

GLOBAL JOURNAL

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NUMERICAL METHODS

DISCOVERING THOUGHTS AND INVENTING FUTURE

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Unmatched Sample of Geometry
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Issue 1

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Version 1.0

ENG



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VOLUME 12 ISSUE 1 (VER. 1.0)

OPEN ASSOCIATION OF RESEARCH SOCIETY

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Offset Typesetting

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Quintic B-spline Collocation Method For Sixth Order Boundary Value Problems

By K.N.S. Kasi Viswanadham & Y. Showri Raju

National Institute of Technology, Warangal, India

Abstract - A finite element method involving collocation method with quintic B-splines as basis functions have been developed to solve sixth order boundary value problems. The sixth order and fifth order derivatives for the dependent variable are approximated by the central differences of fourth order derivatives. The basis functions are redefined into a new set of basis functions which in number match with the number of collocated points selected in the space variable domain. The proposed method is tested on several linear and non-linear boundary value problems. The solution of a non-linear boundary value problem has been obtained as the limit of a sequence of solutions of linear boundary value problems generated by quasilinearization technique. Numerical results obtained by the present method are in good agreement with the exact solutions or numerical solutions available in the literature.

Keywords : *collocation method, quintic B-spline, basis function, sixth order boundary value problem, absolute error.*

GJRE-I Classification : *FOR Code: 010399*



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Quintic B-spline Collocation Method For Sixth Order Boundary Value Problems

K.N.S. Kasi Viswanadham^a & Y. Showri Raju^σ

Abstract - A finite element method involving collocation method with quintic B-splines as basis functions have been developed to solve sixth order boundary value problems. The sixth order and fifth order derivatives for the dependent variable are approximated by the central differences of fourth order derivatives. The basis functions are redefined into a new set of basis functions which in number match with the number of collocated points selected in the space variable domain. The proposed method is tested on several linear and non-linear boundary value problems. The solution of a non-linear boundary value problem has been obtained as the limit of a sequence of solutions of linear boundary value problems generated by quasilinearization technique. Numerical results obtained by the present method are in good agreement with the exact solutions or numerical solutions available in the literature.

Keywords : collocation method, quintic B-spline, basis function, sixth order boundary value problem, absolute error.

1. INTRODUCTION

In this paper, we developed a collocation method with quintic B-splines as basis functions for getting the numerical solution of a general sixth order linear boundary value problem, which is in the form

$$\begin{aligned} a_0(x)y^{(6)}(x) + a_1(x)y^{(5)}(x) + a_2(x)y^{(4)}(x) \\ + a_3(x)y'''(x) + a_4(x)y''(x) + a_5(x)y'(x) \\ + a_6(x)y(x) = b(x), \quad c < x < d \end{aligned} \quad (1)$$

subject to the boundary conditions

$$\begin{aligned} y(c) &= A_0, & y(d) &= B_0 \\ y'(c) &= A_1, & y'(d) &= B_1 \\ y''(c) &= A_2, & y''(d) &= B_2. \end{aligned} \quad (2)$$

where $A_0, B_0, A_1, B_1, A_2, B_2$ are finite real constants and $a_0(x), a_1(x), a_2(x), a_3(x), a_4(x), a_5(x), a_6(x)$ and $b(x)$ are all continuous functions defined on the interval $[c, d]$.

Generally, these types of differential equations have special significance in astrophysics. The dynamo action in some stars may be modeled by sixth order boundary value problems [1].

The narrow convecting layers bounded by stable layers, which are believed to surround A-type stars, may be modeled by sixth-order boundary value problems [2]. Moreover, when an infinite horizontal layer of fluid is heated from below and is subjected to the action of rotation, instability sets in. When this instability is an ordinary convection, the ordinary differential equation is a sixth-order ordinary differential equation. For further discussion of sixth-order boundary value problems, see [3,4,5].

The existence and uniqueness of solution of such type of boundary value problems can be found in the book written by Agarwal [6]. El-Gamel et al. [7] used Sinc-Galerkin method to solve sixth order boundary value problems. Akram and Siddiqi [8] solved the boundary value problem of type (1)-(2) with non-polynomial spline technique. Siddiqi et al. [9] solved the same boundary value problems using quintic splines. Also Siddiqi and Akram [10] used septic splines to solve the boundary value problems of type (1)-(2). Lamini et al. [11] used spline collocation method to solve the sixth-order boundary value problems.

Further, decomposition methods [12], Ritz's method based on the variational theory [13], and the homotopy perturbation method [14] have been applied for the solution of sixth-order boundary value problems. Variational iteration method for solving sixth-order boundary value problems have been developed by Noor et al. [15]. Siraj-ul-Islam et al. [16,17] developed non-polynomial splines approach to the solution of sixth-order and fourth-order boundary-value problems. Viswanadham et al. [18,19] used sixth order and septic B-splines to solve sixth order boundary value problems.

In this paper, we try to present a simple collocation method using quintic B-splines as basis functions to solve the sixth order boundary value problem of the type (1)-(2).

In section 2 of this paper, the justification for using the collocation method has been mentioned. In section 3, the definition of quintic B-splines has been described. In section 4, description of the collocation method with quintic B-splines as basis functions has been presented and in section 5, solution procedure to find the nodal parameters is presented. In section 6, numerical examples of both linear and non-linear boundary value problems are presented. The solution of a nonlinear boundary value problem has been obtained as the limit of a sequence of solutions of linear boundary value problems generated by quasilinearization

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technique [20]. Finally, the last section is dealt with conclusions of the paper.

II. JUSTIFICATION FOR USING COLLOCATION METHOD

In finite element method (FEM) the approximate solution can be written as a linear combination of basis functions which constitute a basis for the approximation space under consideration. FEM involves variational methods such as Ritz's approach, Galerkin's approach, least squares method and collocation method etc. The collocation method seeks an approximate solution by requiring the residual of the differential equation to be identically zero at N selected points in the given space variable domain where N is the number of basis functions in the basis [21]. That means, to get an accurate solution by the collocation method, one needs a set of basis functions which in number match with the number of collocation points selected in the given space variable domain. Further, the collocation method is the easiest to implement among the variational methods of FEM. When a differential equation is approximated by m^{th} order B-splines, it yields $(m+1)^{th}$ order accurate results [22]. Hence this motivated us to use the collocation method to solve a sixth order boundary value problem of type (1)-(2) with quintic B-splines.

III. DEFINITION OF QUINTIC B-SPLINES

The cubic B-splines are defined in [23,24]. In a similar analogue, the existence of the quintic spline interpolate $s(x)$ to a function in a closed interval $[c, d]$ for spaced knots (need not be evenly spaced)

$$c = x_0 < x_1 < x_2 < \dots < x_{n-1} < x_n = d$$

is established by constructing it. The construction of $s(x)$ is done with the help of the quintic B-Splines. Introduce ten additional knots $x_{-5}, x_{-4}, x_{-3}, x_{-2}, x_{-1}, x_{n+1}, x_{n+2}, x_{n+3}, x_{n+4}$ and x_{n+5} such that

$$x_{-5} < x_{-4} < x_{-3} < x_{-2} < x_{-1} < x_0$$

$$\text{and } x_n < x_{n+1} < x_{n+2} < x_{n+3} < x_{n+4} < x_{n+5}.$$

Now the quintic B-splines $B_i(x)$ are defined by

$$B_i(x) = \begin{cases} \sum_{r=i-3}^{i+3} \frac{(x_r - x)_+^5}{\pi'(x_r)}, & x \in [x_{i-3}, x_{i+3}] \\ 0, & \text{otherwise} \end{cases}$$

where

$$(x_r - x)_+^5 = \begin{cases} (x_r - x)^5, & \text{if } x_r \geq x \\ 0, & \text{if } x_r \leq x \end{cases}$$

and

$$\pi(x) = \prod_{r=i-3}^{i+3} (x - x_r).$$

It can be shown that the set $\{B_{-2}(x), B_{-1}(x), B_0(x), \dots, B_n(x), B_{n+1}(x), B_{n+2}(x)\}$ forms a basis for the space $S_5(\pi)$ of quintic polynomial splines. The quintic B-splines are the unique nonzero splines of smallest compact support with knots at

$$x_{-5} < x_{-4} < x_{-3} < x_{-2} < x_{-1} < x_0 < \dots < x_n < x_{n+1} < x_{n+2} < x_{n+3} < x_{n+4} < x_{n+5}$$

IV. DESCRIPTION OF THE METHOD

To solve the boundary value problem (1)-(2) by the collocation method with quintic B-splines as basis functions, we define the approximation for $y(x)$ as

$$y(x) = \sum_{j=-2}^{n+2} \alpha_j B_j(x) \quad (3)$$

where α_j 's are the nodal parameters to be determined. In the present method, the internal mesh points are selected as the collocation points. In collocation method, the number of basis functions in the approximation should match with the number of selected collocation points [21]. Here the number of basis functions in the approximation (3) is $n+5$, where as the number of selected collocation points is $n-1$. So, there is a need to redefine the basis functions into a new set of basis functions which in number match with the number of selected collocation points. The procedure for redefining the basis functions is as follows:

Using the quintic B-splines described in section 3 and the Dirichlet boundary conditions of (2), we get the approximate solution at the boundary points as

$$y(c) = y(x_0) = \sum_{j=-2}^2 \alpha_j B_j(x_0) = A_0 \quad (4)$$

$$y(d) = y(x_n) = \sum_{j=n-2}^{n+2} \alpha_j B_j(x_n) = B_0. \quad (5)$$

Eliminating α_{-2} and α_{n+2} from the equations (3), (4), and (5) we get the approximation for $y(x)$ as

$$y(x) = w_1(x) + \sum_{j=-1}^{n+1} \alpha_j P_j(x) \quad (6)$$

where

$$w_1(x) = \frac{A_0}{B_{-2}(x_0)} B_{-2}(x) + \frac{B_0}{B_{n+2}(x_n)} B_{n+2}(x)$$

and $P_j(x) =$

$$\begin{cases} B_j(x) - \frac{B_j(x_0)}{B_{-2}(x_0)} B_{-2}(x), & \text{for } j = -1, 0, 1, 2 \\ B_j(x), & \text{for } j = 3, 4, \dots, n-3 \\ B_j(x) - \frac{B_j(x_n)}{B_{n+2}(x_n)} B_{n+2}(x), & \text{for } j = n-2, n-1, n, n+1 \end{cases}$$

Using the Neumann boundary conditions of (2) to the approximate solution $y(x)$ in (6), we get

$$y'(c) = y'(x_0) = w'_1(x_0) + \alpha_{-1}P'_{-1}(x_0) + \alpha_0P'_0(x_0) + \alpha_1P'_1(x_0) + \alpha_2P'_2(x_0) = A_1 \quad (7)$$

$$y'(d) = y'(x_n) = w'_1(x_n) + \alpha_{n-2}P'_{n-2}(x_n) + \alpha_{n-1}P'_{n-1}(x_n) + \alpha_nP'_n(x_n) + \alpha_{n+1}P'_{n+1}(x_n) = B_1. \quad (8)$$

Now, eliminating α_{-1} and α_{n+1} from the equations (6),(7) ,and (8), we get the approximation for $y(x)$ as

$$y(x) = w_2(x) + \sum_{j=0}^n \alpha_j Q_j(x) \quad (9)$$

where

$$w_2(x) = w_1(x) + \frac{A_1 - w'_1(x_0)}{P'_{-1}(x_0)} P_{-1}(x) + \frac{B_1 - w'_1(x_n)}{P'_{n+1}(x_n)} P_{n+1}(x)$$

and $Q_j(x) =$

$$\begin{cases} P_j(x) - \frac{P'_j(x_0)}{P'_{-1}(x_0)} P_{-1}(x), & \text{for } j = 0, 1, 2 \\ P_j(x), & \text{for } j = 3, 4, \dots, n-3 \\ P_j(x) - \frac{P'_j(x_n)}{P'_{n+1}(x_n)} P_{n+1}(x), & \text{for } j = n-2, n-1, n. \end{cases}$$

