



GLOBAL JOURNAL OF MEDICAL RESEARCH: C
MICROBIOLOGY AND PATHOLOGY
Volume 14 Issue 4 Version 1.0 Year 2014
Type: Double Blind Peer Reviewed International Research Journal
Publisher: Global Journals Inc. (USA)
Online ISSN: 2249-4618 & Print ISSN: 0975-5888

Preparation of Microcapsules Containing Grape Polyphenols and A-Tocopherol by Spray-Gelling Method

By Shinji Arakawa, Yoshinari Taguchi & Masato Tanaka
Niigata University, Japan

Abstract- It was tried to prepare the microcapsules containing the (W/O) emulsion or the (S/O) dispersion by the Spray-Gelling method. The shell material was gelled sodium alginate. In the case of preparation of the microcapsules containing the (W/O) emulsion, grape polyphenol as the first core was dissolved in the inner water droplets which were dispersed in α -tocopherol oil as the second core. In the case of preparation of the microcapsules containing the (S/O) dispersion, grape polyphenol powder as the first core was dispersed in the α -tocopherol oil as the second core. Two kinds of multiple emulsions such as the (W/O)/W emulsion and the (S/O)/W emulsion, in which the sodium alginate aqueous solution was the continuous water phase, were prepared and sprayed into the calcium chloride aqueous solution as the gelling agent through the nozzle. The microcapsules with the mean diameters from 20 to 70 μm could be prepared. The microencapsulation efficiency was increased by changing the (W/O) emulsion to the (S/O) dispersion.

Keywords: *sodium alginate microcapsule, multiple emulsion, grape polyphenol, spray-gelling method, dual core materials, α -tocopherol.*

GJMR-C Classification : *NLMC Code: QW 1, QW 4*



Strictly as per the compliance and regulations of:



© 2014. Shinji Arakawa, Yoshinari Taguchi & Masato Tanaka. This is a research/review paper, distributed under the terms of the Creative Commons Attribution-Noncommercial 3.0 Unported License (<http://creativecommons.org/licenses/by-nc/3.0/>), permitting all non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

Preparation of Microcapsules Containing Grape Polyphenols and α -Tocopherol by Spray-Gelling Method

Shinji Arakawa ^α, Yoshinari Taguchi ^σ & Masato Tanaka ^ρ

Abstract- It was tried to prepare the microcapsules containing the (W/O) emulsion or the (S/O) dispersion by the Spray-Gelling method. The shell material was gelled sodium alginate. In the case of preparation of the microcapsules containing the (W/O) emulsion, grape polyphenol as the first core was dissolved in the inner water droplets which were dispersed in α -tocopherol oil as the second core. In the case of preparation of the microcapsules containing the (S/O) dispersion, grape polyphenol powder as the first core was dispersed in the α -tocopherol oil as the second core. Two kinds of multiple emulsions such as the (W/O)/W emulsion and the (S/O)/W emulsion, in which the sodium alginate aqueous solution was the continuous water phase, were prepared and sprayed into the calcium chloride aqueous solution as the gelling agent through the nozzle. The microcapsules with the mean diameters from 20 to 70 μm could be prepared. The microencapsulation efficiency was increased by changing the (W/O) emulsion to the (S/O) dispersion.

Keywords: sodium alginate microcapsule, multiple emulsion, grape polyphenol, spray-gelling method, dual core materials, α -tocopherol.

I. INTRODUCTION

Hitherto, many kinds of microcapsules have been prepared and applied in the various fields such as cosmetics, food, drugs, paintings, adhesives, textile, electric materials and so on [1-3].

The main functions of microcapsules are to protect the core materials from environment for a long time, to optionally release the core materials according to stimuli, to modify the surface of core material [1]. The microcapsules with these functions can be prepared by selecting the core and the shell materials with the desired chemical and physicochemical properties and by developing the microencapsulation procedure. If a few core materials with the different chemical and physicochemical properties could be microencapsulated at the same time, the multiple functions may be given to the microcapsules. For an example, in the case of applying the microcapsules to the food, cosmetics, drugs and textile, the nontoxic materials have to be used as the shell material. Furthermore, if one of the core materials tried to microencapsulate at the same time is hydrophilic and the other hydrophobic,

the newly devised microencapsulation procedure has to be developed by using the designated core and shell materials.

It is well known that grape polyphenol is water soluble and has a few physiological effects such as anti-aging effect and anti-oxidation effect, but has a few defects such as a bitter taste and reaction activity. Accordingly, if grape polyphenol could be microencapsulated with some edible shell materials, the application fields of grape polyphenol may be considerably extended [4].

On the other hand, α -tocopherol (vitamin E) has a few physiological effects such as anti-aging effect and prevention effect of lifestyle related disease, but has a few defects such as light and heat destruction and easy oxidization [5-7]. Accordingly, if α -tocopherol oil could be microencapsulated with some nontoxic materials, various application fields for α -tocopherol may be expected. Furthermore, the microcapsules with the multiple functions can be prepared by microencapsulating these core materials at the same time. However, as α -tocopherol is hydrophobic and grape polyphenol is water soluble, in order to prepare the microcapsules containing these core materials as much as possible, it is necessary to develop the preparation method by using the nontoxic shell materials.

