

# GLOBAL JOURNAL OF SCIENCE FRONTIER RESEARCH

*discovering thoughts and inventing future*

Volume 10 Issue 7 Version 1.0

ISSN: 0975-5896

*November 2010*



## 9 Advances & Discoveries of Science

### highlights

Analysis of SubspaceLDA

Viscous Dissipation Effects

Downy Mildew Resistant Maize

Fractional Volterra Type Equations



Global Journal of Science Frontier Research

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Global Journal of Science Frontier Research

Volume 10 Issue 7 (Ver. 1.0)

Global Association of Research

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# Asymptotic Behavior of Solutions of Nonlinear Delay Differential Equations With Impulse

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GJSFR Classification - F (FOR)  
 010302

**Abstract** This paper studies the asymptotic behavior of solutions of the second-order nonlinear delay differential equations with impulses:

$$(r(t)x'(t))' - p(t)x'(t) + \sum_{i=1}^n q_i(t)x(t-\sigma_i) + f(t) = 0 \quad t \neq t_k$$

$$x(t_k^+) - x(t_k) = a_k x(t_k) \quad x'(t_k^+) - b_k x'(t_k) \quad k \in \mathbb{Z}^+, \quad \in \quad .$$

and some sufficient conditions are obtained.

**Keywords**-Asymptotic Behavior, Second-order Nonlinear Delay Differential Equation, Impulses.

## I. INTRODUCTION

In [1], X.Liu studied the asymptotic behavior of solution of the forced nonlinear neutral differential equation with impulses:

$$[x(t) - p(t)x(t-\tau)]' + \sum_{i=1}^n q_i(t)f(x(t-\sigma_i)) = h(t) \quad t, t_k \neq \quad ,$$

$$x(t_k^+) - x(t_k) = b_k x(t_k) \quad , \quad k \in \mathbb{Z}^+ \quad .$$

In [2], the authors researched the effective sufficient conditions for the asymptotic stability of the trivial solution of impulsive delay differential equation:

$$x'(t) + \sum_{i=1}^n p_i(t)x(t-\tau_i) = 0 \quad t, t_k \neq \quad ,$$

$$x(t_k^+) - x(t_k) = b_k x(t_k), \quad k = 1, 2, \dots$$

In this paper, we discuss the asymptotic behavior of a class of second-order nonlinear delay differential equation with impulses. The equation is:

$$(r(t)x'(t))' - p(t)x'(t) + \sum_{i=1}^n q_i(t)x(t-\sigma_i) + f(t) = 0 \quad t \neq t_k \quad (1)$$

$$x(t_k^+) - x(t_k) = a_k x(t_k) \quad x'(t_k^+) - b_k x'(t_k) \quad k \in \mathbb{Z}^+, \quad \in \quad . \quad (2)$$

where  $0 \leq t_0 < t_1 < t_2 < \dots$ ,  $\lim_{k \rightarrow +\infty} t_k = +\infty$ , and  $a_k, b_k, k = 1, 2, \dots$  are constant.

$$x'(t_k) = \lim_{h \rightarrow 0^-} \frac{x(t_k + h) - x(t_k)}{h}, \quad x'(t_k^+) = \lim_{h \rightarrow 0^+} \frac{x(t_k + h) - x(t_k^+)}{h}, \quad k = 1, 2, \dots$$

$$r(t), p(t), q_i(t), h(t) \in C([0, \infty), \mathbb{R}^+), i = 1, 2, \dots; n \leq 0 \quad \sigma_1 < \sigma_2 < \dots < \sigma_n$$

Let  $PC_{t_0}$  denotes the set of function  $\phi : [t_0 - \sigma_n, t_0] \rightarrow \mathbb{R}$ , which is continuous in the set  $[t_0 - \sigma_n, t_0] \setminus \{t_k : k = 1, 2, \dots\}$  and may have discontinuities of the first kind and is continuous from left at the points  $t_k$  situated in the interval  $(t_0 - \sigma_n, t_0]$ . For any  $t_0 \geq 0, \phi \in PC_{t_0}$ , a function  $x$  is said to be a solution of (1) and (2) and satisfying the initial value condition:

$$x(t) = \phi(t) \quad x(t_0^+) = \phi'(t) \quad x'(t_0^+) = x_0' \quad [t_0 \in \sigma_n, t_0] \quad (3)$$

in the interval  $[t_0 - \sigma_n, \infty)$ , if  $x : [t_0 - \sigma_n, \infty) \rightarrow R$  satisfies (3) and

(i) for  $t \in (t_0, \infty)$ ,  $t \neq t_k$ ,  $t \neq t_k + \sigma_i$ ,  $i = 1, 2, \dots, n$ ,  $k = 1, 2, \dots$ ,  $x(t)$ ,  $x'(t)$  is continuously differential and satisfies (1);

(ii) for  $t_k \in [t_0, \infty)$ ,  $x(t_k^+)$ ,  $x'(t_k^+)$ ,  $x(t_k^-)$  and  $x'(t_k^-)$  exist,  $x(t_k^-) = x(t_k)$ ,  $x'(t_k^-) \neq x'(t_k)$  and satisfies (2).

Because (1) can be transformed to one-order differential equations with impulses, so the existence and sole of solutions of (1) can be deduced by [3].

A solution of (1) and (2) is called eventually positive (negative) if it is positive (negative) for all  $t$  sufficiently large, and it is called oscillatory if it is neither eventually positive nor eventually negative. Otherwise, it is called nonoscillatory.

## II. MAIN LEMMAS

Throughout this paper, we assume that the following conditions hold:

$$(H_1) \quad r(t) \geq r, \int_0^\infty p(t)dt \leq p, q_i(t) \leq q_i, i = 1, 2, \dots, n, r, p, q_i \in R^+.$$

$$(H_2) \quad \text{for all } t \in [0, \infty), \text{ the intergration } H(t) = \int_t^\infty f(s)ds \text{ converges; } \sum_{k=1}^\infty b_k^+ < \infty \text{ where } b_k^+ = \max\{b_k, 0\};$$

$$(H_3) \quad \lim_{n \rightarrow \infty} \sum_{m=0}^{n-1} \prod_{k=m}^{n-1} \prod_{l=0}^m (a_k + 1)(b_l + 1) \int_{t_m}^{t_{m+1}} \frac{1}{r(u)} \exp\left[\int_{t_0}^u \frac{p(s)}{r(s)} ds\right] du = +\infty.$$

$$(H_4) \quad \prod_{k=0}^{n-1} (b_{j+k} + 1) \frac{r(t_j)}{r(t_{j+n})} \exp\left[-\int_{t_j}^{t_{j+n}} \frac{p(s)}{r(s)} ds\right] > 1.$$

**Lemma 1.** Suppose that  $x(t)$  is a solution of equations (1) and (2), and there exists  $T \geq t_0$  such that  $x(t) > 0, t \geq T$ . If  $(H_3)$  hold, then  $x'(t_k) > 0, x'(t) > 0$ , where  $t \in (t_k, t_{k+1}], k = 1, 2, \dots$

**Proof.** First, we prove  $x'(t_k) > 0$ , for all  $t_k \geq T$ . Otherwise, there exists some  $j$  such that  $t_j \geq T, x'(t_j) < 0$ , then

$$x'(t_j^+) = (1 - b_j) x'(t_j) \quad \text{from} \quad (1), \text{ we} \quad \text{get}$$

$$\begin{aligned} [r(t)x'(t)\exp\left[-\int_{t_j}^t \frac{p(s)}{r(s)} ds\right]]' &= -\sum_{i=1}^n q_i(t)x(t-\sigma_i)\exp\left[-\int_{t_j}^t \frac{p(s)}{r(s)} ds\right] - f(t)\exp\left[-\int_{t_j}^t \frac{p(s)}{r(s)} ds\right] \\ &= \left[-\sum_{i=1}^n q_i(t)x(t-\sigma_i) - f(t)\right]\exp\left[-\int_{t_j}^t \frac{p(s)}{r(s)} ds\right] < 0. \end{aligned}$$

Hence,

$r(t)x'(t)\exp\left[-\int_{t_j}^t \frac{p(s)}{r(s)} ds\right]$  is decreasing on  $(t_j, t_{j+1}]$  and

$$r(t_{j+1})x'(t_{j+1}) \exp\left[\int_{t_j}^{t_{j+1}} \frac{p(s)}{r(s)} ds\right] \leq r(t_j)x'(t_j^+) \leq r(t_j)(b_j + 1)x'(t_j).$$

$$x'(t_{j+1}) \leq (b_j + 1) \frac{r(t_j)}{r(t_{j+1})} x'(t_j) \exp\left[\int_{t_j}^{t_{j+1}} \frac{p(s)}{r(s)} ds\right]$$

on  $(t_{j+1}, t_{j+2}]$ ,

$$\begin{aligned} x'(t_{j+2}) &\leq (b_{j+1} + 1) \frac{r(t_{j+1})}{r(t_{j+2})} x'(t_{j+1}) \exp\left[\int_{t_j}^{t_{j+2}} \frac{p(s)}{r(s)} ds\right] \\ &\leq (b_{j+1} + 1) \frac{r(t_{j+1})}{r(t_{j+2})} (b_j + 1) \frac{r(t_j)}{r(t_{j+1})} x'(t_j) \exp\left[\int_{t_j}^{t_{j+2}} \frac{p(s)}{r(s)} ds\right] \\ &= (b_{j+1} + 1)(b_j + 1) \frac{r(t_j)}{r(t_{j+2})} x'(t_j) \exp\left[\int_{t_j}^{t_{j+2}} \frac{p(s)}{r(s)} ds\right]. \end{aligned}$$

By induction, we have, for all  $n \geq 2$ .

$$x'(t_{j+n}) \leq \prod_{k=0}^{n-1} (b_{j+k} + 1) \frac{r(t_j)}{r(t_{j+n})} x'(t_j) \exp\left[\int_{t_j}^{t_{j+n}} \frac{p(s)}{r(s)} ds\right]$$

Because  $r(t)x'(t)\exp[-\int_{t_j}^t \frac{p(s)}{r(s)} ds]$  is decreasing on  $(t_j, t_{j+1}]$ , so,

$$x'(t) \leq (b_j + 1) \frac{r(t_j)}{r(t)} x'(t_j) \exp\left[\int_{t_j}^t \frac{p(s)}{r(s)} ds\right], \quad t \in (t_j, t_{j+1}].$$

