nicobarese and work with them. And that marks the beginning of that Shompens band's Dark Age. Within few years, the tuberculosis, a highly communicable, deadly disease prevalent among the Nicobarese was passed on to the Shompens as they lived together with latter and it took heavy toll annihilating that band almost entirely. Other bands of Shompens would also face the same fate, if their interface with Nicobarese and outsiders becomes intense on account of similar or any other reason. Then comes the prolonged tsunami relief measures during 2004 to 2011 under which free ration was distributed by the government not only to the displaced Nicobarese but also to the Shompens, though the latter were not dislocated. Further, such *freebies* of rice to the Shompens were *not* discontinued on termination of relief operations for the tsunami affected people after six years. The field officials of Tribal Welfare agency i.e., AAJVS ask the aborigines to reach Campbell Bay every month to collect the *gift* rice and consequently the latter get chances to interact with non-tribal outsiders there and with the Nicobarese of western coast also who are resettled in Cambell Bay itself after tsunami and thus the Shompens are exposed to the tuberculosis and other alien diseases, a perfect recipe for health disaster. Finally, the controversial and fast-emerging mega 'development' project at Great Nicobar Island that involves building an international container transshipment port, international airport, a global township for 6,50,000 immigrants as against the present population of just 8500 of this island and total population of 3,80,520 of Andaman and Nicobar archipelago as a whole, apart from denuding the forest of 166 sq. km, that is, 15% of island's entire territory and further with large scale eco-tourism activities would push the Shompens into the Dark Age.

VIII. "TSUNAMI OF GIFTS" AND DEBILITATION OF THE NICOBARESE

Golden Age: While the rest of the indigenous tribes of Andaman and Nicobar Islands remained as nomadic hunters and gatherers till the present modern era, i.e., continued with man's original livelihood mode of living, the Nicobarese tribe got transformed into another category, which can be termed as the settled 'proto-forester' in the ancient times itself, because of different kind of food resources available in respective group of islands. As against thickly wooded hilly Andaman terrain, it is the extensive coco palm forest groves in the sandy coastal zones, apart from feral pigs, pandanus, yams in the flat Nicobar Islands' forests that formed the base for livelihood for the Nicobarese. This ethnic community settled down at the coastal areas after realizing the multiple use of coconut palm like: (a) it bears big nuts round the year (not being seasonal fruit) which can be plucked at any stage from its tender to ripe phase for consuming its highly nutritious flesh and drink its wonder water i.e., coconut milk; (b) nuts being not easily perishable like other fruits because of its stony endocarp encased in thick layer of fibrous mesocarp wrapped with epicarp; (c) its kernel remains as a delicacy for months and months, hence, it can be stored so long; (d) edible oil can be extracted from its dried meat, the copra through the tribal traditional method; (e) the kernel is the main pig feed; (f) coco trunk being hard timber and palm leaves being large are useful as pillars and thatch respectively in building their shelter. In addition to all that, the coastal zone being close to the sea that forms the unlimited source of fish and other marine food. Thus, such a perennial fountain of sustenance being available at a place, there was no requirement for the Nicobarese to move widely in search of food. While the pandanus and yams available in abundance formed the vegetarian staple food items for Nicobarese, the pork is their predominant animal source food. They would go into the forest, raise specific vocal sound, on hearing of which the particular group of hogs flock near the respective caller who feed them every evening with coconut meat. This is a kind of semi-domestication of pigs by providing them supplementary feed in the wild and then hook the fatty swine and slaughter it whenever required. The *Barringtonia asiatica* plant growing in sandy and rocky shores having icythyotoxic substance particularly in its fruits is ingeniously used by the Nicobarese for marine fishing (Ravikumar, T, 2015: 76). During the low tides, the crushed fruits of this plant would be applied in shallow water by scattering it. This then would soon narcotize fish and octopus which would start floating and the Nicobarese would handpick them easily.

Though, they did not cultivate any of the above plants or engaged in livestock farming of pigs, the large extended joint families (*tuhets*) of the Nicobarese owned

groves of coconut palm, portions of forest land rich with breadfruit and tuber plants and droves of semi-feral pigs. Thus, they had all assured and abundant food resources close by and furthermore, they did not have to struggle hard to harvest them. They could just collect the fallen coconuts, pluck the ripened pandanus, exhume enlarged tuber and simply pick up the tranquilized fishes and octopus from shallow coastal waters or catch the pigs out of their own drift in the forest by trick. Of course, they could climb the tall coconut trees, if they wanted the tender nuts and perhaps to tap the toddy. So, they had enough leisure time that led to: the growth of various ways of beautification of their naked body with head-bands, wrist-bands, skirt made out of locally available materials like tender leaves of pandanus and sea shells; evolution of oral literature, and performing arts in the form of traditional songs and dance with participation of both men and women; variety of indigenous sports and games like pig fight, pole fight, canoe race, wrestling; celebration of grand ossuary festivals. They had medicine man, the shaman in their villages armed with ethnomedicine which was efficient enough to cure the endemic ailments. They were conscious about public health issues and every village had the community 'birth house' and 'death house' close to sea shore and away from residential houses. Thus, the Nicobarese led an independent self-contained, healthy and socially well-knit life in their golden age for long and they did not come under foreign invasion and rule till the modern era which began with British suzerainty in 19th century accompanied by "spiritual colonization" of Nicobar (Awaradi, 2021: 88) by Christian missionaries and later occupation by the Japanese forces during Second World War.

"Merchants of Venice": Nonetheless, the Nicobarese did not remain absolutely isolated since 11th century onwards. Nicobar Islands known in Tamil language as *nakkavaram* meaning the 'land of naked people' formed the forward naval base, as noted earlier, for war expeditions against the regimes in Indonesia and other south-east Asian regions in the Chola empire of south India. Unlike the traders of East Asia, the Chola rulers did not hurt Nicobarese and their culture. On the other hand, the attired Malaya, Burmese and Chinese merchants frequenting the Nicobar Islands for commodity trade brought the textile cloth, rice, tobacco and iron tools to introduce them and capture the virgin market and take coconuts applying the tricks of the trade. They illustrated before the aborigines the use of these exotic goods and offered them first as the *gifts*. Later, when the Nicobarese got convinced about the utility of those foreign goods, the traders began to exchange them with the heaps of coconuts. Pretty soon, these imported items became coveted possessions for Nicobarese too, as it is the one of the universal

human tendencies to exhibit wealth / valor / philanthropy depending on the prevailing ideals in the society at a given point of time. So, they began to hanker after such articles and from that ripe stage, the traders began to indulge in profiteering. Eventually, the Nicobarese took these foreign goods especially rice and cloth as essential items in their daily life, thereby turning themselves dependent on the external resources and outer agencies to get them. That was The End of their golden age and beginning of the dark age.

These traders started to maintain the farcical 'book of accounts' to record their goods i.e., imported items in inflated lot given to the respective Nicobarese against the deflated lot of the coconuts received in exchange from those Nicobarese, resulting thereby in the 'trade imbalance' which was beyond the comprehension of the preliterate aborigines. Thereafter, the trading continued partly on credit which went on multiplying to a point when virtually all Nicobarese were pushed into deep debt. They could not have revolted against such exploiting merchants as they had already turned slaves to foreign goods and so, could not afford to disrupt the supply line. Such a state of exploitative affairs with several trading posts in the islands prevailed for long till mid Second World War. The British colonial administration apparently considering their lack of strong defense in the Andaman and Nicobar Islands, packed up and deserted the archipelago in 1942 along with as many Indian mainlanders as possible for carrying them in the ship, leaving the rest and aborigines defenseless. Hence, the Japanese forces occupied the major islands in the archipelago including Nicobar group without resistance. Similarly, the traders also deserted their trading posts before the arrival Japanese and those who failed to do so promptly, were got killed.

Second Dark Spell Ends the First One: Thus, the occupation of the islands in 1942 by the Japanese forces ended the centuries old economic exploitation of Nicobarese but kick started another kind, which was much more malicious. The Japanese ordered the Nicobarese to vacate their houses in coast, so that the soldiers could occupy them as their war barracks with ready-made facilities like drinking water, canoes for fishing and coconut gardens. Hence, the Nicobarese had to live in the jungle away from the locations of their main livelihood resources i.e., coconut groves and sea that too when the supply of rice was already disrupted with departure of traders. The Japanese forced the aborigines to work in heavy tasks of building the military infrastructure like innumerable bunkers, mounting of the huge canons, roads for movement of troops, airstrip etc. Good looking young women were chosen and forced to work as the 'comfort girls' for the fighting troopers, in line with this notorious practice by the Imperial Japanese army, that was subsequently reported from other

territories elsewhere similarly occupied by the Japanese. Those girls were *lured* and were forced, if resisted. Later, the Allied Forces spearheaded by British managed to penetrate and began to bomb frequently, thereby destroying the Japanese war assets and facilities in the islands. This led the Japanese to believe in the enemy espionage activity in the islands. They suspected that Nicobarese could be providing secretly the crucial information to the British spies about the locations of mounted guns and artilleries, which become the clear targets for British attacks. They began to round up the English knowing Nicobarese, punish them cruelly and many of them were shot dead. The accounts of such atrocities were recalled by the old Nicobarese (aged 60 -70 years, who were then in their 20s during the War) to the author at the time of his several official visits to Car Nicobar, the district headquarters and other islands when he was the Assistant Commissioner of Great Nicobar Sub-division during 1985-88 and to the other islands in central group of Nicobar archipelago while holding the charge of Nancowry Sub-division. So, the atrocious Japanese occupation of these islands (1942 - 45) is held as the dark spell in the modern history of the Nicobarese.

Beginning of the Silver Age: The Japanese forces surrendered in the Nicobar Islands also and the British reoccupied the archipelago at the end of the War. Bringing about the normalcy in the life of islanders was the priority for the administration and in that context, the British permitted an Indian business house, the Jadwet Trading Company alone to carry on the trade in the Nicobar Islands. In the meanwhile, Bishop John Richardson, the native of Car Nicobar, - having had modern education in Christian Missionary school at Rangoon, Burma, arranged by Solomon, the evangelist working in Car Nicobar, - motivated his fellow tribesmen to have shares in that trading Company. Eventually, that firm was transformed into a tribal cooperative society known as Ellen Hinengo Limited (EHL) with its area of operation in Car Nicobar. Similarly, another cooperative of the Nicobarese called Manula Mathai Limited (MML) came up in Nancowry group of islands. As the members of these cooperatives, the aborigines deposited their copra with respective Society. Then these tribal cooperatives, in turn exported that copra to mainland India for industrial use. Out of its sale proceeds, the rice, cloth, metallic tools, and other consumer goods were procured to make them available in the canteens of the said cooperatives in the Nicobar Islands. Through this system, the Nicobarese could remain free from exploitation by the external agencies. But they continued to be dependent on mainland India for rice, cloth and consumer goods. Concerned with such total dependency on mainland for food, Bishop Richerdson tried to cultivate paddy in Car Nicobar, but did not succeed because of unfavorable soil and climatic conditions in the islands.

However, the Nicobarese tribesmen remained socio-economically self-reliant, as everyone, - including youths who got free and accessible school education after Indian independence in 1947, - was associated with rewarding livelihood and gainful economic activities around the coconut palm. Very few youths would go for higher education, who would get admission surely in the institutions in Port Blair or in mainland India, and then these degree holders / diplomates would get government jobs easily under the reservation policy of Indian state for the benefit of tribal communities. The social institution of *tuhet* had been pivotal for the strong and vibrant social organization under which everybody, - having certain duties and obligations, - in this large joint family was taken care and thus there were no orphans at all among the Nicobarese. Even those who lived away from Nicobar Islands in pursuit of their jobs, continued to be the integral part of their *tuhet* back home in the islands and remained under the usual social control mechanism. Every village had an elderly and highly respected headman or headwoman, popularly known as village captain, chosen by the head of every *tuhet* in that village, apart from a chief captain for the island to guide and lead the community in every situation and formed the link to the external agencies including the government. Despite whole hog embracing the Christianity, the Nicobarese retained their original tradition by observing the major customs, practices and festivities by singing the folk songs, dancing on all joyful occasions and actively participating in every community activity.

For instance, when the 'total literacy program' to eradicate illiteracy was taken up by the author in the Nicobar Islands during his posting (1994 to '96) as the Deputy Commissioner of Nicobar district, everybody -- old, young, men, women and children -- got involved enthusiastically by assembling at a place in each village every evening for learning. These literacy centers became lively, as some according to their talent, began composing songs on literacy, some took up caroling accompanied by enthralling music played on guitar, some started humming and foot tapping, while the rest including the visitors like us were enchanted by the sound of music. Thus, it was a festivity every evening and not merely picking up alphabets and numerals.

Reverting to its cultural history, this closed ethnic community got gradually opened up during the latter half of 20th century under the influence of mainland Indians who arrived in Nicobar Islands as the independent India's government servants like teachers, doctors, policemen, officials in various departments, apart from the ex-servicemen settlers of Great Nicobar and workers of rubber plantation of Katchal Island. The elders among the Nicobarese were skeptical about the impact of outsiders coming to the islands in substantial number and that was the one of the reasons for getting the government degree college shifted out in 1994

from Car Nicobar and thereby avoid influx of non-tribal students. Anyway, the educated and exposed Nicobarese who got into government service were the first, followed by others, to "modernize" themselves and they were fascinated to the urban comforts. While the number of these mods was gradually increasing, the Nicobarese got an unprecedented terrible shock in the early morning of 26th December, 2004 when the earthquake, measuring 9.1 on Richter scale, jolted the Andaman and Nicobar Islands which was soon followed by the deadliest Asian Tsunami of Indian Ocean that killed nearly 5000 people from Nicobar Islands according to an official estimate (Rajshekhar, M, 2015), as these islands are very close to quake epicenter. Some of those mods who had built masonry houses instead of traditional timber ones, were injured due to collapse of buildings. Such wounded people along with old and infirm persons including many village captains who could not run away quickly from the coastal areas succumbed to this sea monster. These twin disasters destroyed all coastal infrastructures and assets like harbors, jetties, foreshore roads, hospitals, schools, wells, other community structures like churches, community halls, playgrounds, coconut groves and livestock apart from the canoes and houses thereby rendering the Nicobarese helpless and homeless. The survivors were rescued and evacuated by the defense forces to put them in various relief camps at Port Blair, Harmindar Bay in Little Andaman, Campbell Bay, Teresa, Katchal and Kamorta Islands.

"Tsunami of Gifts": Such a dreadful transnational However the Disaster Management Plan for the A & N South East Asian countries was unheard of it in India. though the Disaster Management Plan for the A & N Islands prepared by the author as the Deputy Commissioner of the Nicobar district in the context of: United Nation's declaration of International Decade for Natural Disaster Reduction 1990 to 1999; and instructions from Government of India to the A & N Administration, and submitted in 1996 to the Administration, covered the tsunami also including preparedness against it and its management. But this plan remained unimplemented, which however, later formed the base for such a plan for the islands as mandated under the Disaster Management Act, 2005 passed by the Indian Parliament. The tsunami disaster aroused deep empathy among the people across India, philanthropic organizations, Indian Central Government and State Governments who made generous donations, grants and sent loads and loads of relief materials through the bulk carriers of Indian Air Force. It was such an immense charity pouring in, that its management i.e., receiving, store keeping and issuing of the items became one of the major tasks for the Administration. The mammoth stock of variety of articles from dry food

items to bottled water to clothes to kitchen and bathroom items to electronic items and many more such things, virtually the 'tsunami of gifts' was built up and the same was distributed among the Nicobarese and other affected people. Many of the ultra-modern *gifts* disbursed were unknown and non-essential items for the tribal people living the simple life in the remote islands.

Weakening of Social Fabric: While the Nicobarese stayed in relief camps, the temporary tin sheet shelters - the small units to house the nuclear families comprising of husband, wife and their children and not their joint families - were built in few months by the Government at the selected places in different islands, as near as possible to their original habitations. And that marks the beginning of the dissolution of their strong social institution, the *tuhet*. They were shifted to such temporary shelters to live there till the permanent shelters were raised. The modernistic domestic items necessary for a family to start cooking food and live in the new house were distributed as the *gifts*, in addition to the monthly *free* ration articles. Thus, the cooking gas (LPG) replaced the locally available fire wood at no cost; gas stove displaced the traditional hearths; packed food overtook the pandanus, their popular food. Further, the government positioned the Relief Officer, one at each island to ensure proper disbursement of all such charities and for this they were to use the conventional liaison through the village captain. But the death of many captains in the tsunami had created a vacuum, so, filling those vacancies of village captains was then an urgent need. Hence, in such an emergency situation, the Relief Officers played a major role, of course with their own perspective, in picking up the young 'modernized' persons as the new captains having the ability to converse in Hindi, rather than the experienced golden agers having traditional wisdom to lead the fellow tribesmen who incidentally were rather poor at parleys in Hindi. Thus, a novel leadership of "tsunami captains" was brought in to provide communication linkage between the community and government authorities.

Gift of Assets, Monetary Windfall and Work-Shy: Government of India opted for the speedy construction of tsunami permanent shelters with the building materials like steel, tin, metallic nuts and bolts, cement and pre-fabricated bamboo boards, all brought from mainland India, instead of using local materials viz. timber, cane, bamboo etc. Considering the views of that new leaders / tsunami captains, the labor force was also brought from outside to build the shelters in Nicobar Islands. These captains and comfort loving modernized Nicobarese could not visualize the consequences of *freebies* and *gifts*. Instead of involving the able-bodied people in the construction of permanent shelters and learning the skills to handle new kind of building

materials, they went for easy option of seeking all help from the Government. Similarly, they did not join their hands with laborers in planting coconut seedlings under the rehabilitation program launched by the Department of Agriculture. Further, the Nicobarese got the *gifts* of pigs and poultry birds and motorized fiberglass fishing boats. In addition to such rehabilitation measures, the Government handed out liberal compensation against the loss of lives and property and ex-gratia payment, being a package of colossal monetary *gift* to the head of the nuclear families and not to the head of *tuhet*, though the author as the Special Relief Commissioner advised against paying such huge sum of money to the young head of the nuclear families, reasoning that they would squander it, apart from being meddlesome in the working of *tuhet*. Simaran Jit Singh, a researcher in an article has written that paying compensation to nuclear family units was the beginning of disintegration of extended family system (Rajshekhar, M, 2015). All these generous rehabilitation measures continued for nearly six years during which most of the Nicobarese lounged around and in this process, a new section of indolent youths emerged. The age group of 15 - 20 years in 2004 missed their crucial life phase of apprenticeship, that is, occupational internship, learning the traditional life skills, undertaking the hard work and realizing its importance. But on the other hand, they were exposed to the medley of outsiders and their lifestyle, may it be the officials, businessmen, workers of NGOs and above all to the variety of modern gadgets.

Squandering Resulting in Begging: Further, in the aftermath of tsunami devastation, the government launched numerous reconstruction and development works including tourism linked projects in the islands pumping in enormous amount of funds. Under a special package, Government of India approved Rs. 821. 88 crore (approximately 9850 million USD) in May, 2005 for Andaman and Nicobar Islands (*ReliefWeb.int*, News and Press Release, 4 May, 2005), thereby creating substantial employment opportunities that attracted the job seekers from mainland India. All these together with whopping government handouts led to the upsurge in the purchasing power of the islanders and threw up ample business avenues in the islands. As such, a range of commercial ventures, shops including the dealerships of motor cars, motorbikes, different categories of motor vehicles mushroomed in Port Blair and other places in Andaman Islands. As against single pre-tsunami auto dealership, 15 authorized car showrooms with more than 30 car brands showed up (*ZigWheels.com*). The Nicobarese having become moneyed overnight, went on a shopping spree to possess: (a) variety of motor vehicles like motorbikes which replaced their once popular and humble bicycle; (b) motor cars, - of the kind possessed only by the Deputy Commissioner and Superintendent of Police in

the past in the entire Nicobar district, - to the extent that nearly 1000 cars were available with people in Car Nicobar Island alone in 2015 as disclosed by Amin Moosa, the head of a tribal cooperative to the reporter of *The Economic Times* (Rajshekhar, M, 2015); and (c) some trucks / pick up vans of the type owned by only Public Works Department of the Administration. They squandered the bucks for possessing such inessential assets which demanded the recurring expenditure, to run them by refueling and maintaining regularly, to the tune of Rs.7000 (84 USD) per month as estimated by Samir Acharya, a local environmental activist (ibidi) thereby creating a perennial liability without generating steady income and thus landing the aborigines in beggared. The laborers from mainland India deployed in various works came with their habits like drinking and brewing hooch which was continued in the Nicobar Islands too. Some Nicobarese even learnt the procedure of making such illicit liquor, while others drank it, thereby making them vulnerable to hooch tragedies and further breeding a dangerous underground business in tribal area.

The Nicobarese idling i.e., disconnected from the livelihood pursuits for six years, finally had to enter into the turbulent times of reality with termination of Government relief and rehabilitation activities as they had to live on their own after all. They were unable to repair their houses, that is, permanent shelters due to lack of those imported costly building materials in the Nicobar Islands and the skills to renovate / restore their new found structures. They had to spend money to: (a) refuel the motorized fishing boats and motor vehicles; (b) get cooking gas; (c) drink bottled water; and (d) obtain ration articles. That new class of slothful youths (who were aged 15-20 years when tsunami struck the islands) mentioned earlier were interested mainly in the white collar / government jobs and unwilling to be engaged in the traditional occupations that had been the base of the livelihood and economy of the Nicobarese all along in the past. But the government jobs are limited, hence every aspirant would not get such employment resulting in lack of sustainable income generating activities and growing unemployment.

Social Debilitation: Simaran Jit Singh, a researcher who had studied the Nicobarese of Nancowry islands in pre-tsunami days, wrote that, if the people of Kamorta start selling the vegetables, the only means of livelihood readily accessible, by working 8 hours a day which is an increase of 6-8 times as compared to pre-tsunami scenario, they will have no time for festivities and rituals (ibidi). In other words, they have lost the space for leisure, one of the signifiers of golden age. According to Ajay Saini, another researcher, associated with Tata Institute of Social Science, the Nicobarese, having come in close contact with non-tribal outsiders during



prolonged relief and rehabilitation operations, had turned 'modern' by imitating the lifestyle of those outlanders and further as described by Samir Acharya, they indulge in 'binge drinking' the hard alcohol, and do not prefer the traditional indigenous drink, the toddy (ibidi). That means, they have gone for a hazardous, consumerist and high-priced life that is unsustainable. The more serious consequence would be that the unemployed and idling youth section of the Nicobarese community becoming unrest and vulnerable to dangerous and unlawful habits / pursuits as has been noticed in the recent times. *The New Indian Express* (e-paper) dated 16th September, 2023 reported the involvement of Nicobarese in drug peddling and drug addiction. Thus, the tsunami waves had come and gone, but the ripple effect of the 'tsunami of gifts' has been pounding this Nicobarese tribal society pushing it to the precarious edge.

IX. CONCLUSION

There was no reason that the golden age of the living civilizations of the native islanders of A & N archipelago would not have continued to flourish with their own ethnoscience and indigenous technology evolved adequately enough to enable them to strike the dynamic eco-cultural equilibrium and thrive well in the physical world around them, despite the latter being frequented by natural hazards like earth tremor, cyclone, draught and tsunami of varying severity. But the industrialized Europeans and later the agrarian Indians with their modern civilization arrived here uninvited, as the bull in the China shop of the indigenous people of the islands in the Bay of Bengal. Such outlanders were instrumental for outright decimation of the Andamanese and socio-cultural destabilization of other ethnic communities.

Later, the White men went back home in 1947, after nearly a century long occupation of the islands and their exploitation, because of geo-political developments. But the Brown men, the Indian settlers did not do so, since they lost their original home as the fallout of that same geo-politics. They made these islands as their new home and working for their own progress and prosperity at the cost of the autochthons for whom the islands are the ancestral and the only home. The worst part of the story is the endless pouring in of other mainland Indians outnumbering the aborigines several times to partake in the process of that progress and in the name of national prosperity. And that being attempted by harvesting the natural resources available here and harnessing the strategic location of the islands close to the Indo-Pacific international sea route and near to the Malacca Strait, the corridor for the one third of worldwide maritime trade, in view of emerging global business and commerce, thereby posing the threat of predators to the

native islanders. As such, these interlopers and their government are legally accountable for the socio-cultural damage done and still being done to the indigenous people by clinging to the Benthamism.

Therefore, these "civilized" people are morally bound to undo the damage done to the aborigines, who have neither invaded nor incited them in any manner. But the crucial matter at this juncture is the nature of social reconstruction relevant to the ethnic communities. So, here comes the ethical issue. It is to be realized and accepted that the ethnocentric approach of "mainstreaming" the different ethnic groups is unethical and fallacious, as there cannot be one domineering 'mainstream' of socio-political system in the human world to which rest all are supposed to join. In fact, there are "many-streams" in that respect, and each of them having the natural and constitutional rights to burgeon. Therefore, the welfare intervention has to conform to this ethical norm. Since, the degree and nature of damage suffered i.e., from the most as in the case of Andamanese to the least as in respect of Nicobarese, there has to be separate welfare call through specific tribal policy and programs. While the government has already notified such explicit policy for the Jarawas and the Shompens, the author has submitted to the A & N Administration, the draft tribal policy with plan for the Sentinelese in 2018, for Andamanese as well as Onges in 2019, and has published in 2021, a blueprint for the economic development of the Nicobarese (Awaradi, 2021:92-94). Such welfare approach, if adopted, will come to the aid of Indian government in meeting its constitutional obligation.