The spray methods such as spray drying, spray chilled and spray gelling for preparing the various kinds of microcapsules are well known to be the effective methods, because the selectivity for the core and the shell materials is extremely wide and the continuous production is capable [8,9]. Also, the diameters of microcapsules can be easily controlled by changing the volumetric flow velocity of feed and the spraying pressure [10-12]. Accordingly, the spray method may be suitable to the preparation of microcapsules containing the dual cores with the different chemical and physicochemical properties at the same time.

The purposes of this study are to develop the preparation method of microcapsules containing the hydrophilic and the hydrophobic core materials, to characterize the microcapsules and to discuss the formation mechanism.

Author α σ ρ : Graduate School of Science and Technology, Niigata University, Niigata, Japan. e-mail: tanaka@eng.niigata-u.ac.jp

II. EXPERIMENTAL

a) Materials

Materials used to prepare the microcapsules containing the dual core materials were as follows.

Grape Polyphenol (GP) (Sunprite Ind. Co., Ltd, Tokyo, Japan) was used as the first core material and α -tocopherol (α -oil) (Wako Pure Chemical Ind. Co., Ltd, Tokyo, Japan) was used as the second core material. Sodium Alginate (Alg•Na) (Wako Pure Chemical Ind. Co., Ltd, Tokyo, Japan) was used as the shell material. Calcium chloride (Wako Pure Chemical Ind. Co., Ltd, Tokyo, Japan) was used as the gelling agent for sodium alginate. Poem PR-100, DAO-7S and Soy bean Lecithin (SL) (Riken Vitamin Ind. Co., Ltd, Tokyo, Japan) were used as the oil soluble surfactants. ML-750 (SY Glystar : Sakamoto Yakuin Ind. Co., Ltd, Tokyo, Japan) was the water soluble surfactant.

b) Verification of effect of oil soluble surfactant species on stability of (W/O) emulsion and (W/O)/W emulsion

In order to increase the content of core materials as much as possible, it is necessary to stabilize the (W/O) emulsion and the (W/O)/W emulsion

with the help of surfactant species. For this purpose, the effect of oil soluble surfactant species on the stability of (W/O) emulsion and the (W/O)/W emulsion was estimated as follows.

The GP aqueous solution of a given volume was poured into the α -oil dissolving the oil soluble surfactant and homogenized to form the (W/O) emulsion.

Then, the (W/O) emulsion was observed by visual confirmation at the constant time intervals. If the stability of (W/O) emulsion is lower, the (W/O) emulsion may be broken and the phase separation may be observed.

Furthermore, the (W/O) emulsion thus prepared was poured into the Alg•Na aqueous solution and homogenized to form the (W/O)/W emulsion. The stability of (W/O)/W emulsion was observed similarly as stated just above. If the stability of the (W/O)/W emulsion is lower, the (W/O)/W emulsion may be broken and the phase separation may be observed.

c) Preparation of microcapsules

The flow chart and the schematic diagram of experimental apparatus for preparing the microcapsules are shown in Figure 1 and Figure 2, respectively.