Integrating the above inequality from  $s$  to  $t$ , we have

$$x(t) \leq x(s) + (b_j + 1)r(t_j)x'(t_j) \int_s^t \frac{1}{r(u)} \exp\left[\int_{t_j}^u \frac{p(s)}{r(s)} ds\right] du, \quad t_j < s < t \leq t_{j+1},$$

Let  $t \rightarrow t_{j+1}$ ,  $s \rightarrow t_j^+$ , we get

$$\begin{aligned} x(t_{j+1}) &\leq x(t_j^+) + (b_j + 1)r(t_j)x'(t_j) \int_{t_j}^{t_{j+1}} \frac{1}{r(u)} \exp\left[\int_{t_j}^u \frac{p(s)}{r(s)} ds\right] du \\ &\leq (a_j + 1)x(t_j) + (b_{j+1} + 1)r(t_j)x'(t_j) \int_{t_j}^{t_{j+1}} \frac{1}{r(u)} \exp\left[\int_{t_j}^u \frac{p(s)}{r(s)} ds\right] du \\ x(t_{j+2}) &\leq (a_{j+1} + 1)(a_j + 1)x(t_j) + (a_{j+1} + 1)(b_j + 1)r(t_j)x'(t_j) \int_{t_j}^{t_{j+1}} \frac{1}{r(u)} \exp\left[\int_{t_j}^u \frac{p(s)}{r(s)} ds\right] du \\ &\quad + (b_{j+1} + 1)(b_j + 1)r(t_j)x'(t_j) \int_{t_{j+1}}^{t_{j+2}} \frac{1}{r(u)} \exp\left[\int_{t_j}^u \frac{p(s)}{r(s)} ds\right] du. \end{aligned}$$

By induction, we get, for all  $n$

$$x(t_{j+n}) \leq \prod_{k=0}^{n-1} (a_{j+k} + 1)x(t_j) + r(t_j)x'(t_j) \left( \prod_{m=0}^{n-1} \prod_{k=m+1}^{n-1} \prod_{l=0}^m (a_{j+k} + 1)(b_{j+l} + 1) \int_{t_{j+m}}^{t_{j+m+1}} \frac{1}{r(u)} \exp\left[\int_{t_j}^u \frac{p(s)}{r(s)} ds\right] du \right).$$

because of  $x(t) > 0$ ,  $x'(t_j) < 0$  ( $t_j \geq T$ ), it is contradiction to the condition  $(H_3)$ . Hence,  $x'(t_k) > 0$  for all  $t_k \geq T$  and  $r(t)x'(t)\exp[-\int_{t_j}^t \frac{p(s)}{r(s)} ds]$  is decreasing on  $(t_j, t_{j+1}]$ , thus,

$$r(t)x'(t)\exp\left[-\int_{t_j}^t \frac{p(s)}{r(s)} ds\right] \geq r(t_{j+1})x'(t_{j+1})\exp\left[-\int_{t_j}^{t_{j+1}} \frac{p(s)}{r(s)} ds\right] \geq 0.$$

therefore,  $x'(t) \geq 0$ ,  $t \in (t_k, t_{k+1}]$ . The proof is complete.

**Theorem 1.** Let  $(H_1) - (H_3)$  hold. Suppose that

$$\sum_{i=1}^n q_i(t + \sigma_i) \geq 0, \quad \int_0^\infty \sum_{i=1}^n q_i(s + \sigma_i) ds = \infty, \quad (4)$$

and there exists constant  $\lambda > 0$  such that for sufficiently large  $t$

$$\sum_{i=1}^n \int_{t-\sigma_i}^{t-r} q_i(s + \sigma_i) ds \leq \lambda < r + p. \quad (5)$$

where  $r \in [0, \sigma_n]$ ,  $q_i^+(t) = \max\{q_i(t), 0\}$ ,  $q_i^-(t) = \max\{-q_i(t), 0\}$ . Then every nonoscillatory solution of (1) and (2) tends to zero as  $t \rightarrow \infty$ .

**Proof:** Choose a positive integer  $N$  such that (5) holds for  $t \geq t_N$  and  $\sum_{k=N}^\infty b_k^+ < r - p - \lambda$ . let  $x(t)$  be a nonoscillatory solution of (1) and (2). We will assume that  $x(t)$  is eventually positive, the case where  $x(t)$  is eventually negative is similar and omitted. Let  $x(t) > 0$  for  $t \geq t_N$ . By **Lemma 1**, we know that  $x'(t) > 0$ , for  $t \geq t_N$ . Define

$$y(t) = r(t)x'(t) - \int_{t_N}^t p(s)x'(s) ds - \sum_{i=1}^n \int_{t-\sigma_i}^{t-r} q_i(s + \sigma_i)x(s) ds - H(t) - \sum_{t_N < t_k \leq t} b_k^+ x'(t_k). \quad (6)$$

Then for  $t \neq t_k$ ,  $t \neq t_k + \sigma_i$ ,  $i = 1, 2, \dots, n$ ;  $k = 1, 2, \dots$

$$y'(t) = -\sum_{i=1}^n q_i(t-r+\sigma_i) x(t-r) \quad (7)$$

and  $y(t_k^+) - y(t_k) = (b_k - b_k^+) x'(t_k) \leq 0, k = N, N+1, \dots$

Thus,  $y(t)$  is nonincreasing on  $[t_N, \infty)$ . Set  $L = \lim_{t \rightarrow \infty} y(t)$ , we claim that  $L \in R$ .

Otherwise,  $L = -\infty$ , then  $x'(t)$  must be unbounded by virtue of  $(H_1)$  and (4). Hence, it is possible to choose  $t^* > t_N + \sigma_n$  such that  $y(t^*) + H(t^*) < 0$  and

$x'(t^*) = \max\{x'(t) : t_N \leq t \leq t^*\}$ . Thus, we have:

$$\begin{aligned} 0 &> y(t^*) + H(t^*) \\ &\geq r(t^*) x'(t^*) \int_{t_N}^{t^*} p(s) x'(s) ds - \sum_{i=1}^n \int_{t^* - \sigma_i}^{t^* - r} q_i(s + \sigma_i) x(s) ds - \sum_{t_N < t_k \leq t^*} b_k^+ x'(t_k) \\ &\geq x'(t^*) (r - p - \lambda - \sum_{k=N}^{\infty} b_k^+) > 0, \end{aligned}$$

which is a contradiction and so  $L \in R$ . By integrating both sides of (7) from  $t_N$  to  $t$ , we have:

$$\begin{aligned} \int_{t_N}^t \sum_{i=1}^n q_i(s - r - \sigma_i) x(s - r) ds &= - \int_{t_N}^t y'(s) ds \\ &= y(t_N^+) + \sum_{t_N < t_k \leq t} [y(t_k^+) - y(t_k)] - y(t) < y(t_N^+) - L. \end{aligned}$$

which, together with (4) implies that  $x(t) \in L^1([t_N, \infty), R)$  and so  $\lim_{t \rightarrow \infty} x(t) = 0$ . The proof is then complete.

**Lemma 2.** Let  $x(t)$  be an oscillatory solution of equation (1) and (2), suppose that there exists some  $T \geq t_0$ , if  $(H_4)$  hold, then  $|x'(t_k)| \geq |x(t_k)|$ ,  $|x'(t)| \geq |x(t)|$ , where  $t \in (t_k, t_{k+1}]$ ,  $k = 1, 2, \dots$ .

**Proof:** From the result of **Lemma 1**, we know that, if  $x(t) > 0$  then,

$x'(t_k) > 0, x'(t) > 0$ , where,  $t \in (t_k, t_{k+1}]$ . we will assume that when  $x(t) > 0$  we have  $x'(t_k) \geq x(t_k), x'(t) \geq x(t)$ ,  $t \in (t_k, t_{k+1}]$ , the case  $x(t)$  is negative is similar and omitted. From **Lemma 1**, we have  $x'(t_k) > 0, x'(t) > 0, t \in (t_k, t_{k+1}]$ , then the  $x(t)$  is increased. We also obtained

$$[r(t)x(t)\exp[-\int_{t_j}^t \frac{p(s)}{r(s)} ds]]' < [r(t)x'(t)\exp[-\int_{t_j}^t \frac{p(s)}{r(s)} ds]]' < 0.$$

Hence,  $r(t)x(t)\exp[-\int_{t_j}^t \frac{p(s)}{r(s)} ds]$  is decreasing on  $(t_j, t_{j+1}]$  and

$$x(t_{j+1}) \leq (b_j + 1) \frac{r(t_j)}{r(t_{j+1})} x(t_j) \exp[-\int_{t_j}^{t_{j+1}} \frac{p(s)}{r(s)} ds],$$

for all  $n$ , we obtain

$$x(t_{j+n}) \leq \prod_{k=0}^{n-1} (b_{j+k} + 1) \frac{r(t_j)}{r(t_{j+n})} x(t_j) \exp[-\int_{t_j}^{t_{j+n}} \frac{p(s)}{r(s)} ds].$$

By the condition  $(H_4)$ , we get  $x(t_{j+n}) < x(t_j)$ , which is a contradiction. The proof is complete.

**Theorem 2.** Let  $(H_1), (H_2)$  and  $(H_4)$  holds. Suppose that

$$\sum_{k=1}^{\infty} |b_k| < \infty, \quad (8)$$

and there exists positive constant  $\lambda$  and  $r \in (0, \sigma_n]$  such that

$$\limsup_{t \rightarrow \infty} Q_1(t) + \limsup_{t \rightarrow \infty} Q_2(t) \leq \lambda < r - 2p, \quad (9)$$

$$\sum_{i=1}^n q_i(t + \sigma_i) \neq 0, \quad \text{for large } t, \quad (10)$$

where

$$Q_1(t) = \sum_{i=1}^n \int_{t-\sigma_i}^t q_i(s + \sigma_i) ds, \quad (11)$$

$$Q_2(t) = \sum_{i=1}^n \int_{t-r}^{t-\sigma_i} \operatorname{sgn}(r - \sigma_i) q_i(s + \sigma_i) ds, \quad (12)$$

Then every oscillatory solution (1) and (2) tends to zero as  $t \rightarrow \infty$ .