BIBLIOGRAPHY

1. Andaman & Nicobar Administration, 1989: Jarawas of South Andaman Contacted. *The Daily Telegrams*, March 3, 1989. Government Press, Port Blair
2. Andaman & Nicobar Administration, 1991: First Friendly Contact with Sentinelese. *The Daily Telegrams*, January 8, 1991. Government Press, Port Blair
3. Anderson, Clare, 2018: The Andaman Islands Penal Colony: Race, Class, Criminality and British Empire. *The International Review of Social History*. Online Publication of Cambridge University Press: 14 June, 2018
4. Awaradi, S.A. (1990): *The Computerized Master Plan (1991 -2021) for the Welfare of Primitive Tribes of Andaman and Nicobar Islands*. Port Blair, Andaman and Nicobar Administration. / NITI Aayog Library. <https://library.niti.gov.in/opac-detail>
5. Awaradi, S.A. (2021): Realization, Resurgence and Reinforcement of Traditions of Indigenous People in Andaman and Nicobar Islands in *Tribal Literature and Oral Expression in India*, (edited), Srivastav, Prem Kumari, New Delhi, Sahitya Academy

6. Awaradi, S. A. (2022): Ethnographic Hotspots of Andaman and Nicobar Islands: Urgency for Multidisciplinary Studies. *The Oriental Anthropologist* 22(1):173-185. Oriental Institute of Cultural and Social Research and SAGE.
7. Bonnington, M.C.C. (1932): *Census of India, 1931, Andaman and Nicobar Islands, Vol. II, Part 1 & 2*. Government of India Publication, Calcutta.
8. Expert Committee (2003): Report of the Expert Committee on the Jarawas of Andaman Islands (unpublished report), Port Blair, Andaman and Nicobar Administration.
9. Grambier, Jeff (2023, January, 16) : How the Last 12,000 Years Have Shaped What Humans are Today. *Ohio State News*. <https://news.osu.edu>.
10. Krishnakumar, MV, (2009): Development or despoilation? The Andaman Islands under colonial and post-colonial regimes, Shima, *The International Journal of Research in to Island Cultures*, Vol.3 No.2, 104 – 117
11. Lee, Richard B. et al. (1999): Introduction: Foragers and Others. in *The Cambridge Encyclopedia of Hunters and Gatherers*. Cambridge University Press.
12. Lorea, Carolina Erica, 2017: Bengali settlers in Andaman Islands: the performance of homeland. In *The Newsletter 77, Summer 2017* of the International Institute for Asian Studies, Leiden.
13. Mirante, Edith, (2023): Misguided Megaproject Threaten to Devastate the Andaman and Nicobar Islands. *Himal Magazine*, Nov. 10, 2023
14. Mukhopadhyay, K. et. al. (2002): *Jarawas Contact: Ours with Them and Theirs with Us*. Kolkata, Anthropological Survey of India. Government of India.
15. Paige L Williams, 2017; 12(4): e0176588: Opium use during pregnancy and risk of preterm delivery: A population-based cohort study, *ncbi.nlm.nih.gov*
16. Portman, M. V., 1899: *A history of our relationship with Andamanese*. Calcutta, Superintendent of Government Printing.
17. Rajshekhar, M: 2015, Jan 31. How the tsunami and 'one-size fits-all' relief and rehabilitation has led to reconfiguration of Nicobar Islands. *The Economic Times*. <https://m.economictimes.com/news>
18. Ravikumar, T., et. al. (2015): Traditional Usage of Ichthyotoxic Plant *Barringtonia asiatica* (L) Kurtz, by Nicobari Tribe. *Journal of Marine and Island Cultures*. Vol IV Issue 2 December, 2015.
19. ReliefWeb.int, *News and Press Release, 4 May 2005*: India: Rehabilitation of tsunami victims. <https://reliefweb.int>
20. Sahlin, Marshal, (2009): Hunters - Gatherers: Insight from a Golden Affluent Age. *Pacific Ecologist*, 18: 3 - 8
21. Sahlin, Marshal, (2017): *Stone Age Economics*, Routledge
22. Sasikumar, M, (2021): Rebellion, Exile and the Forced Displacement: The History of Mappilas of Andaman Island. *Journal of Human Sciences* Vol. 1 No. 1.
23. Sen, Udit, (2017): Memories of Partition's 'Forgotten Episode': Refugee Resettlement in the Andaman Islands. *Sudasien-Chronik – South Asia Chronicle* 7/2017, S 147 – 178 @Sudasien -Seminar der Humbolt – Universitat zu Berlin
24. Singh, Iqbal, (1978): *The Andaman Story*. Vikas Publication, Delhi.
25. Temple, R. C. 1901: *Census of India, Andaman & Nicobar Islands*, Govt. of India Publication, Calcutta.
26. Thangraj, Kumarswamy et. al. (2005): Reconstructing the Origin of Andaman Islanders. *Science*, 308: 996.
27. Trivedi, Rajani et. al. (2006): Molecular Insight into the Origin of the Shompens, a declining population of Nicobar archipelago, *Journal of Human Genetics* 51: 217 - 226.
28. Wise, J. B. (2014): What is Leisure? A Macintyrian Based Response. *Journal of Unconventional Parks, Tourism and Recreation Research* 5 (2): 17 - 22.
29. Yule, Henry, 1903: *The book of ser MarcoPolo: The venetian concerning the kingdoms and marvels of the East*, John Murray, London.
30. ZigWheels.com: *Dealers: Car showrooms in Port Blair*. <https://www.zigwheel.com/dealers>. (Visited on 27 March, 2024)





This page is intentionally left blank



GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ARCHAEOLOGY & ANTHROPOLOGY
Volume 24 Issue 2 Version 1.0 Year 2024
Type: Double Blind Peer Reviewed International Research Journal
Publisher: Global Journals
Online ISSN: 2249-460X & Print ISSN: 0975-587X

The Phenomenon of Chaste Women in the Ming and Qing Dynasties

By Ke Zhao

Introduction- The phenomenon of chaste women was a social phenomenon unique to the Ming and Qing dynasties. Chaste women refer to women who committed suicide after the death of their husbands. The concept of "chaste women do not change their husbands" received widespread attention in the late imperial period. Public opinion raised the emphasis on female chastity to an unprecedented level. The exponential increase in the number of female suicides in the Ming and Qing dynasties, both in official and local histories, undoubtedly reflects the far-reaching influence of the concept of chastity spread in society after the mid-Ming dynasty and the early Qing dynasty.

In my study, I focus on the phenomenon of chaste women between the mid-Ming Dynasty (1449-1644) and the early Qing Dynasty (1636-1796). While remarriage was tacitly permitted in the Song Dynasty, it became the subject of condemnation in the Ming and Qing Dynasties.

GJHSS-D Classification: LCC: HQ1160



Strictly as per the compliance and regulations of:



The Phenomenon of Chaste Women in the Ming and Qing Dynasties

Ke Zhao

I. INTRODUCTION

The phenomenon of chaste women was a social phenomenon unique to the Ming and Qing dynasties. Chaste women refer to women who committed suicide after the death of their husbands. The concept of "chaste women do not change their husbands" received widespread attention in the late imperial period. Public opinion raised the emphasis on female chastity to an unprecedented level. The exponential increase in the number of female suicides in the Ming and Qing dynasties, both in official and local histories, undoubtedly reflects the far-reaching influence of the concept of chastity spread in society after the mid-Ming dynasty and the early Qing dynasty.

In my study, I focus on the phenomenon of chaste women between the mid-Ming Dynasty (1449-1644) and the early Qing Dynasty (1636-1796). While remarriage was tacitly permitted in the Song Dynasty, it became the subject of condemnation in the Ming and Qing Dynasties. During the Ming and Qing dynasties, the concept of chastity, "a chaste woman does not change her husband," was given widespread attention by society and praised in official records and personal writings for women's chastity suicides.

II. THE QING GOVERNMENT'S SINICIZATION POLICY: THE JING BIAO SYSTEM

In Ming and Qing China, the family was a sphere for indoctrination and a sphere for state control. The family in Ming and Qing dynasties was not an independent private sphere but a moral and political unity within the society and the state. Within the institutional framework, women's activities were defined in the home. Their activities in the home were subordinated to the political control of ancient Chinese men, who "ruled the country and raised the family." The most obvious point in the perception of women in ancient China is that "men were superior to women." The source of this impression is the "three obediences and four virtues" advocated by Confucian ethics for women. The three obediences are described in the Book of Rites as follows: "A woman is obedient to her father and brother when she is young, to her husband when she marries, and to her son when the husband dies." This indicates the taming of the female as subordinates to

the male in ancient times, and also implies a subordinate relationship between male and female in terms of the social division of labor. A daughter, her social status is subordinate to her father when she is young, and subordinate to her husband when she is married. The glorification of chaste women became an essential part of the Confucian morality of "three obediences and four virtues" propagated by the scholars and great scholars of the Ming Dynasty, Lv Kun, praised women who committed suicide for their dead husbands: "If a woman wants to live, they must lose your body. Not to donate the body can not fulfill the will."¹

The preaching of chaste women was not only a moral ideal of individual scholars but also became a political policy at the state level. In the official history book compiled by Zhang Tingyu, "The History of Ming Dynasty: The Biography of Listed Women," the biographies of 265 chaste women of the Ming Dynasty are recorded in three volumes. The biographies of women are mainly divided into four categories: chastity, suicides, filial piety, and righteousness. Including chaste women's deeds in official history books demonstrates the Ming government's recognition and commendation of women's chaste behavior. In 1644, the Qing army entered China, the Manchu army occupied Beijing, the Qing Shunzhi Emperor succeeded the Ming Chongzhen Emperor, became the common emperor of the Manchu and Han Chinese, how to unify China with a huge territory became the first problem that the Qing Shunzhi Emperor needed to face. Within the Manchu has long been the custom of women dying for their husbands, in 1626, the Manchu chief Nurhachu died, 35-year-old Nurhachu's son Huang taiji forced Nurhachu's first consort Abahai martyrdom, Abahai was then forced to hang herself at the age of 37, after the death of the first consort Abahai, and there are two other consorts martyred². After the Qing army entered China, the custom of Manchu women being buried with their husbands was integrated with the traditional Chinese Confucian morality of the "three subordinates and four virtues" of chaste women committing suicide for their dead husbands, e.g., For chaste women, the "Ching Shi Gao: Biographies of Women" is arranged in a way that women are divided into several sub-categories according to the manifestation of virtue. In the Qing

¹ Lv Kun. *Gui Fan*. Gu Wu Xuan Chu Ban She, 2015.

² Man Wen Lao Dang,juan 43,p392.

dynasty, there were as many as 294 cases of chaste women who committed suicide because of their husbands. The call for female glorification and adherence to traditional Confucian morality became effective means for the new Qing state to control Han society. The Qing Yongzheng emperor also required the local state and county governments to create a collective "festival and filial piety shrine" for the chaste maidens as a token of recognition.³

III. THE WRITING OF THE MING SURVIVORS: THE UNIFICATION OF "EMPEROR - SUBJECTS - HUSBAND - WOMAN"

The stories of women who suffered both the death of their husbands and the destruction of the Ming state during the dynastic changes of the Ming and Qing were repeatedly written by Ming survivors after the war. The stories of women who suffered both the death of their husbands and the destruction of the Ming state during the dynastic changes of the Ming and Qing were repeatedly written by Ming survivors after the war. Suppose we relate the situation of chaste women to that of Ming survivors, in that case, we can conclude why chaste women were repeatedly written by Ming survivors - as mentioned earlier, in Ming and Qing China, the family, a sphere of indoctrination, was also a sphere of state and local control. The image of the chaste woman was often associated with "loyalty," as a chaste woman could commit suicide for her dead husband, while a loyal subject could die in battle for the Ming state. In the writing of the Ming survivors, the chaste woman was an alternative expression of Ming patriotism, a metaphor and moral ideal of loyalty to the overthrown Ming state.

Therefore, in the records of the Ming survivors, most of the chaste women died with their husbands, and the process of death was incredibly tragic. In Ming survivor Huang Zongxi's notes, he tells the story of a chaste woman named Cao, whose husband was Tang Zhitan. Soon after her marriage, Cao's husband died of an illness, and Cao decided to be buried with her husband. Her family rescued her. So, Cao secretly took the poison despite her family's resistance and began a hunger strike. After more than twenty days of hunger strike, Cao jumped into a pool of water to commit suicide, and her family rescued her. Cao was unable to die, so she began to eat again. Influenced by female poets who wrote poems on chrysanthemums, she began to recite poetry on plum blossoms to express her loyalty to her husband and was determined to die. Finally, on New Year's Eve, Cao Zhenni hanged herself next to her husband's coffin with a seven-foot-long cloth

and dead.⁴ As one of the Ming survivors, Huang Zongxi recorded Cao's suicide and visited her before her death. "Huang Zongxi, as a representative of the Donglin Party, was involved in the struggle against the Qing Dynasty, was imprisoned by the Qing army and eventually escaped, and insisted on opposing the ideological control of the Qing Dynasty. It is not difficult to surmise that Huang Zongxi's vigorous propaganda of Cao's suicide represents his nostalgia for the Ming government, rebellion against the Qing government, and political anxiety that his patriotic ambitions could not be carried out. Therefore, the image of Cao expresses Huang Zongxi's political ideals and metaphors in his writing.

Another example is the story of a chaste woman Wen, who died because of her fiancé's death. The Ming survivor Xu Fang recorded the story of a chaste woman named Wen, who entered into a marriage contract with the Hua family when she was young, but the man soon became seriously ill and died. Wen insisted on going to Hua's house for the funeral but was opposed by her father, so Wen explained her wish to abide by chastity and fulfill her wife's obligations. After Wen arrived at Hua's house, she wept in front of her unmarried husband's coffin. Afterward, the Wen family took on the responsibility of raising her parents and the parents of the Hua family, abiding by etiquette and chastity⁵. From the life of Xu Fang, the author of the study, it can be found that Xu Fang highly praised Wen's behavior has a lot to do with his identity as a survivor of the Ming Dynasty. Xu Fang passed the official examination during the Chongzhen period of the Ming Dynasty. His father, an official in the Ming Dynasty, jumped into the river because of the Qing army's invasion. Before Xu Fang's father died, he told Xu Fang to live in seclusion in the mountains and forests and not be an official in the Qing Dynasty. The praise of Wen for never marrying and insisting on chastity is also a metaphor for Xu Fang's political proposition.

Another Ming survivor, Li Weizhen, recorded the story of a chaste woman named Cha, born in Zhejiang province, whose mother was a person of outstanding character and filial piety who once cut off her flesh for her mother's medical treatment. With such an education, Cha knew how to be obedient to her parents and obey her husband from a young age and studied the Book of Filial Piety and the Legend of the Lady. When she grew up and married her husband, Feng Rang Bo, Cha was determined to die after Feng Rang Bo died of an illness. One day, her house caught fire, and instead of fleeing, she waited for the fire to burn herself out to pay tribute to her husband. In her view, dying for her husband was the

³ *Qin ding Da Qing hui dian shi li*: [1220 juan, juan shou 8 juan. China: s.n., 1899, P10414.

⁴ Huang, Zongxi, and Naqian Chen. *Huang Lizhou wen ji*. Di 2 ban. Beijing: Zhonghua shu ju, 2009, P127.

⁵ Xu, Fang, Shuhui. Huang, and Xiaofeng. Yin. *Ju yi tang ji*. Di 1 ban. Shanghai Shi: Hua dong shi fan da xue chu ban she, 2009, P301.

right thing to fulfill Confucian morality. Later, Cha's son rescued her from the fire, but she finally died of a hunger strike when she waited for her husband's funeral.⁶ In another epitaph composed by Li Weizhen, Li recounts the story of a chaste woman named Cheng, who married her husband Wang Liang Peng at the age of sixteen and died a year later, so Cheng also died on hunger strike. In her epitaph, Li Weizhen praised Cheng for her courageous act of dying with grace, and he believed that only heaven could have given Cheng such courage.⁷ As one Ming survivor, Li Weizhen was a defender of the Confucian tradition.

IV. CHASTE WOMEN IN THE LINEAGE: MOTHER-SON RELATIONSHIP

The analysis of the relationship between mother and son in gazetteers will help us to understand the historical background of the phenomenon of chaste women.

Wu Guolun once recorded the story of a chaste woman surnamed Feng, who was only twenty-two years old when she married her husband, Zhang Kong, who then died. Feng then raised her two sons and her husband's parents alone and remained a widow for 64 years, and eventually, her lineage prospered⁸. Wu Guolun then wrote a particular epitaph in praise of Feng. In the framework of the interpretation of "widowhood and offspring rearing" and the historical background of dynastic changes, the widowhood of a chaste woman was as great as that of a loyal ruler and was praised by the literati in the Ming and Qing dynasties.

In the gazetteer of Huizhou in the Ming and Qing dynasties, there are a lot of records about chaste women, such as the gazetteer of She county⁹, which contains 16 volumes, and the biography of chaste women accounts for 4 of them, reflecting the large number of chaste women in Huizhou. In the Huizhou gazetteers, it is discussed that many Huizhou women did not remarry because they belonged to elite lineages, and even if their husbands died, Huizhou women as mothers of sons enjoyed a high status in the lineage.

For example, Xu Fang, a Ming survivor, once wrote a biography for a widowed woman named Huang, who lived in Huizhou for more than 50 years. Huang was of noble birth; she had been filial to her parents since she was a child and was proficient in ancient female craftsmanship. She married Wang Xuan, a native of Xiuning, Huizhou, at sixteen, but her husband died when she was less than thirty. The husband's younger brother

adopted his son to Huang as her son, and Huang took great care to raise the son, honor her husband's parents, and manage various household chores at home. After the death of her husband's father, Huang, as the eldest daughter-in-law, took on the burden of taking care of the entire lineage so that everyone in the family respected her¹⁰. For another example, in the case of Wang Duo in the Huizhou local gazetteers, his mother raised her son, Wang Duo, independently after her husband's death, educated him, and let him take the imperial examination. Her son Wang Duo eventually passed the examination and became a famous scholar in the southeast.¹¹

V. CONCLUSION

In summary, the chastity of women in the Ming and Qing dynasties can be explained from the level of the Qing state by the implementation of the national system of Jing Biao and the adherence to traditional Confucian morality, and from the level of the Ming survivors, the narrative of the Chaste Maiden contains a record of the Ming survivors' insights into the country's turmoil during the Ming and Qing dynasties, their memories of the Manchu invasion of the Central Plains, and allusions to their own allegiance to the Ming dynasty and their mockery of those who surrendered to the Qing dynasty. On the lineage level, the chaste women's chaste behavior is praised, and encouraging them to "keep their widows and raise their offspring" is as great for the lineage as the act of loyalty to the emperor, and was praised by the literati of the Ming and Qing dynasties.

⁶ Li, Weizhen. *Da mi shan fang ji*: 134 juan, mu lu 2 juan (120). Jinan: Qi Lu shu she, 1997.

⁷ Li, Weizhen. *Da mi shan fang ji*: 134 juan, mu lu 2 juan (120). Jinan: Qi Lu shu she, 1997.

⁸ Wu Guo Lun, Fan Zhen Dong Xu Gao, juan2.

⁹ Shi, Guozhu, and Chengyao Xu. *She Xian zhi*. Tai 1 ban. Taipei Shi: Cheng wen chu ban she, 1975.

¹⁰ Xu, Fang, Shuhui. Huang, and Xiaofeng. Yin. *Ju yi tang ji*. Di 1 ban. Shanghai Shi: Hua dong shi fan da xue chu ban she, 2009, P305.

¹¹ Duan, Yucai, and Zhenfang. Xue. *Qing dai Hui ren nian pu he kan*. Di 1 ban. Hefei: Huang Shan shu she, 2006, P721.





This page is intentionally left blank



GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ARCHAEOLOGY & ANTHROPOLOGY
Volume 24 Issue 2 Version 1.0 Year 2024
Type: Double Blind Peer Reviewed International Research Journal
Publisher: Global Journals
Online ISSN: 2249-460X & Print ISSN: 0975-587X

Why Economics is not, and Cannot be, a Real Science

By Emmanuel Blanc

Introduction- L'économie est une science de l'*interdépendance* généralisée ce qui la rend complexe et peu précise. Mais ce n'est pas là, selon nous, le critère principal qui, avec les autres sciences sociales, l'éloigne des sciences de la nature. La météorologie, comme nombre d'autres sciences de l'écosystème, est, elle aussi, une science de l'*interdépendance* généralisée et reste peu précise, elle n'en demeure pas moins une science de la nature et ses « prévisions » sont perfectibles avec l'accroissement des données et la complexification des modèles. L'économie est par contre une science de l'*interaction* généralisée. À ce titre tout modèle économique prétendument « scientifique » véhicule de l'information qui inter-agit sur le comportement des acteurs observés eux-mêmes, de sorte que la prévision du modèle peut être soit auto-réalisatrice soit auto-réfutante. Dans cette configuration le critère scientifique de la « prévision réalisée » ne peut être d'un quelconque secours pour tester la *scientificité* du modèle.¹

GJHSS-D Classification: JEL: B41



Strictly as per the compliance and regulations of:



Why Economics is not, and Cannot be, a Real Science

Pourquoi la Science Economique N'est Pas, et ne Peut Etre, une Véritable Science

Emmanuel Blanc

INTRODUCTION

L'économie est une science de l'*interdépendance* généralisée ce qui la rend complexe et peu précise. Mais ce n'est pas là, selon nous, le critère principal qui, avec les autres sciences sociales, l'éloigne des sciences de la nature. La météorologie, comme nombre d'autres sciences de l'écosystème, est, elle aussi, une science de l'*interdépendance* généralisée et reste peu précise, elle n'en demeure pas moins une science de la nature et ses « prévisions » sont perfectibles avec l'accroissement des données et la complexification des modèles. L'économie est par contre une science de l'*interaction* généralisée. À ce titre tout modèle économique prétendument « scientifique » véhicule de l'information qui inter-agit sur le comportement des acteurs observés eux-mêmes, de sorte que la prévision du modèle peut être soit auto-réalisatrice soit auto-réfutante. Dans cette configuration le critère scientifique de la « prévision réalisée » ne peut être d'un quelconque secours pour tester la *scientificité* du modèle.¹

Ce sujet n'a cessé de nous préoccuper. Il s'est imposé à nous, lors du débat lancé par les étudiants de l'école normale supérieure en 2000². Les étudiants mettant en cause un enseignement des sciences économiques qui se résumait à l'alignement d'une série d'équations de modèles mathématiques et qui restait complètement « autiste »³ par rapport à l'environnement institutionnel de l'économie réelle. La question sous-jacente au débat porte sur le statut scientifique des sciences économiques et plus généralement de ce qu'il est convenu d'appeler sciences sociales. Nous commençons, de façon un peu anecdotique, par évoquer le paradoxe d'Allais car il est de fait à l'origine de l'économie expérimentale dont l'ambition est

Author: e-mail: emmanuel.blanc25@sfr.fr

précisément d'asseoir l'économie sur une véritable méthode scientifique. Nous en montrerons le caractère illusoire. Plus fondamentalement notre propos sera de montrer que toutes les sciences dont l'objet est l'étude des hommes en interaction posent un problème spécifique au déterminisme scientifique. En effet comment un modèle, censé rendre compte du comportement des acteurs observés, peut-il être scientifique alors qu'il véhicule de l'information qui interagit sur le comportement des acteurs eux-mêmes, de sorte que la prévision du modèle peut être soit auto-réalisatrice soit auto-réfutante. Dans cette configuration le critère de la prévision réalisée peut-il être d'un quelconque secours pour tester la scientificité d'un modèle ?

I. NOTES À PROPOS DE QUELQUES « LOIS » ECONOMIQUES

Y a-t-il des *lois* en économie ? Il semble qu'elles n'aient de loi que le nom (loi de l'offre et la demande, de Gresham, de Say, d'Engel, de l'unicité des prix etc.). Elles n'ont en tous cas rien de commun avec le déterminisme des lois de la physique ou plus généralement des sciences de la nature. Tout au plus peut-on dégager des *tendances*.

On peut reprendre une à une les « lois économiques » et se livrer à ce « jeu de massacre », tout au moins pour les quelques lois qui peuvent faire l'objet d'une vérification expérimentale, ce qui n'en constitue qu'une infime partie. Pour les autres, elles ne peuvent être infirmées ou confirmées, car elles sont prises dans un système d'*interdépendance* générale qui ne permet pas d'en isoler les effets. Or c'est malheureusement le cas de pratiquement toutes les « lois » économiques.

Dans les situations d'*interdépendance* généralisées, le seul critère opératoire resterait celui de la prévision réalisée. Or il est facile de mettre les promoteurs d'un modèle « scientifique » au pied du mur en les sommant de réaliser une prévision à partir de leur modèle. Bien peu d'économistes sont assez téméraires pour relever le défi de la prévision réalisée. Leurs certitudes s'évanouissent brusquement, et, s'ils acceptent tout de même de relever le défi, ce sera en général avec mille précautions.

Or que sont ces précautions ? C'est en général l'aveu de la nécessité de tenir compte de l'influence des

¹ Cet article reprend une partie d'un article, « Pourquoi la science économique ne peut être une véritable science » publié dans les *Annales de l'Université royale de droit et de sciences économiques de Phnom Penh*, éd. du Funan, 2004-2005, p. 99-113), et d'un document de travail non publié, intitulé *MicMac*.

² Il a donné lieu à un site Internet, Autisme économie: <http://www.autisme-economie.org>

³ En juin 2000 ils écrivent dans leur lettre ouverte : « Nous ne voulons plus faire semblant d'étudier cette science autiste qu'on essaie de nous imposer. »

variables mises « hors-jeu » par le modèle (variables institutionnels, politiques, historiques etc.). Le paradoxe c'est que ces variables semblent avoir une importance déterminante dans les explications que les économistes confus donneront *après coup*, pour indiquer pourquoi leurs prévisions ne se sont pas réalisées. Or, de deux choses l'une, soit ces variables sont déterminantes, et l'on ne peut les laisser « hors-jeu », soit elles ne le sont pas et il faut alors reconnaître que le modèle ne satisfait pas au critère scientifique de la prévision réalisée. Il y a bien sûr de multiples raisons pour lesquelles ces variables sont mises hors-jeu. On peut en retenir deux principales :

- 1/ Ces variables relèvent d'autres disciplines que l'économie, conçue ici comme discipline autonome.
- 2/ Elles sont difficilement « formalisables ».

Ce n'est donc pas le degré de pertinence de la variable qui est retenu, mais sa capacité à pouvoir faire l'objet d'une formalisation. Les variables sont donc choisies pour être *ad hoc* au modèle. Ce qui constitue une des limites des modèles en économie c'est leurs difficultés à prendre en compte des variables déterminantes mais qui, soit ne relèvent pas du champ de l'économiste (il faudrait donc que l'économiste s'ouvre aux autres disciplines), soit qui, pour l'instant, ne sont pas formalisables. C'est là au moins deux raisons pour lesquelles une place importante doit être laissée à l'économie non formalisée et à nombre de disciplines voisines. L'économie non formalisée (plus riche mais souvent moins rigoureuse), comme les disciplines voisines (histoire, sociologie, psychologie etc.) peuvent apporter une série de variables laissées « hors-jeu » par les modèles et constituer ainsi le vivier des modèles futurs. Sans être spécialement darwinien, il faut reconnaître que la diversité est un gage de l'évolution future de la discipline. L'exercice de la formalisation pour la formalisation, s'il devient l'unique préoccupation de l'économiste, peut s'avérer en effet stérile et faire craindre l'ossification d'une discipline devenue de plus en plus pauvre.