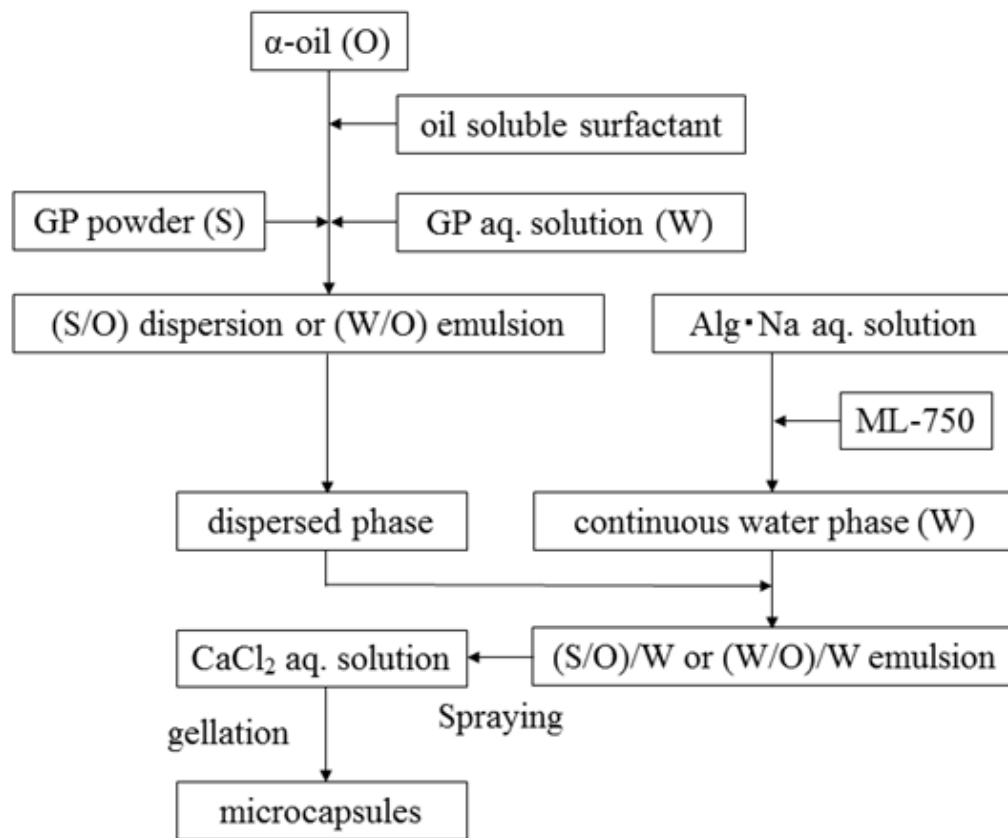


Figure 1 : Flow chart for preparing microcapsules

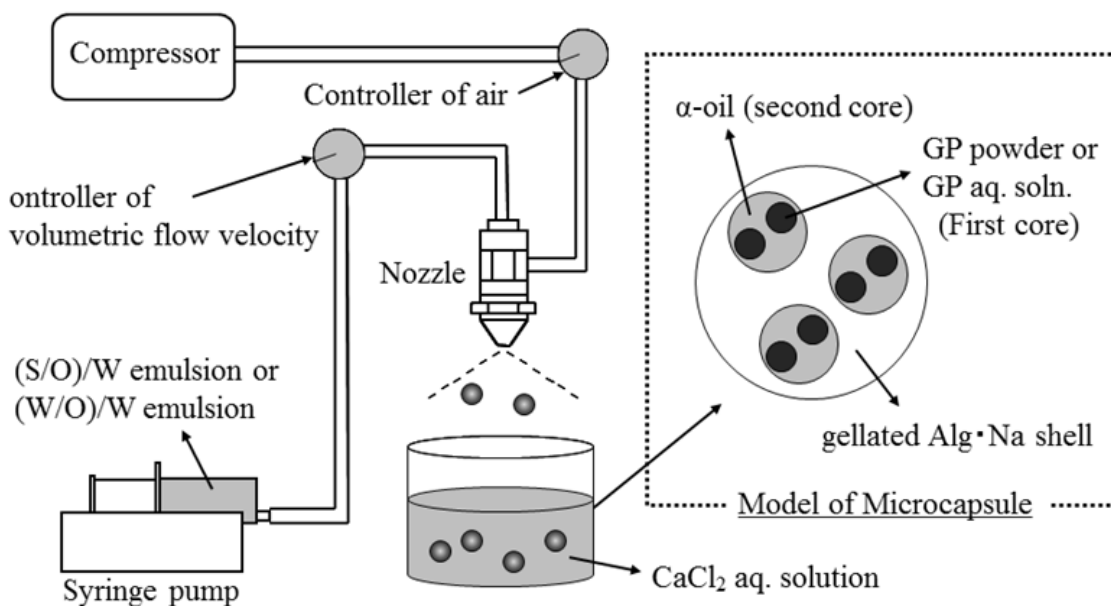


Figure 2 : Schematic diagram of experimental apparatus

GP of a given weight was dissolved in distilled water of 4.0cm³ to prepare the aqueous solution of 20wt%. The GP aqueous solution was poured into the α -oil together with the oil soluble surfactant to form the (W/O) emulsion by homogenizing with the rotor-stator homogenizer (Nihon Seiki Seisakusho Co., Ltd, Tokyo, Japan).

On the other hand, two kinds of the (S/O) dispersions, where GP powder was dispersed in the α -oil, were prepared as follows.

First, the (S/O) dispersion was prepared by directly adding GP powder into the α -oil and stirred.

Second, the (S/O) dispersion was prepared by adding the GP aqueous solution into the α -oil, forming the (W/O) emulsion and then, by removing water from the (W/O) emulsion as shown in Figure 3. In this operation, the time for removing water from the (W/O) emulsion was changed from 0 to 12h at 50°C in order to change the diameter of inner water droplets, namely the diameter of GP powder. Furthermore polyethylene glycol (PEG 600) was dissolved in the inner water phase in order to try to investigate whether the content of GP could be increased or not.

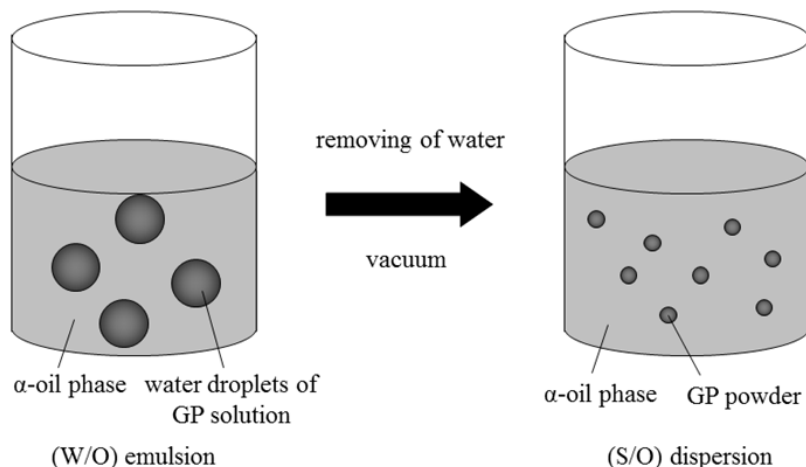


Figure 3 : Scheme for changing (W/O) emulsion to (S/O) dispersion

Then, the (W/O) emulsion or the (S/O) dispersion thus prepared was poured into the Alg-Na aqueous solution dissolving the water soluble surfactant (ML-750) and stirred to form the (W/O)/W emulsion or the (S/O)/W emulsion by homogenizing.