**Proof:** Let  $x(t)$  be an oscillatory solution of (1) and (2). We first show that  $x'(t)$  and  $x(t)$  are bounded. Otherwise,  $x'(t)$  is unbounded which implies that there exists positive integer  $N$  such that  $\limsup_{t \rightarrow \infty} \sup_{t_N \leq s \leq t} |x'(s)| = \infty$  and

$$\sup_{t_N + \sigma_n \leq s \leq t} |x'(s)| = \sup_{t_N \leq s \leq t} |x'(s)|, \quad t \geq t_N + \sigma_n,$$

and

$$\sum_{k=N}^{\infty} |b_k| < \frac{r - 2|p| - \lambda}{2}. \quad (13)$$

Set

$$y(t) = r(t)x'(t) - \int_{t_N}^t p(s)x'(s) ds - \sum_{i=1}^n \int_{t-\sigma_i}^{t-r} q_i(s + \sigma_i)x(s) ds - H(t) - \sum_{t_N < t_k \leq t} b_k^+ x'(t_k),$$

where  $b_k^+ = \max\{b_k, 0\}$ . Then (7) holds. For  $t \geq t_N + \sigma_n$ , using **Lemma 2** we have

$$\begin{aligned} |y(t)| &\geq r|x'(t)| - p|x'(t)| - \sum_{i=1}^n \int_{t-\sigma_i}^{t-r} q_i(s + \sigma_i)|x(s)| ds - |H(t)| - \sum_{t_N \leq t_k \leq t} |b_k x'(t_k)| \\ &\geq (r - p)|x'(t)| - (Q_2(t) + \sum_{k=N}^{\infty} |b_k|) \sup_{t_N \leq s \leq t} |x'(s)| - |H(t)|, \end{aligned}$$

which implies

$$\sup_{t_N + \sigma_n \leq s \leq t} |y(s)| \geq (r - p - \sup_{t_N \leq s \leq t} Q_2(s) - \sum_{k=N}^{\infty} |b_k|) \sup_{t_N \leq s \leq t} |x'(s)| - \sup_{t_N + \sigma_n \leq s \leq t} |H(s)|. \quad (14)$$

Hence,  $\limsup_{t \rightarrow \infty} |y(t)| = \infty$ . From (7) we notice that  $y'(t)$  is oscillatory, we see that there is a  $\xi' \geq t_N + 2\sigma_n$  such that

$|y(\xi')| = \sup_{t_N + \sigma_n \leq s \leq \xi'} |y(s)|$  and  $y'(\xi') = 0$ . From (7) and (10), we get  $x(\xi' - r) = 0$  by **Lemma 2**. We know that  $x'(t)$

is oscillatory, hence, there is a  $\xi > \xi' + r$  such that  $x'(\xi - r) = 0$ . Integrating both sides of (7) from  $\xi - r$  to  $\xi$ , we obtain

$$\begin{aligned}
y(\xi) &= y(\xi-r) - \int_{\xi-r}^{\xi} \sum_{i=1}^n q_i(s-r+\sigma_i) x(s-r) ds - s \\
&= - \int_{t_N}^{\xi-r} p(s) x'(s) ds + \sum_{i=1}^n \int_{\xi-2r}^{\xi-r-\sigma_i} q_i(s+\sigma_i) x(s) ds + H(\xi-r) - \sum_{t_N \leq t_k \leq \xi-r} b_k x'(t_k) \\
&\quad - \int_{\xi-r}^{\xi} \sum_{i=1}^n q_i(s-r+\sigma_i) x(s-r) ds - s \\
&= \int_{t_N}^{\xi-r} p(s) x'(s) ds + H(\xi-r) - \sum_{i=1}^n \int_{\xi-r-\sigma_i}^{\xi-r} q_i(s+\sigma_i) x(s) ds - \sum_{t_N \leq t_k < \xi-r} b_k x'(t_k),
\end{aligned}$$

which implies that

$$|y(\xi)| \leq (p + Q_1(\xi-r) + \sum_{k=N}^{\infty} |b_k|) \sup_{t_N \leq s \leq \xi} |x'(s)| + |H(\xi-r)|. \quad (15)$$

From (14) and (15), we have

$$-r + 2p + (Q_1(\xi-r) + \sup_{t_N \leq s \leq \xi} Q_2(s)) + 2 \sum_{k=N}^{\infty} |b_k| + (\sup_{t_N + \sigma_n \leq s \leq \xi} H(s) + |H(\xi-r)|) (\sup_{t_N \leq s \leq \xi} |x'(s)|)^{-1} \geq 0.$$

Let  $\xi \rightarrow \infty$  and noting that  $\limsup_{\xi \rightarrow \infty} |x'(s)| = \infty$ , we have

$$-r + 2p + \lambda + 2 \sum_{k=N}^{\infty} |b_k| \geq 0,$$

by (9), which contradicts (13) and so  $x'(t)$  is bounded. By **Lemma 2**, we know that  $x(t)$  is bounded.

Next we will prove that  $\mu = \limsup_{t \rightarrow \infty} |x'(t)| = 0$ . To this end, we define

$$z(t) = r(t)x'(t) - \int_{t_N}^t p(s)x'(s) ds + \sum_{i=1}^n \int_{t-r}^{t-\sigma_i} q_i(s+\sigma_i)x(s) ds + H(t) + \sum_{t_k \geq t} b_k x'(t_k) \quad (16),$$

then  $z(t)$  is bounded and for sufficiently large  $t$ ,

$$|z(t)| \geq r|x'(t)| - p|x'(t)| - Q_2(t) \sup_{t-\sigma_n \leq s < t} |x'(s)| - |H(t)| - \sum_{t_k \geq t} |b_k x'(t_k)|,$$

thus, by  $(H_2)$  and (8)

$$\begin{aligned}
\beta &= \limsup_{t \rightarrow \infty} |z(t)| \geq (r-p)\mu - \mu \limsup_{t \rightarrow \infty} Q_2(t) \\
&= \mu[r-p - \limsup_{t \rightarrow \infty} Q_2(t)]. \quad (17)
\end{aligned}$$

on the other hand, we have by (16) for  $t \neq t_k, t \neq t_k + \sigma_i, k = 1, 2, \dots, i = 1, 2, \dots$ ,

$$z'(t) = - \sum_{i=1}^n q_i(t-r+\sigma_i) x(t-r) \quad (18)$$

From this we see that  $z'(t)$  is oscillatory. Hence there exists a sequence  $\{\xi_m'\}$  such that  $\lim_{m \rightarrow \infty} \xi_m' = \infty$ ,  $\lim_{m \rightarrow \infty} |z(\xi_m')| = \beta$ ,  $z'(\xi_m') = 0$ , and  $x(\xi_m' - r) = 0, m = 1, 2, \dots$ . similar to (15) we can obtain by (16) and (18), there is a  $\xi_m > \xi_m'$ , such that

$$|z(\xi_m)| \leq (p + Q_1(\xi_m - r)) \sup_{\xi_m - 2\sigma_n \leq s \leq \xi_m} |\mathbf{p}'(s)| + |H(\xi_m - r)| + \sum_{t_k \geq \xi_m - r} |b_k x(t_k)|,$$

which implies by (8) and  $(H_2)$  that

$$\beta \leq \mu[p + \limsup_{t \rightarrow \infty} Q_1(t)].$$

This, together with (17), yields

$$\mu[-r + 2p + \limsup_{t \rightarrow \infty} Q_1(t) + \limsup_{t \rightarrow \infty} Q_2(t)] \geq 0.$$

Therefore, by (9) we have

$$\mu(-r + 2p + \lambda) \geq 0,$$

which implies  $\mu = 0$  by (9) and so,  $\lim_{t \rightarrow \infty} x'(t) = 0$ . Hence we can obtain that  $\lim_{t \rightarrow \infty} x(t) = 0$ . Thus, the proof is completed.

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# Simple Proof of Two Identities of Ramanujan

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GJSFR Classification -F, A  
 (FOR) 010599

**Abstract-**Using two simple theta function identities we give simple proof of two identities of Ramanujan, one of which leads to the famous eight square theorem of Jacobi..

**Key words and phrases:** Theta functions,  $q$ -hypergeometric series

## I. INTRODUCTION

In a fragment published with his lost notebook [ 5, pp. 353-355], Ramanujan provided a list of twenty identities. These are immediately followed by another fragment on pages 356 and 357 with another list of twenty one identities. Most of these, but not all, can be found in Ramanujan's second notebook [4]. For more details? see Andrews and Berndt [1, pp. 395-396]. The numbering are those given by Ramanujan in the fragments. The two identities mentioned in the title of the paper are

$$\varphi^8(q) = 1 + 16 \sum_{n=1}^{\infty} \frac{n^3 q^n}{1 - (-q)^n}, \quad (1.1)$$

writing $- q$  for  $q$  and then setting  $q^2$  for  $q$  , we have

$$\varphi^8(-q^2) = 1 + 16 \sum_{n=1}^{\infty} (-1)^n \frac{n^3 q^{2n}}{1 - q^{2n}} \quad (1.2)$$

and

$$\psi^2(q^4) = \sum_{n=0}^{\infty} (-1)^n \frac{q^{2n}}{1 - q^{4n+2}}. \quad (1.3)$$

The identity in (1.1) is Entry 18.2.3 [1, p. 397] which leads to Jacobi's famous formula

$$r_8(n) = 16(-1)^n \sum_{d|n} (-1)^d d^3,$$

which is Jacobi's eight square theorem. We shall, however, be proving the identity written in the form in (1.2).The second identity (1.3) is Entry 18.2.4 [1, p. 397].In the present paper I give simple proof of these two identities (1.2) and (1.3), using the following simple identities [ 3, eq. (8.1), p. 117] and [6, p. 480], respectively :

$$\begin{aligned} & \cot^2 y - \cot^2 x + 8 \sum_{n=1}^{\infty} \frac{nq^n}{1 - q^n} (\cos 2nx - \cos 2ny) \\ &= \theta_1'(0 | q)^2 \frac{\theta_1(x - y | q) \theta_1(x + y | q)}{\theta_1^2(x | q) \theta_1^2(y | q)}, \end{aligned} \quad (1.4)$$

there is a slight misprint which I have corrected,  
and

$$\frac{\theta_1'}{\theta_1}(z | q) = \cot z + 4 \sum_{n=1}^{\infty} \frac{q^n}{1 - q^n} \sin 2nz. \quad (1.5)$$

## II. SOME BASIC RESULTS

We shall use the following standard  $q$ -notation, ( $q < 1$ ) :

$$(a; q^k)_n = (1 - a)(1 - aq^k)(1 - aq^{k(n-1)}), \quad n \geq 1$$

$$(a; q^k)_0 = 1. \quad (2.1)$$

Jacobi  $\theta$ -function is defined as follows, see [6, p. 464]

$$\theta_1(z | q) = -iq^{\frac{1}{8}} \sum_{n=-\infty}^{\infty} (-1)^n q^{\frac{n(n+1)}{2}} e^{(2n+1)iz} \quad (2.2)$$

$$= 2q^{\frac{1}{8}} \sum_{n=0}^{\infty} (-1)^n q^{\frac{n(n+1)}{2}} \sin(2n+1)z, \quad (2.3)$$

where  $q = e^{2\pi i \tau}$  and  $\text{Im}(\tau) > 0$ .