Le public se souviendra longtemps que l'immense majorité des économistes, sans doute aveuglé par les théories de l'efficacité des marchés, n'ont pas vu venir la crise de 2008. Mais l'histoire bégaie, ils n'avaient pas prévu le crash boursier de 1987 et leurs prévisions à la suite du crash – chute de la consommation, ralentissement de l'activité économique du fait d'une « loi économique » partiellement vérifiée aux USA à savoir l'« effet de richesse » – ne se sont pas réalisées ; les années 1988, 1989 et début 1990 furent les meilleures qu'ait connues la France des années 80 en terme de croissance du PIB⁴. Cela a-t-il suffi à

enterrer « l'effet de richesse » ? Pas du tout, mais pour expliquer après coup la croissance qui a suivi le crash boursier, les mêmes économistes ont mis en avant l'influence déterminante d'une série d'autres variables qui n'avaient pas été prises en compte dans le modèle. Même chose en 2008 où les modèles n'avaient pris en compte l'extrême financiarisation du système bancaire américain. La propension qu'ont les économistes de tout expliquer *après coup* est, à juste titre, éminemment suspecte aux yeux du public. Elle ne fait le plus souvent que trahir le trop plein de modèles, et, corrélativement, le manque d'unité de la discipline. Il ne faudrait pas conclure en effet que l'infime minorité des économistes⁵ qui, en octobre 1987, avait prévu une poursuite de la croissance, avait raison et disposait donc d'une meilleure théorie. Une prévision juste n'est pas suffisante pour qualifier le modèle, encore faut-il que ce soit effectivement par le jeu des variables retenues par la théorie (*economics*) que l'économie (*economy*) soit effectivement arrivée à ce résultat. Il faudrait de plus que sous la même configuration de variable prises en compte, la prévision du modèle se répète avec une régularité que n'entame pas le cours de l'histoire, ce qui est hors de portée, notamment parce que l'économie est non seulement une science de l'*interdépendance* généralisée, mais encore une science de l'*interaction* généralisée et que ce fait, qu'elle partage avec toutes les sciences sociales, lui interdit de pouvoir être une véritable science.

Les échecs des prévisions macroéconomiques de ces dernières années sont également là pour nous rappeler qu'il n'y a pas que les fondements microéconomiques qui sont en crise. Les théories macroéconomiques qui en sont issues sont, elles aussi, en crise, quand elles ne sont pas tout simplement incohérentes, comme celles qui émanent des modèles à agents représentatifs. À vouloir coûte-que-coûte rechercher les fondements micro de la macro (le grand programme de recherche des années 1970-1980), les économistes du courant dominant ont oublié le message fondamental d'A. Smith à travers sa parabole de la main invisible, à savoir les conséquences intentionnelles (au niveau macro) d'actes intentionnels (au niveau micro). Ils ont oublié les leçons de J.-M. Keynes qui, fidèle en cela à A. Smith, avait parfaitement compris qu'il n'y avait pas de pont (le fameux *no bridge*) entre les deux. En voulant faire de l'économie une science plus réductionniste que des sciences dures comme la physique, ils ont fini dans une impasse. Marc Lachize-Rey, astrophysicien au Centre d'études de Saclay, directeur de recherche au CNRS, écrit très justement dans un entretien avec Laurent Mayet :

⁴ De la même façon, après l'éclatement de la bulle internet, contrairement à ce que pouvait laisser penser l'effet de richesse, les statistiques américaines montrent que les ménages américains ont diminué la part de leur épargne pour maintenir leur niveau de Croissance de leur consommation.

⁵ Des économistes ne voulant s'inscrire dans le courant dominant.



« L'approche réductionniste qui considère tout système réel comme la résultante agrégative d'un ensemble de sous-systèmes qui le composent n'est pas toujours souhaitable. Une foule de phénomènes physiques sont en effet décrits ou expliqués de manière satisfaisante sans aucun recours à l'analyse des propriétés de leurs constituants. La mécanique planétaire n'a que faire des propriétés des atomes et la chimie n'a que faire des propriétés des quarks. On pourrait dresser une liste interminable de phénomènes physiques dont l'explication ne passe pas par le recours aux propriétés de leurs constituants. Et ce parce que les systèmes complexes possèdent des propriétés qui n'existent pas à un niveau d'organisation inférieur. »⁶

II. L'ÉCONOMIE POLITIQUE N'EST PAS ET NE PEUT ÊTRE UNE SCIENCE

a) La Naturalisation des Fait Sociaux Prémisse Historique au Développement des Sciences Sociales

Il ne suffit pas qu'une démarche soit scientifique pour que la discipline étudiée le devienne. Mathématisation ou non⁷, nous ne pensons pas que l'économie soit, ou puisse devenir, une science. En soi, cela n'est pas dramatique, mais il vaut mieux le reconnaître que de continuer de faire semblant. Certaines connaissances ne peuvent être scientifiques, au sens d'un certain déterminisme, et n'en sont pas moins des connaissances utiles. C'est, malheureusement ou heureusement, le lot de toutes les soi-disant « sciences » de l'homme et de la société. Elles sont l'effet d'une naturalisation des faits sociaux, qui fut un moyen depuis le XVII^e siècle de « découvrir » un ordre naturel susceptible de se substituer à l'ordre divin. Cette naturalisation trouve aujourd'hui ses limites. La laïcisation de l'univers a produit ses clercs, nouveaux interprètes de l'ordre du monde (scientifiques, experts, professeurs etc.). Ils ont leurs chapelles, leurs missels, leurs disputes et même leurs séminaires (le petit, obligatoire pour tous et le grand pour les futurs interprètes). Cela n'est pas gênant tant que la référence à l'objectivité de la nature peut arbitrer les conflits d'interprétation. Mais si l'objet n'est pas la nature, mais la société elle-même, la culture des hommes ? Sans référence à un verdict de la nature, il y a tout lieu de penser que les conflits restent irrésolus comme en témoigne la coexistence d'une pluralité de théories contradictoires dans les sciences de l'homme et de la société.

La monnaie est un bon exemple pour nous faire comprendre. Tant qu'elle est une marchandise-métal qu'on extrait à grand-peine des entrailles de la terre, une extériorité naturelle limite la liberté de création de

l'homme qui est nécessairement « rappelé à l'ordre » par « l'ordre naturel ». Cette position métalliste a toujours eu les faveurs des partisans d'un ordre « de la nature »⁸. Lorsque la monnaie se dématérialise et n'est plus qu'un signe, c'est un ordre purement *construit* par les hommes qui en limite délibérément la quantité. Mais cet ordre *voulu* par les hommes n'a pas la même extériorité. Il est contingent, ce qui jette un tel effroi que les hommes feront tout pour qu'il leur apparaisse comme un fait naturel, nécessaire, en surplomb au-dessus d'eux, et ainsi éviter de penser que l'ordre peut être le fruit de l'arbitraire de l'homme⁹. Étant construit par eux, l'ordre social ne peut qu'être un point fixe endogène, ce qui le rend beaucoup plus fragile qu'un point fixe exogène. L'ordre social étant le produit des actions des hommes, il apparaît nécessairement lié à leurs pouvoirs, la notion de rapports de force devient alors irréductible.

Toute la méprise des méthodes « scientifiques » appliquées aux sciences sociales consiste à penser l'ordre social comme un point fixe exogène. C'est évidemment le moyen d'*objectiver* le monde social, ce qui a sans conteste permis d'heureux développements. Les « lois de la nature » se substituant aux « lois divines », l'idée d'une extériorité de l'ordre social pouvait subsister. C'était désormais à la science (et plus à l'interprétation des textes sacrés) de découvrir les lois de la nature et dire par là même l'ordre du monde. Cette conception d'un ordre du monde extérieur à l'homme, a longtemps été annexée par les sciences de l'homme et de la société. Elle a atteint ses limites, la méprise n'est plus possible. L'ordre social n'est pas « naturel », il est, à un moment donné, un point fixe endogène, produit d'un jeu de miroir entre les hommes qui le font et le défont. Il faut en tirer toutes les conséquences, les sciences de l'homme ne peuvent *découvrir* des « lois scientifiques ». Les sciences sociales ne peuvent dire l'ordre social car elles sont en *interaction* avec les hommes qui le font. Elles ne peuvent que délivrer des techniques normatives et être le reflet provisoire des *désirs* des hommes.

Il ne peut y avoir de lois scientifiques (rendant compte de phénomènes aveugles et fatals), que dans les sciences de la nature¹⁰. Nous défions quiconque de

⁸ Sans remonter très loin, on peut songer à la position de J. Rueff.

⁹ Pour re-naturaliser ce qui apparaît comme étant un pur signe, une convention passée entre les hommes, et dont l'émission peut donc être soumise à toutes les extravagances humaines, on peut chercher à *rendre sa création intouchable*, non seulement en la retirant du pouvoir politique (indépendance des banques centrales), mais en inscrivant une fois pour toute dans la Constitution (texte sacré planant au-dessus des hommes et par lequel ils s'obligent) son évolution, comme le préconisait Milton Friedman.

¹⁰ Léon Walras a pourtant eu l'ambition d'une économie politique pure qui relèverait autant des « sciences naturelles » que des « sciences humanitaires ». Comme l'écrit P. Dockès « Pour L. Walras, il existe ontologiquement une nature de l'homme en société, éternelle et partout identique, une nature humaine que le savant peut connaître

⁶ *Sciences et Avenir*, hors-série, avril 1999.

⁷ La mathématique en elle-même n'est pas une science. Elle est un outil susceptible de s'appliquer à n'importe quoi, et il n'est pas interdit d'axiomatiser une métaphysique, d'en faire un modèle formel qui pose comme axiome de base Dieu existe par exemple.

citer une seule loi économique qui, en ce sens, tienne scientifiquement la route.

L'ordre social étant un point fixe endogène, il est contingent et ne peut obéir au déterminisme scientifique.

b) *Une Spécificité des Sciences Sociales par Rapport Aux Sciences de la Nature*

Il y a une raison propre au *champ économique et social* qui rend impossible toute tentative d'élaboration d'une véritable science, au sens du déterminisme qu'elle présuppose. Nous voulons souligner ici le phénomène bien connu d'interférences des prévisions sur le comportement des acteurs ayant fait l'objet de la prévision. Qu'il s'agisse d'énoncés performatifs, de modèles économiques auto-réalisateur ou de prophéties créatrices, les sciences sociales sont plongées dans des interactions multiples entre ce qu'énonce le modèle « scientifique » et les rétroactions sociales qu'il engendre susceptible d'effets auto ou contra réalisateur. Toute prévision réalisée par une théorie économique ou sociale, à moins qu'elle ne soit tenue secrète, interagit et peut soit s'auto-réaliser, soit s'auto-réfuter. De ce fait, quel que soit le résultat de la prévision, on ne pourra se prononcer sur la validité scientifique de la théorie. Les sciences sociales sont, le plus souvent, dans l'impossibilité structurelle d'énoncer une loi scientifique.

S'il est un phénomène qui semble vraiment spécifique aux sciences sociales et qui par sa *nature même*, ne leur permet pas d'atteindre l'exactitude des sciences de la nature, c'est bien celui des prophéties créatrices. La connaissance qu'on peut avoir d'un phénomène est susceptible de le modifier. La représentation de la réalité sociale agit sur la réalité elle-même. Les représentations que l'on peut avoir de la nature ne transforment pas intrinsèquement la nature ; ce n'est pas parce que l'humanité a pensé durant des millénaires que la terre était plate que celle-ci n'a jamais cessée, d'être ronde. Même si pour toutes les consciences, la réalité terrestre était plate, cela a laissé la terre complètement indifférente, ainsi d'autres représentations pourront avoir lieu sans que l'objet d'observation ait été transformé par les représentations antérieures. De la même façon, si Christophe Colomb a « inventé », découvert, l'Amérique, cela n'empêchait pas

le continent américain d'exister avant lui. Il en va tout autrement dans les sciences sociales où la représentation que l'observateur a d'un fait social peut se transmettre aux acteurs observés qui de ce fait pourront modifier leurs actions. Les exemples abondent en la matière, notamment en économie où le rôle de l'information est capital dans la conduite des agents. Qu'une rumeur se répande sur l'insolvabilité d'une banque, aussitôt, les déposants, en venant retirer leurs avoirs, provoquent par la même les problèmes qu'ils ont imaginés et reçoivent la confirmation de leurs prévisions. Les démentis officiels concernant la rumeur ne faisant en général qu'aggraver la suspicion des acteurs, la prophétie est auto-réalisatrice.

De même il ne peut exister de modèle de prévision des cours de la bourse. A supposer qu'un modèle ait pu, en toute confidentialité, être testé sur de nombreuses années et qu'il s'avère très fiable, le modèle satisfaisant au critère scientifique de la *prévision réalisée*. Si des investisseurs institutionnels décident de s'en servir pour intervenir à grande échelle sur le marché, nul doute que ces interventions vont modifier le cours que les titres auraient connu sans cette intervention. Les prévisions du modèle vont alors s'avérer fausses et elles le seront d'autant plus, qu'un grand nombre d'acteurs utilisent ce même modèle. À moins que le modèle ne soit tenu secret et ne soit utilisé qu'à une petite échelle, les prévisions seront contra-réalisatrices (prévisions destructrices). Il n'y a pas de modèle financier qui puisse être scientifique au sens de la prévision réalisée, à moins que ce ne soit du fait de leur caractère auto-réalisateur¹¹.

Le caractère contra-réalisateur de la prévision peut, à un deuxième niveau, être utilisé délibérément. Lorsque le poste de commandement (P.C.) de la circulation routière prévoit un pic de circulation dans une tranche horaire déterminée, cela peut amener des automobilistes à modifier leurs départs et faire ainsi mentir la prévision, au grand dam de certains d'entre eux qui pourront alors être tentés de mettre en cause les prévisions mensongères de la circulation routière en observant qu'en « réalité », il n'y a pas eu autant de circulation que prévu durant la période noire et qu'ils auraient pu partir dans ce créneau horaire. Ils ne voient pas que cette « réalité » nouvelle est créée par leur propre comportement, *réalité extérieure à eux bien que produite par eux*. Dans ce cas de figure, les automobilistes continuent de penser *la* « réalité » comme une *extériorité « naturelle »*, mais en fait la *réalité qui émerge*, et qui n'est qu'une des *réalités possibles*,

grâce à sa raison par une démarche a priori. [...] Les « lois » naturelles pourront en être déduites. Sous la réalité imparfaite, l'ordre naturel, rationnel, nécessairement parfait, existe et le savant doit le révéler : l'Idéal social abstrait par l'homme de science devra orienter les politiques et se réaliser dans l'avenir » (*La société n'est pas un pique-nique*, Economica, 1996, p. 31). Il peut sembler curieux que des lois « naturelles » (forces aveugles et fatales) ne se soient pas imposées d'elles-mêmes, mais nécessite qu'elles soient révélées par le savant, afin d'être appliquées (de façon normative) pour se réaliser dans l'avenir. Ce n'est pas le *réel est rationnel*, mais le *rationnel est* (ou plutôt devient) *réel*. Cela révèle le rationalisme, l'idéalisme et l'essentialisme de la démarche de L. Walras. (voir le chapitre I du *Pique-nique*, particulièrement les §2, 3, 4 du I).

¹¹ Nous avons été quelque peu surpris de voir à quel point certaines théories boursières n'avaient aucun fondement épistémologique sérieux. Ainsi en est-il des théories des chartistes, dont il est facile d'imaginer que si les courbes (ou plutôt le dessin) « tête et épaules » des prévisions des cours de bourses se révèlent justes, c'est en raison même des interventions des chartistes partageant cette croyance en ce type de fluctuations.

est le produit-même de leurs actions. Si du point de vue du P.C. de la circulation routière, il y avait une intention délibérée dans la diffusion de la prévision, on peut dire sans pousser trop loin le goût du paradoxe, que la prévision était juste (au deuxième degré, au sens où elle a rempli sa mission) puisqu'elle s'est avérée fautive (il n'y a pas eu de pic de circulation dans la période prévue).

On pourrait tenter de renouer avec une explication déterministe en indiquant que le P.C. de la circulation avait prévu les effets de la diffusion d'une telle prévision. Cela pourrait être le cas si l'on se représente le P.C. de la circulation comme une entité omnisciente placée au-dessus des hommes, comme une sorte de *commissaire-priseur walrassien* réalisant le *tâtonnement des prévisions* qui ajustent les *intentions* de départs des automobilistes *avant* que les départs effectifs n'aient lieu. Mais comment émerge concrètement cet instrument de totalisation de l'information que représente cette sorte de commissaire-priseur de la circulation dont les prédictions ne peuvent s'avérer justes qu'en étant faites *après* que les individus se soient déterminés (car ce sont les préférences révélées qui comptent) en fonction de ces mêmes prévisions ?

Le problème est isomorphe au paradoxe de Newcomb¹². Le prédicteur a parfaitement prévu que nous prendrions la boîte opaque seule (ou les 2 boîtes) et, au moment où nous nous déterminons (en sachant que le prédicteur a déjà statué sur notre choix), tout se passe comme si nous n'étions plus en mesure de faire mentir sa prédiction parce que celle-ci aurait lieu *après* que notre choix ait été exécuté. Phénomène de *bakward induction*.

On peut tenter de doter les acteurs d'une *stratégie*, c'est-à-dire d'une représentation et d'une anticipation des actions des autres. Par exemple, je pense, à un deuxième degré, que les autres automobilistes tiennent compte de la prévision et sont dissuadés de partir en période noire, je ne modifie donc pas mon propre horaire de départ. Mais en fait il n'y a aucune raison que mon anticipation en reste là. Je peux penser à un troisième degré, que les autres ont pensé ce que je pense et qu'ils vont donc partir pendant la période noire, je suis donc conduit à mon tour à tenir compte de la prévision et à ne pas partir pendant la période noire, et ainsi de suite ; la proposition est *indécidable*, un coup je pars en période noire (nombre pair de régressions), le coup suivant (impair) je m'abstiens de partir durant cette période. Le problème

reste entier sauf s'il pouvait y avoir une véritable *connaissance commune* (common knowledge) qui pourrait servir de *point fixe exogène* aux acteurs.

J.-M. Keynes ne dit finalement pas autre chose dans le célèbre chapitre XII de la *Théorie générale*, quand il compare la technique des placements financiers à un concours de beauté.¹³

La « réalité » sera bien dans ce cas celle qui sera « créée » par les anticipations croisées des joueurs, et elle pourra largement différer de celle qui serait advenu si chacun avait choisi *pour lui-même*, c'est-à-dire *indépendamment* des préférences supposées des autres. Cet exemple illustre, s'il en était encore besoin, toute la différence qui existe entre une économie recourant à l'hypothèse d'*indépendance* des acteurs (autisme) et celle soulignant que les acteurs, doué d'empathie ou simplement de mimétisme, se mettent à la place des autres.

Sur les marchés financiers l'indépendance des fonctions de préférence des investisseurs est l'exception. Nous pourrions dire, en résumant d'une phrase, que le comble du spéculateur est de : « précéder la foule en la suivant ». *Précéder* parce qu'il doit intervenir légèrement avant les autres dans les phases de retournement. *Suivre* parce qu'il faut se soumettre à ce qu'elle va faire. C'est en effet le mouvement (d'achat ou de vente) engendré par le comportement de la foule elle-même qui fait la valeur du titre et nullement la connaissance d'un « fondamental » quelconque à moins qu'il en existe un qui soit « connaissance commune » et qui serve de référence objective à tous les acteurs (point fixe), mais c'est rarement le cas. Comme l'écrit A. Orléan :

« .. sur un marché financier, chacun se détermine non pas à partir de son estimation de la valeur fondamentale, mais à partir de ce qu'il pense que les autres vont faire. »¹⁴

Il faut donc acheter quand la foule achète et vendre quand elle vend. Si chacun imite chacun en

¹³ « La technique du placement peut être comparée à ces concours organisés par les journaux où les participants ont à choisir les six plus jolis visages parmi une centaine de photographies, le prix étant attribué à celui dont les préférences s'approchent le plus de la sélection moyenne [modale en fait] opérée par l'ensemble des concurrents. Chaque concurrent doit donc choisir non les visages qu'il juge lui-même les plus jolis, mais ceux qu'il estime les plus propres à obtenir le suffrage des autres concurrents, lesquels examinent tous le problème sous le même angle. Il ne s'agit pas pour chacun de choisir les visages qui, autant qu'il en peut juger, sont réellement les plus jolis, ni même ceux que l'opinion moyenne considérera réellement comme tels. Au troisième degré où nous sommes déjà rendus, on emploie ses facultés à découvrir l'idée que l'opinion moyenne se fera à l'avance de son propre jugement. (...) » J.-M. Keynes, *Théorie générale*, 1936, Trad. Française Jean de Largentaye, Petite Bibliothèque Payot 1969, p.168.

¹⁴ « Les marchés financiers sont-ils rationnels ? » *Reflets et Perspectives de la vie économique* N°2, 2004. Repris dans *Problèmes Économiques* p. 29-35. A. Orléan a développé depuis longtemps le concept de bulle mimétique rationnelle pour expliquer les bulles spéculatives.

¹² Le paradoxe de Newcomb peut s'énoncer de la façon suivante : Soit 2 boîtes, l'une transparente contient 200 euros, l'autre opaque contient soit 200 000 euros, soit rien. Sachant qu'un « prédicteur », dont les prévisions sont fiables, a déjà placé 200 000 euros dans la boîte opaque s'il a prévu que vous choisirez la boîte opaque seule et que vous avez le choix entre : 1/ prendre les 2 boîtes ou 2/ prendre la boîte opaque seulement. Que décidez-vous rationnellement de faire ?

croyant son voisin dépositaire d'une connaissance qu'il n'a pas en réalité¹⁵, alors n'importe quelle valeur des titres peut émerger, se stabiliser ou suivre un mouvement quelconque. C'est le mouvement même que la foule imprime la valeur des titres qui sert de référent à la foule. *La valeur des titres est devenue une référence pour elle-même*. C'est là ce qu'on peut appeler une *boucle autoréférentielle*. La référence du titre est devenue le cours du titre lui-même. J.-P. Dupuy désigne ce phénomène d'*auto-transcendance*, ou encore effets de *bootstrapping* qui peuvent déboucher sur des *points fixes endogènes*. Ce serait là, la matrice, le modèle, des structures décentralisées comme une démocratie libérale par exemple.

Mais les yeux de la foule peuvent se fixer plus ou moins arbitrairement sur le comportement d'un individu qui de ce fait devient le *point de mire*. La foule l'imité. Sachant que la foule le suit, il ne peut alors n'imiter que la foule en train de l'imiter, c'est à dire lui-même. Quelle que soit sa compétence financière réelle, il pourra « émerger » comme « gourou financier » ; supposons par exemple qu'une grande majorité d'opérateurs imite le comportement financier de George Soros. Quelles que soient les décisions de ce dernier, (elles peuvent être totalement arbitraires), il est sûr qu'elles seront validées par le comportement même de ses imitateurs. S'il achète, le mouvement d'achat de ses imitateurs assurera la montée de ses titres qui, lorsqu'il décide de les vendre, lui assure une plus-value avant que sa décision ne déclenche, par mimétisme, un mouvement de baisse suffisant pour qu'il puisse les racheter à bon compte. Quelles que soient ses décisions, il apparaîtra toujours comme le meilleur par rapport à ses pâles imitateurs qui ne cesseront pas pour autant de l'imiter puisqu'il paraît toujours être le meilleur prévisionniste ; la foule ne voit pas que c'est elle-même qui fait son pouvoir ; le processus est auto-renforçant.

L'émergence d'un chef, voire d'un dictateur, nous semble pouvoir relever de ce type de processus, il suffit qu'il serve de point de mire à une foule ; c'est en fait la foule elle-même qui, sans le savoir, le produit. Ce qui fait la nature du chef, du « leader », c'est précisément qu'on le suit. La foule le suit comme *repère extérieur* pour déterminer sa propre action, comme si c'était un *point fixe exogène*, alors même que c'est elle qui, par son action, fait la nature de ce repère qui émerge, en fait, comme *point fixe endogène*. Cette *croyance* en la *naturalité*, l'*exogénéité* des faits sociaux, est sans doute ce qui cimente les sociétés, et, les sciences sociales, en cherchant partout des lois « scientifiques », naturelles, participent, au même titre que les religions, de cette croyance apaisante. La contingence, l'arbitraire, paraissent insupportables. La croyance en l'ordre des lois scientifiques contribue, de

la même façon que la croyance au « grand horloger de l'univers », à la fabrication de l'ordre social. Nous pouvons illustrer notre propos par la petite parabole suivante qui s'inspire librement de notre exemple des marchés financiers.

Si notre « gourou » gagne à chaque fois sur les marchés financiers, une bonne partie de la foule, quoique l'imitant, perd nécessairement ; d'où la naissance d'une légitime suspicion à l'encontre de la si bonne science du « gourou » (du chef, du roi), puisque ses prévisions quoique justes, ne s'avèrent profitables qu'à une minorité un peu plus rapide à l'imiter, un peu plus courtisane, pour pouvoir, à sa « cour », être les premières à recueillir ses oracles. N'ayant plus grand-chose à perdre, certains se sont avisé de ne plus suivre le « roi », d'autant qu'il se murmure qu'un individu a pu gagner en ne le suivant point. L'inconnu, à ce qui semble, l'avait au début précédé. Partout, en se faisant l'allié des mécontents, sa notoriété se renforce et une bonne partie de ceux qui le suivent désormais gagnent aussi, alors que dans le même temps, quelque chose semble se dérégler à la cour, les prédictions officielles ne sont plus toujours confirmées et les gains du roi et de ses courtisans ne sont plus aussi importants. La « crise » apparaît, deux modèles de références antagoniques se partagent désormais les interprétations et les prédictions, ce qui serait une des sources des confusions actuelles¹⁶. Durant cette trouble période, les acteurs en viennent à douter de la science des rois (sciences sociales) et ne savent plus à quelles « Sciences » se vouer, ni même s'il existe un « ordre naturel » au-dessus de ses interprètes. Un comportement irrationnel, de type « brownien » agite la société de toute part qui, désarmée, en vient à se focaliser peu à peu sur un point fixe arbitraire ; mais chut ! Personne ne veut, ou ne doit, savoir qu'il est arbitraire et il ne faut surtout pas que cela se sache. Il n'y a pas de société sans totem¹⁷.

La prise en compte de la nature stratégique des acteurs qui réagissent à de l'information, notamment celle fournie par le modèle théorique qui cherchait précisément à rendre compte de leur comportement, nous semble être spécifique aux sciences sociales et

¹⁶ En politique économique ce sont par exemple les références opposées entre les analyses néoclassiques et keynésiennes. Le temps est loin où les économistes et les principaux décideurs pouvaient proclamer « nous sommes tous keynésiens » ce qui fut sans doute un des facteurs qui a contribué à la réussite des trente glorieuses.