The (W/O)/W emulsion or the (S/O)/W emulsion was sprayed into the calcium chloride aqueous solution through the nozzle with the diameter of 1 mm to prepare the microcapsules containing the (W/O) emulsion or the (S/O) dispersion.

In this fundamental experiment, the spraying conditions such as the volumetric flow velocity of feed and the spraying pressure, the oil soluble surfactant species and the time for removing water from the (W/O) emulsion were changed stepwise. The experimental conditions are shown in Table 1.

Table 1 : Experimental conditions

Preparation of (W/O)emulsion : 60°C, 12000rpm, 5min		
water phase	20wt% GP aq. soln.	4.0 g
oil phase	α-oil	14.4 g
surfactant	Poem PR-100, SL, DAO-7S	1.60 g
Preparation of (W/O)/W emulsion or (S/O)/W emulsion : 60°C, 8000rpm, 5min		
dispersed phase	(W/O) emulsion, (S/O) emulsion	10.0 g
continuous phase	Alg.Na aq. soln.	89.1 g
surfactant	ML-750	0.9 g
Preparation of (S/O) dispersion		
Time for removing water		0, 2, 4, 6, 8, 10, 12 h (60°C)
Spraying conditions		
gellation solution	2wt% CaCl ₂ aq. soln.	4500 g
	Spraying pressure	P=0.1~0.5 MPa
	Volumetric flow velocity	V _R =1~5 ml/min

d) Characterization

Mean diameter

The diameters of inner water droplets and GP powder were measured by the instrument for measuring the particle size (Otsuka Denshi Co., Ltd, Tokyo, Japan: ELS-8000).

The diameters of microcapsules were measured by the particle size analyzer (Shimazu Seisakusho Co., Ltd, Kyoto, Japan: SALD-3000)

Here, the mean diameters (dp) were obtained as the mean Sauter diameters.

Microencapsulation efficiency

The microcapsules of a given weight were added into distilled water of 100 cm³, broken by the homogenizer and then, irradiated supersonic for 10 min to perfectly dissolve GP in the water phase.

The amount of GP dissolved in the sampled water was measured by the spectrophotometer.

For this measurement, the calibration curve between absorption degree and the concentration of GP was obtained beforehand.

The microencapsulation efficiency (Fc) was estimated by the following equation.

Observation of emulsion and microcapsules

The (W/O)/W emulsion, the (S/O)/W emulsion and the microcapsules were observed by the optical microscope (OLXMPUS Co., Ltd, Tokyo, Japan: BHT-MV) and their photographs were taken by digital camera. From these photographs, the stability of the multiple emulsions and the formation of microcapsules were observed.

the (W/O) emulsion (Figure 4a) and the (W/O)/W emulsion (Figure 4b). Here, the transient feature of each emulsion was measured to estimate the stability. From Figure 4a, the following valuable results were obtained.

Just after preparing the (W/O) emulsion, in the case of PR-100 and SL, the (W/O) emulsion was brown color, but in the case of DAO-7S, the (W/O) emulsion was dark brown color. Here, the dark brown color means that the (W/O) emulsion is broken and the GP aqueous solution is separated.

III. RESULTS & DISCUSSION

a) Effect of oil surfactant species on stability of (W/O) emulsion and (W/O)/W emulsion

Figure 4 shows the results investigating the effect of oil soluble surfactant species on the stability of

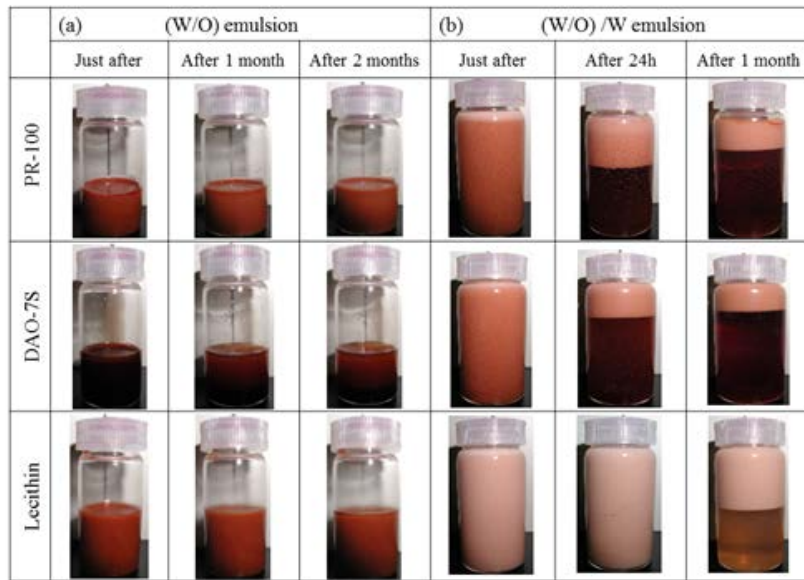


Figure 4 : Effect of oil surfactant species on stability of (W/O) emulsion and (W/O)/W emulsion

After 1 month and 2 months, the (W/O) emulsions prepared with PR-100 and SL were stable and brown color. Similarly, from Figure 4b, the following results were obtained.