The function  $\theta_1(z | q)$  can also be expressed in terms of an infinite product

$$\begin{aligned} \theta_1(z | q) &= 2q^{\frac{1}{8}} \sin z (q; q)_{\infty} (qe^{2iz}; q)_{\infty} (qe^{-2iz}; q)_{\infty}, \\ &= iq^{\frac{1}{8}} e^{-iz} (q; q)_{\infty} (e^{2iz}; q)_{\infty} (qe^{-2iz}; q)_{\infty}. \end{aligned} \quad (2.4)$$

$$\theta_1'(0 | q) = 2q^{\frac{1}{8}} (q; q)_{\infty}^3. \quad (2.6)$$

Ramanujan's theta functions  $\varphi(q)$  and  $\psi(q)$  are defined as [1, p. 11]

$$\varphi(q) = \sum_{n=-\infty}^{\infty} q^{n^2} = (-q; q^2)_{\infty}^2 (q^2; q^2)_{\infty} = \frac{(-q; -q)_{\infty}}{(q; -q)_{\infty}}, \quad |q| < 1 \quad (2.7) \quad \text{and}$$

$$\psi(q) = \sum_{n=0}^{\infty} q^{\frac{n(n+1)}{2}} = \frac{(q^2; q^2)_{\infty}}{(q; q^2)_{\infty}}, \quad |q| < 1. \quad (2.8)$$

We shall require the following identities of Ramanujan :

$$\varphi(-q) = \frac{(q; q)_{\infty}}{(-q; q)_{\infty}}, \quad [2, \text{eq. (22.4), p. 37}] \quad (2.9)$$

$$\varphi(q)\varphi(-q) = \varphi^2(-q^2), \quad \text{Entry 25 (iii) [2, p. 40]}$$

$$\text{and} \quad (2.10)$$

$$\varphi^2(q) = 1 + 4 \sum_{n=0}^{\infty} (-1)^n \frac{q^{2n+1}}{1-q^{2n+1}}. \text{ Entry 8 (i) [2, p. 114].} \quad (2.11)$$

Adding (v) and (vi) of Entry 25 [2, p. 40], we have

$$\varphi^2(q) - \varphi^2(q^2) = 4q \psi^2(q^4) \quad (2.12)$$

Putting  $z = \frac{\pi}{4}$  in (1.5), we have

$$\begin{aligned} \frac{\theta'_1}{\theta_1} \left( \frac{\pi}{4} \mid q \right) &= 1 + 4 \sum_{n=1}^{\infty} \frac{q^n}{1-q^n} \sin \frac{n\pi}{2} \\ &= 1 + 4 \sum_{n=0}^{\infty} (-1)^n \frac{q^{2n+1}}{1-q^{2n+1}} \\ &= \varphi^2(q) \quad \text{by (2.11).} \end{aligned} \quad (2.13)$$

### III. PROOF OF (1.2)

Differentiating (1.4) partially with respect to  $x$  and then putting  $y = x$ , we have

$$2 \cot x \csc^2 x - 16 \sum_{n=1}^{\infty} \frac{n^2 q^n}{1-q^n} \sin 2nx = \frac{\theta'_1(0 \mid q)^3 \theta_1(2x \mid q)}{\theta_1^4(x \mid q)}.$$

Differentiating again the above expression partially with respect to  $x$  and then  $x = \frac{\pi}{4}$  putting we finally get

$$\begin{aligned} 1 + 16 \sum_{n=1}^{\infty} (-1)^n \frac{n^3 q^{2n}}{1-q^{2n}} &= \theta'_1(0 \mid q)^3 \frac{\theta_1(\frac{\pi}{2} \mid q)}{4\theta_1^4(\frac{\pi}{4} \mid q)} \frac{\theta'_1}{\theta_1} \left( \frac{\pi}{4} \mid q \right) \\ &= \frac{(q;q)_{\infty}^6 (-q;q)_{\infty}^2}{(-q^2;q^2)_{\infty}^4} \varphi^2(q), \quad \text{by (2.14)} \\ &= \frac{(q;q)_{\infty}^2 (q^2;q^2)_{\infty}^4}{(-q;q)_{\infty}^2 (-q^2;q^2)_{\infty}^4} \varphi^2(q) \\ &= \varphi^2(-q) \varphi^4(-q^2) \varphi^2(q), \quad \text{by (2.9)} \\ &= \varphi^8(-q^2), \quad \text{by (2.10)} \end{aligned}$$

which proves (1.2).

## IV. PROOF OF (1.3)

Writing (1.5) as

$$\begin{aligned} \frac{\theta_1'}{\theta_1}(z | q) &= \cot z + 4 \sum_{n=1}^{\infty} \frac{q^n (1+q^n)}{1-q^{2n}} \sin 2nz \\ &= \cot z + 4 \sum_{n=1}^{\infty} \left[ \frac{q^n}{1-q^{2n}} + \frac{q^{2n}}{1-q^{2n}} \right] \sin 2nz \end{aligned} \quad (4.1)$$

and setting  $z = \frac{\pi}{4}$  in (4.1), we obtain

$$\begin{aligned} \frac{\theta_1'}{\theta_1}\left(\frac{\pi}{4} | q\right) &= 1 + 4q \sum_{n=0}^{\infty} (-1)^n \frac{q^{2n}}{1-q^{4n+2}} + 4 \sum_{n=0}^{\infty} (-1)^n \frac{q^{4n+2}}{1-q^{4n+2}}. \\ \text{Or} \\ 4q \sum_{n=0}^{\infty} (-1)^n \frac{q^{2n}}{1-q^{4n+2}} &= \frac{\theta_1'}{\theta_1}\left(\frac{\pi}{4} | q\right) - 4 \sum_{n=0}^{\infty} (-1)^n \frac{q^{4n+2}}{1-q^{4n+2}} - 1 \\ &= \varphi^2(q) - \varphi^2(q^2), \end{aligned}$$

here we have used (2.11) and (2.13).

Finally using (2.12) on the right hand side of (4.2), we have (1.3).

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# Trends In Precipitation Features As An Index of Climate Change In The Guinea Savanna Ecological Zone of Nigeria: Its Implications on Crop Production

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GJSFR Classification - H (FOR)  
040104, 050101

**Abstract-**This study examined the risk level of some major food crops of the guinea savanna ecological zone of Nigeria to vagaries in rainfall features. The period of study spanned between 1956 – 2005. The method of Walter (1967) as modified by Olaniran (1988) was adopted in calculating rainfall onset, cessation and Length of Growing Season (LGS) and partitioned on pentade basis to provide a quantitative summary of variations observed. Correlation and regression analyses were employed. The result confirmed a change in the climate of Kwara State towards aridity which manifest in high frequency of late onset, early cessation and reduction in LGS. Also the result showed a positive relationship which is significant at 1% level of confidence for maize and rice and significant at 5% level of confident for yam but negative relationship which is not significant is established between LGS and Sorghum and cassava. Farmers were advised on how to adapt to the changes climate is bringing to the agricultural industry of the ecological zone

## I. INTRODUCTION

**N**igeria is presently not self-sufficient in the production of food crops. Agricultural production is a mirror image of population growth. The dwindling in agricultural production and shrinkages in the belts of food crops in Nigeria have been noticed by Olanrewaju (2003) and Adefolalu (2004) among others, For instance, Olanrewaju (2003) noticed that the climatic situation in Kwara State is no longer supporting the growth of melon and therefore suggested the shift of melon cultivation to the southern guinea ecological zone of Nigeria where it may thrive better. Similarly Adefolalu (2004) associated the decline in production of groundnut (to 30% of the pre-1960 production) with climate change. Rainfall has been described as the most critical agro meteorological factors of agricultural production in the tropics (Ayoade 2004). Important rainfall features crucial for agricultural activities in the tropics are the rainfall onsets, cessation and the length of rainy season (Adefolalu 2006) which is synonymous with the Length of Growing Season (LGS). This represents the time lags between the start and the end of the growing season. The amount of rainfall received is not as important

as its spread. To solve the problem of food shortage in Nigeria, facts are needed on risk level of agricultural activities to variations in rainfall features enumerated above. Thus this present study focuses on producing such facts and risk levels for quick intervention that will bring a lasting solution to agricultural production in Kwara State and in Nigeria as a whole.

## II. RAINFALL FEATURES AND AGRICULTURE.

Efficient crop production in the tropics is equated with the onset of rain and length of rainy season (Oyegade 2004). This is because, onset, cessation and duration of the rainy season form important components of moisture resources status for determining the production potential of various crops (Olanrewaju 2006). According to Fortunata (2003), the uncertainties which characterize the start and the lengths of the growing season are giving a lot of concern to farmers in Uganda. Similarly Odofin et al(2003) linked up reduced agricultural productivity and low income to the Nigeria farmers with fluctuation in moisture pattern .Significant reduction in the LGS results in a decline in crop production in Southern Nigeria (Owolabi and Adebayo 2003). Thus, this present study focuses rainfall features of onset, cessation and LGS on food crop production in the guinea savanna ecological zone of Nigeria.

## III. THE STUDY AREA.

The study area is kwara State, Nigeria located within latitude 8° and 10° 15' north of the equator and between longitude 2° 45' and 6° 15' east of the Greenwich Meridian. It shares a common boundary with Niger, Kogi, Oyo, Ekiti, Oshun States and Republic of Benin (Figure 1)

**Figure 1: A map of the Study Area.**

## Source: State Survey Ilorin Kwara State, Nigeria.

The climate of Kwara State exhibits a marked wet and dry seasons. The dry season spans between November to March while wet season last from April to October. River Niger and its tributaries drain the area. The Vegetation is savanna. These above characteristics make farming the major occupation of the people with special focus on food crop production

## IV. METHODOLOGY.

Rainfall data was collected on monthly basis from the archives of Nigerian Meteorological Agency, Ilorin International Airport Kwara State and from Kwara State Agricultural Development Project (KADP) Ilorin for the period of fifty years which spanned between 1956 - 2005. Mean annual rainfall was calculated from the above. Annual crop yield data were sourced from (KADP) Ilorin for the period of fifteen years which spread from 1991 when KADP was created to year 2005. Rainfall onset, cessation and LGS were also calculated from monthly values using Walter (1967) method as modified by Olaniran (1988). The formula is stated below.