Using the boundary conditions $y''(c) = A_2$ and $y''(d) = B_2$ of (20) to the approximate solution $y(x)$ in (9), we get

$$y''(c) = y''(x_0) = w''_2(x_0) + \alpha_0Q''_0(x_0) + \alpha_1Q''_1(x_0) + \alpha_2Q''_2(x_0) = A_2 \quad (10)$$

$$y''(d) = y''(x_n) = w''_2(x_n) + \alpha_{n-2}Q''_{n-2}(x_n) + \alpha_{n-1}Q''_{n-1}(x_n) + \alpha_nQ''_n(x_n) = B_2. \quad (11)$$

Now, eliminating α_0 and α_n from the equations (9),(10), and (11) we get the approximation for $y(x)$ as

$$y(x) = w(x) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j(x) \quad (12)$$

where

$$w(x) = w_2(x) + \frac{A_2 - w''_2(x_0)}{Q''_0(x_0)} Q_0(x) + \frac{B_2 - w''_2(x_n)}{Q''_n(x_n)} Q_n(x)$$

and $\tilde{B}_j(x)$

$$\begin{cases} Q_j(x) - \frac{Q''_j(x_0)}{Q''_0(x_0)} Q_0(x), & \text{for } j = 1, 2 \\ Q_j(x), & \text{for } j = 3, 4, \dots, n-3 \\ Q_j(x) - \frac{Q''_j(x_n)}{Q''_n(x_n)} Q_n(x), & \text{for } j = n-2, n-1, \end{cases}$$

Now the new basis functions for the approximation $y(x)$ are $\{\tilde{B}_j(x), j = 1, 2, \dots, n-1\}$ and they are in number matching with the number of selected collocation points. Since the approximation for $y(x)$ in (12) is a quintic approximation, let us approximate $y^{(5)}$ and $y^{(6)}$ at the selected collocation points with central differences as

$$y_i^{(5)} = \frac{y_{i+1}^{(4)} - y_{i-1}^{(4)}}{2h} \text{ and } y_i^{(6)} = \frac{y_{i+1}^{(4)} - 2y_i^{(4)} + y_{i-1}^{(4)}}{h^2} \quad (13)$$

where

$$y_i = y(x_i) = w(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j(x_i) \quad (14)$$

Now applying collocation method to (1), we get

$$\begin{aligned} a_0(x_i)y_i^{(6)} + a_1(x_i)y_i^{(5)} + a_2(x_i)y_i^{(4)} + a_3(x_i)y_i''' + a_4(x_i)y_i'' + a_5(x_i)y_i' + a_6(x_i)y_i &= b(x_i) \\ \text{for } i=1, 2, \dots, n-1. \end{aligned} \quad (15)$$

Using (13) and (14) in (15), we get

$$\begin{aligned} & \frac{a_0(x_i)}{h^2} \left[w^{(4)}(x_{i+1}) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j^{(4)}(x_{i+1}) \right. \\ & \left. - 2 \left\{ w^{(4)}(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j^{(4)}(x_i) \right\} \right. \\ & \left. + w^{(4)}(x_{i-1}) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j^{(4)}(x_{i-1}) \right] \\ & + \frac{a_1(x_i)}{2h} \left[w^{(4)}(x_{i+1}) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j^{(4)}(x_{i+1}) \right. \\ & \left. - \left\{ w^{(4)}(x_{i-1}) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j^{(4)}(x_{i-1}) \right\} \right] \\ & + a_2(x_i) \left[w^{(4)}(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j^{(4)}(x_i) \right] \\ & + a_3(x_i) \left[w'''(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j'''(x_i) \right] \\ & + a_4(x_i) \left[w''(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j''(x_i) \right] \\ & + a_5(x_i) \left[w'(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j'(x_i) \right] \\ & + a_6(x_i) \left[w(x_i) + \sum_{j=1}^{n-1} \alpha_j \tilde{B}_j(x_i) \right] \end{aligned}$$

$$= b(x_i) \quad \text{for } i=1,2,\dots,n-1. \quad (16)$$

Rearranging the terms and writing the system of equations (16) in matrix form, we get

$$A\alpha = B \quad (17)$$

where

$$A = [a_{ij}];$$

$$a_{ij} = \tilde{B}_j^{(4)}(x_{i-1}) \left(\frac{a_0(x_i)}{h^2} - \frac{a_1(x_i)}{2h} \right)$$

$$+ \tilde{B}_j^{(4)}(x_i) \left(-2 \frac{a_0(x_i)}{h^2} \right)$$

$$+ \tilde{B}_j^{(4)}(x_{i+1}) \left(\frac{a_0(x_i)}{h^2} + \frac{a_1(x_i)}{2h} \right)$$

$$+ \tilde{B}_j^{(4)}(x_i) a_2(x_i)$$

$$+ \tilde{B}_j^{(4)}(x_i) a_3(x_i) + \tilde{B}_j^{(4)}(x_i) a_4(x_i)$$

$$+ \tilde{B}_j^{(4)}(x_i) a_5(x_i) + \tilde{B}_j^{(4)}(x_i) a_6(x_i)$$

$$\text{for } i = 1, 2, \dots, n-1, \quad j = 1, 2, \dots, n-1. \quad (18)$$

$$B = [b_i];$$

$$b_i = b(x_i) - \left[w^{(4)}(x_{i-1}) \left(\frac{a_0(x_i)}{h^2} - \frac{a_1(x_i)}{2h} \right) \right.$$

$$+ w^{(4)}(x_i) \left(-2 \frac{a_0(x_i)}{h^2} \right)$$

$$+ w^{(4)}(x_{i+1}) \left(\frac{a_0(x_i)}{h^2} + \frac{a_1(x_i)}{2h} \right)$$

$$+ w^{(4)}(x_i) a_2(x_i) + w^{(4)}(x_i) a_3(x_i)$$

$$+ w^{(4)}(x_i) a_4(x_i) + w^{(4)}(x_i) a_5(x_i)$$

$$+ w^{(4)}(x_i) a_6(x_i) \quad \left. \right]$$

$$\text{for } i = 1, 2, \dots, n-1. \quad (19)$$

and

$$\alpha = [\alpha_1, \alpha_2, \dots, \alpha_{n-1}]^T.$$

V. SOLUTION PROCEDURE TO FIND THE NODAL PARAMETERS

The basis function $\tilde{B}_i(x)$ is defined only in the interval $[x_{i-3}, x_{i+3}]$ and outside of this interval it is zero. Also at the end points of the interval $[x_{i-3}, x_{i+3}]$ the basis function $\tilde{B}_i(x)$ vanishes. Therefore, $\tilde{B}_i(x)$ is having non-vanishing values at the mesh points $x_{i-2}, x_{i-1}, x_i, x_{i+1}, x_{i+2}$ and zero at the other mesh points. The first four derivatives of $\tilde{B}_i(x)$ also have the

same nature at the mesh points as in the case of $\tilde{B}_i(x)$. Using these facts, we can say that the matrix A defined in (18) is a seven diagonal band matrix. Therefore, the system of equations (17) is a seven diagonal band system in α_i 's. The nodal parameters α_i 's can be obtained by using band matrix solution package. We have used the FORTRAN-90 programming to solve the boundary value problem (1)-(2) by the proposed method.

VI. NUMERICAL EXAMPLES

To demonstrate the applicability of the proposed method for solving the sixth order boundary value problems of type (1)-(2) we considered seven examples of which four are linear and three are non linear boundary value problems. Numerical results for each problem are presented in tabular forms and compared with the exact solutions or numerical solutions available in the literature.

Example 1 : Consider the linear boundary value problem

$$y^{(6)} + xy =$$

$$-(24 + 11x + x^3)e^x, \quad 0 < x < 1 \quad (20)$$

subject to

$$y(0) = 0, \quad y(1) = 0,$$

$$y'(0) = 1, \quad y'(1) = -e, \quad (21)$$

$$y''(0) = 0, \quad y''(1) = -4e.$$

The exact solution for the above problem is given by $y(x) = x(1-x)e^x$. The proposed method is tested on this problem where the domain $[0,1]$ is divided into 10 equal subintervals. Numerical results for this problem are shown in Table 1. The maximum absolute error obtained by the proposed method is 7.361174×10^{-6} .

Table 1 : Numerical results for Example 1

x	Exact Solution	Absolute error by proposed method
0.1	9.946539E-02	4.619360E-07
0.2	1.954244E-01	1.847744E-06
0.3	2.834704E-01	3.874302E-06
0.4	3.580379E-01	6.288290E-06
0.5	4.121803E-01	7.361174E-06
0.6	4.373085E-01	7.241964E-06
0.7	4.228881E-01	6.496906E-06
0.8	3.560865E-01	4.649162E-06
0.9	2.213642E-01	2.324581E-06

Example 2: Consider the linear boundary value problem

$$y_{(6)} + e^{-x}y =$$

$$-720 + (x - x^2)^3 e^{-x}, \quad 0 < x < 1 \quad (22)$$

subject to

$$\begin{aligned} y(0) &= 0, y(1) = 0, \\ y'(0) &= 0, y'(1) = 0, \\ y''(0) &= y''(1) = 0 \end{aligned} \quad (23)$$

The exact solution for the above problem is given by $y(x) = x^3(1-x)^3$. The proposed method is tested on this problem where the domain $[0,1]$ is divided into 10 equal subintervals. Numerical results for this problem are shown in Table 2. The maximum absolute error obtained by the proposed method is 2.942979×10^{-6} .

Table 2 : Numerical results for Example 2

x	Exact solution	Absolute error by proposed method
0.1	7.290000E-04	3.894675E-07
0.2	4.096000E-03	1.216307E-06
0.3	9.261001E-03	2.081506E-06
0.4	1.382400E-02	2.698973E-06
0.5	1.562500E-02	2.942979E-06
0.6	1.382400E-02	2.727844E-06
0.7	9.261001E-03	2.096407E-06
0.8	4.096000E-03	1.219101E-06
0.9	7.289993E-04	3.807945E-07

Example 3 : Consider the linear boundary value problem

$$y^{(6)} + y''' + y'' - y = e^{-x}(-15x^2 + 78x - 114), \quad 0 < x < 1 \quad (24)$$

subject to

$$\begin{aligned} y(0) &= 0, y(1) = \frac{1}{e}, \\ y'(0) &= 0, y'(1) = \frac{2}{e}, \\ y(0) &= 0, y(1) = \frac{1}{e}, \end{aligned} \quad (25)$$

The exact solution for the above problem is given by $y(x) = x^3e^{-x}$. The proposed method is tested on this problem where the domain $[0,1]$ is divided into 10 equal subintervals. Numerical results for this problem are shown in Table 3. The maximum absolute error obtained by the proposed method is 4.939735×10^{-6} .

Table 3 : Numerical results for Example 3

x	Exact solution	Absolute error by proposed method
0.1	9.048374E-04	1.808512E-07
0.2	6.549846E-03	9.508803E-07
0.3	2.000210E-02	2.233312E-06
0.4	4.290048E-02	3.498048E-06
0.5	7.581633E-02	4.529953E-06
0.6	1.185433E-01	4.939735E-06
0.7	1.703288E-01	4.217029E-06
0.8	2.300564E-01	2.712011E-06
0.9	2.963893E-01	1.430511E-06

Example 4 : Consider the linear boundary value problem

$$y^{(6)} + y = 6(2x \cos x + 5 \sin x), \quad -1 < x < 1 \quad (26)$$

subject to

$$\begin{aligned} y(-1) &= 0, y(1) = 0, \\ y'(-1) &= 2\sin 1, y'(1) = 2\sin 1, \\ y''(-1) &= -4 \cos 1 - 2 \sin 1, \\ y''(1) &= 4 \cos 1 + 2 \sin 1. \end{aligned} \quad (27)$$

The exact solution for the above problem is given by $y(x) = (x^2 - 1) \sin x$. The proposed method is tested on this problem where the domain $[-1,1]$ is divided into 10 equal subintervals. Numerical results for this problem are shown in Table 4. The maximum absolute error obtained by the proposed method is 8.076429×10^{-6} .