Just after preparing the (W/O)/W emulsion, in the case of SL, the (W/O)/W emulsion was stable and brown white color due to keeping the (W/O)/W emulsion, but in the case of PR-100 and DAO-7S, the (W/O)/W emulsions were quasi stable and brown color.

After 24h, the (W/O)/W emulsions prepared with PR-100 and DAO-7S were broken and the GP aqueous solution was separated. However, the (W/O)/W emulsion prepared with SL was stable and brown white color. After 1 month, the (W/O) droplets was kept and floated on the AgI•Na aqueous solution, although a little GP

aqueous solution dissolved in the AgI•Na aqueous solution.

From these results, it was found that the (W/O) emulsion and the (W/O)/W emulsion prepared with SL were stable. Hereafter, SL as the oil soluble surfactant was used for preparing the (W/O) emulsion and the (W/O)/W emulsion.

b) Observation of (W/O)/W emulsion and microcapsules

Figure 5 shows the optical microscopic photograph of the (W/O)/W emulsion. The GP aqueous solution droplets are dark color and observed in the α-oil droplets which are dispersing in the Alg•Na aqueous solution. The GP aqueous solution droplets were found to stably disperse in the α-oil droplet.

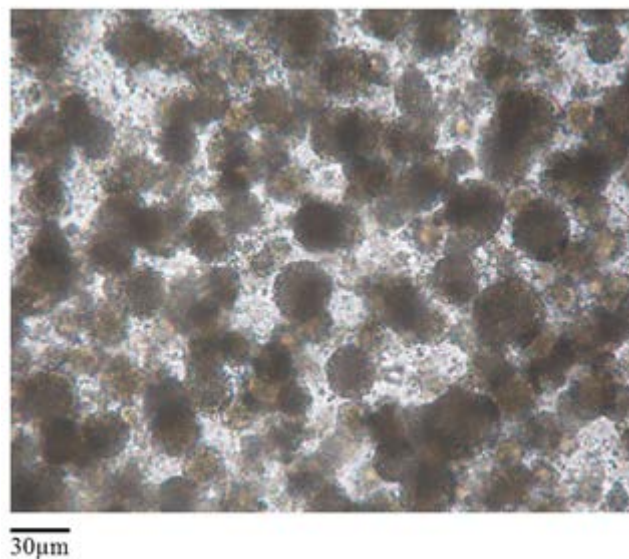


Figure 5 : Optical microscopic photographs of (W/O)/W emulsion



Figure 6 shows the optical microscopic photographs of microcapsules prepared under the same conditions as in Figure 5. From these

photographs, it was found that there were spherical and irregular microcapsules and many α -oil droplets were microencapsulated well with the gelled Alg•Na shell.

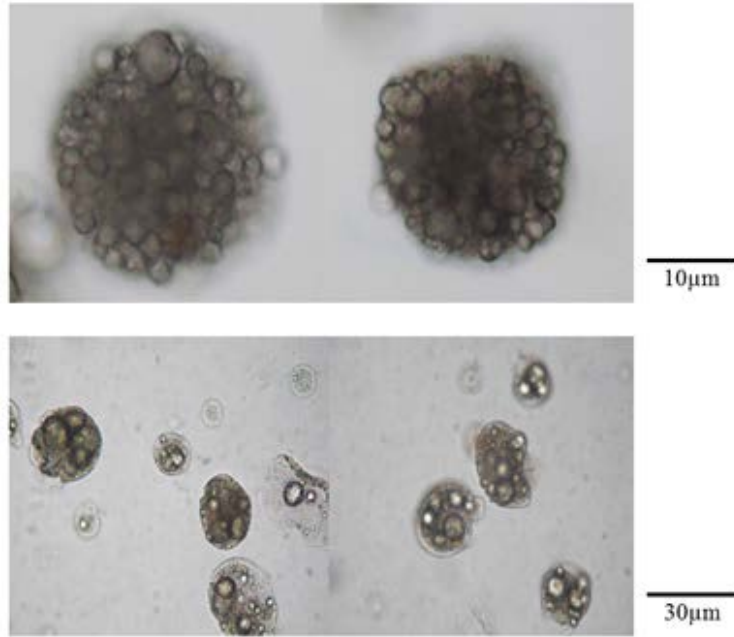


Figure 6 : Optical microscopic photographs of microcapsules

c) *Effects of spraying pressure and volumetric flow velocity on mean diameters of microcapsules and microencapsulation efficiency*

Figure 7 shows the dependences of the mean diameters (d_p) and their dispersion degree (σ/d_p) of microcapsules on the spraying pressure (P). Here, σ is the standard deviation of distribution of diameters. The mean diameters and the dispersion degrees decreased from 63 μm to 25 μm and from 3.2 to 1.7 with increasing the spraying pressure, respectively and almost become

constant at $P=0.3[\text{MPa}]$. From these results, it was found that the smaller and more uniform microcapsules could be prepared by increasing the spraying pressure. Figure 8 shows the dependence of the microencapsulation efficiency (λ) on the spraying pressure. The microencapsulation efficiency was almost kept constant ($\lambda=30\%$) in spite of increasing the spraying pressure. This lower microencapsulation efficiency should be attributable to the unstable (W/O) emulsion.