Days in the month X

(51-accumulated rainfall total of previous month)

Total rainfall for the month

The growing season begins when a location has received an accumulated rainfall of 51mm (Walter 1967). However, if this planting data is followed by a prolonged dry spell, such planting data is disregarded and new planting data is re-established using Walter's method (Olaniran 1988). To calculate the rainfall cessation, similar formula is applied but monthly rainfall value is accumulated from December backward. The month that the accumulated total exceeds

51mm becomes the end of the rainy season. The time lags between the onset and cessation marked the length of growing season (LGS). Different onsets and cessations for guinea savanna ecosystem as put forward by Olanrewaju (2003) were identified. For rainfall onset, Olanrewaju (2003) described the periods between early and mid April as normal onset, March downward as early onset and late April upward as late onset. Last week in October is described as normal rainfall cessation, September to third week in October as early cessation and the period of November to December as late cessation. Both data (crop and rainfall features) were partitioned on pentade (five years interval) basis to provide a quantitative summary of variations observed. Ten pentade identified and designated first to tenth were the periods of 1956 - 1960, 1961 - 1965, 1966 - 1970, 1971 - 1975, 1976 - 1980, 1981 - 1985, 1986 - 1990, 1991-1995, 1996-2000 and 2001-2005 respectively. Crop data and LGS were subject to correlation and simple regression analyses to show the control of these features on each crop. The result of the above analyses brought out facts on risk level of crop production to variation in rainfall features in Kwara State.

## V. RESULTS AND DISCUSSION

a) *Pattern of Rainfall Features.*

**Table 1 below displays variation observed in rainfall (onset, cessation and LGS) for the study period**

Year	Start	End	LGS
1956	8/ 3	22/ 10	222
1957	1/ 3	29/ 11	273
1958	22/ 3	24/ 11	247
1959	13/ 3	6/ 10	207
1960	25/ 3	4/ 10	193
1961	8/4	18/9	161
1962	5/4	21/11	230
1963	11/3	23/10	226
1964	1/ 4	11/2	254

1965	1/ 4	23/10	205
1966	3/4	11/10	191
1967	13/4	10/12	270
1968	2/4	16/10	197
1969	6/4	13/11	221
1970	3/3	5/10	188
1971	25/4	22/10	180
1972	27/4	4/10	160
1973	1/7	22/9	83
1974	29/4	17/10	171
1975	7/4	11/ 10	187
1976	14/3	30/10	230
1977	16/4	22/10	189
1978	26/3	25/10	210
1979	17/3	24/10	222
1980	1/5	15/10	177
1981	13/3	26/10	216
1982	6/4	27/10	203

1983	11/4	14/10	169
1984	18/3	28/9	210
1985	30/3	25/10	182
1986	14/2	16/10	253
1987	12/5	29/9	188
1988	15/3	18/10	197
1989	2/3	24/10	230
1990	19/4	23/10	188
1991	10/ 3	3/ 11	227
1992	2/ 5	8/ 10	185
1993	4/ 5	22/ 10	157
1994	4/ 5	26/ 10	201
1995	4/4	17/ 11	227
1996	5/5	6/10	154
1997	29/5	22/10	146
1998	8/4	23/10	197
1999	16/3	30/10	197
2000	1/5	29/9	151
2001	21/4	3/9	151
2002	16/6	9/10	115

2003	10/4	8/10	181
2004	2/4	12/0	180
2005	2/3	6/10	218

Source: Author's Computation 2010.

Early onset of rain occurred between 1956 – 1960, 1963, 1970, 1976, 1978 – 1979, 1981, 1984-1986, 1988- 1989, 1991, 1999 and 2005. The periods of 1971 – 1974, 1977, 1980, 1987, 1990, 1992 – 1994, 1996 – 1997 and 2000 – 2002 that witnessed delayed onset also recorded short LGS with an exception of 1995 that observed late cessation of rain to compensate for the late onset. The least LGS of 83 days was observed in year 1973 while the year 1957 witnessed the longest LGS of 273 da

*b) Various Rainfall Onsets.*

The frequency of different onsets of rain during each pentade of the study period is displayed in table 2 below. The frequency of early onsets fluctuates between zero and five

**Table 2: Frequency of different Onsets of Rain for the Period of 1956 – 2005.**

Different Pentades of the Period 1956 – 2005.											
Onsets of rain	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>	6 <sup>th</sup>	7 <sup>th</sup>	8 <sup>th</sup>	9 <sup>th</sup>	10 <sup>th</sup>	
	1956- 1960	1961- 1965	1966- 1970	1971- 1975	1976- 1980	1981- 1985	1986- 1990	1991- 1995	1996- 2000	2001- 2005	Total
Early	5	1	1	0	3	3	3	1	1	1	19
Normal	0	4	4	1	0	2	0	1	1	2	5
Late	0	0	0	4	2	0	2	3	3	2	16

Source: Author's Field work 2010

The frequency of early onset was very high during the first pentade (1956-1960) but declined consistently during the 2<sup>nd</sup> and 3<sup>rd</sup> pentades (1961 – 1965, 1966 – 1970) and reached its minimum between 1971 – 1975 that represents the 4<sup>th</sup> pentade. The early onset of rain rose consistently during the 5<sup>th</sup>, 6<sup>th</sup> and 7<sup>th</sup> pentades but declined and remains consistently low throughout the last pentade. Fluctuation in the frequency of both normal and late onset also exists with the latter on the higher side. Delayed onset characterized the latter part of the study period while the early pentade experienced none. The period of 1971 – 1975 (4<sup>th</sup> pentade) was worse affected. Onset was normal between 1961 – 1965, 1966 – 1970 which represent the 2nd and 3<sup>rd</sup> pentade.

*c) Pattern of Rainfall Cessations.*

The Pattern of the frequency of various cessations shown on table 3 below.

**Table 3: Frequency of Different Cessations of Rain 1956 – 2005. Different Pentades of the Period 1956 – 2005.**

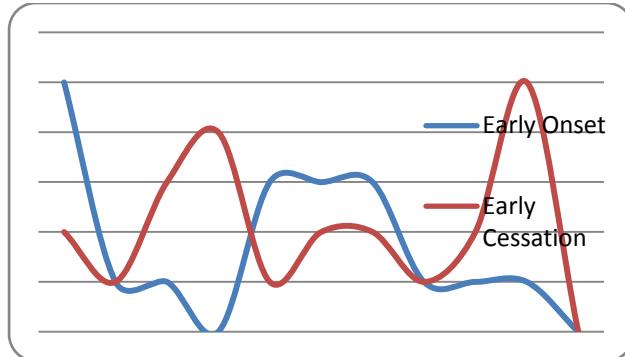
Cessation	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4th	5 <sup>th</sup>	6 <sup>th</sup>	7 <sup>th</sup>	8th	9 <sup>th</sup>	10 <sup>th</sup>	
	1956- 1960	1961- 1965	1966- 1970	1971- 1975	1976- 1980	1981- 1985	1986- 1990	1991- 1995	1996- 2000	2001- 2005	Total
Early	2	1	3	4	1	2	2	1	2	5	23
Normal	1	2	0	1	4	3	3	2	3	0	19
Late	2	2	2	0	0	0	0	2	0	0	8

Source: Author's Field work 2010.

Fluctuation exists in the distribution of early cessation between the 1<sup>st</sup> (1956 – 1960) pentade and the 7<sup>th</sup> (1986 – 1990) pentade. Thereafter a geometric progression in the frequency of cessation is observed from the 8<sup>th</sup> through the 10<sup>th</sup> pentades. The frequency of late onset of rain was low consistently during the first three pentades. The remaining pentades except one (8<sup>th</sup> pentade) did not record late onset of rain. The occurrence of normal onset of rain did not take any pattern. Normal onset was low during the period 1956 – 1960 and 1970 – 1975 while the 3<sup>rd</sup> and 10<sup>th</sup> pentades observed normal rainfall cessation as the frequency recorded was zero. The period 1976 – 1980 (5<sup>th</sup>) pentade was characterized with normal cessation. In summary close to half of the period under study (23 years) experienced early rainfall cessation, 19 years enjoyed normal rainfall cessation while 8 years out of 50 years witnessed late cessation of rain.

*d) Comparison of Different Onsets and Cessations of Rainfall.*

Different rainfall onsets and cessations are compared in figures 2 – 6 below.



**Figure 2: Frequency of early onset and early cessations of rainfall**

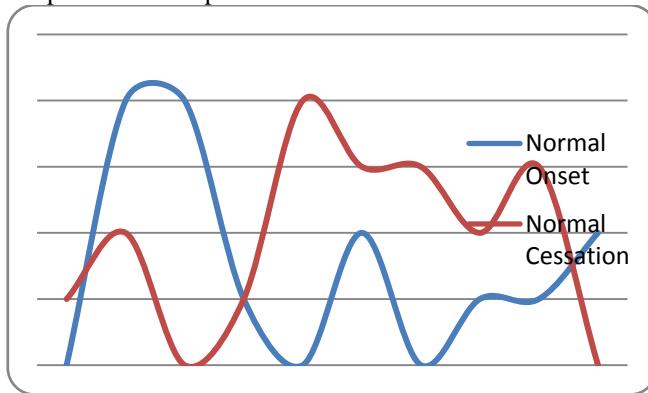
Source ; Author's fieldwork 2010

The period of 1956 – 1960 recorded the highest frequency of early onset. During this pentade, the occurrence of early onset is greater than that of early cessation. For instance while the frequency of early onset was five that of early cessation was two. This represents the wettest period of the ten pentade considered. The frequency of early onset declined with early cessation during the second pentade (1961 – 1965). The condition described above was reversed during the periods of 1966 – 1970 and 1971 – 1975 (3<sup>rd</sup> and 4<sup>th</sup> pentade) with high frequency of early cessation but low occurrence of early onsets. Early onset reached its barest minimum of zero during the 4<sup>th</sup> pentade. (I.e. the period of five years 1971 – 1975 was characterized by delayed onset of rain) This period could be described as the driest pentade of the periods under study. Thereafter a milder scenario of the first two pentade was re-established during the periods 1976 – 1980, 1981 – 1985 and 1986 – 1990 depicting a period of lesser wetness. However, the period of 1991 –

1995, 1996 – 2000 and 2001 – 2005 which represent the last three pentade experienced a sporadic increase in the occurrence of early cessation with consistently low early onsets of rain.

*e) Frequency of Normal onsets and Normal Cessations of Rain Between 1956 – 2005.*

Figure 3 below showed the pattern of fluctuation that exists between normal onsets and normal cessation of rain in the ten pentade of the period 1956 – 2005.