¹⁷ On pourrait dire que les *individus* (au sens d'atomes indifférenciés d'un univers « Hobbsien ») ont créé *Dieu* (comme point fixe endogène arbitraire) qui a créé l'*Homme* (comme élément d'une totalité sociale ordonnée). La « croyance » en un « ordre divin » est alors le garant d'une stabilité sociale qui apparaîtrait sinon comme sans fondements, ou comme ayant un fondement purement arbitraire. La croyance en l'existence de « lois sociales scientifiques » joue, à ce titre, le même rôle. *L'identité postulée des lois du monde et de l'esprit humain constitue le fondement de la science* écrit C. Levi-Strauss, les sciences sont donc sommées de dire l'ordre social.

¹⁵ Ce qui est un procédé rationnel dans une situation d'information imparfaite, lorsque l'information a un coût élevé.

les éloigne d'une perspective véritablement scientifique¹⁸. Si la météo prévoit de la pluie, ce n'est pas la prévision en tant que telle, qui déclenchera ou empêchera de déclencher la pluie, à la différence du problème qui nous occupe ici où toute prévision interfère sur les acteurs et cela n'a rien à voir avec le caractère plus ou moins « exact » de la science considérée. La météo peut être considérée comme une science peu précise, mais c'est une *science de la nature*, en cela elle n'est pas soumise à l'*indétermination radicale* que nous évoquons ici. À supposer que l'homme puisse intervenir pour empêcher que la pluie ne tombe, ce n'est pas *la prévision météo en elle-même* qui sera *contra réalisatrice*, mais l'action que les hommes décideront d'entreprendre (ou pas) pour l'éviter (ou non). L'analyse de cette décision relève à son tour des sciences sociales et pas de la météo. Il y a donc selon nous une différence de *nature* entre « sciences de l'esprit » et « sciences de la nature ». Toutes choses égales par ailleurs, en sciences sociales, tout se passe comme si le « modèle » ayant prévu de la pluie, les agents ouvrent leur parapluie et *ce serait cette ouverture qui déclenche la pluie*. La plupart des théoriciens ne le voient évidemment pas ainsi et pensent que la pluie est une extériorité « naturelle » que seule la pertinence de leur modèle a su prévoir. Pour eux, c'est la pluie prévue par leur modèle qui est cause de l'ouverture des parapluies. En fait ils ne voient pas que leur modèle *de* la société, constitue en fait un « modèle »¹⁹ *pour* la société, il est auto-réalisateur. Il déclenche l'ouverture des parapluies qui produit l'averse, mais cela, ils ne le voient pas, conforté qu'ils sont dans la justesse de leurs prévisions, jusqu'au jour où ... le modèle n'est plus *fiable*, mais au sens où les acteurs n'ont plus *foi en lui* parce qu'ils en *désirent* un autre. Ce que J.-P. Dupuy, reprenant une maxime de Bernard Walliser selon laquelle « Tout équilibre est une prophétie auto réalisatrice », exprime fort bien en précisant :

« C'est désormais un ensemble de croyances sur la réalité sociale qui sont pertinentes, non pas parce qu'elles sont avec celle-ci dans un rapport de vérité ou d'adéquation

essentielle, mais parce quelle deviennent adéquates par les actions ou les réactions qu'elles engendrent »²⁰.

En sciences sociales, la théorie n'est pas tant un modèle *de* la société (au sens de modélisation) qu'un « modèle » *pour* la société (au sens de représentation idéale à imiter²¹). Autrement dit une théorie n'est pas en soi vraie ou fausse, elle fait sens à un moment donné par l'adhésion qu'elle suscite. À travers un modèle, au sens propre, la population prend ses désirs pour des « réalités » potentielle qui dans le *temps de projet* deviendront des « réalisations ». Un modèle a donc une dimension essentiellement normative. Les valeurs de séduction esthétique, morale et politique sont, et n'ont jamais cessé d'être, déterminantes. Ce qui est vrai des sciences économiques l'est encore davantage des sciences purement normatives comme la gestion. Après quelques scandales et le constat du renouvellement accéléré des « modes » en matière de management depuis ces trente dernières années, le rideau de fumé scientifique dont se paraient les « sciences » de gestion s'est déchiré et il est intéressant d'observer que les idées que nous venons d'exprimer commencent à poindre chez nos collègues gestionnaires tout au moins si nous en croyons un article de Sumantra Ghosal, professeur de stratégie et de gestion internationale à la *London Business School*²².

« Le problème tient en grande partie aux excès des professeurs des écoles de commerce, qui prétendent que la gestion est une science. Ils traitent de plus en plus la gestion comme s'il s'agissait d'une sorte de science physique, dans lesquelles les intentions et les choix de l'individu ne jouent aucun rôle. Pourtant, à la différence des théories des sciences physiques, celles des sciences sociales sont auto-réalisatrices. Une théorie sur les particules subatomiques n'influe pas le comportement de ces particules. Mais une théorie portant sur la gestion, si elle est suffisamment répandue, change le comportement des chefs d'entreprise. Qu'elle soit correcte ou non, elle devient « vrai » dès lors que tout le monde se conforme à sa doctrine ».

Le processus de naturalisation des faits sociaux entamé dès le XVII^e siècle en Occident pour faire sortir l'étude de l'homme de l'emprise de la morale ou de la religion semble trouver ici une limite qui laisse le champ des sciences humaines « indéterminable ». Certes, dans la physique quantique on a pu observer des phénomènes qui présentent quelques analogies avec ce que nous venons de décrire, à savoir que l'observation perturbe l'objet observé au point qu'il ne peut être déterminé que de façon probabiliste (relations

¹⁸ Bernard Guerrien écrit par exemple : « Il existe une autre différence fondamentale entre les sciences sociales et la physique, et plus généralement, les sciences de la nature : certains des paramètres caractéristiques des « individus » varient en fonction des interactions. (...) C'est un peu comme si les particules - ou les molécules - pensaient et décidaient de la façon dont elles se comportent. S'il existait des lois sociales, les individus chercheraient à les connaître et à les tourner à leur avantage, et, ce faisant, ils modifieraient ces lois ... qui n'en seraient donc plus ! » Dossier Hors-Série *Pour la Science : Les mathématiques sociales*, « La société, objet complexe et changeant » juillet 1999, page 21.

¹⁹ Au sens d'un idéal à imiter, un « top-modèle ». L'ambiguïté du sens de ce mot est significative. Le modèle (au sens de modélisation mathématique par exemple) est un « modèle » pour la société.

²⁰ DUPUY J.-P. « À quoi sert la science économique ? » *Lettre de l'AFSE*, n° 23, juillet 1994.

²¹ En ce sens un modèle est toujours une façon de prendre ses *désirs* pour des *réalisations* possibles, si ce n'est des réalités. L'exercice est totalement justifié, mais pas pour les raisons que l'on croit et qui sont mise en avant dans le discours de légitimation scientifique.

²² Article paru dans *The Financial Time*. S'agissant des affaires d'Enron.

d'indétermination d'Heisenberg). Il ne nous semble pas que notre problème soit de même nature. Ce qui est spécifique aux sciences sociales, c'est que les théories, ou, d'une façon générale les représentations, sont toujours susceptibles d'induire des comportements capables de les valider (théories auto-validantes), de les réfuter (théories auto-réfutantes, auto-destructrices) ou de les renforcer (théories auto-renforçantes). Elles sont prises dans des boucles autoréférentielles. Une bonne illustration nous est donnée par « Les mains dessinant » de M. C. Escher. Une main dessine une main qui la dessine à son tour. Où est la cause où est l'effet ? La réponse est indécidable. Il s'agit là d'un cas type de hiérarchie enchevêtrée comme le souligne J.-P. Dupuy²³.

III. DE L'UTILITÉ DU LEURRE : PRENDRE SES DÉSIRS POUR DES RÉALISATIONS POSSIBLES

Les individus sont *autonomes* dans les décisions individuelles qu'ils prennent dans un *contexte* qui leur apparaît comme donné de l'extérieur, mais ce sont en fait leurs décisions qui font précisément ce contexte qu'ils perçoivent comme extérieur. Les individus font leur société, mais ils ne savent pas ce qu'ils font, ni comment ils le font. Ce qui leur permet à la fois de croire en une *extériorité déterminée* et de se *sentir libre* d'agir au niveau individuel. Il y a de l'opacité dans le passage de la *partie* au *tout*. C'est sans doute dans cette subtile dialectique que les acteurs concilient liberté (expression de leurs désirs) et nécessité (contrainte de bouclage social), mais cela passe par la reconnaissance de la méconnaissance fondamentale, nécessaire des acteurs (y compris du modélisateur)²⁴, leur impossibilité consubstantielle à connaître le Tout. Pour être *tous* « libres » il faut que *chacun* se leurre sur les conséquences globales de son action. La totalité doit leur apparaître comme autonome, en surplomb, au-dessus d'eux, elle leur échappe, s'autonomise alors même que se sont eux qui, collectivement, la font. La raison de cette mise à distance et de cette opacité est que la complexité de la totalité qui émerge dépasse infiniment les possibilités des éléments qui l'ont engendré, c'est pour J.-P. Dupuy l'exemple type d'effet de *bootstrapping*, ou encore *d'auto-transcendance*. C'est ce qu'avait bien compris F. Hayek qui doutait de ce fait des prétentions scientifiques des sciences humaines. L'« ordre *spontané* » est une bonne illustration de cela. Celui-ci est « le produit de leur action [des hommes] mais non de leur dessein » écrit-il. Il est la conséquence *in-intentionnelle* et complexe, voir

relativement opaque, d'actes intentionnels et relativement simples. Les exemples sont nombreux en économie.

Dans la théorie de l'équilibre général walrassien, les prix apparaissent, du point de vue des agents, comme des données extérieures (point fixe) car leurs demandes individuelles sont négligeables, mais du point de vue du modélisateur, ce sont bien les offres et les demandes des individus sur chaque marché qui, à l'issue du tâtonnement, déterminent les prix. Ce qui apparaît en surplomb et guide les comportements des individus est précisément ce qui émerge de leurs propres actions. Là encore, effet de *bootstrapping* et, formellement, nous retrouvons le paradoxe de W. Newcomb : tout se passe comme si je déterminais ma carte de préférence sur le marché *parce que j'ai déjà* en face de moi la structure des prix d'équilibre, prix parfaitement prévus par le secrétaire de marché omniscient, alors même que les prévisions du dit secrétaire ne peuvent qu'être issues des préférences *révélées* par les agents *sur le marché*. Si la *codétermination* des prix et des quantités peut se comprendre à travers la résolution *simultanée* d'un système d'équations, cette codétermination bouscule le schéma de la causalité scientifique. Si ce sont les préférences *révélées* sur le marché qui déterminent les prix d'équilibre, comment les agents peuvent-ils être *preneur de prix* (déjà à l'équilibre) alors qu'ils n'ont pas encore *révélé* leurs préférences sur le marché ? Cela équivaut à dire que c'est aussi bien l'ouverture des parapluies qui déclenche la pluie que l'inverse. Nous retrouvons ici une configuration semblable à celle de notre exemple sur les horaires de départs prévus par le P.C. de la circulation routière. La réalité qui sera observée sera celle qui a été engendrée par les acteurs eux-mêmes, mais les conséquences in-intentionnelles des choix intentionnels ne seront pas forcément le produit d'une « main invisible providentielle », sauf conditions très particulières, plusieurs ordres peuvent émerger qui ne sont pas forcément tous des optimums, il peut exister ce que Raymond Boudon²⁵ appellera des « effets pervers », Karl Marx des « contradictions » et Max Weber un « paradoxe des conséquences »²⁶. Que

²³ BOUDON Raymond, *Effets pervers et ordre social*, Paris, PUF, 1977.

²⁴ Au-delà des particularités des analyses propres à chacun des trois auteurs, il y a une isomorphie étonnante entre les notions de « contradiction » chez Marx, de « paradoxe des conséquences » chez Weber et « d'effet pervers » chez R. Boudon. Il s'agit à chaque fois d'un effet « macro » qui contredit les actions entreprises par les acteurs qui ne font pourtant que suivre leur intérêt en les menant. Ainsi pour Marx le capitaliste accumule du capital, car ce faisant il capte, de façon transitoire par le jeu d'une productivité différentielle, la plus-value extra, ce qui accroît son taux de profit, mais en même temps cette accumulation, en se généralisant, provoque nécessairement la baisse, redouté, du taux de profit. Toutes les « contradictions » du système capitaliste chez Marx se réfèrent à ce schéma. Le « paradoxe des conséquences » de Max Weber est du même ordre. Les individus, en voulant le bien (par leurs actions individuelles intentionnelles) font parfois le mal (par les conséquences sociales, non voulues et

²³ Introduction aux sciences sociales, p. 228.

²⁴ Ce qui va complètement à rebours de la théorie des anticipations rationnelle qui nous semble devoir être présentées comme l'ultime tentative des théories économiques pour rester dans le champ déterministe.

le résultat « macro » ne corresponde pas aux intentions « micro » est un fait qui dérive d'un problème plus général qui est celui du *leurre*. Littéralement, les individus prennent *leurs désirs pour des réalisations* possibles et il est utile qu'il en soit ainsi. Il n'y a pas de civilisation possible sans cette puissante motivation. Les calculs économiques ne sont le plus souvent que des habillages techniques de la puissance du désir. Ainsi aucun grand projet, aucune grande réalisation, que celle-ci soit publique ou privée, qu'il s'agisse du canal de Suez ou de Panama, du tunnel sous la manche ou des derniers jeux olympiques de Londres etc. n'ont pu respecter les coûts prévus. Si les coûts économiques ou humains (les morts à la tâche) ont été chaque fois, plus ou moins inconsciemment, minimisés c'est que le calcul économique, aussi sérieux et sophistiqué soit-il, est lui aussi traversé par la puissance du désir. Après *coup*, ou plutôt *coût*, on s'interrogera pour savoir si le projet *valait* le *coup*, ou plutôt le *coût* (social, humain et économique), et les économistes rationaliseront *ex post*. Entre temps, les réalisations auront structuré la société de façon plus ou moins irréversible (*path dependency*). Le leurre, dont ont été victimes les calculs économiques les plus sérieux, est lié à la puissance du désir et il est utile car il est à la source de toutes *réalisations*, que ce soit celle du capitaliste qui espère un surprofit grâce à son innovation, alors même que celui-là ne pourra être que transitoire, le temps que l'innovation se généralise. C'est parce qu'il n'envisage pas la baisse de son taux de profit à un niveau inférieur à celui qui précédait l'innovation²⁷, –ce qu'imposera pourtant la contrainte de bouclage macroéconomique– qu'il réalise son projet. Le leurre du profit (comme de la consommation pour le consommateur, ce qu'a très bien vu A. Smith dans la TSM) est utile. Combien de start-up ont fait faillite après la bulle numérique?... Les innovations numériques survivront aux illusions perdues de nombre d'entre elles²⁸. Le leurre, plus précisément l'*illusion microéconomique*, chaque fois renouvelée, est utile. Non seulement il y a de l'opacité dans le passage de la *Partie* au *Tout*, mais il est nécessaire qu'il y en ait. La recherche des fondements micro de la macro fait fausse route si elle prétend dériver la rationalité macro, de la rationalité des agents micro. Le passage de la partie au tout est problématique et c'est précisément pour cela que l'homme demeure libre. Une société complètement transparente à elle-même (ce que supposent les hypothèses de la concurrence parfaite et de marchés

complets, ou de la planification parfaite) est une société d'hommes asservis et qui n'évolue plus. Le leurre est utile à l'évolution, la dynamique.

Le principe de précaution, qui suppose une analyse très étendue des conséquences potentiellement néfastes au niveau macro, de décisions micro, pourrait, s'il s'érigait en dogme grâce à la généralisation à tous les champs sociaux du calcul économique, paralyser toute évolution.

Nous restons cependant optimistes, nulle-doute que les calculs économiques ne seront finalement que les habillages techniques révélant ou justifiant nos désirs. Mais le fait que nos désirs soient plus habités par la peur de *l'autre* et l'envie d'*avoir* (Hobbes) que par la confiance en *l'autre* et l'envie de *réaliser* (Smith) est plus inquiétant et témoigne du caractère mortifère et frileux d'une civilisation en déclin relatif.

L'économie politique n'est pas neutre et elle promeut à son insu soit une conception de l'homme mu par des intérêts « autistes » (économie néoclassique) ou conflictuels par nature (Hobbes, *l'homme est un loup pour l'homme*), soit une conception de l'homme, certes mu par son *intérêt*, mais au sens de *self-love*, désir de reconnaissance *des autres*, ce qui passe symétriquement par l'intérêt que nous portons *aux autres* (empathie).

IV. RETOUR SUR LE PROBLÈME DU PASSAGE DE L'ANALYSE MICROÉCONOMIQUE À L'ANALYSE MACROÉCONOMIQUE

Nous n'avons pas ici l'ambition de vouloir faire le point sur la vaste question qui est soulevée. Il s'agit plutôt d'une *note d'humeur* de l'auteur face au déferlement d'articles que l'on peut lire dans la presse économique qui, en ces temps de toute puissance des managers et experts de toutes sortes, révèle l'ignorance de nombre d'entre eux sur le difficile passage de la *partie* au *tout* qui est au cœur des grandes théories économiques et sociales.

Le problème du passage du niveau d'analyse microéconomique (l'individu, l'entreprise etc.) au niveau d'analyse macroéconomique (la société, la nation) ne va pas de soi et se révèle être un champ d'observation privilégié, non seulement des théories économiques et socio-politiques d'hier et d'aujourd'hui, mais aussi des sciences « dures ». Le passage du micro au macro pose en effet le problème du réductionnisme. Nous illustrons à travers quelques auteurs célèbres (A. Smith, J.-M. Keynes, F. A. Hayek) la fécondité des approches économiques et sociales qui ont su problématiser cette coupure.

Le passage du niveau micro au niveau macroéconomique ne va pas de soi et il est étonnant de voir à quel point nombre de personnes, et même certains économistes contemporains, sont victimes de l'*illusion microéconomique* et n'hésitent pas à

paradoxaux, de leurs actions individuelles). On retrouve Bernard de Mandeville, A. Smith ou même Blaise Pascal lorsqu'il écrit : *Qui veut faire l'ange fait la bête*.

²⁷ Voir note précédente sur l'analyse de la baisse tendancielle du taux de profit chez Marx.

²⁸ Aux États-Unis, la probabilité qu'une petite entreprise survive au-delà de cinq ans est d'environ 35 %. Pourtant, 80 % des entrepreneurs estiment leur chance de succès à 70 % et 33 % considèrent que leur risque d'échec est nul.

transposer au niveau macroéconomique des résultats observés au niveau micro. Or quel est précisément l'objet des sciences sociales si ce n'est d'étudier les conséquences in-intentionnelles (dérivant de logiques « macro ») d'actes intentionnels (produit de logiques « micro ») ? Le sens commun a une propension naturelle à faire dériver le niveau global de l'observation immédiate qui se situe toujours au niveau microéconomique (celui des ménages, des entreprises etc.). On sait pourtant que l'analyse scientifique s'est presque toujours construite (ou tend à se construire si l'on en croit le philosophe des sciences Gaston Bachelard) *contre le sens commun*. Que peuvent apporter, d'une façon générale, les sciences sociales par rapport au sens commun si ce n'est une vision plus globale, c'est-à-dire embrassant plus largement les complexités du *temps* et de l'*espace*.

L'examen des différentes temporalités permet d'éviter de prendre des micros phénomènes de courts termes pour des tendances fondamentales de long terme, éviter ainsi de prendre l'épiphénomène pour la tendance et se détacher des impressions du vécu, de l'écume des jours, pour retrouver une loi fondamentale.

L'examen des phénomènes au sein d'un *espace* plus large et plus ou moins structuré – la nation, le monde – montre très rapidement les limites de nos capacités à embrasser les innombrables interactions. Un esprit aussi pénétrant que celui de F. A. Hayek en tirera argument pour un renoncement volontaire à ce qui lui apparaît comme une imposture scientifique. L'ordre global qui émerge des innombrables interactions des individus qui suivent chacun un but particulier est bien trop complexe pour qu'il puisse être lui-même « compris » par un cerveau particulier. Ainsi les individus font la société, mais, littéralement, ils ne savent pas ce qu'ils font, car ce qui résulte des interactions ne peut jamais être vu comme le fruit d'un ordre délibéré, d'une construction intentionnelle. Hayek est parfaitement cohérent, ce qui le conduit à réfuter la possibilité même d'une « science » sociale.

Cela nous interdit-il à jamais de pouvoir observer des phénomènes macroéconomiques ? Non puisque Hayek lui-même, s'y est essayé. Le tamis de l'Histoire retient, à travers un processus continu d'essais et erreurs, des comportements qui s'inscrivent dans la tradition, la loi, ce qui permet de façonner (et donc par la suite d'observer) des régularités au niveau global. Mais ces régularités « macroéconomiques » ne sont pas pour autant des « lois scientifiques » puisqu'elles sont toujours tributaires de l'Histoire en train de se faire par les interactions même des hommes²⁹. Ceux-ci en effet

font l'Histoire sans pourtant savoir ce qu'ils font (la société qui émerge dépasse l'intelligibilité qu'ils peuvent en avoir). Le caractère irrémédiablement historique des « lois » économiques ou sociales interdit tout déterminisme et rapproche sur ce point Hayek et Marx. Il ne pourra y avoir de « lois » que pour une structure et période déterminée de l'Histoire.

Retenons pour notre propos qu'il est possible d'observer des régularités au niveau macroéconomique mais que ces régularités sociales ne peuvent pas être comprises comme simple prolongement ou « agrégation » des actes intentionnels des individus. Le « Tout » social est définitivement opaque pour chaque acteur. Entre les actes individuels et les régularités sociales, le passage est nécessairement complexe et incompréhensible aux acteurs. Il n'y a pas de « pont » entre micro et macro, « no bridge » comme disait Keynes. Pourtant tout le programme de recherche de la fin des années 70 aux années 90 a été consacré à la recherche des fondements micro de la macroéconomie. Ce réductionnisme des économistes contemporains relève d'un scientisme que même les physiciens d'une « théorie du Tout » n'osent défendre dans l'état actuel des connaissances de la physique.³⁰ :

F. Hayek et J.-M. Keynes, qui sont certainement les deux économistes les plus marquants du XX^e siècle, auront, chacun à leur manière, lutté contre ce réductionnisme car ils auront perçu la complexité des sociétés. En fait le programme de recherche des économistes des années 80 s'est bien souvent traduit par une régression scientifique³¹ au sens où ils considèrent que les phénomènes macro dérivent directement des comportements micro, et ces derniers peuvent être appréhendés par le sens commun dans la mesure où le sens commun qui guide leurs actions est précisément celui du modèle. Nous retombons dans l'illusion microéconomique. L'interaction des phénomènes est tout simplement ramenée à ce que chacun peut observer du haut de sa petite individualité. On s'imagine que la société est coextensive à notre environnement immédiat. La science économique est ainsi brutalement réduite à l'analyse des experts de tout poil au premier rang desquels on trouve évidemment des managers d'entreprise, des gourous de la finance, mais aussi des consommateurs etc. qui sont toujours prêts à donner des leçons d'économie en érigeant en loi scientifique leur sens commun qui consiste en extrapolation du type « ce qui est bon pour Général

molécules - pensaient et décidaient de la façon dont elles se comportent. S'il existait des lois sociales, les individus chercheraient à les connaître et à les tourner à leur avantage, et, ce faisant, ils modifieraient ces lois... qui n'en seraient donc plus! »

³⁰ C'est ce que nous dit Marc Lachièze-Rey, astrophysicien au Centre d'Études de Saclay, directeur de recherche au CNRS, dans un n° Hors-Série de *Sciences et Avenir*, d'avril 1999. Voir la citation p. ## : « L'approche réductionniste qui considère tout système réel... »

³¹ À un retour à l'économie vulgaire dirait K. Marx.

²⁹ Bernard Guerrien a une phrase éclairante pour montrer la différence entre les « sciences » sociales et la physique : « Il existe une autre différence fondamentale entre les sciences sociales et la physique, et plus généralement, les sciences de la nature : certains des paramètres caractéristiques des "individus" varient en fonction des interactions.(...) C'est un peu comme si les particules - ou les

Motors est bon pour l'Amérique » ou que l'État doit être géré comme une entreprise, que l'État est « comme une grande entreprise en compétition sur le marché mondial » (Bill Clinton)³² que « les Etats Unis et le Japon sont en compétition exactement de la même façon que Coca-Cola l'est avec Pepsi »³³ ou encore qu'en appliquant les recettes qui ont fait leur prospérité on parviendra à la réussite de toutes etc. Bref, face à ce degré zéro de la pensée, les (bons) économistes ne jugent plus utile de répondre, ils l'ont déjà fait mille fois, que ce soit par l'intermédiaire de la théorie des jeux (il existe des jeux à somme négative, des jeux où la composition « spontanée » des intérêts individuels est « perverse » et aboutie à des situations « catastrophiques » pour les acteurs qui ne font pourtant que se comporter du strict point de vue de leur rationalité individuelle), les théories prenant en compte les externalités (positives ou négatives) etc. Toutes ces analyses soulignent les difficultés du passage du micro au macro, que ce soit à un niveau élémentaire qui consiste pour la comptabilité nationale par exemple, à distinguer ce qui au niveau macro est création de richesse nouvelle ou simple transfert entre agents, ou, à des niveaux moins évidents, d'identifier la part qui, dans ce qu'on appelle une innovation, correspond à un gain pour la société globale par rapport à un pur phénomène de captation pour l'entreprise innovante. L'analyse de nombre de ces processus a été finalement assez peu étudiée par le programme de recherche des années 80-90. Est-ce par peur d'un retour à des formes d'holisme méthodologique qui avaient occupé le devant de la scène dans les années 60-70 ? La théorie des jeux elle-même s'est souvent arrêtée en chemin du fait de son approche en termes d'agent souverain dans ses décisions. La théorie des anticipations rationnelles évacue le problème en faisant tenir aux acteurs des anticipations conformes à l'autoréalisation du modèle.