Table 4 : Numerical results for Example 4

x	Exact solution	Absolute error by proposed method
-0.8	2.582482E-01	4.172325E-07
-0.6	3.613712E-01	1.251698E-06
-0.4	3.271114E-01	1.788139E-07
-0.2	1.907225E-01	2.488494E-06
0.0	0.000000	5.105962E-06
0.2	-1.907226E-01	8.076429E-06
0.4	-3.271114E-01	7.987022E-06
0.6	-3.613712E-01	6.377697E-06
0.8	-2.582482E-01	3.904104E-06

Example 5 : Consider the nonlinear boundary value problem

$$y^{(6)} - 20e^{-36y(x)} = -40(1+x)^{-6}, \quad 0 < x < 1 \quad (28)$$

subject to

$$\begin{aligned} y(0) &= 0, y(1) = \frac{1}{6} \ln 2, \\ y'(0) &= \frac{1}{6}, y'(1) = \frac{1}{12}, \\ y''(0) &= \frac{-1}{6}, y''(1) = \frac{-1}{24}. \end{aligned} \quad (29)$$

The exact solution for the above problem is given by $y(x) = \frac{1}{6} \ln(1+x)$. This nonlinear boundary value problem is converted into a sequence of linear boundary value problems generated by quasilinearization technique [20] as

$$\begin{aligned} y_{(n+1)}^{(6)} + [720e^{-36y_{(n)}}]y_{(n+1)} &= \\ 720y_{(n)}e^{-36y_{(n)}} + 20e^{-36y_{(n)}} \end{aligned}$$

$$-40(1+x)^{-6}, \text{ for } n = 0, 1, 2, \dots \quad (30)$$

subject to

$$\begin{aligned} y_{(n+1)}(0) &= 0, \quad y_{(n+1)}(1) = \frac{1}{6} \ln 2, \\ y'_{(n+1)}(0) &= \frac{1}{6}, \quad y'_{(n+1)}(1) = \frac{1}{12}, \\ y''_{(n+1)}(0) &= \frac{-1}{6}, \quad y''_{(n+1)}(1) = \frac{-1}{24}. \end{aligned} \quad (31)$$

Here $y_{(n+1)}$ is the $(n+1)^{\text{th}}$ approximation for y . The domain $[0, 1]$ is divided into 10 equal subintervals and the proposed method is applied to the sequence of problems (30). Numerical results for this problem are presented in Table 5. The maximum absolute error obtained by the proposed method is 1.329929×10^{-6} .

Table 5 : Numerical results for Example 5

x	Exact solution	Absolute error by proposed method
0.1	1.588503E-02	1.303852E-07
0.2	3.038693E-02	5.215406E-07
0.3	4.372738E-02	9.723008E-07
0.4	5.607871E-02	1.329929E-06
0.5	6.757752E-02	1.259148E-06
0.6	7.833394E-02	8.419156E-07
0.7	8.843804E-02	4.023314E-07
0.8	9.796445E-02	8.195639E-08
0.9	1.069757E-01	1.713634E-07

Example 6 : Consider the nonlinear boundary value problem

$$y^{(6)} + e^{-x}y^2 = e^{-x} + e^{-3x}, \quad 0 < x < 1 \quad (32)$$

subject to

$$\begin{aligned} y(0) &= 1, \quad y(1) = \frac{1}{e}, \\ y'(0) &= -1, \quad y'(1) = \frac{-1}{e}, \\ y''(0) &= 1, \quad y''(1) = \frac{1}{e}. \end{aligned} \quad (33)$$

The exact solution for the above problem is given by $y(x) = e^{-x}$. This nonlinear boundary value problem is converted into a sequence of linear boundary value problems generated by quasilinearization technique [20] as

$$\begin{aligned} y^{(6)}_{(n+1)} + [2e^{-x}y_{(n)}]y_{(n+1)} &= \\ e^{-x}y^2_{(n)} + e^{-x} + e^{-3x}, \text{ for } n = 0, 1, 2, \dots \end{aligned} \quad (34)$$

subject to

$$y_{(n+1)}(0) = 1, \quad y_{(n+1)}(1) = \frac{1}{e},$$

$$y'_{(n+1)}(0) = -1, \quad y'_{(n+1)}(1) = \frac{-1}{e}, \quad (35)$$

$$y''_{(n+1)}(0) = 1, \quad y''_{(n+1)}(1) = \frac{1}{e}.$$

Here $y_{(n+1)}$ is the $(n+1)^{\text{th}}$ approximation for y . The domain $[0, 1]$ is divided into 10 equal subintervals and the proposed method is applied to the sequence of problems (34). Numerical results for this problem are presented in Table 6. The maximum absolute error obtained by the proposed method is 1.913309×10^{-5} .

Table 6 : Numerical results for Example 6

x	Exact solution	Absolute error by proposed method
0.1	9.048374E-01	1.907349E-06
0.2	8.187308E-01	7.033348E-06
0.3	7.408182E-01	1.347065E-05
0.4	6.703200E-01	1.877546E-05
0.5	6.065307E-01	1.913309E-05
0.6	5.488116E-01	1.478195E-05
0.7	4.965853E-01	8.702278E-06
0.8	4.493290E-01	3.188848E-06
0.9	4.065697E-01	2.980232E-08

Example 7 : Consider the nonlinear boundary value problem

$$y^{(6)} = e^x y^3(x), \quad 0 < x < 1 \quad (36)$$

subject to

$$\begin{aligned} y(0) &= 1, \quad y(1) = e^{\frac{-1}{2}}, \\ y'(0) &= \frac{-1}{2}, \quad y'(1) = \frac{-1}{2}e^{\frac{-1}{2}}, \\ y''(0) &= \frac{1}{4}, \quad y''(1) = \frac{1}{4}e^{\frac{-1}{2}}. \end{aligned} \quad (37)$$

This nonlinear boundary value problem is converted into a sequence of linear boundary value problems generated by quasilinearization technique [20] as

$$\begin{aligned} y^{(6)}_{(n+1)} + [-3e^x y^2_{(n)}]y_{(n+1)} &= \\ -2e^x y^3_{(n)} \quad \text{for } n = 0, 1, 2, \dots \end{aligned} \quad (38)$$

subject to

$$y_{(n+1)}(0) = 1, \quad y_{(n+1)}(1) = e^{\frac{-1}{2}},$$

$$y'_{(n+1)}(0) = \frac{-1}{2}, \quad y'_{(n+1)}(1) = \frac{-1}{2}e^{\frac{-1}{2}}, \quad (39)$$

$$y''_{(n+1)}(0) = \frac{1}{4}, \quad y''_{(n+1)}(1) = \frac{1}{4}e^{\frac{-1}{2}}.$$

Here $y_{(n+1)}$ is the $(n+1)^{\text{th}}$ approximation for y . The domain $[0,1]$ is divided into 10 equal subintervals and the proposed method is applied to the sequence of problems [38]. The exact solution for the problem (36) and (37) is not available in the literature. The numerical solutions can be obtained for this problem by refining the mesh size. Hussin and Kilicman [25] obtained the numerical solutions for this problem by refining the mesh size. Numerical results obtained by the proposed method are compared with the numerical results obtained by Hussin and Kilicman [25], and the results are presented in Table 7.

Table 7 : Numerical results for Example 7

x	Numerical solutions obtained by Hussin and Kilicman [25]	Absolute error by the proposed method when compared with Hussin and Kilicman [25]
0.1	9.512295E-01	2.563000E-06
0.2	9.048374E-01	1.049042E-05
0.3	8.607080E-01	2.151728E-05
0.4	8.187308E-01	3.141165E-05
0.5	7.788008E-01	3.391504E-05
0.6	7.408182E-01	2.819300E-05
0.7	7.046881E-01	1.811981E-05
0.8	6.703200E-01	7.688999E-06
0.9	6.376281E-01	5.960464E-07

VII. CONCLUSION

In this paper, we have developed a collocation method with quintic B-splines as basis functions to solve sixth order boundary value problems. Here we have taken internal mesh points as the selected collocation points. The quintic B-spline basis set has been redefined into a new set of basis functions which in number match with the number of selected collocation points. The proposed method is applied to solve several number of linear and non-linear problems to test the efficiency of the method. The numerical results obtained by the proposed method are in good agreement with the exact solutions or numerical solutions available in the literature. The objective of this paper is to present a simple method to solve a sixth order boundary value problem and its easiness for implementation.

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An Application of Evolutionary Computational Technique to Non-Linear Singular System Arising in Polytrophic and Isothermal Sphere

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Abstract - The paper presents a method to solve singular non-linear system representing polytrophic and isothermal sphere using neural network optimized by evolutionary computational approach. A trial solution of the system is written as a feed-forward neural network containing adaptive parameters (weights and biases). We prepare a fitness evaluation function defining unsupervised error. The optimization of the error defines the accuracy in the model that is highly stochastic in nature. Genetic algorithm is exploited as a tool for global convergence and active set algorithm as a rapid local search. The given scheme is tested on the model with polytrophic index $5=\lambda$. A comparative study is made with exact and optimal Homotopy asymptotic method. The stability and reliability of the proposed scheme is investigated by a comprehensive statistical analysis. The proposed results are found to be in good agreement with exact solution as well as numerical solvers.

Keywords : Singular non-linear systems; Evolutionary computational technique; Differential transform method; Optimal Homotopy asymptotic method; Artificial neural network.

GJRE-I Classification : FOR Code: 010399



Strictly as per the compliance and regulations of:



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Junaid Ali Khan^a, Muhammad Asif Zahoor Raja^a & Ijaz Mansoor Qureshi^a

Abstract - The paper presents a method to solve singular non-linear system representing polytrophic and isothermal sphere using neural network optimized by evolutionary computational approach. A trial solution of the system is written as a feed-forward neural network containing adaptive parameters (weights and biases). We prepare a fitness evaluation function defining unsupervised error. The optimization of the error defines the accuracy in the model that is highly stochastic in nature. Genetic algorithm is exploited as a tool for global convergence and active set algorithm as a rapid local search. The given scheme is tested on the model with polytrophic index $\lambda = 5$. A comparative study is made with exact and optimal Homotopy asymptotic method. The stability and reliability of the proposed scheme is investigated by a comprehensive statistical analysis. The proposed results are found to be in good agreement with exact solution as well as numerical solvers.