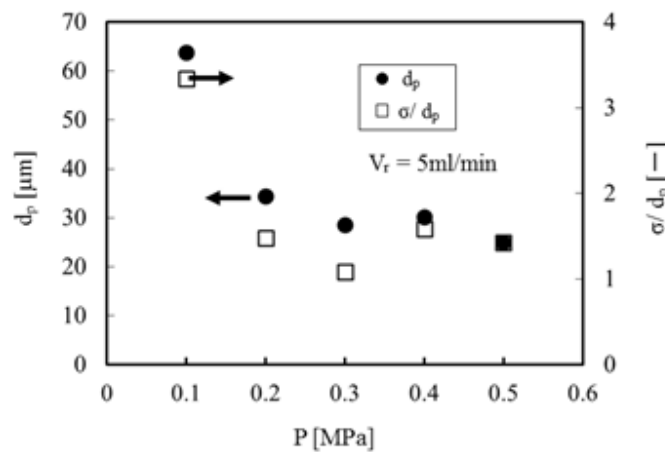


Figure 7 : Dependences of mean diameter and dispersion degree on Spraying pressure

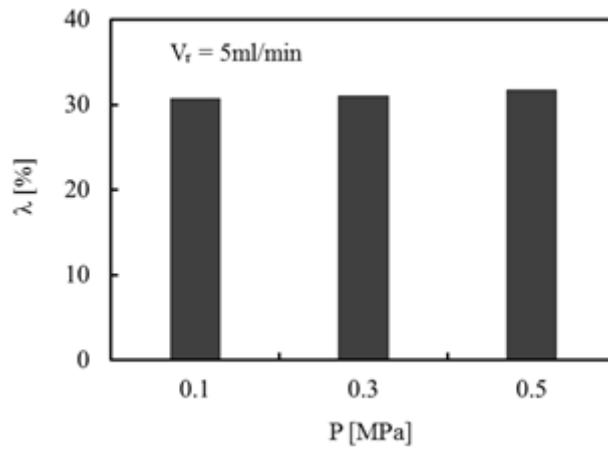


Figure 8 : Dependences of microencapsulation efficiency on spraying pressure

Figure 9 shows the dependencies of the mean diameters and their dispersion degrees of microcapsules on the volumetric flow velocity (V_R) of the (W/O)/W emulsion. With increasing the volumetric flow velocity of the (W/O)/W, the mean diameter increased

from $15\mu\text{m}$ to $25\mu\text{m}$ and the dispersion degree decreased from 2.0 to 1.2. From these results, it was found that the larger and more uniform microcapsules could be prepared by increasing the volumetric flow velocity of the (W/O)/W emulsion.

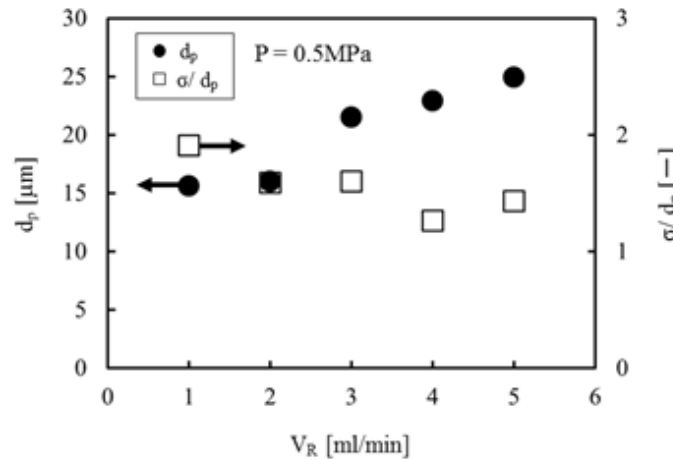


Figure 9 : Dependences of mean diameter and dispersion degree on volumetric flow velocity

Figure 10 shows the dependence of the microencapsulation efficiency on the volumetric flow velocity of the (W/O)/W emulsion. The microencapsulation efficiency slightly increased from 28.0% to 32.0%. However, the microencapsulation efficiency is very low because of the unstable (W/O) emulsion, too.

apsulation efficiency slightly increased from 28.0% to 32.0%. However, the microencapsulation efficiency is very low because of the unstable (W/O) emulsion, too.

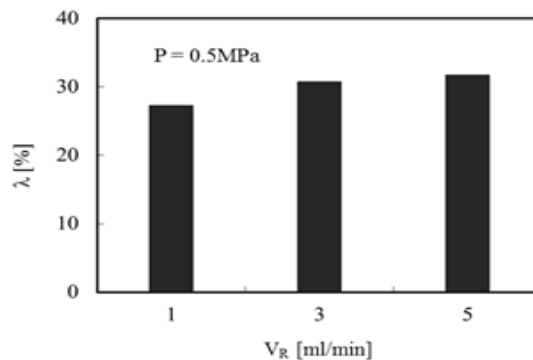


Figure 10 : Dependences of microencapsulation efficiency on volumetric flow velocity

d) Effect of changing the (W/O) emulsion to the (S/O) dispersion on microencapsulation efficiency

It was confirmed that the lower microencapsulation efficiency was due to the instability of the (W/O) emulsion. So, we tried to change the (W/O) emulsion to the (S/O) dispersion by removing the water phase from the inner water droplets as shown in Figure 3. Namely, after preparing the (W/O) emulsion, the water phase in the inner water droplets was removed by heating to form the (S/O) dispersion.