En conclusion nous pouvons nous demander si l'unique but de la science économique, comme toutes les sciences sociales, ne devrait pas être d'étudier, dans toutes leurs complexités, les conséquences *in*-intentionnelles des actes intentionnels et, inversement, comment certaines régularités macroéconomiques structurent les comportements micro. C'est précisément le rôle de la connaissance de « voir plus loin » comme dirait J.-B. Say, de dépasser ce que l'observation de l'environnement immédiat fournit au sens commun. La démarche ne requiert pas forcément de partir des individus (individualisme méthodologique), on peut montrer que des lois macro s'imposent à eux et qu'ils peuvent être le jouet des « ruses de la raison », sans forcément tomber dans les « mystères » des totalités agissantes (holisme méthodologique). Le programme

smithien de la main invisible, souvent détourné au profit d'une pure axiomatique de l'intérêt, doit être repris en ce sens. Ce qui frappe le plus le lecteur de la « parabole » de la main invisible, et là se trouve le message essentiel d'A. Smith, c'est qu'un ordre global se réalise alors qu'il n'est dans l'intention d'aucun des acteurs de le réaliser³⁴. Là encore A. Smith, comme tous les grands économistes, ne réduit pas l'analyse de la dimension « macro » à l'extrapolation des analyses « micro », il ne tombe pas dans le piège de l'illusion microéconomique, celui du sens commun. Entre le niveau micro et macro il y a toutes les ruses de la raison. La question n'est pas tant qu'on parte de l'individu (individualisme méthodologique) ou de totalités abstraites (holisme méthodologique), mais de déterminer le bon niveau d'analyse pour chaque phénomène, sachant qu'il est vain d'espérer avoir une « théorie du tout ».

Les grands auteurs de la tradition de l'individualisme méthodologique ne sacrifient pas au réductionnisme car le passage micro-macro y est perçu comme complexe, ambivalent, voire opaque (F. A. Hayek). Ainsi toute la tradition qui va de Bernard de Mandeville (*La fable des abeilles*) à René Boudon (*Effets pervers et ordre social*) en passant évidemment par A. Smith (*La main invisible* dans la *Théorie des sentiments moraux* et dans la *Richesse des nations*), J.-J. Rousseau (*L'émergence de la Volonté générale*), A. Tocqueville (*Les effets de l'égalité des conditions dans De la Démocratie en Amérique*), M. Weber (*Le paradoxe des conséquences*), F. A. Hayek (*L'ordre spontané comme produit involontaire des actions des hommes*)³⁵ et même K. Marx dans son « économie » (à travers sa notion de contradiction)³⁶ évitent de tomber dans les simplismes de l'agrégation.

De même pour les grands auteurs d'une tradition plus holiste comme G. W. F. Hegel (la ruse de la raison), K. Marx (dans sa « philosophie » dialectique), J.-M. Keynes (dans ses analyses de la demande effective, des fonctions globales de consommation etc.) et même É. Durkheim, évitent les pièges des totalités agissantes.

Les premiers ne voient pas le passage de la « partie » au « tout » comme simple agrégation, les seconds ne considèrent pas le passage du « tout » à la « partie » comme une simple « décomposition » d'une

³⁴ A. Smith reprend en fait ce qui est sous-jacent à l'idée de Bernard de Mandeville dans sa fable des abeilles. Hegel développe son concept de ruse de la raison en référence à la main invisible d'A. Smith.

³⁵ J.-P. Dupuy résume l'essentiel : « ce que les individus engendrent ensemble, par synergie de leurs actions séparées, transcende leurs capacités de compréhension et de connaissance »

³⁶ Le progrès technique comme moyen d'accroissement du taux de profit individuel (par captation de la plus-value extra) est pourtant facteur de sa baisse tendancielle au niveau macro (du fait de l'augmentation de la composition organique du capital). Sujet que nous avons approfondi dans notre thèse : E. Blanc, *Le progrès valeur*, 1978.

³² Cité par P. Krugman in *La Mondialisation n'est pas coupable*, P. 18, La découverte, 2000, (MIT 1996).

³³ *Op. Cit* p.18. Krugman dénonce ces myopies.

totalité préexistante. C'est certainement ce qui en fait, encore aujourd'hui, des auteurs ayant quelque chose à nous dire.





GLOBAL JOURNAL OF HUMAN-SOCIAL SCIENCE: D
HISTORY, ARCHAEOLOGY & ANTHROPOLOGY
Volume 24 Issue 2 Version 1.0 Year 2024
Type: Double Blind Peer Reviewed International Research Journal
Publisher: Global Journals
Online ISSN: 2249-460X & Print ISSN: 0975-587X

Anna Maria Morso Bonanno: Biographical Research in a Digital Workflow

By Salvatore Spina

Abstract- This paper seeks to establish a pioneering methodological framework for reconstructing the life story of Princess Anna Maria Morso Bonanno. As the spouse of Ignazio Paternò Castello, the fifth prince of Biscari, Anna's life has not been adequately explored within historical scholarship. This study uses a digital history methodology to illuminate the Princess's role within the Biscari family's social network by analyzing a series of letters housed in the Biscari Archive. This investigation endeavours to reevaluate her status within the Sicilian nobility, emphasizing her strategic participation in the feudal system and her governance capabilities. Ultimately, this approach aspires to underscore the influence of women in the management of power, thereby enriching a historiography that has predominantly concentrated on male figures.

Keywords: sicily, kingdom of naples, prince ignazio, prior michele maria paternò.

GJHSS-D Classification: LCC: DG975.S5



Strictly as per the compliance and regulations of:



Anna Maria Morso Bonanno: Biographical Research in a Digital Workflow

Salvatore Spina

Abstract- This paper seeks to establish a pioneering methodological framework for reconstructing the life story of Princess Anna Maria Morso Bonanno. As the spouse of Ignazio Paternò Castello, the fifth prince of Biscari, Anna's life has not been adequately explored within historical scholarship. This study uses a digital history methodology to illuminate the Princess's role within the Biscari family's social network by analyzing a series of letters housed in the Biscari Archive. This investigation endeavours to reevaluate her status within the Sicilian nobility, emphasizing her strategic participation in the feudal system and her governance capabilities. Ultimately, this approach aspires to underscore the influence of women in the management of power, thereby enriching a historiography that has predominantly concentrated on male figures.

Keywords: sicily, kingdom of naples, prince ignazio, prior michele maria paternò.

I. INTRODUCTION

Matrimonial *affaire* is at the base of society and History. As a foundational institution of every community, the consecrated relationship eventually becomes lineage, establishing a linkage system with the political apparatus. Marriage serves to protect and guarantee the value of social status as an expression of the economies of places; it functions Marriage functions as a form of social status representation. Consequently, it defines social structures upon which wealth and privileges are constructed. From these lineages, dynastic politics emerge, representing a mature and enduring necessity for perpetuating social control over time.

Lineages and dynasties encompass many elements, including individuals, places, alliances, balances, cultures, and mores majorum. However, these factors have shaped the emergence of a historical dimension, the full extent of which remains to be described. In this context, it is only in recent decades that the contributions of female figures, who were previously overlooked, have been acknowledged, documented, and repositioned within the historical narrative. These women have played a crucial role in maintaining and constructing the networks to which they belonged.

Lina Scalisi (2023) emphasizes that traditional male-centric historiography leaves significant voids, and the challenges presented by sparse archival materials hinder the comprehensive reconstruction of women's narratives. Relying solely on queen-focused

historiography does not sufficiently address these issues, leaving much to be investigated. Nevertheless, as Scalisi points out, these women were pivotal figures within both Italian and broader political networks, exerting considerable influence on their contemporaries (Scalisi, 2023, p. 9).

Despite existing historiography dealing with the noble house, a significant portion of their archives, stored in the State Archive of Catania, remains to be assessed. Indeed, there is a paucity of information regarding Princess Anna Maria Morso e Bonanno, wife of Ignazio, the fifth Prince of Biscari.

To address this gap, a methodological digital approach was employed in 2021 to mine the "Correspondence" section of the Biscari Archive and extract all relevant information about the Princess. While this collection is not exhaustive, it may serve to determine archival pathways capable of redefining the nodes of the Biscari network.

II. THE BISCARI, THE RISE OF A NOBLE FAMILY

Antonino Mango di Casalgerardo (1900), Vittorio Spreti (1928), Filadelfo Mugnos (1647) and Gaetani (Marquis) Villabianca (1754) highlighted that the lineage surname of the Biscari's prestigious house is the result of two most ancient Sicilian families' surnames union: the Paternòs and the Castellòs.

This surname (Paternòs) can be traced back to Catania and Palermo, where they owned several principalities and baronies.

As stated by Spreti in 1928, this noble family traces its lineage back to the ancient lords of Embrun, who were brought to Sicily by Roberto during the rule of Count Roger. However, other scholars posit that it descends from a Roman consular family that settled in Sicily in 233 (b.C.). It seems plausible to suggest that this family could be directly connected to the royal house of Aragon, with the progenitor being the Infante Pietro d'Aragona, lord of Ayerbe (son of James the Conqueror, and Donna Teresa di Vidaure). Probably, the Paterno family was brought to Sicily around the middle of the 14th century by Giovanni, baron of Burgio and Murgo—who was considered the son of Michele, lord of Paternò, son of the Pietro d'Aragona.

Other historians maintain that the family was introduced to Sicily by a Ximenes (or Simone) in 1282 and has subsequently held many prominent titles,

Author: e-mail: salvatore.spina@unic.it

including the principalities of Biscari and Sperlinga, the duchies of Carcaci, Furnari, Palazzo, and Paternò, the marquises of Capizzi, San Giuliano, Manchi, Sessa, Toscano, and other territories owned by the Ximenes family: Alessi, Aragona, Cuba and Sparacogna, Alzacuda, Baglia and the customs of Milazzo, Baldi, Belmonte, Bicocca, Bidani, Biscari, Burgio, Capizzi, Castania, Cuchara, Cugno, Donnafugata, Gallitano, Gatta, Graneri, Intorrella, Imbaccari and Mirabella, Manchi di Bilici, Manganelli di Catania, Marianopoli. Mirabella, Motta Camastra, Murgo, office of master notary of the Capitania Court of Catania, Oxina, Placabaiana, Poiura, Porta di Randazzo, Pollicarini, Raddusa and Destri, Ramione, Salsetta, San Giuliano, Scala, Sciortavilla, Solazzi, Spedalotto, Third part of the customs of Catania, Toscano and Mandrile».

Among the family members, Andrea held the position of Senator of Catania from 1417 to 1418 and Captain of Justice from 1425 to 1426. Antonio subsequently acquired this title in 1475-76.

Pietro d'Aragona was a Patrician of Catania and Strategos of Messina during 1449-50, 1467-68-69. He was also an ancestor of Agatino, the inaugural Prince of Biscari.

Spreti posits that the "Castelli (Castello)" family descends from the ancient Counts of Terni, who, in Sicily, gave rise to three branches: (1) that of Catania — which seems to have wholly extinguished within the Paternò family—; (2) that of Messina; and (3) that of Palermo. Among them is Guglielmo Raimondo, the baron of Favarotta or Catalfaro, under an investiture dated January 7, 1516. It is possible that he was the Guglielmo Raimondo, baron of Biscari, who, with the benefit of a privilege granted between April 8 and May 5, 1533, obtained the title of noble with the "don."

The marriage that strengthened the surname linkage (fig. 1) was that Angelo Francesco Paternò, 5th Baron of Aragon, and Francesca Castello Abbatelli, whose son Orazio, for the first time, linked the two surnames.

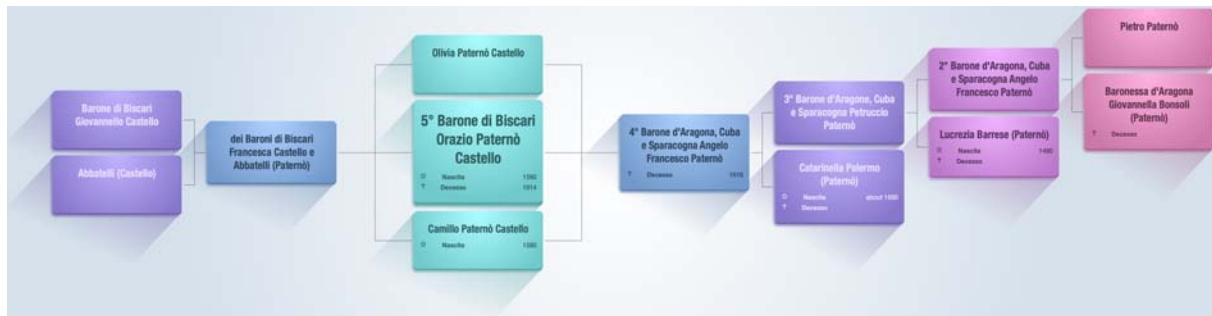


Figure 1: Ancestry of Orazio Paternò Castello

The history of the Paternò Castello family, styled "Princes of Biscari," commences in 1633 when Philip IV of Spain bestowed the title upon Agatino.¹ He was already Baron of Biscari, Baron of Aragon, Cuba, and Sparacogna under an investiture on June 4, 1624. He was the son of Orazio Paternò Castello, Baron of Biscari, and Digna La Valle, Barons of Crucifia.

Agatino emerged as a prominent figure in the political landscape of Catania, already distinguished² in managing administrative activities and, mainly, in the crises that marked the second half of the seventeenth century, the history of relations between Sicily and Spain (Palermo 2004).

From that moment onward, the Princes of Biscari became spokespeople for the island's interests, assuming a crucial role in reconfiguring the administrative system after Spain's withdrawal, which

opened the path to the dominion of the Savoy monarchy and the Austrian one.

Agatino lives his life to make his family the most important of the Sicilian aristocracy. He died on January 19, 1675, and his son Vincenzo inherited his title. However, Vincenzo died within the same year. Consequently, the third Prince of Biscari's title was passed to his son, Ignazio (born September 16, 1678).

The latter passed away on February 28, 1700, seven years after the significant seismic event on January 11, 1693, which caused extensive devastation in the Val di Noto region (with the epicentre in Sortino, Syracuse). (Giarrizzo 1997; Scalisi 2009; 2013) — during which three of his nephews (the sons of his brother Francesco Saverio), Agatino, Giuseppe, and Mario, perished.

The catastrophic event prompted the political class to invest considerable resources in the city's reconstruction, economy, markets, and trade. The prince also experienced a personal tragedy, but his sudden demise left the reins of power in the hands of the minor Vincenzo (born on April 6, 1685).

Thanks to his grandfather Giacinto Paternò, Vincenzo navigated the complexities of reconciling the

¹ The privilege was sent on 21 June 1633 and executed on 26 August of the same year.

² Agatino was Patrician of Catania in 1623-24, 1627-28, 1631-32, served as Captain of justice in 1633-34, and as Vicar General of the Val di Noto. In 1639, Cardinal Andrea Doria, the lieutenant of the King in Sicily, appointed him vicar general of the Kingdom of Sicily, a position he held until 1648. See Emanuele and Villabianca (marchese) (1754); Spreti (1928).

needs of his fiefdoms with restructuring the island's administrative systems as a direct consequence of Spain's departure from Sicily and the subsequent dominion of the Savoy and the Austrian monarchies. He experienced first-hand the resistance and misunderstanding that Sicily exhibited towards the new monarchies, characterized by rigid dominion and exorbitant tax burdens, which drew the entire island's baronage into contestation.

Following the death of Vincenzo on August 4, 1749, Ignazio assumed the role of prince and became the fifth in line to the grand title, becoming a pivotal figure in rebuilding Sicily's cultural heritage, particularly

in Catania. His actions unfolded towards an identity that, on the one hand, seemed distant from the Enlightenment spirit, yet on the other, embraced within the category of Sicilian-ness (Giarrizzo and Aymard 2006), a paradigm of thoughts and positions that made it unique.

In reference to Ignazio, who has been dubbed "the Great" (Calabrese 2003; Di Vita 2007; Pagnano 2001; Paternò Castello 2003), the noble house entered a period of considerable cultural advancement (Fig. 2) as a result of its unwavering commitment to augmenting the network, which included the involvement of esteemed scientists and intellectuals.

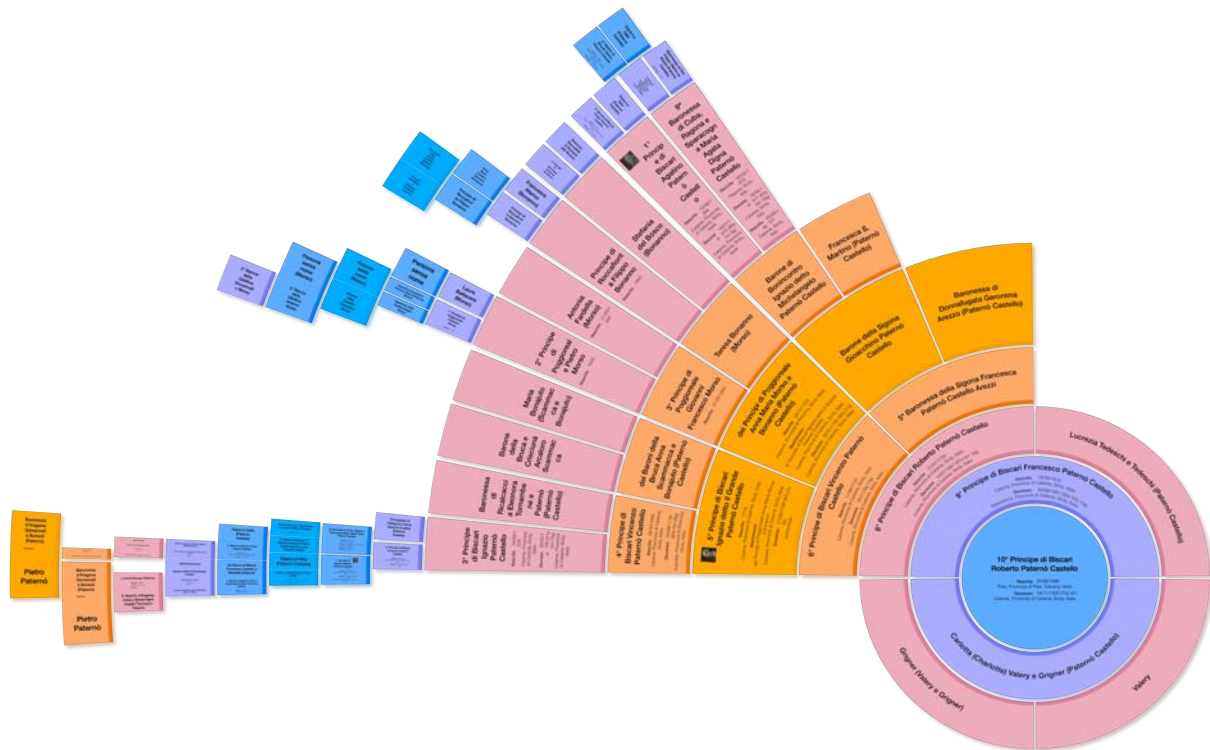


Figure 2: Princes of Biscari. Ancestry and descent

Despite Ignazio's prominent status and lasting influence in the European cultural sphere, Anna Maria, his wife, remains a largely mysterious figure in historical research.

Recent historiographical³ efforts have effectively highlighted the essential traits of the noble lineage and the contributions of its key figures; however, a significant portion of the family archive continues to be unavailable for research.

To address this gap, the "Archives and Big Data" post-doc project, launched by the Department of Humanities at the University of Catania in 2021, sought to initiate a digitization effort for the archive of the noble family. The project aimed to furnish the historical

community with *meta-sources* (Fiormonte 2000) suitable for computational analysis, particularly emphasizing the "Correspondence" segment. This endeavour ultimately resulted in the creation of the "Biscari Epistology" website project⁴, which began with the digitization of the letters from the "1642" collection (Spina 2022; 2023).

III. ANNA MARIA MORSO BONANNO'S EPISTOLOGY AND THE DIGITAL APPROACH

It is widely acknowledged that epistology is key in family history research, as it reconstructs the networks underpinning aristocratic families' political influence. Nevertheless, the conventional historical

³ See Pagnano (2001), Ardizzone (1787); Biscari (1781b; 1781a); Castello (1781); Sestini (1787; 2001); Sestini and Giorgi (1787)

⁴ Link: < <https://biscariepistology.altevista.org> >.

methodology necessitates considerable time for scholars to obtain comprehensive data and construct detailed accounts of individuals, families, and groups. In our “iAge”, the digital revolution has enabled scholars to rethink and restructure the methodologies that underpin the field of Humanities. Historians view digitization as a novel perspective that can facilitate the transcendence of the close-reading approach and the reevaluation of quantitative and distant-reading methodology. Digital instruments allow the mining and extraction of more significant insights from archival materials, enabling scholars to explore historical questions from many perspectives and facilitating a more nuanced understanding of the past.

After the acquisition process and the construction of a dataset (Spina 2024; 2023), it was possible to apply the Gephi tool (<https://gephi.org>) to identify a specific edge within the archival unit, indicating the presence of a collection of letters addressed to Anna Maria Morso Bonanno.

In the folder, there are two epistles written by Giovanni Battista Alagona, Bishop of Syracuse; two letters from Salvatore Ventimiglia, Bishop of Catania; one letter from Duchess of Terranova; one letter from Balì de Belmont; one letter from Esmeralda Dalli; one letter from Stefania Morso Bonanno (Anna's sister); five letters, from her husband Ignazio (the fifth Prince of Biscari); twenty-eight letters from Michele Maria Paternò, Prior of Messina.

So, it is reasonable to consider the following question: Can these letters assist historians in understanding Princess Anne's life since they are not letters written by her hand?

As stated in our introduction, historical social networks became relevant in historical research. They let us understand the political structure and the axis of its governance, allowing for the emergence of a novel historical research perspective that has been overlooked: female empowerment.

In contrast to the conventional historical methodology, which privileges certain historical actors chosen based on the intellectual spirit of the historians, research on networks necessitates that scholars consider all subjects present within the network. From this methodological perspective, a detailed political dimension emerged in which women played a central role. This perspective leads historians to place female empowerment at the forefront of historical and historiographical research, providing a counter-narrative to the people who have been marginalized. This new context necessitates a comprehensive examination of the roles and contributions of women within the context of a household occupying a position of considerable influence and authority.

Nevertheless, if there is a genuine necessity for research that can transcend ideological constraints to reconstruct narratives, landscapes, and memories—as

effectively illustrated by Lina Scalisi (2023)—it is even more imperative that history identify a comprehensive documentary complex that can serve as a foundation and substantiation. Often, research proposals do not find correspondence in archives, as in the case of Princess Anna Maria Morso Bonanno, wife of Ignazio, 5th Prince of Biscari.

Due to the absence of letters from Princess Anna in the Biscari Archive, she is only categorized as a “recipient”. Then, it is impossible to ascertain or reconstruct the true nature of her relationship with the individuals she was writing to. The network analysis has permitted, though not exhaustively, the delineation of her role within family dynamics and, most importantly, the inference of her influence in managing administrative and political affairs. This analysis has illuminated new aspects of this household and of a woman of power about whom research has thus far permitted only an incomplete definition. In order to facilitate the participation of the historical community in defining and describing Anna's life, the “Principessa Anna Morso”⁵ website has been created to collect all the letters addressed to the princess. A “public history” approach aims to engage as many scholars as possible in the research process.

Anna Maria is the daughter of Giovanni Francesco Morso (of the Princes of Poggioreale) and Teresa Bonanno (of the Princes of Roccafiore). According to Spreti and Villabianca, the Morsos originated from Tuscany (Florence). They are believed to have arrived in Sicily with Fiorello during the Reign of Ferdinand the Catholic. In Sicily, Giovanni acquired the land of Gibellina, while Giovannello, his son, was invested on October 3, 1488. He married, in his first marriage, Giovanna Imperatore, and in his second marriage, Contissella Del Pozzo. He was the father of Antonino, who reclaimed the state of Gibellina on March 19, 1549. Vincenzo Morso, on the other hand, is mentioned in an epitaph in the Church of S. Maria della Catena in Palermo: «Ne nimium saevo gaude mors improba Morsu: Non quodcumque tuus dens ferit inde perit. Dum Morsum mordet, te Morsi jama remordet Quam vel te in vita, vita perennis alit.» Their descendant, Giovanni Francesco Morso e Fardella, received the investiture of the principality of Poggioreale and the marquisate of Gibellina on September 25, 1720. He was Captain of justice of Palermo in 1693-94, deputy of the Kingdom, praetor of Palermo in 1728, colonel in the armies of Spain, governor of the city of Marsala, general of the squadron of the galleys of the Kingdom, commander of the Order of St. Peter of Calatrava, battle general in the armies of Emperor Charles VI, chamber gentleman of Charles III, married in his first marriage Rosalia Filingeri and in his second marriage Teresa Bonanno e Del Bosco, of Filippo, prince of Roccafiore.

⁵ Link: < <https://principessaannamorso.altervista.org> >.

He died in 1736, leaving as heir his daughter Stefania Morso Bonanno, who married Luigi Naselli e Morso, Count of Comiso, bringing into the Naselli household the titles of prince of Poggioreale and Marquis of Gibellina. At the same time, Anna was married to Ignazio Paternò Castello, the fifth prince of Biscari.

On the other side, it is supposed that Bonannos arrived in Sicily with Supponio, advisor and member of the household of King Manfred, or with Cesare Bonanno and Giovan Giacomo (from Pisa, at the time of King

James), who was the chancellor of the Kingdom of Sicily in 1285.

It can be seen that two families are firmly established within the baronial context of Sicily. For example, Giovan Francesco served as Captain of justice of Palermo from 1693 to 1694, deputy of the Kingdom in 1720, praetor of Palermo in 1728, colonel in the armies of Spain, governor of Marsala, battle general in 1733, commander of the Order of Calatrava, and general of the galleys of Sicily.

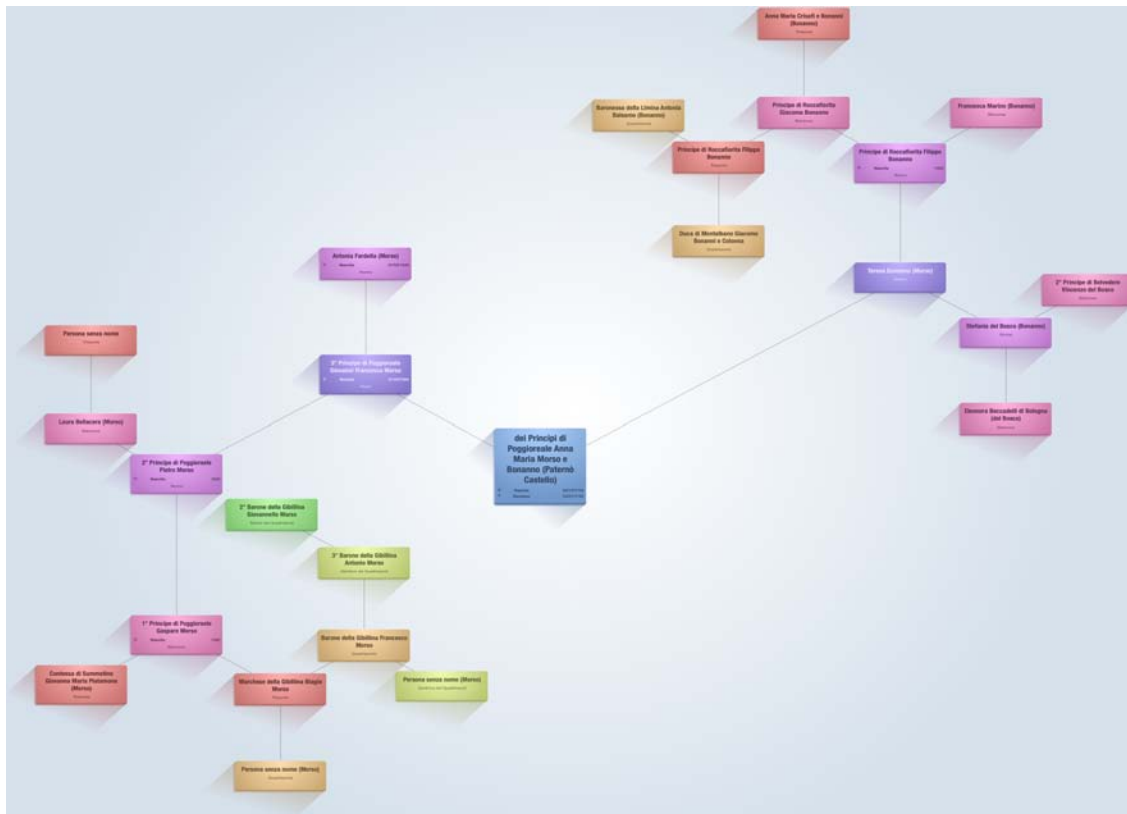


Figure 3: Ancestry of Anna Maria Morso Bonanno

Anna was born on December 8, 1725, and died at 66 on January 4, 1792.