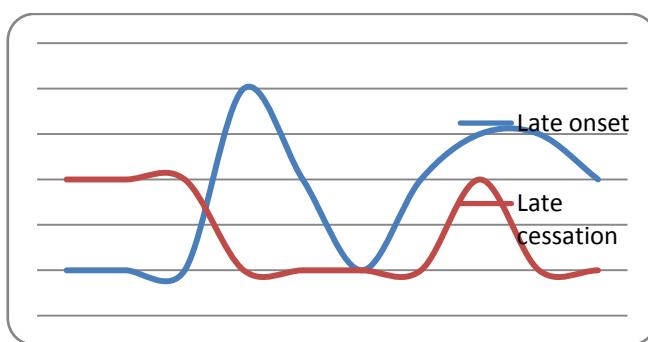
**Figure 3: Normal Onset and Normal Cessation of Rainfall**

Source: Author's Fieldwork 2010.

The frequency of normal onset of rain was zero during the 1<sup>st</sup> pentade. Thereafter, normal onset became higher than the cessation during the second and third pentade but the reverse is the case from the periods of 5<sup>th</sup> to 9<sup>th</sup> pentade. The last pentade witnessed a rise in normal onset with a sharp decline in the normal cessation.

*f) Frequency of Late Onset and Cessation between 1956 - 2005.*

Figure 4 reflects the pattern of late onset with its corresponding late cessation of rain between 1956 and 2005 in the guinea savanna ecological zone of Nigeria.



**Figure 4: Late Onset and Late Cessation of Rainfall**

Source: Author's Fieldwork 2010.

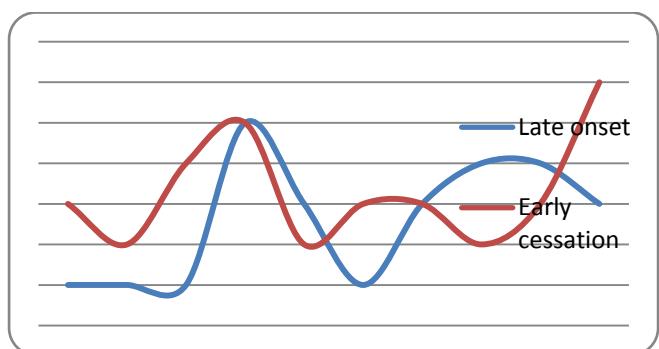
The first three pentades enjoyed a consistently high occurrence of late cessation (rainfall extended beyond the normal date) with low late onset. This scenario represents the best condition for crop production in the tropic where agriculture is rain fed. The pattern changed during the next

pentades of 1971-75, and 1976-80 when there were delayed onsets without corresponding extension of cessation dates. Situation described above could bring crop survival to crisis level. The pattern of late onset and late cessation fluctuate together during the period 1986-90, 1991-1995, 1996-2000 and 2001-2005 with higher occurrences of late onset over late cessation of rain. Delayed in onset is compensated for by delayed in rainfall cessation. This condition might be suitable for the production of yam crop. Yam seed can withstand late onset of rain by lying dormant for some months and sprout at the onset of rain.

*g) Late Onset and Early Cessation*

Figure 5 showed the pattern of various distributions of late onset and early cessation of rain for the ten pentades of between 1956 and 2005.

**Figure 5: Late Onset and Early Cessation of Rainfall.**

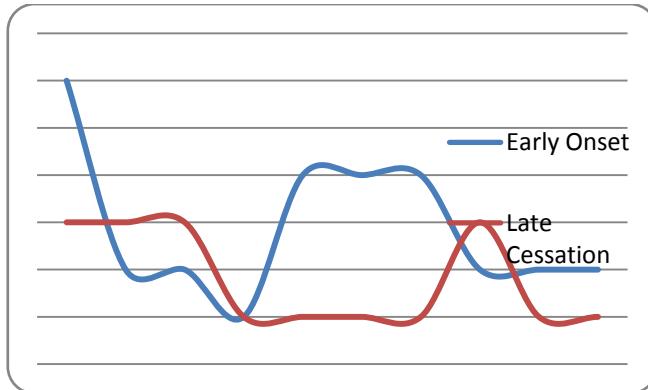


Source: Author's Fieldwork 2010.

This represents the shortest length of rainy season. The period of 1971-1975 has the highest frequency of both late onset and early cessation. The implication is that this pentade has the shortest length of rainy season among pentade considered. This further corroborates the earlier findings and further supports the assertion of Oguntoyinbo and Richard who recognized this as period of low average rainfall in sub Sahara West Africa. Late onset is a mirror image of early cessation during the period 1981-1985 through 1996-2000. High frequency of late cessation was compensated for during the period 1981-1985 and vice versa between the periods of 1986-1990 and 1996-2000. Highest frequency of late cessation which was not well compensated for was experienced during the last pentade. This makes this pentade drought prone but with lesser severity than the one experienced during the period between 1971-1975.

*h) Early Onset and Late Cessation of Rain During the Period of 1956 – 2005s.*

The pattern of early onset and late cessation is showed in figure 6 below.

**Figure 6: Early Onset and Late Cessation of Rainfall**

Source: Author Fieldwork 2010.

This represent the period of the longest LGS. Early onset is a perfect mirror image of late cessation throughout the period of study except during the 1<sup>st</sup> pentade. The pattern of fluctuation of the two rainfall features is the same but

**Table 4: Pattern of the Different LGS.**

	1st	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5th	6 <sup>th</sup>	7 <sup>th</sup>	8th	9th	10th	
LGS (Days)	1956-1960	1961-1965	1966-1970	1971-1975	1976-1980	1981-1985	1986-1990	1991-1995	1996-2000	2001-2005	Total
100-150(Short)	0	0	0	2	0	0	0	0	1	2	5
151-200(Medium)	1	1	3	3	2	2	3	2	4	2	23
201-250(Long)	4	4	2	0	3	3	2	3	0	1	22

Source: Author's Field work 2010.

The period of 1956 – 1960 and 1961 – 1965 (1<sup>st</sup> and 2<sup>nd</sup> Pentades) which enjoyed the highest frequency of long LGS, did not experience short LGS. Followed the above pattern closely were the periods 1976 – 1980, 1981 – 1985(5<sup>th</sup> and 6<sup>th</sup> pentades) and 1991 – 1995(8<sup>th</sup> pentade) respectively. Pentades that did not record long LGS were the 4th(1971 -1975) and the 9<sup>th</sup> (1996 – 2000) while the frequency of short LGS progressed with a decline in long

opposite each other i.e. as early onset increases late cessation decreases and vice-versa. The implication of this is that condition of long LGS is becoming difficult to attain in the study area for instance only one pentade (1956 – 1960) out of the ten considered attained this status and to a certain extent. The scenario of long LGS as described during the 1<sup>st</sup> pentade declined progressively throughout periods of study. The comparison of different onsets and cessations discussed above reflects a progressive decline in rainfall onsets and a sporadic increase in the frequency of rainfall cessation. This is an indication of a change in climate towards aridity in the guinea savanna ecological zone of Nigeria.

i) *Different LGS of the Ten Pentade within the Period 1956 - 2005.*

The frequency of different lengths of growing season is displayed in table 4 below

LGS through the last two pentades. The above findings typified shrinkages in the LGS over the study area thus confirming the assertion of Olanrewaju (2003) and Adefolalu (2004) that the climate of Kwara State has changed and the trend is towards aridity.

Table 5 shows variation in yields of some selected crops in Kwara State Nigeria for the period of fifteen years (1991 – 2005).

j) *Implications on Crop Production.*

Table 5 shows variation in crop yields for Kwara State during the study period.

**Table 5: Crop Yield (tons '000) in Kwara State between 1991 – 2005**

Year	Maize	Sorghum	Millet	Rice	Cowpea	Yam	Cassava
1991	2.22	1.21	0.92	2.08	0.65	11.82	10.10
1992	1.10	1.10	1.20	0.74	0.40	11.16	10.73
1993	1.19	1.21	1.41	1.94	0.74	11.18	9.43
1994	1.55	1.38	1.07	2.07	0.67	12.96	10.27
1995	1.80	1.20	1.41	2.60	0.76	13.40	11.17
1996	1.19	1.11	1.41	1.60	0.76	13.40	11.16
1997	0.88	0.29	1.10	1.62	0.64	11.76	8.78
1998	1.14	1.30	2.08	1.84	1.15	11.98	12.90
1999	1.25	1.62	1.98	2.70	0.94	11.0	13.40
2000	1.20	1.62	1.88	2.62	0.94	11.86	12.45
2001	1.16	1.63	1.60	1.43	0.42	10.79	12.96
2002	1.39	1.70	1.03	2.00	0.69	10.73	12.94
2003	1.33	1.27	1.04	2.28	0.72	10.86	12.56
2004	1.33	1.42	1.75	2.30	0.46	11.70	12.21
2005	1.55	1.27	2.30	2.36	0.47	11.93	12.46

Source: (1) Kwara State Agricultural Development Project 2010.

A drop in yield is observed during the years that witnessed short LGS and delayed rainfall onset. Examples of such years are 1992, 1997, 2001 – 2002.

**Table 6: Results of Correlation between Selected Crops and LGS.**

Rainfall Feature	Maize	Sorghum	Millet	Rice	Cowpea	Yam	Cassava
LGS	0.72***	-0.11	0.15	0.68***	0.20	0.64**	-0.16

\*\*\* Significant at 1% Confidence level.

\*\* Significant at 5% Confidence level.

Source: Author's Computation 2010.

All the selected food crops exhibit a positive relationship with LGS except Sorghum and Cassava. The strength of this relationship varies. Strong positive relationship which is significant at 1% confidence level exists between LGS and Maize and rice but significant at 5% confidence level with yam. Weak positive relationship which is not significant exists between LGS and millet and cowpea while sorghum and cassava displayed a weak negative relationship which is

not significant as well. The implication is that short length of rainy season put production of crops such as maize, rice and yam at a risk in the guinea savanna ecological zone of Nigeria. However it appears cassava, millet, sorghum and cowpea can stand short LGS.

The result of regression analysis showed that LGS alone explained 51%, 47% and 41% of the factors that affect maize, rice and yam yield respectively(see table 7 below).

**Table 7; Model Summary of Regression Analysis.**

CROPS	R	R - Square	Adjusted R-Square	Std. Error of the Estimate.
Maize	717 <sup>a</sup>	0.518	477	23989
Rice	685 <sup>a</sup>	0.469	429	41392
Yam	639 <sup>a</sup>	0.408	363	59028

Source; Author's Computation 2010.