Figure 11 shows the transient water droplet diameters (d_{pw}) with the time for removing the water phase. From this figure, it was found that the mean diameters of inner aqueous droplets were gradually decreasing with the removing time and become constant at the point of elapsing 6h and the (W/O) emulsion was changed to the (S/O) dispersion. Accordingly, the mean diameters become equal to the diameters of GP powder.

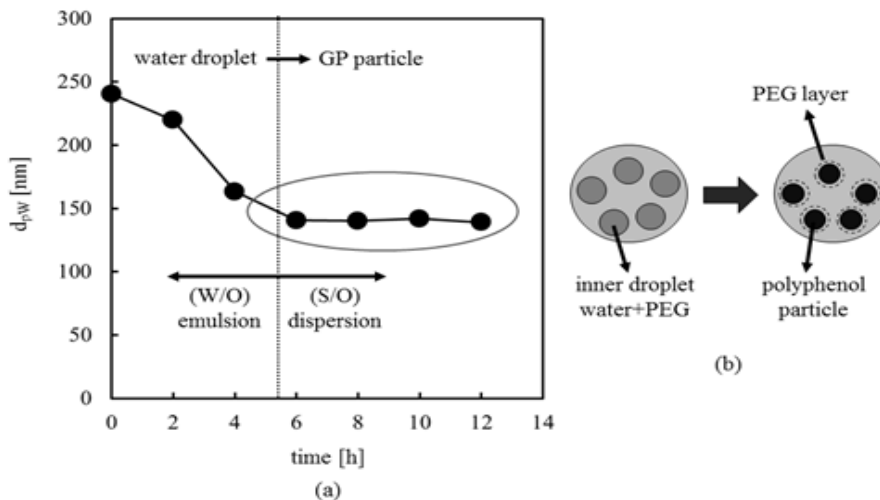


Figure 11 : Transient inner water droplet diameters

Figure 12 shows the dependence of the mean diameter of inner water droplets on the concentration (CL) of SL (Figure 12(a)) and that of the mean diameters (d_{ps}) of GP powder particles on the mean diameter of inner water droplets (Figure 12 (b)). The mean diameters of inner water droplets decreased with the surfactant concentration and the GP powder particle diameters

was in proportion to the mean diameters of inner water droplets. It is well known that the content of core materials can be increased by decreasing the diameters of core materials [12-13]. Accordingly, the stability of the (S/O) dispersion could be increased by increasing the surfactant concentration. As a result, the microencapsulation efficiency could be increased.

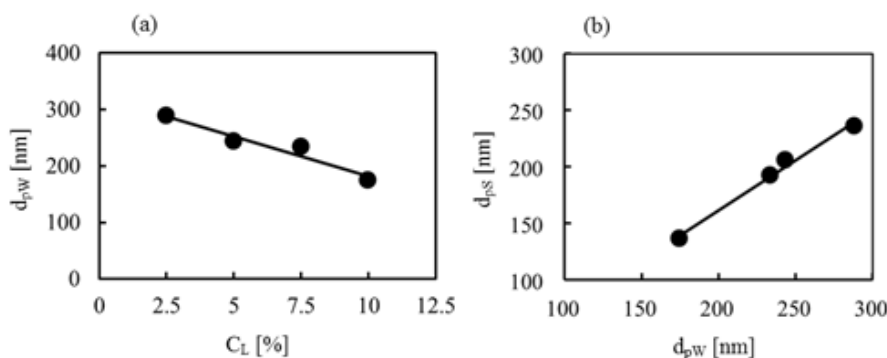


Figure 12 : Dependence of water droplet diameters on surfactant concentration and dependence of GP powder particle diameters on water droplet diameters

Figure 13 shows the dependence of the microencapsulation efficiency (λ) on the mean diameters (d_{pw}) of inner water droplets and the GP powder particle diameters (d_{ps}), respectively. From Figure 13, it was found that the microencapsulation

efficiency could be increased by decreasing the diameters of inner water droplets and GP powder particles and the microencapsulation efficiencies in the case of the (S/O) dispersion was larger than those in the case of the (W/O) emulsion. Furthermore, the addition of

polyethylene glycol (PEG 600) in the inner water phase could considerably increase the microencapsulation efficiency. The effect of addition of PEG may be attributable to the fact that the adsorption layer of PEG on the surface of GP powder particles was formed as shown in Figure 11 (b) and the stability of GP powder in the α -oil could be increased due to increase in affinity

between GP powder and the α -oil. In order to increase the content of core material, the formation of finer water droplets dissolving the hydrophilic core material, the formation of the (S/O) dispersion by removing water from the inner water and the formation of adsorption layer of stabilizer on the surface of powder particles were found to be considerably effective.