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1. INTRODUCTION

The singular phenomenon arises in the modeling of physical structures, random processes, control theory, networks synthesis and other areas of the applied sciences and engineering [1-2]. These singular non-linear systems have substantial significance in classical and modern science, as it defines the dynamics of a system [3]. The singular non-linear system of polytrophic and isothermal sphere is represented by the following non-linear second order homogenous equation:

$$\frac{d^2 y(t)}{dt^2} = -\frac{2}{t} \left(\frac{dy(t)}{dt} \right) + y^\lambda \quad 0 \leq t \leq 1, \quad (1)$$

The tropic index is taken to be $\lambda = 5$, and subject to the following initial conditions

$$y(0) = 1, \dot{y}(0) = 0, \quad (2)$$

It is essentially a Poisson's equation applied in the various modeling application like radioactively cooling, self-gravitating clouds and in the clusters of galaxies [4]. The analytic solution of the model given in equation(1) has been considered by the authors in the recent years by handling the singularity having the index λ . In the recent years, the merging of Homotopy idea with perturbation is applied for non-linear systems. [5]. Optimal Homotopy Asymptotic Method (OHAM) is established very recently by Marinca et al [6]. The OHAM have built in convergence criteria like HAM but is more flexible. From the contribution of Marinca et al [7-9] and Iqbal et al. [10] have proved generalization, effectiveness and reliability of the method to important engineering applications. The linear/nonlinear singular initial value problems have been solved by Iqbal et al. by using OHAM method. But no one yet tried to solve the singular non-linear system of Polytrophic and Isothermal sphere by using artificial neural network optimized by evolutionary computation. The applicability of artificial neural network (ANN) is remarkable for problem involving singularity and convex nature. In the last decay various scientists exploited ANN along with stochastic computational techniques, some of them are provided as a reference. Radial Bases functions neural networks were exploited to design a moving mass attitude control system [11] to control a vehicle with three axis stabilization in intra-atmospheric space. C. Monterola and C. Saloma [12-13] works on non-linear system represented by Schrodinger equation, this system is also formulated by FFNN. The flexibility of neural network and GA can be seen in the work carried out by Stackelberg [14] where Nash equilibrium was achieved by a hybrid intelligent algorithm in which fuzzy simulation, neural networks and genetic algorithms were integrated. Bumps and ruts on the roads cause the abrupt change in the rectilinear motion of the vehicles and adhesion coefficient. For a stable movement of the vehicle an appropriate breaking torque is needed. The optimization of this required torque in this problem can also be accomplished using the neural networks and genetic algorithms [15].

In this paper the mathematical modeling for singular non-linear system is performed by ANN with log-sigmoid as activation function. The optimization of the weights of the model is performed by genetic

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algorithm. The result of the GA is provided as a start point for rapid local search. The optimal Homtopy asymptotic method and exact solution of the problem are analyzed with the proposed scheme. The scheme is run for 100 independent runs to get a comprehensive statistical analysis based on the minimum fitness achieved, maximum fitness and spread in the results on the based of mean and standard deviation. The timing analysis is provided to see the computational complexity of the method along with the level of accuracy. According to best of the author knowledge this is the first article in which stochastic methods are incorporated with NN to optimize the non-linear singular systems of Polytrophic and Isothermal sphere.

The remainder of the paper is organized in the following way. The section 2 describes the importance of evolutionary techniques in optimization .In section 3 a mathematical modeling that contains neural network architecture of the singular system is explained. The numerical results along with discussion on the results are revealed in section 4. Finally section 5 presents some concluding remarks on the results along with directions to the future research.

II. EVOLUTIONARY COMPUTATION

The term evolutionary computation (EC), used vigorously for all evolutionary algorithms (EAs) this describes field of investigations. The major advantage of these techniques can be seen in practical difficult optimization problems. The major benefits are multifold, simplicity of the concept, robustness in changing circumstances, flexibility and other facets [16]. By this the EC has received special interest in the researchers for its applications in science and engineering. Because it is conceptually simple so no gradient information needs to be presented to the algorithms. The domain of EC is for all those problems that can have a function optimization task. The phenomenon of EC depends upon a data structure to represent solutions, the index of performance to evaluate solutions, and operators to generate new solutions from old [17]. The operator should takes care a behavioral link between parents and offspring's. A disjoint state space for possible solutions is formed which encompass infeasible regions, and time varying index or a function of competing solutions in the population [18]. The procedure of applicability is same for problems like, continuous-valued parameter optimization problems, discrete combinatorial problems, mixed-integer problems, and so forth [19].

a) Genetic Algorithms

The modern researches in genetic algorithms (GAs) has outline that the initial proposals were incapable of solving hard problems in a robust and efficient way. In large-scale Optimization problems, the execution time of first-generation GAs increases dramatically whereas solution quality decreases.

Moreover the things such as encoding schemes, selection procedures, and self-adaptive and knowledge-based operators play a key role in the optimization of highly convex and stochastic ion nature problems. The birth of the GAs is also for optimization of various fields of interest [20-21]. Over and above the problems in which optimization itself is the final goal, it is also a way for achieving modeling, forecasting, control, simulation, and so forth. Traditional optimization techniques begin with a single candidate and search iteratively for the optimal solution by applying static heuristics. On the other hand, the GA approach uses a population of candidates to search several areas of a solution space, simultaneously and adaptively. The most popular methods that go beyond simple local search are GAs [22], simulated annealing (SA) [23], and tabu search (TS) [24]. Genetic algorithms operate on a population of individuals. Each individual is a potential solution to a given problem and is typically encoded as a fixed-length binary string, which is an analogy with an actual chromosome. After an initial population is randomly or heuristically generated, the algorithm evolves population through sequential and iterative application of three operators: selection, crossover, and mutation. A new generation is formed at the end of each iteration. The strongest aspect of the GA is, it does not get stuck in local minimum.

III. NEURAL NETWORK MATHEMATICAL MODELING

The linear combination of log-sigmoid functions can be used a mathematical model of feed-forward ANN. The log-sigmoid is used as universal function approximator [25-26] as it has the tendency to model the non-linear systems effectively and efficiently in diverse fields of engineering [27-29]. Any network suitably trained to approximate a mapping satisfying some ODE will have an output function that will also approximate the DE [30]. In this feed-forward ANN, the input and output layers used linear function as activation while log-sigmoid is used for hidden layers. The following continuous mapping is employed for the function, its first and second derivatives respectively,

$$\hat{y}(t) = \sum_{i=1}^m \alpha_i \phi(w_i t + b_i), \quad (3)$$

$$\frac{d\hat{y}(t)}{dt} = \sum_{i=1}^m \alpha_i \frac{d}{dt} \phi(w_i t + b_i) \quad (4)$$

$$\frac{d^2 \hat{y}(t)}{dt^2} = \sum_{i=1}^m \alpha_i \frac{d^2}{dt^2} \phi(w_i t + b_i), \quad (5)$$

where the activation function is considered to be log-sigmoid and is given in expression (5)

$$\varphi(t) = \frac{1}{1 + e^{-t}} \quad (6)$$

Therefore the error function formed by the neural networks given (3) to (5) is formed as

$$\varepsilon_j = \varepsilon_j^1 + \varepsilon_j^2 \quad j = 1, 2, 3, \dots, \quad (7)$$

where j is the number of generations.

$$\varepsilon_j^1 = \frac{1}{s} \sum_{i=1}^s \left(\frac{d^2 \hat{y}(t_i)}{dt^2} + \frac{2}{t_i} \frac{d\hat{y}(t_i)}{dt} - \hat{y}(t_i)^5 \right)^2 \bigg|_j \quad (8)$$

while the error subject to initial condition is given in (9).

$$\varepsilon_j^2 = \frac{1}{2} \left\{ (\hat{y}(0) - 1)^2 + \left(\frac{d}{dt} \hat{y}(0) \right)^2 \right\} \quad (9)$$

The linear combinations of networks from (3) to (5) can approximately model the system given in (1). It is named as differential equation neural (DEN) network, whose architecture is given in the Fig. 1; the activation function used in this is log-sigmoid. The learning procedure adopted in GA and basic flow chart is provided in one of our last article [31].

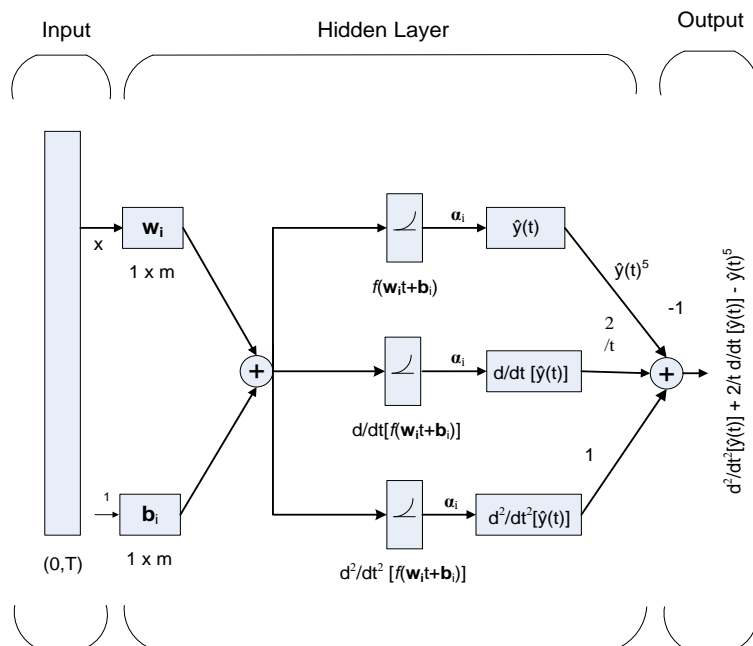


Fig.1 : The DEN network of the Singular system

IV. RESULTS AND DISCUSSION

In this section, we shall consider the solution of (1) by the proposed scheme. In order to prove the applicability and effectiveness of the proposed scheme for singular system the solution of OHAM and exact solution is compared with the results attained by the given approach. However, the statistical analysis is also carried out for the solvers to see the reliability and depth of the algorithm. Moreover, the time complexity of the scheme is also provided in the discussion.

The exact solution of expression (1) is [32]:

$$y(t) = \left(1 + \frac{t^2}{3} \right)^{\frac{1}{2}}. \quad (10)$$

The OHAM method series solution is generated by taking zero-order, first-order and second-order solution respectively [10], and the final reported expression is as follow:

$$y_{OHAM}(t) = 1 - 0.16252076779054878x^2 + 0.028096429522343383x^4 \quad (11)$$

Now, the singular non-linear system of Polytrophic and Isothermal sphere is solved by the proposed scheme as well, the number of neurons in

each hidden layer of the DEN network are taken to be $m = 10$ that results in 30 unknown adaptive weights (α_i , w_i and b_i). The optimization of these weights is carried

out using built-in function for GA in MATLAB. The algorithm is given in Table 1.
parameter setting used for the execution of the

Table 1 : Parameters setting of the algorithms

GA		ASA	
Parameters	Setting	Parameters	Setting
Population Size	240	Start Point	Weights from GA
Chromosome size	30	Chromosome size	30
No. of runs	1500	Number of iterations	1500
Selection	Stochastic uniform	Maximum function evaluation	150000
Scaling function	Rank	Function tolerance	1e-12
Reproduction	Elite Count of 3 crossover fraction 0.6	Non-Linear constraints tolerance	1e-20
Mutation	Adaptive feasible	Derivative type	Central difference
Crossover	Scattered	X-Tolerance	1e-06
Migration interval	15	Bound	(-30 30)
Hybridization	ASA	Minimum fitness value	1e-12

The input of the training set is taken from time $t \in (0, 1)$ with a step size of 0.1. It means that the total time steps $m = 11$ so the fitness function is formulated as:

$$\varepsilon_j = \frac{1}{11} \sum_{i=1}^{11} \left(\frac{d^2 \hat{y}(t_i)}{dt^2} + \frac{2}{t_i} \frac{d\hat{y}(t_i)}{dt} - \hat{y}(t_i)^5 \right)^2 + \frac{1}{2} \left\{ \left(\hat{y}(0) - 1 \right)^2 + \left(\frac{d}{dt} \hat{y}(0) \right)^2 \right\}, \quad j = 1, 2, 3, \dots \quad (12)$$

where j be the iteration index, $\frac{d^2 \hat{y}(t)}{dt^2}$, $\frac{d\hat{y}(t)}{dt}$
and $\hat{y}(t)$ are the networks given in (3) to (5) respectively.
The scheme runs iteratively to find the minimum of
fitness function ε_j , with stoppage criteria as 3000

number of runs or fitness value $\varepsilon_j \leq 10^{-9}$ whichever
comes earlier. One of the unknown weights learned by
given scheme with fitness value $7.2039e-11$ is provided
in Table 2. These weights can be used in equation (3) to
obtain the solution of the equation for any input time t
between 0 and 1.