#### VI. SUMMARY AND CONCLUSION.

The change in climate of Kwara State is toward aridity. This manifests in high frequency of late onset of rain, early cessation and short LGS which in turn account for reduction in food crop yield. Thus farmers in this ecological zone are presented with the following suggestions as means of adaptation to the impact of climate change in the area. Focus more on the cultivation of drought resistant crops such as cassava, sorghum, millet and cowpea. Limit the cultivation of yam, rice and maize to fadama areas where they can get enough moisture to thrive.

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# Pyrimidine Amines As Inhibitors For The Acid Corrosion of Mild Steel

GJSFR Classification - E (FOR)  
861103

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**Abstract-**Three Pyrimidine amines (PA1, PA2 & PA3) have been synthesized and investigated for corrosion inhibition activity towards mild steel in 1M H<sub>2</sub>SO<sub>4</sub>. Weight loss, potentiodynamic polarisation and electrochemical impedance spectral techniques have been used. Thermodynamic parameters [ΔG°<sub>ads</sub>, ΔH° and ΔS°] have been evaluated. The compounds act through physisorption mechanism on the mild steel surface and obeyed Langmuir adsorption isotherm. Polarisation studies showed that the compounds behave as cathodic type.

**Keywords:** Pyrimidine amines, Mild steel, Corrosion inhibition, Potentiodynamic polarisation, Electrochemical impedance, Adsorption.

## I. INTRODUCTION

The corrosion of iron and steel and methods of reducing the rate at which corrosion of these materials takes place have been the subject of much investigation. A large number of organic compounds particularly those containing nitrogen, oxygen, or sulphur in a conjugated system are known to be applied as inhibitors to control acid corrosion of iron and steel. Schram et al have systematically investigated the efficiency of large number of aliphatic and aromatic amines in inhibiting the corrosion of mild steel by acid<sup>[1]</sup>. These inhibitors form readily ionizable onium compounds and get adsorbed on the cathodic areas and reduced the corrosion rate. In this work an attempt has been made to synthesize three pyrimidine amines and to evaluate their corrosion inhibition characteristics for mild steel in 1M H<sub>2</sub>SO<sub>4</sub>.

## II. EXPERIMENTAL INHIBITORS

The pyrimidine amines have been synthesized by the reported procedure<sup>[2]</sup>. They were purified by crystallisation. The compounds were characterised by IR spectra.

## III. WEIGHT LOSS MEASUREMENTS

Mild steel containing 0.08% C, 0.36% Mn, 0.12% Si, 0.02% P, 0.02% Cr and the remainder Fe, were used in all experiments. For weight loss method, rectangular specimens having size 5cm x 2cm x 0.05cm were used. The specimens were washed, dried and polished successively using emery

sheets of 1/0, 2/0, 3/0 and 4/0 grades to remove adhering impurities, degreased with trichloroethylene and dried. Pre-weighed mild steel specimen (in triplicate) were suspended for 3hrs in 1M H<sub>2</sub>SO<sub>4</sub> with and without the inhibitors in different concentrations. After 3hrs the specimens were removed from the test solution, washed with running water, dried well and then weighed. The percentage inhibition efficiency for various concentration of the inhibitors were calculated as,

$$\text{Efficiency of Inhibitor} = \frac{(\text{Weight loss without inhibitor}) - (\text{Weight loss with inhibitor})}{\text{Weight loss without inhibitor}} \times 100$$

The effect of temperature on the inhibitory action of the inhibitor was determined by weight loss method at 1mM concentration at different temperatures (303K, 313K, 323K and 333K) after immersing for 1hr.

## IV. POLARIZATION AND IMPEDANCE MEASUREMENTS

Electrochemical measurements were carried out using potentiostat (1280 B Solartron, U.K). Measurements were carried out in a glass cell with a capacity of 100ml. A platinum electrode and a saturated calomel electrode (SCE) were used as counter electrode and reference electrode respectively. Mild steel rod having the same composition, embedded in Teflon with an exposed area of 0.785cm<sup>2</sup> was used as working electrode. The polarisation measurements were performed at a scan rate of 1mv/sec in the potential range of -200mV to +200mV vs corrosion potential of the working electrode measured against SCE. The impedance measurements were done in the frequency range 10kHz to 0.01 Hz with a signal amplitude of 10mV at OCP after a stabilisation period of 30 minutes. The AC impedance measurements are shown as Nyquist plots and polarization data as Tafel plots. The I<sub>corr</sub>, E<sub>corr</sub>, R<sub>t</sub> and C<sub>dl</sub> values were obtained from the data using the corresponding "corr view" and "Z view" softwares.

## V. RESULTS AND DISCUSSION WEIGHT LOSS STUDIES

The results of weight loss measurements at 30°C, after 3hours of immersion of the mild steel specimen for compounds PA1 to PA3 in 1M H<sub>2</sub>SO<sub>4</sub> have been reported in table-1. It is evident from the table-1 that all the compounds showed > 80% efficiency at 1mM concentration. The corrosion rate decreases and inhibition efficiency increases with increase in concentration of inhibitors. The surface coverage values θ increase with concentration. Therefore it is evident that the corrosion inhibition is due to the

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adsorption of inhibitors on the metal surface forming a barrier film protecting the metal from corrosion.

#### VI. EFFECT OF TEMPERATURE

The influence of temperature at the optimum concentration (1mM) on inhibition efficiency was studied by weight loss method and the data are given in table-2. It is evident that the inhibition efficiency decreases with increase in temperature which may be attributed to an increase in the solubility of the protective adsorbed film and of any reaction products precipitated on the surface of the metal that inhibit the reaction. Ergun et al<sup>[3]</sup> has attributed the decrease in inhibition efficiency with rise in temperature to be due to an enhanced effect of temperature on the dissolution process of steel in acidic media and or the partial desorption of the inhibitor from the metal surface. In an acidic solution, the corrosion rate is related to the temperature by the Arrhenius equation,

$$\text{Log CR} = \frac{-Ea}{2.303RT} + \log A$$

Activation energy (Ea) and thermodynamic data, such as change in free energy ( $\Delta G^\circ_{\text{ads}}$ ), enthalpy ( $\Delta H^\circ$ ) and entropy ( $\Delta S^\circ$ ) for mild steel in 1M  $\text{H}_2\text{SO}_4$  in the presence and in the absence of the inhibitors were calculated and are listed in table-3. The activation energy at different concentration of the inhibitor in  $\text{H}_2\text{SO}_4$  is calculated by plotting Log CR Vs 1/T [Fig-1]. Linear plots were obtained for all the inhibitors. It is clear from the table-3 that Ea values in the presence of the additives are higher than that in the absence. The higher activation energies imply a slow reaction and that the reaction is very sensitive to temperature. Similar results have been reported by Ebens et al in the corrosion inhibition of steel by Alizarin yellow<sup>[4]</sup>. The increase in activation energy in the presence of inhibitor signifies physical adsorption<sup>[5]</sup>. Enthalpy and entropy of activation  $\Delta H^\circ$  and  $\Delta S^\circ$  were obtained by applying the transition state equation:

$$CR = \frac{RT}{Nh} \exp\left[\frac{\Delta S^\circ}{R}\right] \exp\left[\frac{-\Delta H^\circ}{RT}\right]$$

An endothermic adsorption process ( $\Delta H^\circ > 0$ ) is attributed to chemisorption, an exothermic adsorption ( $\Delta H^\circ < 0$ ) may involve either physisorption or chemisorption or a mixture of both<sup>[6]</sup>. In the present study negative  $\Delta H^\circ$  values are obtained indicating physisorption. The  $\Delta S^\circ$  values presented in table-3 are negative which means that the process of adsorption is accompanied by a decrease in entropy. The negative value of  $\Delta S^\circ$  decreases in the presence of inhibitor as compared to that in their absence i.e. there is an increase in entropy in the presence of inhibitor. This indicates that the adsorption process is spontaneous and there is an increase in randomness or disorder on the surface due to the adsorption process and also desorption at higher

temperature.  $\Delta G^\circ$  values listed in table-3 are all negative suggesting the spontaneity of the adsorption process. But the values are all within -20KJ/mole indicating physisorption of the inhibitors on the steel surface.

#### VII. ADSORPTION ISOTHERMS

Surface coverage  $\theta$  values for the compounds as determined by weight loss measurements for various concentrations of the inhibitors were used to find the best adsorption isotherm between those more frequently used i.e Langmuir, Temkin, and Frumkin. The best fit was obtained with Langmuir isotherm according to the equation,

$$\frac{\theta}{1-\theta} = KC$$

on rearranging it gives,

$$\frac{C}{\theta} = \frac{1}{K} + C$$

Plots of  $C/\theta$  against C gives the straight line with unit slope [Fig-2]. This indicates that the adsorption of the pyrimidine amines on mild steel surface in 1M  $\text{H}_2\text{SO}_4$  solution follows Langmuir isotherm and consequently there is no interaction between the molecules adsorbed at the metal surface.

#### VIII. POTENTIODYNAMIC POLARISATION STUDIES

Polarization curve for mild steel in 1M  $\text{H}_2\text{SO}_4$  at various concentrations of PA1 is shown in fig-3. The values of corrosion current densities ( $I_{\text{corr}}$ ), corrosion potential ( $E_{\text{corr}}$ ), the cathodic and anodic Tafel slopes ( $b_a$  and  $b_c$ ) are calculated from the curves and are given in table-4. The data reveals that the corrosion current  $I_{\text{corr}}$  decreases substantially and inhibition efficiency increases with the inhibitor concentration. It is also evident that the inhibitor adsorption shifted the corrosion potential  $E_{\text{corr}}$  in the negative direction with respect to the blank signifying that the suppression of the cathodic reaction is the main effect of these inhibitors. Moreover the presence of these inhibitors enhanced the value of cathodic Tafel slopes showing that the inhibitors behave as cathodic type getting adsorbed on the cathodic sites to decrease the evolution of hydrogen.

#### IX. IMPEDANCE STUDIES

Fig-4 shows the Nyquist plot for mild steel specimen immersed in 1M  $\text{H}_2\text{SO}_4$  containing various concentrations of inhibitors PA1. The equivalent circuit parameters obtained are tabulated in table-5. The Nyquist plots are all semicircles and correspond to a capacitive loop. The diameter of the semicircle increases with increasing concentration of inhibitors. The  $R_t$  values increase with concentration of inhibitor. Since  $R_t$  is inversely proportional to the corrosion current and it can be used to calculate the inhibition efficiency from the relation

$$\text{Inhibition efficiency (\%)} = \frac{R_t(\text{inh}) - R_t(\text{blank})}{R_t(\text{inh})} \times 100$$

The  $C_{dl}$  value decreases with increase in concentration which indicates the formation of a surface film on the metal and thereby decreasing the dissolution reaction.