As a result, there is a paucity of information regarding this princely figure. However, the dataset indicates that Anna was, with a high degree of probability, a pivotal node within the political dimension, the household, the feudal system, and the Kingdom. While Ignazio's interests focused mainly on managing the fiefs and cultural and antiquarian pursuits, Anna's involvement in the political dynamics seems more significant. Her extensive network likely made the Messina Priory a pivotal nexus point (D'Avenia 2000). The Peloritan Order was the most important in Sicily. It holds jurisdiction over all other Italian priories, enabling it to manage all donated goods on the island. Simultaneously, it is a central node of the complex network of interests oriented towards the courts of Sicily and Naples. Despite the territory's significant exposure

to the political, cultural, and economic ramifications of Spain's harsh repression following the 1674-78 revolt, the Priory's strategic positioning allowed it to overcome the political difficulties posed by the dramatic event.

Within this system fits Michele Maria Paternò, the Grand Prior. The son of Baron Vincenzo Paternò Trigona of Raddusa⁶ and Anna Bonaiuto, Michele was born on August 14, 1706, and baptized by Vincenzo Paternò Castello, the fourth prince of Biscari. Therefore, a profound affiliation with the Biscarian household was established from the outset of his life.

⁶ Baron Vincenzo Paternò Trigona of Raddusa held a top position in the Catania oligarchy. He was Captain of justice in 1725-26 and ten times a Senator of Catania. Following the passing of his spouse, Anna Bonaiuto, with whom he fathered seven offspring —Francesco, Giacinto, Michele, Giuseppe Prospero, Pietro Paolo, Innocenza, and Silvia— the baron embraced a religious vocation and assumed the role of Vicar to the Bishop of Catania in 1742.

He became a member of the Order of the Knights of Malta and, in 1724, upon reaching the requisite age, after the "trial" held in Catania, was admitted to the Priory of Messina.⁷ He became Commander of Sant'Egidio in Piacenza and Admiral of the Italian Language Naval Fleet. These roles facilitated the development of indispensable administrative and managerial competencies, which proved pivotal in his professional trajectory. Then, in 1751, Michele was appointed Grand Prior of Messina.

This role allowed him to dedicate a substantial amount of time and effort to construction projects that significantly increased the wealth and properties of the Order. Among his achievements was the reconstruction of the ancient Priorate Palace and its adjoining Church, enhancing the area's aesthetic appeal while meeting the local community's needs.

A seminal moment in his career was the agreement with Giovan Battista Grimaldi, Doge of the Republic of Genoa, to acquire Carrara marble, intended to decorate presbyteries and altars in local churches. Notwithstanding his difficulties and disagreements regarding the quality of the materials provided, Michele completed the project, exhibiting remarkable negotiating skills and unwavering resolve.

Michele's relationship with his family was complex and often contentious, particularly with his nephew, Vincenzo Paternò Lombardo, Baron of Raddusa. The letters between Michele and his nephew, preserved in the State Archives of Catania, document the frequent disputes over managing family assets and the Order.

One noteworthy aspect of Michele's life was his relationship with Michelino, a slave he had taken in and raised as a son. Michelino became Michele's primary business associate, earning his trust and respect. Upon the demise of Michele, Michelino was released from servitude and bequeathed a substantial financial legacy,

indicative of the esteem and affection the Grand Prior held for him. However, to create new disputes, Baron Vincenzo did not acquiesce to his uncle's testamental directives and tried to reinstate Michelino to a new state of enslavement. This conflict elucidates the intricate power dynamics and internal tensions within the Paternò family, exemplifying how economic concerns could readily intertwine with personal relationships.

Alongside his responsibilities in administration and family life, Michele played an active role in his time's social and cultural landscape. He enjoyed organizing and participating in social gatherings to strengthen political alliances, showcasing his skill in manoeuvring through the complexities of Sicilian feudal and aristocratic structures. Michele kept a close network with key political and economic figures, allowing him to stay informed and engaged in issues relevant to the Kingdom of Sicily and Naples. In one correspondence, he mentions Marquis Cardillo and their secretive discussions with the King, highlighting his significant engagement in the political dynamics of the era.

Michele Maria Paternò is regarded as an exemplar of 18th-century Sicilian nobility. His life was marked by administrative successes, complex family conflicts, and vibrant participation in his time's social and cultural life. His capacity to administer the assets and resources of the Order of Saint John of Jerusalem and his skill in living the period's complex political and familial relationships render him a figure of significant historical interest. The extant letters and documents provide valuable insight into his life and the dynamics of Sicilian aristocratic society, enabling a deeper comprehension of the challenges and opportunities that characterized the era. The story of Michele Maria Paternò di Raddusa provides a key example of how the nobility of the time adeptly integrated business, politics, and personal relationships to sustain and expand their power and influence.

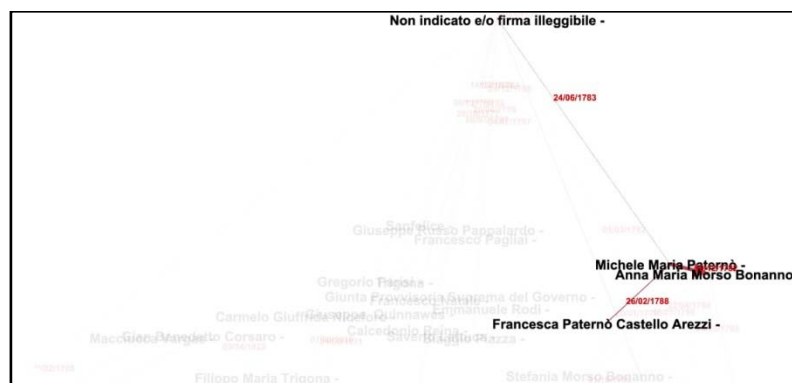


Figure 4: The network relation between Michele Maria Paternò and Anna Morso

Nevertheless, the fundamental premise of our argument is that the Prior is connected to the Biscaris —

⁷ ASMOM, *Ancient Pories Series*, box 854, document 4518, without number.

or, more specifically, to Anna Maria Morso, to whom the letters in question are addressed, indicating a strong emotional bond. The Gephi platform facilitated the rapid identification of 28 letters from Michele Maria Paternò to

the princess, which, in addition to their number, enabled the incorporation of valuable insights into the historical events that shaped Sicily during the latter half of the 18th century.

The letters from the Prior reveal insights into the governance style of the Princess, who transcends the role of a mere "beloved wife" responsible for familial duties and the well-being of her loved ones⁸. Instead, she emerges as a capable administrator adept at cultivating and sustaining a network akin to the approaches of Anna Scammacca, Bonajuto, and her predecessor, Maria Agata Digna.

Conversely, some letters reveal the plight of Sicilians grappling with the aftermath of the catastrophic Val di Noto earthquake (in 1693) and the enduring impact on the city and the citizens of Catania⁹. Here, still, a century later, the cathedral is not completed. However, in a letter, the Prior expressed optimism regarding the imminent completion of the undertaking, citing the evident dedication and fervour of the citizenry. ("si [dà] a sperare sentire ben presto che già terminata dell'intutto la Fabbrica, mediante la divozione, e zelo de' pietosi cittadini"¹⁰).

Sicily, in the epistles, is devastated and suffering. Natural disasters and health crises ravage the island. Cities' borders are fortified, and trade is impeded by cordons that restrict the movement of people and goods. Furthermore, the Neapolitan court attempts to reform Sicily's administrative structure to limit the political power of the feudal class, which has historically exerted considerable influence over the island.

For this reason, Anna needs to know all events occurring within the Neapolitan court, including the various conspiracies, in order to realize the Sicilian

dream —also in the political mind of Louis XIV, as he wrote on October 10, 1675— of a Sicilian monarch (Musi 2022) capable of «making [them] live under his laws, [...] a sovereign who originates from the same blood [of the Sicilians] (Comparato 1970; Galatti 1899; Guardione 1906).»

The feudal system hoped to strengthen Sicily's political position, which, from the seventeenth-century events, could have been part of the ideological dynamism of the Enlightenment. Nevertheless, the Bourbon monarchy's decision to maintain two distinct governmental systems stopped the reformists' ideals, perpetuating the island's internal contradictions, internal distortions, and baronial primacy in the redefinition of pivotal positions.

Another aspect of the relationship between the Princess and the Prior is that the latter is Anna's primary source of emotional support. As a woman —who experiences the full spectrum of human emotions—, Michele provides spiritual comfort and guidance to the Princess and her loved ones, offering a perspective that can help them navigate the complexities of human nature. In this way, Michele assumes the role of the spiritual confessor and an ecclesiastical figure to whom all doubts about life and death are addressed.

IV. THE CORRESPONDENCE BETWEEN ANNA AND THE PRIOR MICHELE MARIA OF MESSINA

In the realm of research across the Humanities and Physical-Natural Sciences, scholars cannot overlook the significance of Large Language Models (LLMs) and Generative Pre-trained Transformers (GPTs) technologies, which emerged in 2022. Notably, ChatGPT stands out as the premier model among these advanced technologies (Alshater 2022; Deng and Lin 2022; González-Gallardo et al. 2023; Kasneci et al. 2023).

Thanks to ChatGPT-4.0, it was possible to identify specific *topics* and define the network between the *entities*. The small epistolary complex shows an inter-relational level moving between 'public' and 'private'. Michele Maria Paternò is strongly linked to Princess Anna. He is a confessor, a man of trust, but, above all, a point of contact with the places of power (Palermo and Naples). Thus, a personal network (Anna's) within the Biscari network emerges, fed by the Princess, who needs to build strong relations with the political world.

ChatGPT-4.0 thus made it possible to identify specific topics: (1) Health and well-being; (2) logistics and requests; social and family news; (3) economic and administrative affairs; (4) religious and community events; (5) Political and geopolitical comments; (6) Travel and travel; (7) health crises and epidemics; (8) cultural and social activities.

⁸ On April 22, 1794, Stefania wrote: «Sorella Amatiss.ma / Sento con piacere della tua cara lettera che ti sei levata di sollecitudine per la mancanza della mia lettera, avendone ricevute due contemporaneamente. Io di salute, grazie del Signore, sto bene in compagnia de' miei Figli, essendomi moltissimo consolata il sentire lo stesso della tua, e de' tuoi figli. È certo che venendo il tempo dei bagni, ai da stare molto meglio colla piaga della gamba, giacche gl'anni passati ne ai inteso tutto il vantaggio, ancor io desidero li bagni, perché li riconosco giovevoli alla mia salute. Ho piacere che ai veduta la Duchessa di Ciminna, la quale è veramente tale, quale tu mela descrivi. Di ritorno di complimenti del M.se Savoghetta. Chi saluterai caramente i tuoi Figli, ed i miei ti baciano le mani, ed io ti abbraccio al mio cuore. S. E. Sig.ra Pnpessa di Biscari. Catanea. Stefania». ASCt, Biscari, *Corrispondenza*, 1642, f. 246.

⁹ The Val di Noto earthquake (epicenter in Sortino, Syracuse) (Giarrizzo 1997; Scalisi 2009) was a catastrophic event that prompted the ruling class to invest enormous resources in the reconstruction of the cities, economy, markets, and trade. The Prince of Biscari was forced to contend with the tragedy —three of his nephews (sons of his brother Francesco Saverio), Agatino, Giuseppe, and Mario, were killed—, but his sudden death (on February 28, 1700) left everything in the hands of the minor Vincenzo, who, assisted by his grandfather Giacinto Paternò, managed to mediate between the needs of his fiefs and those arising from the reconfiguration of the island's administrative structures, a result of Spain's ousting from the island, and its rapid handover from the Savoy monarchy to the Austrian.

¹⁰ ASCt, Biscari, *Corrispondenza*, 1642, f. 378.

At the end of the analysis, ChatGPT realized the following graph.

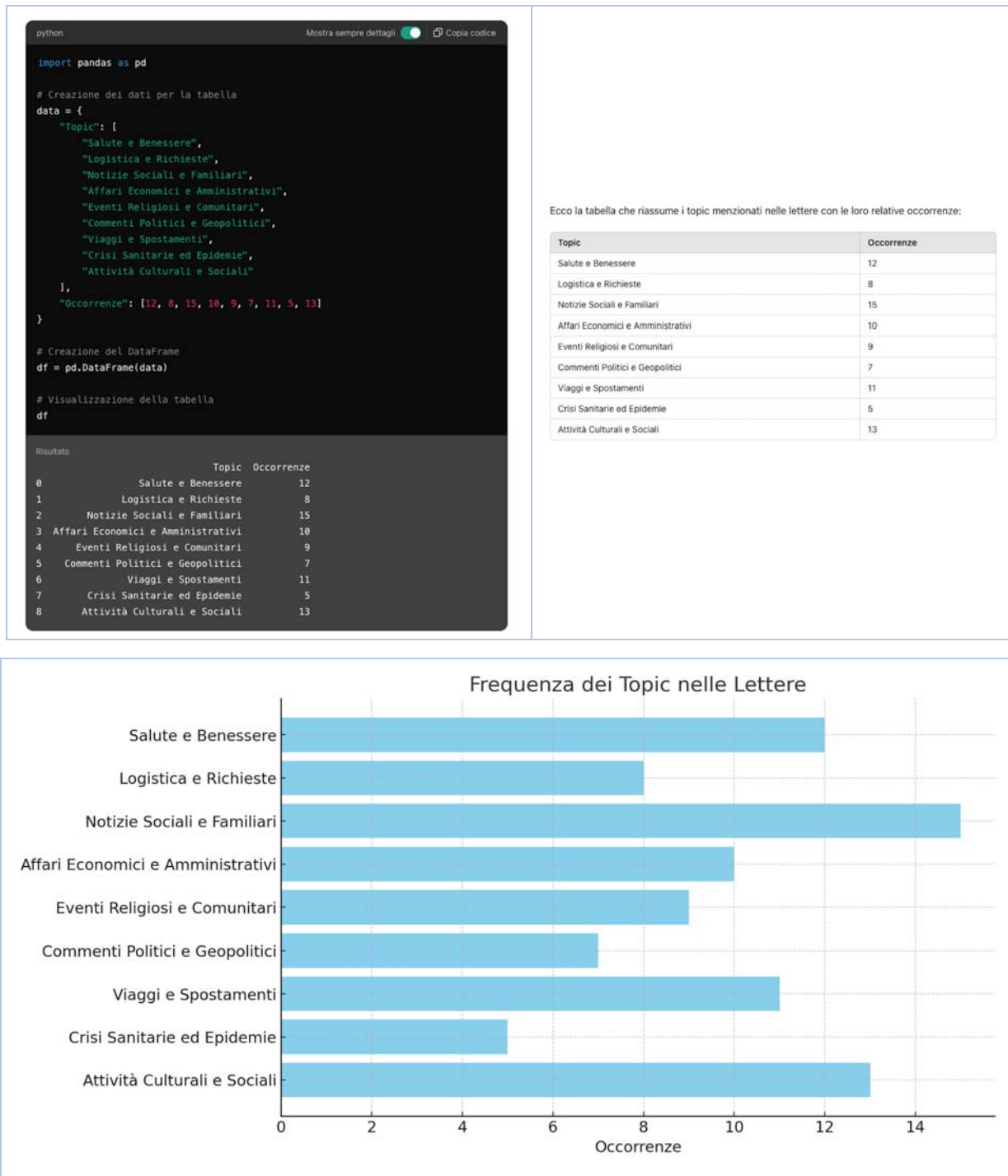


Figure 5: Topics and occurrences

The bond between Anna and Michele is founded on mutual solid respect, aligning with the societal norms of the time that required deference to the elite, who wielded control over the fate of Sicily and its inhabitants. His position empowered him to seek financial assistance and personal favours confidently. Although relationships in feudal society primarily stemmed from familial and blood ties, they also mirrored the influences of political power and economic status.

On the other hand, the princess is astute and always ready to assist the Prior in his difficulties, whether related to his maintenance or matters concerning ecclesiastical life. In June 1782, for example, when financial difficulties threatened the celebration of the Feast of the Holy Precursor (June 21-24). Anna's intervention enabled the preparation of the Church in Messina with votive decorations and lights, rendering the festival a genuinely solemn occasion.

As we outline, the epistolary corpus does not allow us to reconstruct Anna's life, or at least a part of it. The perspective is always that of the senders (the Prior Michele, for instance), never that of the princess.

Although not directly related to Anna's figure, the events described in the letters intersect with events that have left a mark on the history of Europe, Sicily, and the Court of Naples. Despite Anna's perspective being absent, Michele's letters suggest the princess's complete devotion to the norms of Sicilian feudal society. Sicily emerges with all its defining aspects, shaping Anna as someone deeply connected to her world, where princes, marquises, and viceroys are part of the Old Regime network.

The letters are proof of the complexities of the political and administrative governments. Each administration acted to protect its security, as in the case of epidemic crises which characterized the modern age. It was May 8, 1781, when, as Michele writes, a health threat emerged from Calabria¹⁰: a ship loaded with cotton and wax, coming from Smirne, a contaminated place, had shipwrecked on the coast of Reggio, known as Spartivento.

Due to the accident, the cotton and wax cargo was left exposed to water damage; however, the Deputation saved most of the cargo. Michele's problem was preserving health security since the cargo came from a location where an epidemic was present. So, he requested an operation to sanitize the shipwreck area to prevent the infection from spreading. While Michele assigned guards to protect the cargo, the Calabria and Sicily delegations worked together to address the risk of an epidemic between the two regions¹¹.

This constant concern especially worried the control institutions, particularly during high unrest.

After the ongoing shipwrecks, the Sicilian Deputation, in collaboration with the Calabrian Deputation, decided that incoming traffic in both directions must undergo a thorough inspection and 24 hours of quarantine¹².

This situation had agitated the sailors from Taormina, who were suddenly stuck in their interactions with the people from Calabria.

The issue, however, was not solely a commercial one. Michele pointed out a few days later (May 15)¹³ that the blockade would have also stopped the Calabrian worshippers from reaching Messina to honour Madonna della Sacra Lettera. This event was scheduled in the Peloritan capital just a few weeks later, on June 3¹⁴.

In addition to the health issues, which governments were already extensively considering,

laying the groundwork for what would become, with Napoleon, the most critical reform —the Edict of Saint Cloud— the eighteenth century had definitively marked the process of secularisation and reforms, which confirmed the overcoming of many religious orders, as in the case of the Jesuits.

On April 9, 1782¹⁵, Anne received a letter that described events leading her to Europe, specifically Vienna, where Emperor Joseph II was expecting Pius VI. The latter aimed to counter the Emperor's reforms, limiting the Church's powers. The Emperor initiated reforms that resulted in the closure of numerous convents and the decrease in regular religious practices.

It was a situation that the Roman Church could not tolerate, so the Pope decided, in March 1782, to go personally to make the Emperor reconsider his actions (Vajda 1986). However, when Pius VI was just «18 miles from Vienna,» as mentioned in a letter from the Messinese Prior to Anna¹⁶, the Emperor requested the Pope to halt his journey due to a conflict that had arisen between Catholics and Protestants over the Protestants' demand to bury a Muscovite ambassador in the Church of Santo Stefano. The Catholics opposed this request, and a rebellion broke out that led to the death of 4,000 people.

Conflicts among various faiths persisted beyond Europe, affecting monarchies and empires alike. Throughout the Old Continent, secular reforms emerged, resulting in a significant shift towards secularisation.

During this time, the Church lost many of its privileges, and States took on the authority to oversee areas of life that were previously under the control of the Church. An illustration of this shift was the management of public health related to burials, which set the groundwork for the state's control over cemetery rules, ultimately resulting in the Edict of Saint Cloud.

Sicily experienced modifications in the organizational structure of the Roman Catholic Church. On April 23, 1782,¹⁷ Michele communicated to the Princess that residents of Palermo had petitioned the King to designate a Sicilian as the leader of the archbishopric in Palermo. This appeal followed the decision to dissolve the Archbishopric of Monreale and integrate it into the Archbishopric of Palermo.

While the Sicilians were busy securing their rights, Joseph II rejected Pius VI's interference and persisted in his reform efforts, which the Prior described as detrimental to the Church's authority. Upon the Pope's arrival in Vienna, «Caesar» —the term used by Michele¹⁸— issued a new decree prohibiting any

¹¹ Ivi, 1642, f. 370.

¹² Ivi, 1642, f. 371.

¹³ Ibidem.

¹⁴ Ibidem.

¹⁵ Ibidem.

¹⁶ Ivi, 1642, f. 372.

¹⁷ Ibidem.

¹⁸ Ivi, 1642, f. 373.

¹⁹ Ivi, 1642, f. 373 v.

Ecclesiastics of high rank from submitting petitions or appeals directly to the “Supreme Pontiff”¹⁹.

In the rest of Italy, the religious dimension was troubled by some reforms. As Michele wrote on September 28, 1784²⁰, in Siena, following the expulsion of the Dominican Fathers, their Church of Saint Catherine was closed. Despite the community's persistent requests to honour their patron saint, authorities allowed the Church to open for only two hours each day, prohibiting the celebration of Mass.

From Tuscany, the Theatines were expelled, and from Pistoia, the Minor Friars. The Grand Duke of Tuscany demanded satisfaction from His Lordship for banning a book by the Bishop of Pistoia, which contained edicts against the devotion to the Sacred Heart of Jesus, labelling it superstitious. His Lordship responded, seeking satisfaction from the Grand Duke for the false reports circulated by gazettes and other pamphlets from Florence against him and the Church.

An additional dimension of Michele Paternò's existence involves the management of financial resources and the obstacles he encounters in addressing economic difficulties. On June 18, 1782,²¹ he expressed his distress in a letter to the princess, as the celebration of the Holy Precursor (scheduled for June 21/24) was imminent, yet he struggled to secure the necessary decorations and lighting. This predicament necessitated the Princess's involvement, who promptly intervened to assist, even covering the costs for the decorations and lighting, as indicated in the correspondence dated June 25, following the grand festivities.

On the other hand, a shaking Sicily emerges in some letters. Nearly a century after the “great earthquake” of the Val di Noto, Catania and Messina experienced ongoing seismic tremors. On May 9 (1783), the earthquakes «were barely felt,» but in Messina, «they continue; in [May 11] at about fourteen hours, there was one quite perceptible»²². With these words, Michele brings back memories of the catastrophe in Catania and hopes for reconstruction. In the letter, the Prior emphasizes Catania's citizens' zeal for rebuilding their cathedral, symbolizing the relationship between Catania and Saint Agatha.

Therefore, there are still earthquakes in Messina. For this reason, it is necessary to understand such phenomena to overcome citizens' anxieties and find the correct solutions. Catania had already demonstrated the potential of human and scientific action in mitigating eruptive phenomena (Scalisi 2013). Mount Etna remains a subject of research for scholars such as Natale di Pace (Scalisi 2019) and Alfonso Borelli

(Borelli 1670; Morello 1998), yet studies are still too few to comprehend the mechanisms of earthquakes and eruptions fully. Consequently, following the events of that May, the Royal Academy dispatched to Messina — where the Prior awaited them— Messrs. Sarcone Seg.rio, Abbot Minogi, and Abbot Pacifico, designated by the Court to investigate the Cause of the Earthquakes²³.

The fear of seismic tragedies remains ever-present, especially given the conditions in Messina, which needs to recover from economic crises and the absence of privileges — withdrawn after the independence revolt of 1674-78 — that once enriched it. Indeed, to overcome all this, the people of Messina had requested the abolition of certain taxes, but «the Sovereign's benevolent intentions remained grounded,»²⁵ such that efforts were made only to abolish the «Civic Gabelle and those on silk fabrics, worked silk and on the extraction of said materials.» Among the requests was the opportunity to abolish the Royal Customs. However, all remained silent from Naples.

While Anna, like Michele, embodies characteristics reminiscent of the feudal norms of her time, her existence is undeniably connected to the significant events and prominent figures associated with the Court of Ferdinand and Maria Carolina (Recca 2016).

Michele will recount (October 7, 1783)²⁵ to Anna the attempted murder of the Marquis of Sambuca, who escaped death thanks to the aid of a young man who warned him of seeing a warehouse owner putting poison in the chocolate. To verify the young man's claim, the Marquis gave the chocolate to a dog, which died shortly thereafter. On May 18, however, the Prior of Messina will describe to the princess the strange custom of placing a black stone — given to the King by a formerly enslaved person in gratitude — on the table next to the food, which, by changing colour, would indicate the presence of poison in the dishes.

Other letters reveal a Sicily that was both suffering and, at the same time, advancing proposals for reforms. On October 7, 1783²⁶, Michele informed Anna that the King had decided to reduce subjugations from 5% to 4% and 1%; however, this decision was not well received in Palermo. However, the important news was that, on behalf of the Royal Treasury, 22 projects had been submitted, requesting the King for administrative reform in the island: (1) the abolition of the Parliament, the Delegation of the Kingdom, to repeal the mere and mixed empire of the Barons, and replace the figure of the Viceroy with that of three independent Presidencies to whom the administration of Justice would be

²⁰ Ibidem.

²¹ Ivi, 1642, f. 398.

²² Ivi, 1642, f. 374.

²³ Ivi, 1642, f. 378.

²⁴ Ivi, 1642, f. 379.

²⁵ Ivi, 1642, f. 378.

²⁶ Ivi, 1642, f. 383.