Table 2 : Adaptive Parameters Obtained by DE-NN Networks

index	w_i	α_i	β_i
1	0.629640981406070	0.734362550675546	-0.200888390149721
2	-0.385947956618289	-0.442473051469874	-0.994494465387963
3	0.988325374583115	1.944524168475940	1.252751222355890
4	-0.428119414616133	0.497504101676858	0.686299892190351
5	0.066289997417983	-1.203306475712070	0.096988771307929
6	0.960650440363807	-0.791782203756771	0.081407828807695
7	-1.806500080437780	-0.904970658665490	-0.489752157826344
8	-1.434684547506530	1.372140554331030	-0.153510274865171
9	-1.861314323302590	-0.066247775539287	0.335975293394860
10	0.803834370819629	-0.892632934401436	-0.875741872774712

Table 3 : Comparison of the Results with exact and numerical method

t	y_{exact}	y_{ohm}	y_{GA-AsA}	 y_{exact} - y_{ohm} 	 y_{exact} - y_{GA-AsA}
0.0	1.000000000	1.000000000	0.999999987	0.000000E+00	1.27568E-07
0.1	0.99833749	0.99837760	0.99833660	4.01135E-05	8.84555E-07
0.2	0.99339927	0.99354412	0.99339754	1.44856E-04	1.73096E-06
0.3	0.98532928	0.98560071	0.98532741	2.71434E-04	1.87168E-06
0.4	0.97435470	0.97471595	0.97435312	3.61242E-04	1.58756E-06
0.5	0.96076892	0.96112583	0.96076766	3.56912E-04	1.26070E-06
0.6	0.94491118	0.94513382	0.94491012	2.22638E-04	1.06611E-06
0.7	0.92714554	0.92711078	0.92714455	3.47643E-05	9.88417E-07
0.8	0.90784130	0.90749501	0.90784036	3.46293E-04	9.37937E-07
0.9	0.88735651	0.88679225	0.88735566	5.64264E-04	8.50186E-07
1.0	0.86602540	0.86557566	0.86602468	4.49742E-04	7.25942E-07

The comparison of the results is made with **OHAM** for the same ranges of the inputs as taken for stochastic numerical method. The results are summarized in Table 3 in comparison of the exact solution. It is clear from the results that the accuracy of the given method is in a good agreement with exact solution and also comparatively excellent with **OHAM** method. The absolute error of the **OHAM** is in the range $1.0e-5$ to $1.0e-4$ while the proposed scheme has the error from $1.0e-7$ to $1.0e-6$.

The derivative of the system representing in expression (1) is also approximated by the given scheme to check the depth in the method. The results are summarized in Table 4 upto twelve decimal places. It is quite evident from the table that the results obtained by neural network optimized by evolutionary

computation is cable to find the derivative of the systems as well by using the same weights as given in Table 2.

Moreover, the reliability of the stochastic algorithm is being tested by a comprehensive statistical analysis. The analysis is performed in the complete range of the time between 0 to 1 and the results are narrated in table 5. The references of the analysis are the mean, standard deviation, best and worst values of the absolute error of proposed method with exact solution. It is quite evident from the table 5, that the Best and Mean absolute error of both the system is in the range $1e-04$ to $1e-06$ and $1e-06$ respectively. The value of the worst of the absolute error is $1e-04$ that is even remarkable.

Table 4 : Comparison of the Results with exact for the derivative of the system

t	y'_{exact}	y'_{GA-AsA}	 y'_{exact} - y'_{GA-AsA}
0.0	0.000000000000	0.000001840886	-0.000001840886
0.1	-0.033277916282	-0.033178401419	-0.000099514863
0.2	-0.066226617853	-0.065360105484	-0.000866512369
0.3	-0.098532927816	-0.095661581246	-0.002871346570
0.4	-0.129913960492	-0.123332491326	-0.006581469167
0.5	-0.160128153805	-0.147807897045	-0.012320256761
0.6	-0.188982236505	-0.168732906775	-0.020249329729
0.7	-0.216333959525	-0.185959935851	-0.030374023674
0.8	-0.242091013068	-0.199524924233	-0.042566088834
0.9	-0.266206952825	-0.209610660651	-0.056596292174
1.0	-0.288675134595	-0.216505060841	-0.072170073754

Table 5 : Statistical Analysis of the solution by Proposed Scheme

t	Best	Worst	Mean	STD
0.0	-6.342636E-06	4.594012E-04	9.394565E-06	5.692623E-05
0.1	-9.995311E-05	3.793471E-04	7.351355E-06	5.810525E-05
0.2	-1.253426E-04	3.782469E-04	4.657521E-06	5.879943E-05
0.3	-1.147922E-04	3.337455E-04	3.722699E-06	5.432348E-05
0.4	-1.180902E-04	3.074559E-04	4.684137E-06	5.170384E-05
0.5	-1.197289E-04	3.298016E-04	6.264152E-06	5.263826E-05

0.6	-1.072861E-04	3.398575E-04	7.059596E-06	5.351095E-05
0.7	-8.684856E-05	3.364792E-04	6.459919E-06	5.092706E-05
0.8	-7.119308E-05	3.116579E-04	4.779545E-06	4.380955E-05
0.9	-6.673061E-05	2.635342E-04	2.851818E-06	3.402397E-05
1.0	-6.732281E-05	2.155496E-04	1.478341E-06	2.624317E-05

The value of the fitness functions are computed for 100 independent runs to have a close look on the optimization behavior of various input times. The value of the functions for some of the input times are drawn in the descending order. The results are plotted on the semi log scale as the difference between the results for various inputs times are merely negligible. The

optimization behavior is drawn in the Fig.2 in descending order for 100 independent runs. Finally it has been concluded from the figure that the convergence capability of the given scheme is 100% for all input times between 0 and 1. Moreover, the absolute error for 12% of the independent runs is in the range 10^{-03} to 10^{-05} while 88% lies in the range 10^{-05} to 10^{-09} .

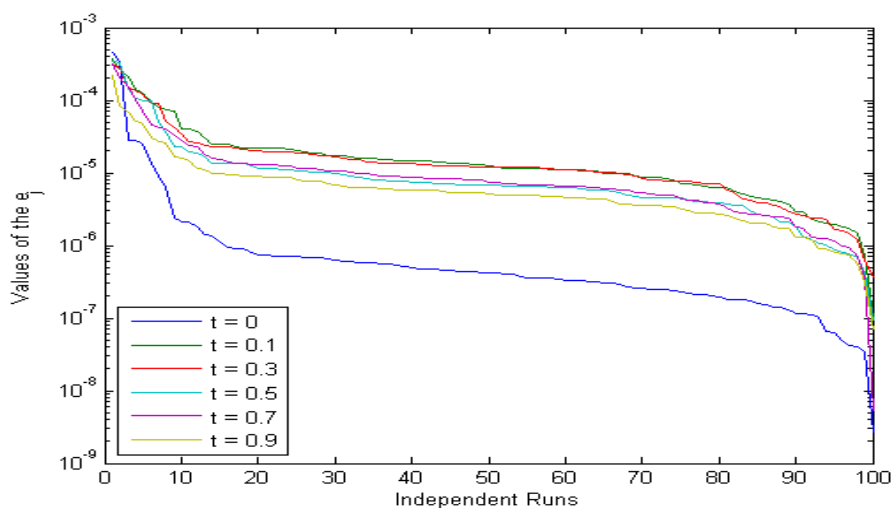


Fig 2 : The behavior of the optimization error for 100 independent runs

V. CONCLUSIONS AND FUTURE REMARKS

On the basis of simulation and results provided in the last section it can be concluded that:

The stochastic solvers based on DEN networks optimized with hybridized genetic algorithm can effectively provides the solution of the Non-Linear Singular System of Polytrrophic and Isothermal sphere model. The mean of the absolute error lies in range of 10^{-06} .

The reliability and effectiveness of proposed artificial intelligence techniques are validated from statistical analysis base on 100 independent runs. It is found that the confidence interval for the convergence of the given approach 100% to get an approximate solution in a acceptable error range.

It has been observed that the proposed scheme show the supremacy on the optimal Homotopy asymptotic method in comparison with the exact solution. Moreover, the proposed scheme can readily provide the solution on the continuous grid of time. Thus this provides an alternate approach to researchers to apply the solver to complex real life problems in engineering.

In future, one can look for application of other artificial intelligence techniques base on neural networks

optimized with ant/bee colony optimization, genetic programming, particle swarm optimization and differential evolution etc. for solving such vast applications.

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GLOBAL JOURNAL OF RESEARCHES IN ENGINEERING
NUMERICAL METHODS

Volume 12 Issue 1 Version 1.0 March 2012

Type: Double Blind Peer Reviewed International Research Journal

Publisher: Global Journals Inc. (USA)

Online ISSN: 2249-4596 & Print ISSN: 0975-5861

Homotopy Analysis Method to Solve the Multi-Order Fractional Differential Equations

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Abstract - This paper applies the homotopy analysis method (HAM) to obtain the solution of multi-order fractional differential equation. The fractional derivative is described in Caputo sense. Some test examples have been present.

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GJRE-I Classification : FOR Code: 010102, 010302, 010109



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Homotopy Analysis Method to Solve the Multi-Order Fractional Differential Equations

V.G.Gupta^a & Pramod Kumar^o

Abstract - This paper applies the homotopy analysis method (HAM) to obtain the solution of multi-order fractional differential equation. The fractional derivative is described in Caputo sense. Some test examples have been present.

Keywords : Fractional differential equation, Caputo's fractional derivative, Riemann-Liouville fractional derivative, homotopy analysis method.

I. INTRODUCTION

In recent years, fractional differential equations have attracted many researchers [7-9] due to their very important applications in Physics, Science and Engineering such as damping law, rheology, diffusion process, description of fractional random walk and so on. Most fractional differential equations do not have exact solutions, so approximation and numerical techniques must be used, such as Laplace transform method [10], Adomian decomposition method [6,11,12], Variational iteration method [13,14], Homotopy perturbation method [15,16], Homotopy analysis method [1,17,18] and so on. The homotopy analysis method (HAM) was first proposed by Liao [1] in his Ph.D. Thesis. This method (HAM) given in Liao [17] also provides a systematic and an effective procedure for explicit and numerical solutions of a wide and general class of differential equations system representing real physical and engineering problems.

In this paper, the homotopy analysis method (HAM) Liao [1] is applied to solve multi-order fractional differential equations studied by Diethelm and Ford [2]. We also present an algorithm to convert the multi-order fractional differential equation into a system of fractional differential equations without putting any of the

restrictions. This algorithm is valid in the most general case and yields fewer number of equations in a system compared to those in Diethelm-Ford algorithm. In last the solutions of the system of FDE have been obtained by applying the Homotopy analysis method.

II. SOME BASIC DEFINITIONS

Definition 2.1:

A real function $F(x)$, $x > 0$ is said to be in space C_μ , $\mu \in \mathbf{R}$ is there exists a real number p ($p > \mu$) such that $F(x) = x^p F_1(x)$ where $F_1(x) \in C[0, \infty)$ and it is said to be in the space C_μ^m iff $F^{(m)} \in C_\mu$, $m \in \mathbf{N}$.

Definition 2.2:

The Riemann-Liouville fractional integral operator of order $\alpha \geq 0$ of a function $F \in C_\mu$, $\mu \geq -1$, is defined as

$$J^\alpha F(x) = \frac{1}{\Gamma(\alpha)} \int_0^x (x-t)^{\alpha-1} F(t) dt; \quad \alpha > 0, x > 0$$

$$J^0 F(x) = F(x) \quad (1)$$

Properties of the operator J^α can be found in [10,19] we mentioned only the following

$$(i) \quad J^\alpha J^\beta = J^{\alpha+\beta} \quad (ii) \quad J^\alpha J^\beta = J^\beta J^\alpha \quad (2)$$

$$(iii) \quad J^\alpha x^\gamma = \frac{\Gamma(\gamma+1)}{\Gamma(\alpha+\gamma+1)} x^{\alpha+\gamma}$$

For $F \in C_\mu$, $\mu \geq -1$, $\alpha, \beta \geq 0$ and $\gamma > -1$.