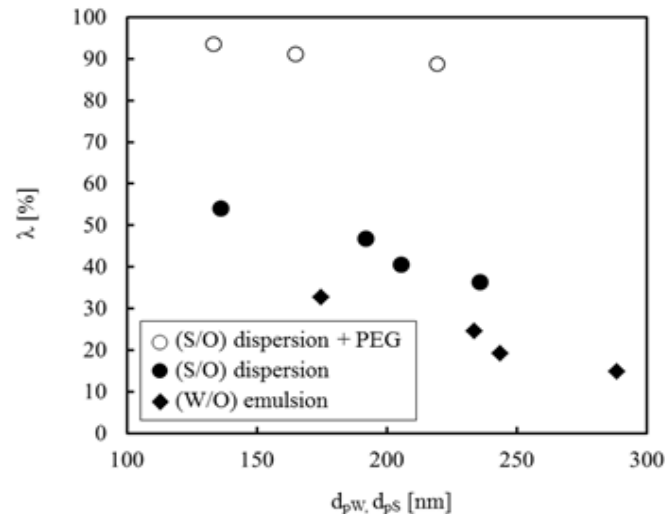


Figure 13 : Dependence of microcapsulation efficiency on inner water droplet diameter and particle diameter

IV. CONCLUSION

It was tried to prepare the microcapsules containing the dual cores, namely the (W/O) emulsion or the (S/O) dispersion by the spray-gelling method. The following results were obtained.

The microcapsules with the mean diameters from 15 μ m to 70 μ m could be prepared.

1. The mean diameters decreased with the spraying pressure and increased with the volumetric flow velocity.
2. The microencapsulation efficiency in the case of the (W/O) emulsion as the core was about 30% at most.
3. The microencapsulation efficiency was considerably improved by changing the (W/O) emulsion to the (S/O) dispersion and by dissolving poly ethylene glycol in the inner water phase.

REFERENCES RÉFÉRENCES REFERENCIAS

1. M. Tanaka, (2008). Key Point of Preparation of Nano/Microcapsules. Techno System Publishing Co. Ltd., Tokyo.
2. T. Kondo, (1967). Saishin Maikurokapseruoka Gijutsu (Microencapsulation Technique). TES, Tokyo.
3. M. Koishi, K. Eto and H. Higure, (2005). (Preparation + Utilization) Microcapsules. Kogyo Chosakai, Tokyo.
4. E. Q. Xia, G. F. Deng, Y. J. Guo and H. B. Li, (2010). Biological Activities of Polyphenols from Grapes. International Journal of Molecular Sciences. 11: 622-646.
5. S. H. Yoo, Y. B. Song, P. S. Chang and H. G. Lee, (2006). Microencapsulation of α -tocopherol using sodium alginate and its controlled release properties. International Journal of Biological Macromolecules. 38: 25–30.
6. Y. B. Song, J. S. Lee and H. G. Lee, (2009). α -Tocopherol-loaded Ca-pectinate microcapsules: Optimization, in vitro release, and bioavailability. Colloids and Surfaces B: Biointerfaces. 73: 394–398.
7. W. Somchue, W. Sermsri, J. Shiowatana and A. Siripinyanond, (2009). Encapsulation of α -tocopherol in protein-based delivery particles. Food Research International. 42: 909–914.
8. K. Shirokawa, Y. Taguchi, H. Yokoyama, F. Ono and M. Tanaka, (2013). Preparation of Temperature and Water Responsive Microcapsules Containing Hydroquinone with Spray Drying Method. Journal of Cosmetics, Dermatological Sciences and Applications. 3: 49-54.
9. Soottitantawat, F. Bigeard, H. Uoshii, T. Furuta, A. Ohkawara and P. Linko, (2005). Influence of emulsion and powder size on the stability of encapsulated D-limonene by spray drying. Innovative Food Science and Emerging Technologies. 6: 107-114.
10. S. Polavarapu, C. M. Oliver, S. Ajlouni and M. A. Augustin, (2012). Impact of Extra Virgin Olive Oil and Ethylenediaminetetraacetic Acid (EDTA) on the Oxidative Stability of Fish Oil Emulsions and Spray-Dried Microcapsules Stabilized by Sugar Beet

- Pectin. *Journal of Agricultural and Food Chemistry*. 60: 444-450.
11. K. Laohasongkram, T. Mahamaktudsanee and S. Chaiwanichsiri, (2011). Microencapsulation of Macadamia oil by spray drying. *Procedia Food Science*. 1: 1660-1665.
 12. Gharsallaoui, G. Roudaut, L. Beney, O. Chambin, A. Voilley and R. Saurel, (2012). Properties of spray-dried food flavours microencapsulated with two-layered membranes: Roles of interfacial interactions and water. *Food Chemistry*. 132: 1713-1720.
 13. H. Yokoyama, L. Mo, Y. Taguchi and M. Tanaka, (2008). Effect of Viscosity of Shell Solution on the Content of Solid Powder as Core Material in Microencapsulation by the Drying-in-Liquid Method. *Journal of Applied Polymer Science*. 109: 1585–1593.
 14. M. Takahashi, Y. Taguchi and M. Tanaka, (2008). Microencapsulation of Hydrophilic Solid Powder as Fire Retardant Agent with Epoxy Resin by Droplet Coalescence Method. *Journal of Applied Polymer Science*. 110: 1671–1676.