²⁷ Ivi, 1642, f. 383 v.

entrusted; (2) the transfer of Feudal Causes to Naples; (3) the abolition of the Tribunal of the Consistory, and the repeal of all Senates and replace them with «the Elect of the People».

On August 21 of the following year, Michele will write from Naples about efforts to reform the Forum and the system of the Togati of the Real Tavolino, while news from Palermo arrives that the Order of the Benedictines will be abolished.

In the background, the assault on Algiers in 1783, news of the loss of three “gunboats” sunk “by our own,” which, however, resulted in a failure for Spain (Conrotte 2006), which attempted a new venture in 1784 (Fernández Duro 1895).

V. SOME CONSIDERATIONS AS AN INVITATION

The figure of Anna Maria Morso Bonanno, Princess of Biscari and wife of Ignazio, the fifth Prince of Biscari, emerges from this study as a key protagonist in the political and social landscape of 18th-century Sicily, demonstrating that political and cultural dimension cannot be explained by considering only the centrality of male noble figures. Historiography needs to pay attention to the contribution of women from aristocratic families, which is still largely unexplored. Our research, based on an epistolary analysis and supported by a computational methodological approach, begins to bridge this gap, offering a new perspective on the active participation of Sicilian noblewomen in managing the political affairs of their families.

One of the main findings of this investigation is the discovery of a substantial number of letters that provide a window into the social and political dynamics of the time and, most importantly, the role that Anna Maria certainly played in managing administrative and familial matters, not just as the wife of Prince Ignazio, but as a key figure in a broader power network. The letters do not contain information written directly by Anna Maria. However, the messages received offer significant clues about her active involvement in important affairs and the relationships she managed to weave within the aristocratic and feudal context of the island, demonstrating, on the one hand, a methodological silence by historians, which inevitably results in relegating the role of women in history to the background. On the other hand, this methodological approach is evident in Lina Scalisi, who has firmly repositioned women in the management of power and the administration of their families. Princesses and noblewomen are not only called upon in matters strictly related to family administration and what was defined as “domestic economy”; they are tasked with administering every aspect of life from the noble household, especially during periods of absence of their consorts, whether engaged in war or deceased. This study, in a way, seeks to expand this perspective by focusing on Anna

Maria Morso Bonanno despite the lack of direct archival evidence.

The correspondence from Prior Michele Maria Paternò reveals a strategic network that supported the Princess, suggesting a significant political activism that warrants acknowledgement in historical narratives. For this reason, in light of the lack of letters from the Princess within the Biscari Archive, the website (<https://principessaannamorso.altervista.org>) was established to compile her letters and engage historians in a public history initiative focused on the life of Princess Anna Maria Morso Bonanno.

BIBLIOGRAFIA

1. Alshater, Muneer M. 2022. “Exploring the Role of Artificial Intelligence in Enhancing Academic Performance: A Case Study of ChatGPT.” SSRN Scholarly Paper. Rochester, NY. <https://doi.org/10.2139/ssrn.4312358>.
2. Ardizzone, Giovanni. 1787. *Elogio d'Ignazio Paternò Castello principe di Biscari di Giovanni Ardizzone pastore etneo*. nelle stampe di Francesco Pastore.
3. Biscari, Ignazio Paternò principe di. 1781a. *De vasi murrini ragionamento d'Ignazio Paternò Castello principe di Biscari &c. &c. accademico della Crusca*.
4. ———. 1781b. *Ragionamento a madama N. N. sopra gli antichi ornamenti e trastulli de' bambini di Ignazio Paternò Castello principe di Biscari*.
5. Borelli, Giovanni Alfonso. 1670. *Historia, et meteorologia incendii Aetnaei anni 1669. Ioan. Alphonsi Borelli in Academia Pisana matheseos professoris accessit. Responsio ad censuras reu. p. Honorati Fabri contra librum auctoris De Vi percussionis*. in Officina Dominici Ferri.
6. Calabrese, Gaetano. 2003. *L'archivio della famiglia Paternò Castello principi di Biscari: inventario*. Catania: Os. n.!
7. Casalterardo, Antonino Mango di. 1900. *Il Nobiliario di Sicilia*. Palermo: A. Reber.
8. Castello, Ignazio principe di Biscari Paternò. 1781. *Viaggio per tutte le antichità della Sicilia descritto da Ignazio Paternò principe di Biscari e dedicato a sua eccellenza Giuseppe Bologni Beccatelli e primo segretario di stato, e degli affari esteri del re Ferdinando 3*. nella Stamperia Simoniana.
9. Comparato, Vittorio I. 1970. *Giuseppe Valletta. Un intellettuale napoletano della fine del Seicento*. Istituto Italiano Studi Storici. https://www.unilibro.it/libri/f/titolo/giuseppe_valletta_un_intellettuale_napoletano_della_fine_del_seicento.
10. Conrotte, Manuel. 2006. *España y los países musulmanes durante el ministerio de Floridablanca*. Ediciones Espuela de Plata.
11. D'Avenia, Fabrizio. 2000. “Le commende gerosolimitane nella Sicilia moderna: un modello di gestione decentrata.” *Vita e pensiero*, 35–86.



12. Deng, Jianyang, and Yijia Lin. 2022. "The Benefits and Challenges of ChatGPT: An Overview." *Frontiers in Computing and Intelligent Systems* 2 (2): 81–83. <https://doi.org/10.54097/fcis.v2i2.4465>.
13. Di Vita, Fabio. 2007. *I Paternò Castello Di Biscari. Una Famiglia, Un Patrimonio Nella Sicilia Moderna, 1700-1734*. Torino: Giappichelli. <https://www.worldcat.org/title/213303535>.
14. Emanuele, Francesco Maria, and Gaetani Villabianca (marchese). 1754. *Della Sicilia nobile*. Bologna: Forni editore.
15. Fernández Duro, Cesáreo. 1895. *Armada Española*. Madrid: Sucesores de Rivadeneyra.
16. Fiormonte, Domenico. 2000. "Il Documento Immateriale. Ricerca Storica e Nuovi Linguaggi." Edited by Guido Abbatista and Andrea Zorzi. *L'Indice* 5 (Dossier 4).
17. Galatti, Giacomo. 1899. *La rivoluzione e l'assedio di Messina (1674-78). Episodio della dominazione spagnuola in Sicilia*. Messina. <https://www.abebooks.it/evoluzione-lasseio-Messina-1674-78-Episodio-dominazione/19255955849/bd>.
18. Giarrizzo, Giuseppe, ed. 1997. "La Sicilia dei terremoti. Lunga durata e dinamiche sociali." In. Catania: G. Maimone.
19. Giarrizzo, Giuseppe, and Maurice Aymard. 2006. *Catania. La città la sua storia*. Catania: Domenico Sanfilippo.
20. González-Gallardo, Carlos-Emiliano, Emanuela Boros, Nancy Girdhar, Ahmed Hamdi, Jose G. Moreno, and Antoine Doucet. 2023. "Yes but. Can ChatGPT Identify Entities in Historical Documents?" <https://doi.org/10.48550/arXiv.2303.17322>.
21. Guardione, Francesco. 1906. *La Rivoluzione Di Messina Contro La Spagna (1671-1680)*. Palermo: Tipografia Del Povero.
22. Kasneci, Enkelejda, Kathrin Sessler, Stefan Küchemann, Maria Bannert, Daryna Dementieva, Frank Fischer, Urs Gasser, et al. 2023. "ChatGPT for Good? On Opportunities and Challenges of Large Language Models for Education." *Learning and Individual Differences* 103 (April): 102274. <https://doi.org/10.1016/j.lindif.2023.102274>.
23. Morello, Nicoletta. 1998. "Giovanni Alfonso Borelli and the Eruption of Etna in 1669." Edited by Nicoletta Morello. *Volcanoes and History: Proceedings of the 20th INHIGEO Symposium*, 395–413.
24. Mugnos, Filadelfo. 1647. *Teatro genologico delle famiglie nobili, titolate, sendatarie ed antiche nobili del fidelissimo regno di Sicilia viventi ed estinte...* P. Coppola.
25. Musì, Aurelio. 2022. *Mezzogiorno moderno. Dai viceregni spagnoli alla fine delle Due Sicilie*. Roma: Salerno.
26. Pagnano, Giuseppe. 2001. *Le Antichità Del Regno Di Sicilia. I Plani Di Biscari e Torremuzza per La Regia Custodia 1779*. Siracusa: Lombardi. <https://www.worldcat.org/title/49860332>.
27. Palermo, Daniele. 2004. "Tra mediazione e repressione: l'aristocrazia catanese durante la rivolta del 1647." *Mediterranea Ricerche storiche Anno I* (2): 57–80.
28. Paternò Castello, Ignazio. 2003. *Le Antichità Di Catania*. Edited by Carlo Ruta. Palermo: Edibisi. <https://www.worldcat.org/title/55843617>.
29. Recca, Cinzia. 2016. *The Diary of Queen Maria Carolina of Naples, 1781-1785: New Evidence of Queenship at Court*. Springer.
30. Scalisi, Lina. 2009. *Catania. L'identità urbana dall'antichità al Settecento*. Catania: Sanfilippo.
31. ———. 2013. *Per riparar l'incendio: le politiche dell'emergenza dal Perù al Mediterraneo: Huaynaputina 1600, Vesuvio 1631, Etna 1669*. Catania: Sanfilippo.
32. ———, ed. 2019. *Un Secolo Di Fuoco. Il Seicento e l'Etna Nel Compendio Di Natale Di Pace*. Catania: Sanfilippo.
33. ———. 2023. *Potere e Sentimento*. Roma: Edizioni di Storia e Letteratura. <https://www.storiaeletteratura.it/catalogo/potere-e-sentimento/16705>.
34. Sestini, Domenico. 1787. *Descrizione del museo d'antiquaria e del gabinetto d'istoria naturale del signor principe di Biscari fatta dal sig. abate Domenico Sestini accademico fiorentino*. per Carlo Giorgi editore, ed impressore.
35. ———. 2001. *Il Museo del principe di Biscari*. G. Maimone.
36. Sestini, Domenico, and Carlo Giorgi. 1787. *Descrizione del Museo d'Antiquaria e del Gabinetto d'Istoria Naturale di Sua Eccellenza il Sigre Principe di Biscari I. Paterno Castello, etc*. Livorno.
37. Spina, Salvatore. 2022. "Historical Network Analysis & Htr Tool. Per un approccio storico metodologico digitale all'archivio Biscari di Catania." *Umanistica Digitale*, no. 14, 163–81. <https://doi.org/10.6092/issn.2532-8816/15159>.
38. ———. 2023. "Biscari Epistolography. From Archive to the Website." *Digitalia* 2.
39. ———. 2024. "Prince of Biscari Network." *Journal of Open Humanities Data* 10 (1). <https://doi.org/10.5334/johd.165>.
40. Spreti, Vittorio, ed. 1928. *Enciclopedia storico-nobiliare italiana: Famiglie nobili e titolate viventi riconosciute dal R.o governo d'Italia, compresi: città, comunità, mense vescovili, abazie, parrocchie ed enti nobili e titolati riconosciuti*. Arnaldo Forni.
41. Vajda, Stephan. 1986. *Storia dell'Austria: mille anni fra est e ovest*. Storia Bompiani. Milano: Bompiani.

GLOBAL JOURNALS GUIDELINES HANDBOOK 2024

WWW.GLOBALJOURNALS.ORG

MEMBERSHIPS

FELLOWS/ASSOCIATES OF SOCIAL SCIENCE RESEARCH COUNCIL

FSSRC/ASSRC MEMBERSHIPS

INTRODUCTION



FSSRC/ASSRC is the most prestigious membership of Global Journals accredited by Open Association of Research Society, U.S.A (OARS). The credentials of Fellow and Associate designations signify that the researcher has gained the knowledge of the fundamental and high-level concepts, and is a subject matter expert, proficient in an expertise course covering the professional code of conduct, and follows recognized standards of practice. The credentials are designated only to the researchers, scientists, and professionals that have been selected by a rigorous process by our Editorial Board and Management Board.

Associates of FSSRC/ASSRC are scientists and researchers from around the world are working on projects/researches that have huge potentials. Members support Global Journals' mission to advance technology for humanity and the profession.

FSSRC

FELLOW OF SOCIAL SCIENCE RESEARCH COUNCIL

FELLOW OF SOCIAL SCIENCE RESEARCH COUNCIL is the most prestigious membership of Global Journals. It is an award and membership granted to individuals that the Open Association of Research Society judges to have made a 'substantial contribution to the improvement of computer science, technology, and electronics engineering.

The primary objective is to recognize the leaders in research and scientific fields of the current era with a global perspective and to create a channel between them and other researchers for better exposure and knowledge sharing. Members are most eminent scientists, engineers, and technologists from all across the world. Fellows are elected for life through a peer review process on the basis of excellence in the respective domain. There is no limit on the number of new nominations made in any year. Each year, the Open Association of Research Society elect up to 12 new Fellow Members.



BENEFITS

TO THE INSTITUTION

GET LETTER OF APPRECIATION

Global Journals sends a letter of appreciation of author to the Dean or CEO of the University or Company of which author is a part, signed by editor in chief or chief author.



EXCLUSIVE NETWORK

GET ACCESS TO A CLOSED NETWORK

A FSSRC member gets access to a closed network of Tier 1 researchers and scientists with direct communication channel through our website. Fellows can reach out to other members or researchers directly. They should also be open to reaching out by other.

Career

Credibility

Exclusive

Reputation



CERTIFICATE

CERTIFICATE, LOR AND LASER-MOMENTO

Fellows receive a printed copy of a certificate signed by our Chief Author that may be used for academic purposes and a personal recommendation letter to the dean of member's university.

Career

Credibility

Exclusive

Reputation



DESIGNATION

GET HONORED TITLE OF MEMBERSHIP

Fellows can use the honored title of membership. The "FSSRC" is an honored title which is accorded to a person's name viz. Dr. John E. Hall, Ph.D., FSSRC or William Walldroff, M.S., FSSRC.

Career

Credibility

Exclusive

Reputation

RECOGNITION ON THE PLATFORM

BETTER VISIBILITY AND CITATION

All the Fellow members of FSSRC get a badge of "Leading Member of Global Journals" on the Research Community that distinguishes them from others. Additionally, the profile is also partially maintained by our team for better visibility and citation. All fellows get a dedicated page on the website with their biography.

Career

Credibility

Reputation

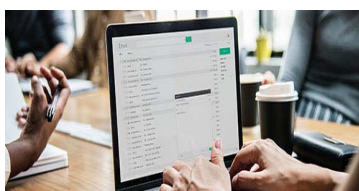
FUTURE WORK

GET DISCOUNTS ON THE FUTURE PUBLICATIONS

Fellows receive discounts on future publications with Global Journals up to 60%. Through our recommendation programs, members also receive discounts on publications made with OARS affiliated organizations.

Career

Financial



GJ ACCOUNT

UNLIMITED FORWARD OF EMAILS

Fellows get secure and fast GJ work emails with unlimited forward of emails that they may use them as their primary email. For example, john [AT] globaljournals [DOT] org.

Career

Credibility

Reputation



PREMIUM TOOLS

ACCESS TO ALL THE PREMIUM TOOLS

To take future researches to the zenith, fellows receive access to all the premium tools that Global Journals have to offer along with the partnership with some of the best marketing leading tools out there.

Financial

CONFERENCES & EVENTS

ORGANIZE SEMINAR/CONFERENCE

Fellows are authorized to organize symposium/seminar/conference on behalf of Global Journal Incorporation (USA). They can also participate in the same organized by another institution as representative of Global Journal. In both the cases, it is mandatory for him to discuss with us and obtain our consent. Additionally, they get free research conferences (and others) alerts.

Career

Credibility

Financial

EARLY INVITATIONS

EARLY INVITATIONS TO ALL THE SYMPOSIUMS, SEMINARS, CONFERENCES

All fellows receive the early invitations to all the symposiums, seminars, conferences and webinars hosted by Global Journals in their subject.

Exclusive



PUBLISHING ARTICLES & BOOKS

EARN 60% OF SALES PROCEEDS

To take future researches to the zenith, fellows receive access to all the premium tools that Global Journals have to offer along with the partnership with some of the best marketing leading tools out there.

Exclusive

Financial

REVIEWERS

GET A REMUNERATION OF 15% OF AUTHOR FEES

Fellow members are eligible to join as a paid peer reviewer at Global Journals Incorporation (USA) and can get a remuneration of 15% of author fees, taken from the author of a respective paper.

Financial

ACCESS TO EDITORIAL BOARD

BECOME A MEMBER OF THE EDITORIAL BOARD

Fellows may join as a member of the Editorial Board of Global Journals Incorporation (USA) after successful completion of three years as Fellow and as Peer Reviewer. Additionally, Fellows get a chance to nominate other members for Editorial Board.

Career

Credibility

Exclusive

Reputation

AND MUCH MORE

GET ACCESS TO SCIENTIFIC MUSEUMS AND OBSERVATORIES ACROSS THE GLOBE

All members get access to 5 selected scientific museums and observatories across the globe. All researches published with Global Journals will be kept under deep archival facilities across regions for future protections and disaster recovery. They get 10 GB free secure cloud access for storing research files.

ASSOCIATE OF SOCIAL SCIENCE RESEARCH COUNCIL

ASSOCIATE OF SOCIAL SCIENCE RESEARCH COUNCIL is the membership of Global Journals awarded to individuals that the Open Association of Research Society judges to have made a 'substantial contribution to the improvement of computer science, technology, and electronics engineering.

The primary objective is to recognize the leaders in research and scientific fields of the current era with a global perspective and to create a channel between them and other researchers for better exposure and knowledge sharing. Members are most eminent scientists, engineers, and technologists from all across the world. Associate membership can later be promoted to Fellow Membership. Associates are elected for life through a peer review process on the basis of excellence in the respective domain. There is no limit on the number of new nominations made in any year. Each year, the Open Association of Research Society elect up to 12 new Associate Members.



BENEFITS

TO THE INSTITUTION

GET LETTER OF APPRECIATION

Global Journals sends a letter of appreciation of author to the Dean or CEO of the University or Company of which author is a part, signed by editor in chief or chief author.



EXCLUSIVE NETWORK

GET ACCESS TO A CLOSED NETWORK

A ASSRC member gets access to a closed network of Tier 2 researchers and scientists with direct communication channel through our website. Associates can reach out to other members or researchers directly. They should also be open to reaching out by other.

Career

Credibility

Exclusive

Reputation



CERTIFICATE

CERTIFICATE, LOR AND LASER-MOMENTO

Associates receive a printed copy of a certificate signed by our Chief Author that may be used for academic purposes and a personal recommendation letter to the dean of member's university.

Career

Credibility

Exclusive

Reputation



DESIGNATION

GET HONORED TITLE OF MEMBERSHIP

Associates can use the honored title of membership. The "ASSRC" is an honored title which is accorded to a person's name viz. Dr. John E. Hall, Ph.D., ASSRC or William Walldroff, M.S., ASSRC.

Career

Credibility

Exclusive

Reputation

RECOGNITION ON THE PLATFORM

BETTER VISIBILITY AND CITATION

All the Associate members of ASSRC get a badge of "Leading Member of Global Journals" on the Research Community that distinguishes them from others. Additionally, the profile is also partially maintained by our team for better visibility and citation.

Career

Credibility

Reputation

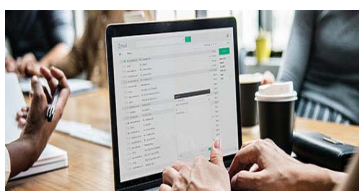
FUTURE WORK

GET DISCOUNTS ON THE FUTURE PUBLICATIONS

Associates receive discounts on future publications with Global Journals up to 30%. Through our recommendation programs, members also receive discounts on publications made with OARS affiliated organizations.

Career

Financial



GJ ACCOUNT

UNLIMITED FORWARD OF EMAILS

Associates get secure and fast GJ work emails with 5GB forward of emails that they may use them as their primary email. For example, john [AT] globaljournals [DOT] org.

Career

Credibility

Reputation



PREMIUM TOOLS

ACCESS TO ALL THE PREMIUM TOOLS

To take future researches to the zenith, fellows receive access to almost all the premium tools that Global Journals have to offer along with the partnership with some of the best marketing leading tools out there.

Financial

CONFERENCES & EVENTS

ORGANIZE SEMINAR/CONFERENCE

Associates are authorized to organize symposium/seminar/conference on behalf of Global Journal Incorporation (USA). They can also participate in the same organized by another institution as representative of Global Journal. In both the cases, it is mandatory for him to discuss with us and obtain our consent. Additionally, they get free research conferences (and others) alerts.

Career

Credibility

Financial

EARLY INVITATIONS

EARLY INVITATIONS TO ALL THE SYMPOSIUMS, SEMINARS, CONFERENCES

All associates receive the early invitations to all the symposiums, seminars, conferences and webinars hosted by Global Journals in their subject.

Exclusive



PUBLISHING ARTICLES & BOOKS

EARN 60% OF SALES PROCEEDS

Associates can publish articles (limited) without any fees. Also, they can earn up to 30-40% of sales proceeds from the sale of reference/review books/literature/publishing of research paper.

Exclusive

Financial

REVIEWERS

GET A REMUNERATION OF 15% OF AUTHOR FEES

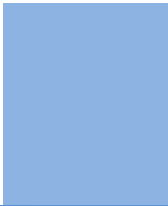
Associate members are eligible to join as a paid peer reviewer at Global Journals Incorporation (USA) and can get a remuneration of 15% of author fees, taken from the author of a respective paper.

Financial

AND MUCH MORE

GET ACCESS TO SCIENTIFIC MUSEUMS AND OBSERVATORIES ACROSS THE GLOBE

All members get access to 2 selected scientific museums and observatories across the globe. All researches published with Global Journals will be kept under deep archival facilities across regions for future protections and disaster recovery. They get 5 GB free secure cloud access for storing research files.



ASSOCIATE	FELLOW	RESEARCH GROUP	BASIC
\$4800 lifetime designation	\$6800 lifetime designation	\$12500.00 organizational	APC per article
Certificate , LoR and Momento 2 discounted publishing/year Gradation of Research 10 research contacts/day 1 GB Cloud Storage GJ Community Access	Certificate , LoR and Momento Unlimited discounted publishing/year Gradation of Research Unlimited research contacts/day 5 GB Cloud Storage Online Presense Assistance GJ Community Access	Certificates , LoRs and Momentos Unlimited free publishing/year Gradation of Research Unlimited research contacts/day Unlimited Cloud Storage Online Presense Assistance GJ Community Access	GJ Community Access



PREFERRED AUTHOR GUIDELINES

We accept the manuscript submissions in any standard (generic) format.

We typeset manuscripts using advanced typesetting tools like Adobe In Design, CorelDraw, TeXnicCenter, and TeXStudio. We usually recommend authors submit their research using any standard format they are comfortable with, and let Global Journals do the rest.

Alternatively, you can download our basic template from <https://globaljournals.org/Template.zip>

Authors should submit their complete paper/article, including text illustrations, graphics, conclusions, artwork, and tables. Authors who are not able to submit manuscript using the form above can email the manuscript department at submit@globaljournals.org or get in touch with chiefeditor@globaljournals.org if they wish to send the abstract before submission.

BEFORE AND DURING SUBMISSION

Authors must ensure the information provided during the submission of a paper is authentic. Please go through the following checklist before submitting:

1. Authors must go through the complete author guideline and understand and *agree to Global Journals' ethics and code of conduct*, along with author responsibilities.
2. Authors must accept the privacy policy, terms, and conditions of Global Journals.
3. Ensure corresponding author's email address and postal address are accurate and reachable.
4. Manuscript to be submitted must include keywords, an abstract, a paper title, co-author(s) names and details (email address, name, phone number, and institution), figures and illustrations in vector format including appropriate captions, tables, including titles and footnotes, a conclusion, results, acknowledgments and references.
5. Authors should submit paper in a ZIP archive if any supplementary files are required along with the paper.
6. Proper permissions must be acquired for the use of any copyrighted material.
7. Manuscript submitted *must not have been submitted or published elsewhere* and all authors must be aware of the submission.

Declaration of Conflicts of Interest

It is required for authors to declare all financial, institutional, and personal relationships with other individuals and organizations that could influence (bias) their research.

POLICY ON PLAGIARISM

Plagiarism is not acceptable in Global Journals submissions at all.

Plagiarized content will not be considered for publication. We reserve the right to inform authors' institutions about plagiarism detected either before or after publication. If plagiarism is identified, we will follow COPE guidelines:

Authors are solely responsible for all the plagiarism that is found. The author must not fabricate, falsify or plagiarize existing research data. The following, if copied, will be considered plagiarism:

- Words (language)
- Ideas
- Findings
- Writings
- Diagrams
- Graphs
- Illustrations
- Lectures



- Printed material
- Graphic representations
- Computer programs
- Electronic material
- Any other original work

AUTHORSHIP POLICIES

Global Journals follows the definition of authorship set up by the Open Association of Research Society, USA. According to its guidelines, authorship criteria must be based on:

1. Substantial contributions to the conception and acquisition of data, analysis, and interpretation of findings.
2. Drafting the paper and revising it critically regarding important academic content.
3. Final approval of the version of the paper to be published.

Changes in Authorship

The corresponding author should mention the name and complete details of all co-authors during submission and in manuscript. We support addition, rearrangement, manipulation, and deletions in authors list till the early view publication of the journal. We expect that corresponding author will notify all co-authors of submission. We follow COPE guidelines for changes in authorship.

Copyright

During submission of the manuscript, the author is confirming an exclusive license agreement with Global Journals which gives Global Journals the authority to reproduce, reuse, and republish authors' research. We also believe in flexible copyright terms where copyright may remain with authors/employers/institutions as well. Contact your editor after acceptance to choose your copyright policy. You may follow this form for copyright transfers.

Appealing Decisions

Unless specified in the notification, the Editorial Board's decision on publication of the paper is final and cannot be appealed before making the major change in the manuscript.

Acknowledgments

Contributors to the research other than authors credited should be mentioned in Acknowledgments. The source of funding for the research can be included. Suppliers of resources may be mentioned along with their addresses.

Declaration of funding sources

Global Journals is in partnership with various universities, laboratories, and other institutions worldwide in the research domain. Authors are requested to disclose their source of funding during every stage of their research, such as making analysis, performing laboratory operations, computing data, and using institutional resources, from writing an article to its submission. This will also help authors to get reimbursements by requesting an open access publication letter from Global Journals and submitting to the respective funding source.