Definition 2.3:

The Fractional derivative of $F(x)$ in the Caputo sense is defined as

$$D^\alpha F(x) = J^{n-\alpha} D^n F(x) = \frac{1}{\Gamma(n-\alpha)} \int_0^x (x-t)^{n-\alpha-1} F^{(n)}(t) dt \quad (3)$$

$$\text{For } x > 0, n-1 < \alpha < n, n \in \mathbf{N}, x > 0, F \in C_{-1}^\mu \quad (4)$$

Caputo's fractional derivative has a useful property [19]

$$J^\alpha [D^\alpha F(x)] = F(x) - \sum_{k=0}^{n-1} F^{(k)}(0^+) \frac{x^k}{k!} \quad (n-1 < \alpha < n) \quad (5)$$

For the Caputo's derivative

$$D^\alpha(c) = 0$$

$$D^\alpha x^\beta = \begin{cases} 0 & ; \beta < \alpha - 1 \\ \frac{\Gamma\beta+1}{\Gamma\beta-\alpha-1} x^{\beta-\alpha} & ; \beta > \alpha - 1 \end{cases} \quad (6)$$

$$D^\beta J^\alpha F(x) = \begin{cases} J^{\alpha-\beta} F(x) & ; \alpha > \beta \\ F(x) & ; \alpha = \beta \\ D^{\beta-\alpha} F(x) & ; \alpha < \beta \end{cases} \quad (7)$$

Caputo's fractional derivative is linear operator, similar to integer order derivative

$$D^\alpha [a f(x) + b g(x)] = a D^\alpha f(x) + b D^\alpha g(x) \quad (8)$$

where a and b are constants. Also this operator satisfies the so-called Leibnitz rule.

$$D^\alpha [g(x) f(x)] = \sum_{k=0}^{\infty} \binom{\alpha}{k} g^{(k)}(x) D^{\alpha-k} f(x) \quad (9)$$

For n to be the smallest integer that exceeds α , the Caputo space fractional derivative operator of order $\alpha > 0$ is defined as

$$D_t^\alpha u(x, t) = \frac{\partial^\alpha u(x, t)}{dt^\alpha} = \begin{cases} \frac{1}{\Gamma n - \alpha} \int_0^t (t - \tau)^{n-\alpha-1} \frac{\partial^n u(x, \tau)}{\partial \tau^n} d\tau & n-1 < \alpha < n \\ \frac{\partial^n u(x, t)}{\partial t^n} & \alpha = n \in \mathbb{N}, \end{cases} \quad (10)$$

For the purpose of this article, the Caputo's definition of fractional differentiation will be used.

Definition 2.4 : The Mittag-Leffler function $E_\alpha(z)$ with $\alpha > 0$ is defined the following series representation valid in the whole complex plane [3] .

$$E_\alpha(z) = \sum_{n=0}^{\infty} \frac{z^n}{\Gamma(\alpha n + 1)}, \quad \alpha > 0, \quad z \in \mathbb{C}.$$

Lemma 2.5. Diethelm and Ford [4]. Let $Y(t) \in C^k(0, t)$ for some $T > 0$ and $k \in \mathbb{N}$ and let $q \notin \mathbb{N}$ be such that $0 < q < k$ then $D_\alpha^q y(0) = 0$.

III. ALGORITHM TO CONVERT THE MULTI-ORDER FDE INTO A SYSTEM OF FDE

Let the given fractional differential equation is

$$D_*^{n_k} y(x) = g(x, y(x), D_*^{n_1} y(x), D_*^{n_2} y(x), \dots, D_*^{n_{k-1}} y(x)) \quad (11)$$

Subject to the initial conditions

$$y^j(0) = y_0^{(j)} ; \quad j = 0, 1, 2, \dots, \lceil n_k \rceil - 1 \quad (12)$$

where $0 < n_1 < \dots < n_{k-1} < n_k$, $n_i - n_{i-1} \leq 1$

for all $i = 1, 2, \dots, k$ and $0 < n_i \leq 1$, assume that $n_i \in \mathbb{Q}$.

In Daftardor-Gejji and Jafari [5], Jafari, Das and Tajadodi [6] it was proved that the FDE (11) can be represented as a system of FDE, without any additional restrictions mentioned in equation (2). Here is above mentioned approach. Let us define

$$y_1(x) = y(x)$$

then

$$D_*^{n_1} y_1 = y_2 \quad (13)$$

Here two cases arise

Case (i) : If $m-1 \leq n_1 \leq n_2 \leq m$ then define

$$D_*^{n_2-n_1} y_2(x) = y_3 = D_*^{n_2} y(x) \quad (14)$$

Case (ii) : Consider $m-1 \leq n_1 < m \leq n_2$. If $n_1 = m-1$ then define

$$D_*^{n_2-n_1} y_2(x) = y_{3\bullet} \quad (15)$$

$$D_*^{n_2-n_1} y_2(x) D_*^{n_2-m+1} y_1^{(m-1)} = D_*^{n_2} y_1(x)$$

If $m-1 < n_1 < m \leq n_2$ then define

$$D_*^{m-n_1} y_2(x) = y_{3\bullet} = y^{(m)}. \text{ Further define:} \quad (16)$$

$$= D_*^{n_2-m} y_3(x) = y_{4\bullet}$$

and continuing similarly one can convert the initial value problem (11) into a system of FDE.

The following example will illustrate the method. Consider

$$\begin{aligned} D_*^{3.3} y(x) = F(x, y(x), D_*^{0.1} y(x), D_*^1 y(x), D_*^{1.2} y(x), D_*^{1.5} y(x), D_*^{1.7} y(x), D_*^2 y(x), \\ D_*^{2.2} y(x), D_*^{2.6} y(x), D_*^3 y(x)) \end{aligned} \quad (17)$$

where

$$y^{(j)}(0) = y_0^{(j)} : j=0,1,2,3 \quad (18)$$

This initial value problem can be viewed as the following system of FDE.

$$\begin{aligned} \text{Let } y_1(x) &= y(x) & D_*^{0.2} y_5(x) &= y_6(x) & ; y_5(0) &= 0 \\ D_*^{0.1} y_1(x) &= y_2(x) & ; y_1(0) &= y_0^{(0)} & D_*^{0.3} y_6(x) &= y_7(x) & ; y_6(0) &= 0 \\ D_*^{0.9} y_2(x) &= y_3(x) & ; y_2(0) &= 0 & D_*^{0.2} y_7(x) &= y_8(x) & ; y_7(0) &= y_0^{(2)} \\ D_*^{0.2} y_3(x) &= y_4(x) & ; y_3(0) &= y_0^{(1)} & D_*^{0.4} y_8(x) &= y_9(x) & ; y_8(0) &= 0 \\ D_*^{0.3} y_4(x) &= y_5(x) & ; y_4(0) &= 0 & D_*^{0.4} y_9(x) &= y_{10}(x) & ; y_9(0) &= 0 \end{aligned} \quad (19)$$

$$D_*^{0.3} y_{10}(x) = F(x, y_1, y_2, y_3, y_4, y_5, y_6, y_7, y_8, y_9); y_{10}(0) = y_0^{(3)}.$$

This algorithm is valid in the most general case, because we do not impose any of the restriction on α and $n\ell$ as mentioned in equation (12).

IV. BASIC IDEA OF HAM AND A SYSTEM OF FDE

We can present the multi-order equation (11) as system of fractional differential equations:

$$D_*^{\alpha_\ell} y_\ell(x) = y_{\ell+1} \quad ; \ell=1,2,\dots,n-1$$

$$D_*^{\alpha_n} y_n(x) = F(x, y_1, y_2, \dots, y_n)$$

$$y_\ell^{(k)}(0) = c_k^\ell, \quad 0 \leq k \leq m_\ell, \quad m_\ell < \alpha_\ell \leq m_\ell + 1, \quad 1 \leq \ell \leq n. \quad (20)$$

According to the HAM, we construct the so-called zeroth order deformation equations

$$\begin{aligned} (1-q)D^{\alpha_{\ell}}[\phi_{\ell}(x;q)-y_{\ell 0}(x)] &= q h_{\ell} H_{\ell}(x) [D^{\alpha_{\ell}}\phi_{\ell}(x;q)-\phi_{\ell+1}(x;q)] \\ \ell &= 1, 2, \dots, n-1 \\ (1-q)D^{\alpha_n}[\phi_n(x;q)-y_{n0}(x)] &= q h_n H_n(x) [D^{\alpha_n}\phi_n(x;q)-F(x, \phi_1, \phi_2, \dots, \phi_n)] \end{aligned} \quad (21)$$

where $h_{\ell} \neq 0$ denotes an auxiliary parameter, $H_{\ell}(x)$ is an auxiliary function, $q \in [0, 1]$ is an embedding parameter, $y_{\ell 0}(x)$ is initial guess of $y_{\ell}(x)$ and $\phi_{\ell}(x;q)$ unknown function of independent variables x and q .

Obviously, when $q = 0$ and $q = 1$ it holds

$$\begin{aligned} \phi_{\ell}(x;0) &= y_{\ell 0}(x) \\ \phi_{\ell}(x;1) &= y_{\ell}(x) \quad \ell = 1, 2, \dots, n. \end{aligned} \quad (22)$$

Thus as q increases from 0 to 1 the solution $\phi_{\ell}(x;q)$ varies from the initial guess $y_{\ell 0}(x)$ to the solution $y_{\ell}(x)$. Expanding in Taylor's series with respect to q , we have

$$\phi_{\ell}(x;q) = y_{\ell 0}(x) + \sum_{m=1}^{\infty} y_{\ell m}(x) q^m \quad (23)$$

where

$$y_{\ell m} = \frac{1}{m!} \left. \frac{\partial^m \phi_{\ell}(x;q)}{\partial q^m} \right|_{q=0} \quad \ell = 1, 2, \dots, n \quad (24)$$

If the auxiliary linear operator, initial guess, the auxiliary parameters h and the auxiliary function are so properly chosen the series (23) converges at $q = 1$, then

$$y_{\ell}(x) = y_{\ell 0}(x) + \sum_{m=1}^{\infty} y_{\ell m}(x) : \quad \ell = 1, 2, \dots, n \quad (25)$$

Define the vector

$$\vec{y}_{\ell}(x) = \{y_{\ell 0}(x), y_{\ell 1}(x), \dots, y_{\ell n}(x)\} \quad (26)$$

Differentiating equation (21) m times with respect to q and then putting setting $q = 0$ and finally dividing them by $m!$ we obtain the m^{th} order deformation equation

$$\begin{aligned} D^{\alpha_{\ell}}[y_{\ell m}(x) - \chi_m y_{\ell m-1}(x)] &= h_{\ell} H_{\ell}(x) R_{\ell m}(\vec{y}_{1m-1}, \dots, \vec{y}_{nm-1}, x); \quad \ell = 1, 2, \dots, n-1 \\ D^{\alpha_n}[y_{nm}(x) - \chi_m y_{nm-1}(x)] &= h_n H_n(x) R_{nm}(\vec{y}_{1m-1}, \dots, \vec{y}_{nm-1}, x) \end{aligned} \quad (27)$$

where

$$R_{\ell m}(\vec{y}_{1m-1}, \dots, \vec{y}_{nm-1}, x) = \frac{1}{(m-1)!} \left. \frac{\partial^{m-1} [D^{\alpha_{\ell}}\phi_{\ell}(x;q) - \phi_{\ell+1}(x;q)]}{\partial q^{m-1}} \right|_{q=0}$$

$$R_{nm}(\bar{y}_{1m-1}, \dots, \bar{y}_{nm-1}, x) = \frac{1}{(m-1)!} \frac{\partial^{m-1} [D^{\alpha_n} \phi_n(x; q) - F(x, \phi_1, \phi_2, \dots, \phi_n)]}{\partial q^{m-1}} \bigg|_{q=0} \quad (28)$$

and

$$\chi_m = \begin{cases} 0, & m \leq 1 \\ 1, & m > 1 \end{cases} \quad (29)$$

Applying J^{α_ℓ} the inverse operator D^{α_ℓ} of on both sides of equation (27), we have

$$y_{\ell m}(x) = \chi_m y_{\ell m-1}(x) - \chi_m \sum_{j=0}^{m-1} y_{\ell m-1}^{(j)}(0^+) \frac{x^j}{j!} + \hbar H_\ell(x) J^{\alpha_\ell} R_{\ell m}(\bar{y}_{\ell m-1}, \dots, \bar{y}_{nm-1}, x)$$

$$y_{nm}(x) = \chi_m y_{nm-1}(x) - \chi_m \sum_{j=0}^{m-1} y_{nm-1}^{(j)}(0^+) \frac{x^j}{j!} + \hbar H_n(x) J^{\alpha_n} R_{nm}(\bar{y}_{1m-1}, \dots, \bar{y}_{nm-1}, x) \quad (30)$$

The m -th order deformation equations are linear and thus can be easily solved. We have

$$y_\ell(x) = \sum_{m=0}^{\infty} y_{\ell m}(x) \quad \ell = 1, 2, \dots, n \quad (31)$$

when $M \rightarrow \infty$, we get an accurate approximation of original equation (11).