PREPARING YOUR MANUSCRIPT

Authors can submit papers and articles in an acceptable file format: MS Word (doc, docx), LaTeX (.tex, .zip or .rar including all of your files), Adobe PDF (.pdf), rich text format (.rtf), simple text document (.txt), Open Document Text (.odt), and Apple Pages (.pages). Our professional layout editors will format the entire paper according to our official guidelines. This is one of the highlights of publishing with Global Journals—authors should not be concerned about the formatting of their paper. Global Journals accepts articles and manuscripts in every major language, be it Spanish, Chinese, Japanese, Portuguese, Russian, French, German, Dutch, Italian, Greek, or any other national language, but the title, subtitle, and abstract should be in English. This will facilitate indexing and the pre-peer review process.

The following is the official style and template developed for publication of a research paper. Authors are not required to follow this style during the submission of the paper. It is just for reference purposes.



Manuscript Style Instruction (Optional)

- Microsoft Word Document Setting Instructions.
- Font type of all text should be Swis721 Lt BT.
- Page size: 8.27" x 11", left margin: 0.65, right margin: 0.65, bottom margin: 0.75.
- Paper title should be in one column of font size 24.
- Author name in font size of 11 in one column.
- Abstract: font size 9 with the word "Abstract" in bold italics.
- Main text: font size 10 with two justified columns.
- Two columns with equal column width of 3.38 and spacing of 0.2.
- First character must be three lines drop-capped.
- The paragraph before spacing of 1 pt and after of 0 pt.
- Line spacing of 1 pt.
- Large images must be in one column.
- The names of first main headings (Heading 1) must be in Roman font, capital letters, and font size of 10.
- The names of second main headings (Heading 2) must not include numbers and must be in italics with a font size of 10.

Structure and Format of Manuscript

The recommended size of an original research paper is under 15,000 words and review papers under 7,000 words. Research articles should be less than 10,000 words. Research papers are usually longer than review papers. Review papers are reports of significant research (typically less than 7,000 words, including tables, figures, and references)

A research paper must include:

- a) A title which should be relevant to the theme of the paper.
- b) A summary, known as an abstract (less than 150 words), containing the major results and conclusions.
- c) Up to 10 keywords that precisely identify the paper's subject, purpose, and focus.
- d) An introduction, giving fundamental background objectives.
- e) Resources and techniques with sufficient complete experimental details (wherever possible by reference) to permit repetition, sources of information must be given, and numerical methods must be specified by reference.
- f) Results which should be presented concisely by well-designed tables and figures.
- g) Suitable statistical data should also be given.
- h) All data must have been gathered with attention to numerical detail in the planning stage.

Design has been recognized to be essential to experiments for a considerable time, and the editor has decided that any paper that appears not to have adequate numerical treatments of the data will be returned unrefereed.

- i) Discussion should cover implications and consequences and not just recapitulate the results; conclusions should also be summarized.
- j) There should be brief acknowledgments.
- k) There ought to be references in the conventional format. Global Journals recommends APA format.

Authors should carefully consider the preparation of papers to ensure that they communicate effectively. Papers are much more likely to be accepted if they are carefully designed and laid out, contain few or no errors, are summarizing, and follow instructions. They will also be published with much fewer delays than those that require much technical and editorial correction.

The Editorial Board reserves the right to make literary corrections and suggestions to improve brevity.



FORMAT STRUCTURE

It is necessary that authors take care in submitting a manuscript that is written in simple language and adheres to published guidelines.

All manuscripts submitted to Global Journals should include:

Title

The title page must carry an informative title that reflects the content, a running title (less than 45 characters together with spaces), names of the authors and co-authors, and the place(s) where the work was carried out.

Author details

The full postal address of any related author(s) must be specified.

Abstract

The abstract is the foundation of the research paper. It should be clear and concise and must contain the objective of the paper and inferences drawn. It is advised to not include big mathematical equations or complicated jargon.

Many researchers searching for information online will use search engines such as Google, Yahoo or others. By optimizing your paper for search engines, you will amplify the chance of someone finding it. In turn, this will make it more likely to be viewed and cited in further works. Global Journals has compiled these guidelines to facilitate you to maximize the web-friendliness of the most public part of your paper.

Keywords

A major lynchpin of research work for the writing of research papers is the keyword search, which one will employ to find both library and internet resources. Up to eleven keywords or very brief phrases have to be given to help data retrieval, mining, and indexing.

One must be persistent and creative in using keywords. An effective keyword search requires a strategy: planning of a list of possible keywords and phrases to try.

Choice of the main keywords is the first tool of writing a research paper. Research paper writing is an art. Keyword search should be as strategic as possible.

One should start brainstorming lists of potential keywords before even beginning searching. Think about the most important concepts related to research work. Ask, "What words would a source have to include to be truly valuable in a research paper?" Then consider synonyms for the important words.

It may take the discovery of only one important paper to steer in the right keyword direction because, in most databases, the keywords under which a research paper is abstracted are listed with the paper.

Numerical Methods

Numerical methods used should be transparent and, where appropriate, supported by references.

Abbreviations

Authors must list all the abbreviations used in the paper at the end of the paper or in a separate table before using them.

Formulas and equations

Authors are advised to submit any mathematical equation using either MathJax, KaTeX, or LaTeX, or in a very high-quality image.

Tables, Figures, and Figure Legends

Tables: Tables should be cautiously designed, uncrowned, and include only essential data. Each must have an Arabic number, e.g., Table 4, a self-explanatory caption, and be on a separate sheet. Authors must submit tables in an editable format and not as images. References to these tables (if any) must be mentioned accurately.



Figures

Figures are supposed to be submitted as separate files. Always include a citation in the text for each figure using Arabic numbers, e.g., Fig. 4. Artwork must be submitted online in vector electronic form or by emailing it.

PREPARATION OF ELETRONIC FIGURES FOR PUBLICATION

Although low-quality images are sufficient for review purposes, print publication requires high-quality images to prevent the final product being blurred or fuzzy. Submit (possibly by e-mail) EPS (line art) or TIFF (halftone/ photographs) files only. MS PowerPoint and Word Graphics are unsuitable for printed pictures. Avoid using pixel-oriented software. Scans (TIFF only) should have a resolution of at least 350 dpi (halftone) or 700 to 1100 dpi (line drawings). Please give the data for figures in black and white or submit a Color Work Agreement form. EPS files must be saved with fonts embedded (and with a TIFF preview, if possible).

For scanned images, the scanning resolution at final image size ought to be as follows to ensure good reproduction: line art: >650 dpi; halftones (including gel photographs): >350 dpi; figures containing both halftone and line images: >650 dpi.

Color charges: Authors are advised to pay the full cost for the reproduction of their color artwork. Hence, please note that if there is color artwork in your manuscript when it is accepted for publication, we would require you to complete and return a Color Work Agreement form before your paper can be published. Also, you can email your editor to remove the color fee after acceptance of the paper.

TIPS FOR WRITING A GOOD QUALITY SOCIAL SCIENCE RESEARCH PAPER

Techniques for writing a good quality human social science research paper:

1. Choosing the topic: In most cases, the topic is selected by the interests of the author, but it can also be suggested by the guides. You can have several topics, and then judge which you are most comfortable with. This may be done by asking several questions of yourself, like "Will I be able to carry out a search in this area? Will I find all necessary resources to accomplish the search? Will I be able to find all information in this field area?" If the answer to this type of question is "yes," then you ought to choose that topic. In most cases, you may have to conduct surveys and visit several places. Also, you might have to do a lot of work to find all the rises and falls of the various data on that subject. Sometimes, detailed information plays a vital role, instead of short information. Evaluators are human: The first thing to remember is that evaluators are also human beings. They are not only meant for rejecting a paper. They are here to evaluate your paper. So present your best aspect.

2. Think like evaluators: If you are in confusion or getting demotivated because your paper may not be accepted by the evaluators, then think, and try to evaluate your paper like an evaluator. Try to understand what an evaluator wants in your research paper, and you will automatically have your answer. Make blueprints of paper: The outline is the plan or framework that will help you to arrange your thoughts. It will make your paper logical. But remember that all points of your outline must be related to the topic you have chosen.

3. Ask your guides: If you are having any difficulty with your research, then do not hesitate to share your difficulty with your guide (if you have one). They will surely help you out and resolve your doubts. If you can't clarify what exactly you require for your work, then ask your supervisor to help you with an alternative. He or she might also provide you with a list of essential readings.

4. Use of computer is recommended: As you are doing research in the field of human social science then this point is quite obvious. Use right software: Always use good quality software packages. If you are not capable of judging good software, then you can lose the quality of your paper unknowingly. There are various programs available to help you which you can get through the internet.

5. Use the internet for help: An excellent start for your paper is using Google. It is a wondrous search engine, where you can have your doubts resolved. You may also read some answers for the frequent question of how to write your research paper or find a model research paper. You can download books from the internet. If you have all the required books, place importance on reading, selecting, and analyzing the specified information. Then sketch out your research paper. Use big pictures: You may use encyclopedias like Wikipedia to get pictures with the best resolution. At Global Journals, you should strictly follow [here](#).



6. Bookmarks are useful: When you read any book or magazine, you generally use bookmarks, right? It is a good habit which helps to not lose your continuity. You should always use bookmarks while searching on the internet also, which will make your search easier.

7. Revise what you wrote: When you write anything, always read it, summarize it, and then finalize it.

8. Make every effort: Make every effort to mention what you are going to write in your paper. That means always have a good start. Try to mention everything in the introduction—what is the need for a particular research paper. Polish your work with good writing skills and always give an evaluator what he wants. Make backups: When you are going to do any important thing like making a research paper, you should always have backup copies of it either on your computer or on paper. This protects you from losing any portion of your important data.

9. Produce good diagrams of your own: Always try to include good charts or diagrams in your paper to improve quality. Using several unnecessary diagrams will degrade the quality of your paper by creating a hodgepodge. So always try to include diagrams which were made by you to improve the readability of your paper. Use of direct quotes: When you do research relevant to literature, history, or current affairs, then use of quotes becomes essential, but if the study is relevant to science, use of quotes is not preferable.

10. Use proper verb tense: Use proper verb tenses in your paper. Use past tense to present those events that have happened. Use present tense to indicate events that are going on. Use future tense to indicate events that will happen in the future. Use of wrong tenses will confuse the evaluator. Avoid sentences that are incomplete.

11. Pick a good study spot: Always try to pick a spot for your research which is quiet. Not every spot is good for studying.

12. Know what you know: Always try to know what you know by making objectives, otherwise you will be confused and unable to achieve your target.

13. Use good grammar: Always use good grammar and words that will have a positive impact on the evaluator; use of good vocabulary does not mean using tough words which the evaluator has to find in a dictionary. Do not fragment sentences. Eliminate one-word sentences. Do not ever use a big word when a smaller one would suffice.

Verbs have to be in agreement with their subjects. In a research paper, do not start sentences with conjunctions or finish them with prepositions. When writing formally, it is advisable to never split an infinitive because someone will (wrongly) complain. Avoid clichés like a disease. Always shun irritating alliteration. Use language which is simple and straightforward. Put together a neat summary.

14. Arrangement of information: Each section of the main body should start with an opening sentence, and there should be a changeover at the end of the section. Give only valid and powerful arguments for your topic. You may also maintain your arguments with records.

15. Never start at the last minute: Always allow enough time for research work. Leaving everything to the last minute will degrade your paper and spoil your work.

16. Multitasking in research is not good: Doing several things at the same time is a bad habit in the case of research activity. Research is an area where everything has a particular time slot. Divide your research work into parts, and do a particular part in a particular time slot.

17. Never copy others' work: Never copy others' work and give it your name because if the evaluator has seen it anywhere, you will be in trouble. Take proper rest and food: No matter how many hours you spend on your research activity, if you are not taking care of your health, then all your efforts will have been in vain. For quality research, take proper rest and food.

18. Go to seminars: Attend seminars if the topic is relevant to your research area. Utilize all your resources.

Refresh your mind after intervals: Try to give your mind a rest by listening to soft music or sleeping in intervals. This will also improve your memory. Acquire colleagues: Always try to acquire colleagues. No matter how sharp you are, if you acquire colleagues, they can give you ideas which will be helpful to your research.

19. Think technically: Always think technically. If anything happens, search for its reasons, benefits, and demerits. Think and then print: When you go to print your paper, check that tables are not split, headings are not detached from their descriptions, and page sequence is maintained.



20. Adding unnecessary information: Do not add unnecessary information like "I have used MS Excel to draw graphs." Irrelevant and inappropriate material is superfluous. Foreign terminology and phrases are not apropos. One should never take a broad view. Analogy is like feathers on a snake. Use words properly, regardless of how others use them. Remove quotations. Puns are for kids, not grunt readers. Never oversimplify: When adding material to your research paper, never go for oversimplification; this will definitely irritate the evaluator. Be specific. Never use rhythmic redundancies. Contractions shouldn't be used in a research paper. Comparisons are as terrible as clichés. Give up ampersands, abbreviations, and so on. Remove commas that are not necessary. Parenthetical words should be between brackets or commas. Understatement is always the best way to put forward earth-shaking thoughts. Give a detailed literary review.

21. Report concluded results: Use concluded results. From raw data, filter the results, and then conclude your studies based on measurements and observations taken. An appropriate number of decimal places should be used. Parenthetical remarks are prohibited here. Proofread carefully at the final stage. At the end, give an outline to your arguments. Spot perspectives of further study of the subject. Justify your conclusion at the bottom sufficiently, which will probably include examples.

22. Upon conclusion: Once you have concluded your research, the next most important step is to present your findings. Presentation is extremely important as it is the definite medium through which your research is going to be in print for the rest of the crowd. Care should be taken to categorize your thoughts well and present them in a logical and neat manner. A good quality research paper format is essential because it serves to highlight your research paper and bring to light all necessary aspects of your research.

INFORMAL GUIDELINES OF RESEARCH PAPER WRITING

Key points to remember:

- Submit all work in its final form.
- Write your paper in the form which is presented in the guidelines using the template.
- Please note the criteria peer reviewers will use for grading the final paper.

Final points:

One purpose of organizing a research paper is to let people interpret your efforts selectively. The journal requires the following sections, submitted in the order listed, with each section starting on a new page:

The introduction: This will be compiled from reference matter and reflect the design processes or outline of basis that directed you to make a study. As you carry out the process of study, the method and process section will be constructed like that. The results segment will show related statistics in nearly sequential order and direct reviewers to similar intellectual paths throughout the data that you gathered to carry out your study.

The discussion section:

This will provide understanding of the data and projections as to the implications of the results. The use of good quality references throughout the paper will give the effort trustworthiness by representing an alertness to prior workings.

Writing a research paper is not an easy job, no matter how trouble-free the actual research or concept. Practice, excellent preparation, and controlled record-keeping are the only means to make straightforward progression.

General style:

Specific editorial column necessities for compliance of a manuscript will always take over from directions in these general guidelines.

To make a paper clear: Adhere to recommended page limits.



Mistakes to avoid:

- Insertion of a title at the foot of a page with subsequent text on the next page.
- Separating a table, chart, or figure—confine each to a single page.
- Submitting a manuscript with pages out of sequence.
- In every section of your document, use standard writing style, including articles ("a" and "the").
- Keep paying attention to the topic of the paper.
- Use paragraphs to split each significant point (excluding the abstract).
- Align the primary line of each section.
- Present your points in sound order.
- Use present tense to report well-accepted matters.
- Use past tense to describe specific results.
- Do not use familiar wording; don't address the reviewer directly. Don't use slang or superlatives.
- Avoid use of extra pictures—include only those figures essential to presenting results.

Title page:

Choose a revealing title. It should be short and include the name(s) and address(es) of all authors. It should not have acronyms or abbreviations or exceed two printed lines.

Abstract: This summary should be two hundred words or less. It should clearly and briefly explain the key findings reported in the manuscript and must have precise statistics. It should not have acronyms or abbreviations. It should be logical in itself. Do not cite references at this point.

An abstract is a brief, distinct paragraph summary of finished work or work in development. In a minute or less, a reviewer can be taught the foundation behind the study, common approaches to the problem, relevant results, and significant conclusions or new questions.

Write your summary when your paper is completed because how can you write the summary of anything which is not yet written? Wealth of terminology is very essential in abstract. Use comprehensive sentences, and do not sacrifice readability for brevity; you can maintain it succinctly by phrasing sentences so that they provide more than a lone rationale. The author can at this moment go straight to shortening the outcome. Sum up the study with the subsequent elements in any summary. Try to limit the initial two items to no more than one line each.

Reason for writing the article—theory, overall issue, purpose.

- Fundamental goal.
- To-the-point depiction of the research.
- Consequences, including definite statistics—if the consequences are quantitative in nature, account for this; results of any numerical analysis should be reported. Significant conclusions or questions that emerge from the research.

Approach:

- Single section and succinct.
- An outline of the job done is always written in past tense.
- Concentrate on shortening results—limit background information to a verdict or two.
- Exact spelling, clarity of sentences and phrases, and appropriate reporting of quantities (proper units, important statistics) are just as significant in an abstract as they are anywhere else.

Introduction:

The introduction should "introduce" the manuscript. The reviewer should be presented with sufficient background information to be capable of comprehending and calculating the purpose of your study without having to refer to other works. The basis for the study should be offered. Give the most important references, but avoid making a comprehensive appraisal of the topic. Describe the problem visibly. If the problem is not acknowledged in a logical, reasonable way, the reviewer will give no attention to your results. Speak in common terms about techniques used to explain the problem, if needed, but do not present any particulars about the protocols here.



The following approach can create a valuable beginning:

- Explain the value (significance) of the study.
- Defend the model—why did you employ this particular system or method? What is its compensation? Remark upon its appropriateness from an abstract point of view as well as pointing out sensible reasons for using it.
- Present a justification. State your particular theory(-ies) or aim(s), and describe the logic that led you to choose them.
- Briefly explain the study's tentative purpose and how it meets the declared objectives.

Approach:

Use past tense except for when referring to recognized facts. After all, the manuscript will be submitted after the entire job is done. Sort out your thoughts; manufacture one key point for every section. If you make the four points listed above, you will need at least four paragraphs. Present surrounding information only when it is necessary to support a situation. The reviewer does not desire to read everything you know about a topic. Shape the theory specifically—do not take a broad view.

As always, give awareness to spelling, simplicity, and correctness of sentences and phrases.

Procedures (methods and materials):

This part is supposed to be the easiest to carve if you have good skills. A soundly written procedures segment allows a capable scientist to replicate your results. Present precise information about your supplies. The suppliers and clarity of reagents can be helpful bits of information. Present methods in sequential order, but linked methodologies can be grouped as a segment. Be concise when relating the protocols. Attempt to give the least amount of information that would permit another capable scientist to replicate your outcome, but be cautious that vital information is integrated. The use of subheadings is suggested and ought to be synchronized with the results section.

When a technique is used that has been well-described in another section, mention the specific item describing the way, but draw the basic principle while stating the situation. The purpose is to show all particular resources and broad procedures so that another person may use some or all of the methods in one more study or referee the scientific value of your work. It is not to be a step-by-step report of the whole thing you did, nor is a methods section a set of orders.

Materials:

Materials may be reported in part of a section or else they may be recognized along with your measures.

Methods:

- Report the method and not the particulars of each process that engaged the same methodology.
- Describe the method entirely.
- To be succinct, present methods under headings dedicated to specific dealings or groups of measures.
- Simplify—detail how procedures were completed, not how they were performed on a particular day.
- If well-known procedures were used, account for the procedure by name, possibly with a reference, and that's all.

Approach:

It is embarrassing to use vigorous voice when documenting methods without using first person, which would focus the reviewer's interest on the researcher rather than the job. As a result, when writing up the methods, most authors use third person passive voice.

Use standard style in this and every other part of the paper—avoid familiar lists, and use full sentences.

What to keep away from:

- Resources and methods are not a set of information.
- Skip all descriptive information and surroundings—save it for the argument.
- Leave out information that is immaterial to a third party.



Results:

The principle of a results segment is to present and demonstrate your conclusion. Create this part as entirely objective details of the outcome, and save all understanding for the discussion.

The page length of this segment is set by the sum and types of data to be reported. Use statistics and tables, if suitable, to present consequences most efficiently.

You must clearly differentiate material which would usually be incorporated in a study editorial from any unprocessed data or additional appendix matter that would not be available. In fact, such matters should not be submitted at all except if requested by the instructor.

Content:

- Sum up your conclusions in text and demonstrate them, if suitable, with figures and tables.
- In the manuscript, explain each of your consequences, and point the reader to remarks that are most appropriate.
- Present a background, such as by describing the question that was addressed by creation of an exacting study.
- Explain results of control experiments and give remarks that are not accessible in a prescribed figure or table, if appropriate.
- Examine your data, then prepare the analyzed (transformed) data in the form of a figure (graph), table, or manuscript.

What to stay away from:

- Do not discuss or infer your outcome, report surrounding information, or try to explain anything.
- Do not include raw data or intermediate calculations in a research manuscript.
- Do not present similar data more than once.
- A manuscript should complement any figures or tables, not duplicate information.
- Never confuse figures with tables—there is a difference.

Approach:

As always, use past tense when you submit your results, and put the whole thing in a reasonable order.

Put figures and tables, appropriately numbered, in order at the end of the report.

If you desire, you may place your figures and tables properly within the text of your results section.

Figures and tables:

If you put figures and tables at the end of some details, make certain that they are visibly distinguished from any attached appendix materials, such as raw facts. Whatever the position, each table must be titled, numbered one after the other, and include a heading. All figures and tables must be divided from the text.

Discussion:

The discussion is expected to be the trickiest segment to write. A lot of papers submitted to the journal are discarded based on problems with the discussion. There is no rule for how long an argument should be.

Position your understanding of the outcome visibly to lead the reviewer through your conclusions, and then finish the paper with a summing up of the implications of the study. The purpose here is to offer an understanding of your results and support all of your conclusions, using facts from your research and generally accepted information, if suitable. The implication of results should be fully described.

Infer your data in the conversation in suitable depth. This means that when you clarify an observable fact, you must explain mechanisms that may account for the observation. If your results vary from your prospect, make clear why that may have happened. If your results agree, then explain the theory that the proof supported. It is never suitable to just state that the data approved the prospect, and let it drop at that. Make a decision as to whether each premise is supported or discarded or if you cannot make a conclusion with assurance. Do not just dismiss a study or part of a study as "uncertain."



Research papers are not acknowledged if the work is imperfect. Draw what conclusions you can based upon the results that you have, and take care of the study as a finished work.

- You may propose future guidelines, such as how an experiment might be personalized to accomplish a new idea.
- Give details of all of your remarks as much as possible, focusing on mechanisms.
- Make a decision as to whether the tentative design sufficiently addressed the theory and whether or not it was correctly restricted. Try to present substitute explanations if they are sensible alternatives.
- One piece of research will not counter an overall question, so maintain the large picture in mind. Where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

Approach:

When you refer to information, differentiate data generated by your own studies from other available information. Present work done by specific persons (including you) in past tense.

Describe generally acknowledged facts and main beliefs in present tense.

THE ADMINISTRATION RULES

Administration Rules to Be Strictly Followed before Submitting Your Research Paper to Global Journals Inc.

Please read the following rules and regulations carefully before submitting your research paper to Global Journals Inc. to avoid rejection.

Segment draft and final research paper: You have to strictly follow the template of a research paper, failing which your paper may get rejected. You are expected to write each part of the paper wholly on your own. The peer reviewers need to identify your own perspective of the concepts in your own terms. Please do not extract straight from any other source, and do not rephrase someone else's analysis. Do not allow anyone else to proofread your manuscript.

Written material: You may discuss this with your guides and key sources. Do not copy anyone else's paper, even if this is only imitation, otherwise it will be rejected on the grounds of plagiarism, which is illegal. Various methods to avoid plagiarism are strictly applied by us to every paper, and, if found guilty, you may be blacklisted, which could affect your career adversely. To guard yourself and others from possible illegal use, please do not permit anyone to use or even read your paper and file.



CRITERION FOR GRADING A RESEARCH PAPER (COMPILATION)
BY GLOBAL JOURNALS

Please note that following table is only a Grading of "Paper Compilation" and not on "Performed/Stated Research" whose grading solely depends on Individual Assigned Peer Reviewer and Editorial Board Member. These can be available only on request and after decision of Paper. This report will be the property of Global Journals

Topics	Grades		
	A-B	C-D	E-F
<i>Abstract</i>	Clear and concise with appropriate content, Correct format. 200 words or below	Unclear summary and no specific data, Incorrect form Above 200 words	No specific data with ambiguous information Above 250 words
<i>Introduction</i>	Containing all background details with clear goal and appropriate details, flow specification, no grammar and spelling mistake, well organized sentence and paragraph, reference cited	Unclear and confusing data, appropriate format, grammar and spelling errors with unorganized matter	Out of place depth and content, hazy format
<i>Methods and Procedures</i>	Clear and to the point with well arranged paragraph, precision and accuracy of facts and figures, well organized subheads	Difficult to comprehend with embarrassed text, too much explanation but completed	Incorrect and unorganized structure with hazy meaning
<i>Result</i>	Well organized, Clear and specific, Correct units with precision, correct data, well structuring of paragraph, no grammar and spelling mistake	Complete and embarrassed text, difficult to comprehend	Irregular format with wrong facts and figures
<i>Discussion</i>	Well organized, meaningful specification, sound conclusion, logical and concise explanation, highly structured paragraph reference cited	Wordy, unclear conclusion, spurious	Conclusion is not cited, unorganized, difficult to comprehend
<i>References</i>	Complete and correct format, well organized	Beside the point, Incomplete	Wrong format and structuring



INDEX

A

Abrasive · 5
Afflicted · 22
Alleged · 4
Anarchism · 4, 7, 10
Arbitrariness · 3

B

Bashing · 2
Behest · 3
Belligerency · 1
Benevolent · 13, 17

C

Coercion · 3
Contagious · 3, 26
Creeks · 4, 18

D

Despotism · 3
Deterred · 10
Digging · 20
Draconian · 10

E

Enshrined · 5
Erected · 3

G

Grandeur · 4, 10

I

Imperialistic · 9
Insularity · 3

L

Lethal · 23

M

Massacred · 10, 14
Mendacity · 3
Merely · 7, 32

P

Penetrate · 7, 31
Perishable · 29
Persuaded · 6, 15, 17
Petered · 20
Precarious · 34
Pristine · 4, 18

R

Ravaged · 7
Reluctant · 10
Renegotiating · 3
Repatriates · 15
Reptiles · 7
Revolted · 30
Rhetoric · 9

S

Sapient · 27
Scanty · 23
Secrecy · 3
Senile · 6
Slaughtered · 27

T

Tacitly · 13, 21, 1
Traversed · 8
Treachery · 10

V

Vigilance · 7



save our planet



Global Journal of Human Social Science

Visit us on the Web at www.GlobalJournals.org | www.SocialScienceResearch.org
or email us at helpdesk@globaljournals.org



ISSN 975587

© Global Journals