V. TEST EXAMPLES

Example 1.

$$D^4 y(x) + D^{3.5} y(x) + y^3(x) = x^9 \quad (32)$$

with the initial conditions

$$y(0) = y'(0) = y''(0) = 0, y'''(0) = 6 \quad (33)$$

In view of the discussion in the last section the equation (32) can be viewed as the following system of FDE

$$y_1(x) = y(x)$$

then

$$D^{3.5} y_1(x) = y_2(x); \quad y_1(0) = y_1'(0) = y_1''(0) = 0, y_1'''(0) = 6$$

and

$$D^{0.5} y_2(x) = -y_2 - y_1^3 + x^9, \quad y_2(0) = 0$$

Using equation (), we get the following scheme:

$$y_{10} = x^3, y_{20} = 0$$

$$y_{1m} = (\chi_m + h_1)[y_{1m-1}(x) - y_{1m-1}(0)] + h_1 J^{3.5} [-y_{2m-1}]$$

$$y_{11} = h J^{3.5} [-y_{20}] = 0$$

$$y_{2m} = (\chi_m + h_2)[y_{2m-1}(x) - y_{2m-1}(0)]$$

$$+ h_2 J^{0.5} \left[y_{2m-1} + \sum_{i=0}^{m-1} y_{1i} \sum_{j=0}^{m-1-i} y_{1j} y_{1m-1-i-j} - (1 - \chi_m) x^9 \right]$$

$$y_{21} = h_2 J^{0.5} [y_{20} + y_{10}^3 - x^9]$$

$$y_{21} = 0$$

and hence

$$y_{1m} = 0, y_{2m} = 0; m \geq 1$$

In view of above terms, we find $y_1(x) = x^3, y_2(x) = 0$ so $y(x) = x^3$ is the required solution of the given equation.

Example 2: Consider the following initial value problem

$$D_*^2 y(x) - D_*^{3/2} y(x) + y(x) = 1 + x \quad (34)$$

with the initial conditions

$$y(0) = y'(0) = 1 \quad (35)$$

Equation (34) is equivalent to the following system of equations

$$y_1(x) = y(x)$$

$$D^{1.5} y_1(x) = y_2(x); \quad y_1(0) = y_1'(0) = 1$$

$$D^{0.5} y_2(x) = y_2(x) - y_1(x) + (1+x)$$

as the initial guess we assume $y_{10} = 1+x$, $y_{20} = 0$.

By HAM the m-th order deformation equations are given by

$$y_{1m}(x) = \chi_m y_{1m-1} + h_1 J^{1.5} [-y_{2m-1}]$$

$$y_{2m}(x) = \chi_m y_{2m-1} + h_2 [-y_{2m-1} + y_{1m-1} - (1 - \chi_m)(1+x)]$$

$$= h_2 J^{0.5} [-y_{20} + y_{10} - (1 - \chi_1)(1+x)] = 0$$

and hence $y_{1m} = 0, y_{2m} = 0; m \geq 1$.

In view of above, we get the exact solution $y(x) = 1+x$.

VI. CONCLUSION

This paper deals with the approximate solution of a class of multi-order fractional differential equations by Homotopy analysis method. Thus it has been demonstrated that Homotopy analysis method proves useful in solving linear as well as non-linear multi-order fractional differential equation by reducing them into a system of fractional differential equations.

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Comparative Analysis of Threshold Acceptance Algorithm, Simulated Annealing Algorithm and Genetic Algorithm for Function Optimization

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Abstract - The goal of this study of threshold acceptance algorithm (TA), simulated annealing algorithm (SA) and genetic algorithm (GA) is to determine strength of Genetic Algorithm over other algorithm. It gives a clear idea of how genetic algorithm works. It gives the idea of various sub methods used in genetic algorithm to improve the results and outcome. Basically genetic algorithm and all traditional heuristic methods are used for optimization. Optimization problems are class NP complete problems. Genetic algorithm can be viewed as an optimization technique which exploits random search within a defined search space to solve a problem by some intelligence ideas of nature. In this work we have done Comparative analysis of Threshold Acceptance Algorithm, Simulated Annealing Algorithm and Genetic Algorithm by considering different test functions and its constraints to minimize the test functions.

Keywords : *Heuristic methods, Genetic Algorithm, Chromosomes, Mutation, threshold acceptance algorithm, simulated annealing algorithm, function optimization.*

GJRE-I Classification : *FOR Code: 010399*



Strictly as per the compliance and regulations of:



Comparative Analysis of Threshold Acceptance Algorithm, Simulated Annealing Algorithm and Genetic Algorithm for Function Optimization

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Abstract - The goal of this study of threshold acceptance algorithm (TA), simulated annealing algorithm (SA) and genetic algorithm (GA) is to determine strength of Genetic Algorithm over other algorithm. It gives a clear idea of how genetic algorithm works. It gives the idea of various sub methods used in genetic algorithm to improve the results and outcome. Basically genetic algorithm and all traditional heuristic methods are used for optimization. Optimization problems are class NP complete problems. Genetic algorithm can be viewed as an optimization technique which exploits random search within a defined search space to solve a problem by some intelligence ideas of nature. In this work we have done Comparative analysis of Threshold Acceptance Algorithm, Simulated Annealing Algorithm and Genetic Algorithm by considering different test functions and its constraints to minimize the test functions.

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I. INTRODUCTION

Optimization is the process of finding absolutely best values of the variables so that value of an objective function becomes optimal. Optimization problems are a class of NP-Complete problems. This work contains overview of threshold acceptance algorithm, simulated annealing algorithm and brief introduction to Genetic algorithm. Genetic algorithm is probabilistic, heuristic, robust search algorithm premised on the evolutionary ideas of natural selection and genetic. Main idea behind the design of genetic algorithm is to achieve robustness and adaptiveness in real world complex problems. Genetic algorithm can be viewed as an Optimization technique, which exploits random search within a defined search space to solve a problem, by some intelligence ideas of nature.

II. THRESHOLD ACCEPTANCE ALGORITHM

Threshold Accepting (TA) is a local search method and was first described by Dueck and Scheuer and Moscato and Fontanari.

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A classical local search starts with a random feasible solution and then explores its neighbourhood in the solution space by moving (usually randomly) from its current position, accepting a new solution if and only if it improves the objective function. TA overcomes the problem of stopping in local minima by also allowing uphill-moves that is TA also accepts new solutions which lead to higher objective function values.

To implement TA, three points need to be specified:

1. The objective function f : This function is generally given by the problem at hand.
2. The neighborhood definition (the function N): Given a candidate solution x^c , one needs to define how to move from this solution to an alternative, but 'close' solution x^n .
3. The thresholds: Given a neighbourhood definition, one needs to determine the magnitude of the deterioration in the objective function that the algorithm should still accept for a new solution.

The pseudo-code of TA can be given as follows:

- 1: Initialize η Rounds and η Steps
- 2: Compute threshold sequence Tr
- 3: Randomly generate current solution $x^c \in X$
- 4: for $r = 1: \eta$ Rounds do
- 5: for $i = 1: \eta$ Steps do
- 6: Generate $x^n \in N(x^c)$ and compute $\Delta = f(x^n) - f(x^c)$
- 7: if $\Delta < Tr$ then $x^c = x^n$
- 8: end for
- 9: end for
- 10: $x^{sol} = x^c$

Here, f is the objective function to be minimized. x^c denotes the current solution, x^n is the 'new' (or neighbor) solution, and X is the set of feasible solutions.

TA starts with a (random) feasible solution. Given a threshold sequence T of length η Rounds, one can see that TA always accepts a solution that improves the objective function f , but deteriorations are only accepted if they are not worse than a particular threshold, Tr . Over time, the threshold decreases to zero, thus TA turns into a classical local search.

III. SIMULATED ANNEALING ALGORITHM

Simulated Annealing (SA) was introduced by Kirkpatrick. Like other trajectory methods, it evolves a single solution over time. By changing this solution

gradually, the algorithm follows some path ('trajectory') through the search space.

SA starts with a random solution x^c and creates a new solution x^n by adding a small perturbation to x^c . If the new solution is better ($\Delta < 0$), it is accepted. In case it is worse, though, SA applies a stochastic acceptance criterion, thus there is still a chance that the new solution is accepted, albeit only with a certain probability. This probability is a decreasing function of both the order of magnitude of the deterioration and the time the algorithm has already run. The latter feature is controlled by the temperature parameter T which is reduced over time; hence impairments in the objective function become less likely to be accepted and eventually SA turns into classical local search. Here, the algorithm stops after a predefined number of iterations R_{max} ; of course, alternative stopping criteria are possible.

The pseudo-code of SA can be given as follows:

- 1: Generate initial solution x^c , initialize R_{max} and T
- 2: for $r = 1$ to R_{max} do
- 3: while stopping criteria not met do
- 4: Compute $x^n \in N(x^c)$ (neighbour to current solution)
- 5: Compute $\Delta = f(x^n) - f(x^c)$ and generate u (uniform random variable)
- 6: if ($\Delta < 0$) or ($e^{-\Delta/T} > u$) then $x^c = x^n$
- 7: end while
- 8: Reduce T
- 9: end for

IV. GENETIC ALGORITHM

What is Genetic Algorithm?

Genetic algorithms are probabilistic, robust and heuristic search algorithms premised on the evolutionary ideas of natural selection and genetic.

Darwin's Principle of Natural Selection

IF there are organisms that reproduce, and
 IF offspring's inherit traits from their progenitors, and
 IF there is variability of traits, and
 IF the environment cannot support all members of a growing population,
 THEN those members of the population with less adaptive traits will die out, and
 THEN those members with more-adaptive traits will thrive.

Concept

The basic concept of genetic algorithms is designed to simulate the processes in natural system necessary in for evolution, specifically for those that follow the principle of *survival of the fittest*. They represent the intelligent exploitation of a random search within a defined search space to solve a problem. Genetic Algorithm is developed by John Holland and his students at Michigan University during 1965-1975.

Encoding

Encoding is the first step towards genetic algorithm. First the data is encoded with the help of some encoding technique. Then it is given to genetic algorithm. Selection of encoding technique depends upon the problem. Different types of encoding techniques are available. They are as follows

Binary Encoding

Most common method of encoding. Chromosomes are strings of 1s and 0s and each position in the chromosome represents a particular characteristic of the problem

Chromosome A 110011001110110

Chromosome B 100011001100111

Permutation Encoding

Useful in ordering problems such as the Traveling Salesman problem (TSP). In TSP every chromosome is a string of numbers, each of which represents a city to be visited.

Chromosome A 1 5 3 2 6 4 7 9 8

Chromosome B 8 5 6 7 2 3 1 4 9

Value Encoding

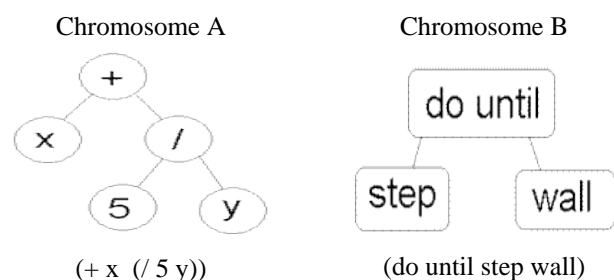
Used in problems where complicated values, such as real numbers, are used and where binary encoding would not suffice. Good for some problems, but often necessary to develop some specific crossover and mutation techniques for these chromosomes.

Chromosome A 1.235 5.323 0.454 2.321 2.454

Chromosome B (left), (back), (left), (right), (forward)

Tree Encoding

Tree encoding is used mainly for evolving programs or expressions. In the tree encoding every chromosome is a tree of some objects, such as functions or commands in programming language. Tree encoding is useful for evolving programs or any other structures that can be encoded in trees. Programming language LISP is often used for this purpose, since programs in LISP are represented directly in the form of tree and can be easily parsed as a tree, so the crossover and mutation can be relatively easily.



a) Components of Genetic Algorithm

i. Chromosomes

All living organisms are different then other

organisms of the same species as well as different species. Even twins have at least some minor differences. These differences are due to genetic structure, which is called chromosomes. Chromosomes or individuals are consisting of genes. Genes may contain different possible values depending on the environment, constraints and struggle to survive. Each gene is responsible for some part of solution but we cannot identify the role of each gene individually because they work collectively and their inter-relations are complex. The encoding process of solution as a chromosome is most difficult aspect of solving any problem using genetic algorithm. Encoding of solution as a chromosome is known as genotype and its equivalent physical representation is known as phenotype.

ii. *Fitness Function*

In the nature the organism's "fitness" can be measured by its ability to reproduce, to adapt and to survive. In genetic algorithm chromosomes should be measured by some technique to decide which chromosomes are good compared to other chromosomes. Fitness function is a objective or evaluation function which is used to measure how good a chromosome is. Fitness function assigns fitness value to each chromosome using genetic structure and relevant information of the chromosome. Fitness function plays a big role because subsequent genetic operators use fitness values to select chromosomes. Different fitness functions are used depending on type and solution vector of problem. For function optimization problems, fitness function may be the value of objective function.

iii. *Reproduction*

Reproduction or selection is based on the concept natural selection and it is one of the main three operators used in genetic algorithm. The main objective of reproduction operator is to emphasize good chromosomes in a population. Reproduction makes multiple copies of relatively good chromosomes at the cost of relatively bad chromosomes while keeping population size constant. The essential idea is that chromosomes having a higher fitness value have a higher probability of selection. The identification of good or bad chromosomes is done using fitness value of the chromosomes. Many selection methods are available, some of them make multiple copies of the chromosomes on the basis of probability, where as some make multiple copies deterministically.

1) *Roulette Wheel Selection Method*

In this method each chromosome in the population occupies an area of the roulette wheel proportional to its fitness value. Chromosomes with better fitness occupies large fraction of roulette wheel where as chromosomes with bad fitness occupies small fraction of roulette wheel. Then roulette wheel is spun as many times as population size. Each time roulette wheel

pointer points one chromosome and that chromosome is placed in mating pool. A chromosome with a higher fitness is likely to receive more copies than a chromosome with a lower fitness. Roulette Wheel Selection method is widely used for the maximization problems but it has two main drawbacks:

- i. It can handle only maximization problem so minimization problem must be converted into an equivalent maximization problem.
- ii. If a population contains a chromosome having exceptionally better fitness compared to the rest of the chromosomes in the population then this chromosome occupies most of the roulette wheel area. Thus, almost all the spinning of the roulette wheel is likely to choose the same chromosome, this may result in the loss of genes diversity and population may coverage to local optima.

2) *Rank Based Selection Method*

Rank based selection uses fitness value of the chromosomes to sort chromosomes in to ascending or descending order depending on the minimization or maximization problem. Then it assigns reproduction probability and ranked fitness to each chromosome on the basis of only rank order of the chromosome in the current population. Rank based selection also assigns some probability to the worst chromosome so that it has some chance for getting selected.

3) *Steady State Selection*

In the steady state selection in every generation a few good chromosomes are selected for creating new offspring. Then some bad chromosomes are removed and the new offspring is placed. The rest of population survives to new generation.

4) *Elitism*

After crossover and mutation new population is generated. With the help of elitism we can store the best found chromosomes. The remaining chromosomes are delivered for the next generation. In this way we cannot lose best chromosomes.

5) *Tournament Selection*

Runs a "tournament" among a few individuals chosen at random from the population and selects the winner (the one with the best fitness) for crossover. Two entities are picked out of the pool, their fitness is compared, and the better is permitted to reproduce. Advantage is decreases computing time.

b) *Operators of Genetic Algorithm*

i. *Crossover*

Two parents chromosomes are selected randomly from the mating pool, few genes of the chromosomes are exchanged between these two parents and offspring are produced. In general crossover operator recombines two chromosomes so it is also known as recombination. Crossover is intelligent search operator that exploits the information acquired by

the parent chromosomes to generate new offspring. If both the parent has same genetic structure then offspring are just copies of the parent irrespective of cutting point but if parent have different genetic structure then offspring are different than parent. Thus, crossover is sampling process, which samples new points in search space. Generally crossover probability is very high like 1.00, 0.95, 0.90 etc.. Different types of Crossover methods can be used i.e. 1-point crossover, n-point crossover and uniform crossover.

ii. Mutation

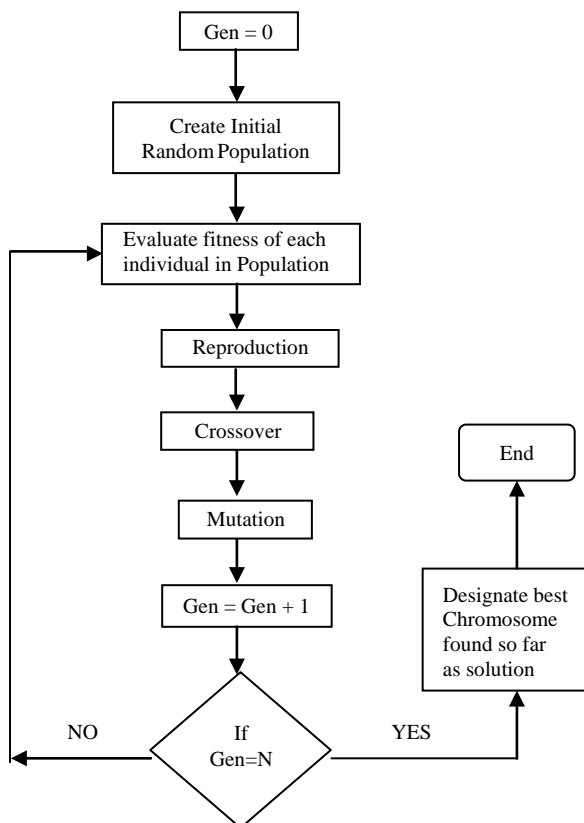
Mutation is secondary operator used in genetic algorithm to explore new points in the search space. In the latter stages of a run, the population may converge in wrong direction and stuck to local optima. The effect of mutation is to reintroduce divergence into a converging population. Mutation operator selects one chromosome randomly from the population, then selects some genes using mutation probability and flips that bit. So mutation is a random operator that randomly alters some value. Mutation either explores some new points in the search space and leads population to global optima direction or alters value of the best chromosome and losses knowledge acquired till now. So mutation should be used rarely. Generally per gene probability of mutation is 0.001, 0.01, 0.02 etc..

Flip a bit

Parent 110-011-010-001-101

Child 110-111-010-001-101

iii. GA Flowchart



The pseudo-code of GA can be given as follows:

1. Set the values of the parameters regarding population size, probability of crossover, probability of mutation, number of generations, and all the other parameters.
2. Generate random initial population of chromosomes.
3. Select two of the chromosomes as parents, with probability proportional to their fitness.
4. If crossover is used, combine the genes of these chromosomes using the crossover operator to form two children chromosomes. In the case no crossover is applied, the children chromosomes will be initially, just copies of the parent chromosomes.
5. Then apply the mutation operator to the children chromosomes, so that some (if any) random bits of the children chromosomes are inverted.
6. Repeat steps 4-6, until children chromosomes have been formed.
7. Repeat steps 3-7 until the specified number of generations have passed.

V. TEST FUNCTIONS

Different types of Nine Test Functions are considered as given below in Table 1. Threshold Acceptance Algorithm (TA), Simulated Annealing Algorithm (SA) and Genetic Algorithm (GA) are applied to these test functions.

Table 1 : List of 9 Test Functions

Function Name	9 Test Functions	Constraints	Function Value
F1	$f(x_1, x_2) = x_1 + x_2$	[0,1]	Minimize
F2	$f(x_1, x_2) = x_1^2 + x_2^2$	[0,1]	Minimize
F3	$f(x_1, x_2) = 20 + x_1^2 + x_2^2$	[0,1]	Minimize
F4	$f(x_1, x_2) = x_1^4 + x_2^4 + (2 \times x_1 \times x_2)$	[0,1]	Minimize
F5	$f(x_1, x_2) = x_1^2 + x_2^2 + (20 \times x_1 \times x_2)$	[0,1]	Minimize
F6	$f(x_1, x_2, x_3, x_4) = x_1^2 + x_2^2 + x_3^2 + x_4^2$	[0,1]	Minimize
F7	$f(x_1, x_2) = (x_1 \times \sin \sqrt{x_1}) + (x_2 \times \sin \sqrt{x_2})$	[0,1]	Minimize
F8	$f(x_1, x_2) = x_1^2 - (10 \times \cos(2 \times \pi \times x_1)) + 10 + (10 \times \cos(2 \times \pi \times x_2)) + 10$	[0,1]	Minimize
F9	$f(x_1, x_2) = (4 \times x_1^2) + (2.1 \times x_1^4) + (x_1^6 / 3) + (4 \times x_1 \times x_2) + (4 \times x_2^2) + (4 \times x_2^4)$	[0,1]	Minimize

VI. RESULTS BY COMPARATIVE ANALYSIS OF TA, SA AND GA

Function Name	No. of Iterations			Time in Seconds		
	TA	SA	GA	TA	SA	GA
F1	1506	5924	100	15	30	5
F2	1000	1637	51	9	12	2
F3	1000	1462	52	7	8	2
F4	1000	2620	51	7	13	2
F5	5918	5940	100	25	25	4
F6	2000	3483	64	17	19	3
F7	2220	1160	51	18	7	2
F8	1196	3079	51	9	12	2
F9	1000	1168	51	5	7	2

VII. CONCLUSION

Genetic algorithm is probabilistic, heuristic, robust search algorithm premised on the evolutionary ideas of natural selection and genetic. Main idea behind the design of genetic algorithm is to achieve robustness and adaptiveness in real world complex problems. From the above results we have concluded that genetic algorithm is more reliable, strong and robust than threshold acceptance algorithm and simulated annealing algorithm.

VIII. ACKNOWLEDGEMENT

I take this opportunity to express my immense gratitude to my Research Guide Dr. G. R. Kulkarni. I am hearty grateful to him for his prolonged interest, excellent guidance and constant inspirations. The success of this work would not be possible without his uncompromising demand for quality, and his reviews of my work have helped me lot to complete this research paper

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