#### X. MOLECULAR STRUCTURE AND INHIBITION EFFICIENCY

Organic inhibitors contain atleast one polar group with an atom of N,S or O each of them might be an adsorption centre. The inhibitive properties of such compounds depend on the electron densities around the adsorption centre, the higher the electron densities around the adsorption centre, the more effective the inhibitor is.

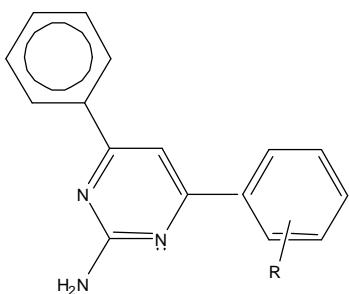
The pyrimidine amines are organic bases and in acid solution they get protonated at the  $\text{NH}_2$  group. The adsorption of these compounds on the mild steel surface occurs by two ways

- Electrostatic interaction between the protonated amines ( $-\text{NH}_3^+$ ) and negatively charged Fe surface in acid medium – physisorption.
- Interaction of lone pairs of electron on N as well as  $\Pi e^-$  of the rings with the Fe atom – chemisorption.

The physisorption mechanism has been proved to be predominant by higher temperature studies. The order of inhibition efficiency of the pyrimidine amines is found to be,

PA1>PA3> PA2.

The general structure of the inhibitors is (Table-7),



PA1 shows maximum efficiency of  $> 95\%$  and PA2 shows 83% efficiency. PA2 has a  $-\text{OH}$  group in the ortho position, which is closer to the main adsorption centre. The lower I.E of PA2 may be attributed to the formation of intramolecular hydrogen bonding of the  $-\text{OH}$  hydrogen with the N-atom via the lone pair of electrons. The lone pair of electrons is therefore less readily available for protonation in acid medium. This hinders the electrostatic adsorption leading to lower inhibition. This phenomenon of ortho effect has been discussed by Popova et al<sup>[7]</sup> in their work in attempting to explain adsorption of molecules with ortho, meta and para substituents by Hammett equation. PA3 has substituents in the meta and para position showing higher inhibition efficiency. But the efficiency is somewhat lower than the unsubstituted compound which may be due to the ready solubility of the vanillin compounds in aqueous acid medium.

#### XI. SYNERGISM INFLUENCE OF HALIDE IONS

It is reported that the surface of iron is found to be positively charged in sulphuric acid media and the protonated inhibitor species would be less strongly adsorbed on to the metal surface resulting in lesser inhibition efficiencies. However the addition of halide ions in acid media has been found to increase the adsorption of amines and hence inhibition. This method is therefore effective to get better performance and to decrease the amount of usage of the inhibitor. The synthesized pyrimidine amines show 80-90% inhibition at 1mM concentration and somewhat lesser inhibitory activity at lower concentration. Inorder to improve the performance of the inhibitor at lower concentration and to reduce the cost, the synergistic effect on the addition of halide ions has been attempted by weight loss method. The values of inhibition efficiency obtained by weight loss method with and without addition of 1mM KCl, KBr, & KI are given in table-6. It is apparent that inhibition efficiency increases with the addition of halide ions at all concentrations of the inhibitors tested. With the addition of iodide ion the inhibition efficiency of all the three inhibitors has been increased to  $> 90\%$  even at 0.1mM concentration of the inhibitors. This synergism effect can be explained as follows. Amines in aqueous acidic solutions may exist as either neutral molecules or in the form of cations depending on the concentration of  $\text{H}^+$  ions in the solutions. The potential of zero charge (PZC) of iron in sulphuric acid is about -650 mV Vs SCE<sup>[8]</sup>. Therefore the amines can interact through the  $\Pi$  electrons of benzene ring with the positively charged metal surface and through the positively charged ammonium ions on the cathodic surface and offer marginal corrosion inhibition. But the presence of halide ions show very good synergism. The synergistic effect is due to the co-adsorption of halide ions on the surface which forms oriented dipoles with their negative ends toward the solution thus increasing the adsorption of protonateorganic inhibitor ions. Fouad et al<sup>[9]</sup> have reported similar findings in their study on synergistic influence of iodide ions with some aliphatic amines on the inhibition of corrosion of carbon steel in sulphuric acid. It can also be inferred from this study that the order of synergism of halide ions with pyrimidine amine molecules is  $\text{I}^- > \text{Br}^- > \text{Cl}^-$  the reason for the better synergism with iodide ions may be due to the large size and ease of polarizability of  $\text{I}^-$  ions which facilitates electron pair bonding with iron surface.

#### XII. CONCLUSION

- The synthesized pyrimidine amines are a good inhibitors for the corrosion of mild steel in 1M  $\text{H}_2\text{SO}_4$ .
- The inhibitors are an adsorption type inhibitors and adsorption follows the Langmuir adsorption isotherm.
- Adsorption of the inhibitor on mild steel surface is exothermic and spontaneous.

- 4) The inhibition efficiency of the inhibitor decreases with the rise of the temperature.
- 5) The results obtained from the polarization study revealed that the inhibitor under study behaved as a cathodic type inhibitor.

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**Table-1. Inhibition efficiencies of selected concentrations of the inhibitors for the corrosion of mild steel in 1M H<sub>2</sub>SO<sub>4</sub> obtained by weight loss measurement at 30±1°C**

Name of the inhibitor	Inhibitor concentration (mM)									
	Blank	0.02	0.04	0.06	0.08	0.1	0.15	0.25	0.5	1
PA1	-	88.86	90.57	90.74	90.90	91.31	92.56	94.73	95.81	96.26
PA2	-	36.84	42.80	51.60	55.28	62.63	68.98	73.33	79.57	83.08
PA3	-	49.29	59.34	60.66	61.49	63.96	69.13	75.12	88.98	91.77

**Table-2. Inhibition efficiency (%) of 1mM concentration of inhibitors for mild steel corrosion in 1M H<sub>2</sub>SO<sub>4</sub> at different temperatures**

Temperature (K)	Inhibition efficiency (%)		
	PA1	PA2	PA3
303	96.26	83.08	91.77
313	94.28	79.63	86.79
323	90.38	70.19	78.99
333	88.58	66.62	70.18

**Table-3. Thermodynamic parameters for mild steel corrosion in 1M H<sub>2</sub>SO<sub>4</sub>.**

Name of the inhibitor	-ΔH° (kJ/mole)	-ΔS° (kJ/mole)	E <sub>a</sub> (kJ)	ΔG° <sub>ads</sub> at various temperatures (kJ)			
				303 k	313k	323k	333 k
Blank	0.01887	0.10542	43.46	-	-	-	-
PA1	0.01390	0.15247	51.10	-18.30	-17.74	-18.89	-19.61
PA2	0.03006	0.09893	74.90	-14.12	-15.90	-16.69	-16.23
PA3	0.03350	0.08679	79.84	-16.19	-15.35	-14.33	-13.48

**Table-4. Polarisation parameters for mild steel in 1M H<sub>2</sub>SO<sub>4</sub> solution with different concentrations of the inhibitors.**

Name of the inhibitor	Inhibitor concentration (mM)	Tafel slopes (mv/dec)		E <sub>corr</sub> (mV)	I <sub>corr</sub> (μAmp/cm <sup>2</sup> )	Inhibition efficiency (%)
		b <sub>a</sub>	b <sub>c</sub>			
PA1	Blank	84.482	133	-474.0	500.47	-
	0.02	56	102	-501.2	143.99	71.22
	0.1	63	191	-491.1	120.16	75.99
	1	64	243	-487.3	65.06	87.0
PA2	0.02	66	193	-501.0	261.46	47.75
	0.1	71	244	-498.4	156.48	68.73
	1	62	173	-492.6	118.13	76.39
PA3	0.02	54	166	-504.7	66.92	86.62
	0.1	65	305	-484.5	62.43	87.52
	1	56	258	-488.3	47.264	90.55

**Table-5. Impedance parameters for mild steel in 1M H<sub>2</sub>SO<sub>4</sub> solution with different concentrations of the inhibitors**

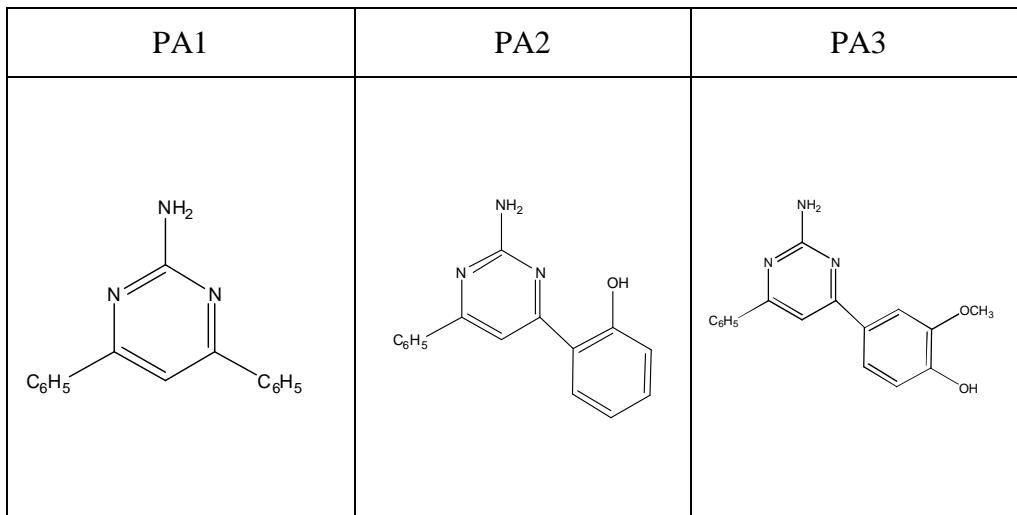
Name of the inhibitor	Inhibitor concentration (1mM)	R <sub>t</sub> (ohmcm <sup>2</sup> )	C <sub>dl</sub> (μF/cm <sup>2</sup> ) ×10 <sup>-6</sup>	Inhibition efficiency (%)
PA1	Blank	10.5299	34.2381	-
	0.02	89.54	12.208	88.24
	0.1	150.29	11.977	92.99

	1	189.73	10.948	94.45
PA2	0.02	80.74	12.175	86.95
	0.1	143.40	10.875	92.65
	1	165.46	10.083	93.63
PA3	0.02	122.16	9.2132	91.38
	0.1	133.54	6.5106	92.11
	1	170.72	5.8705	93.83

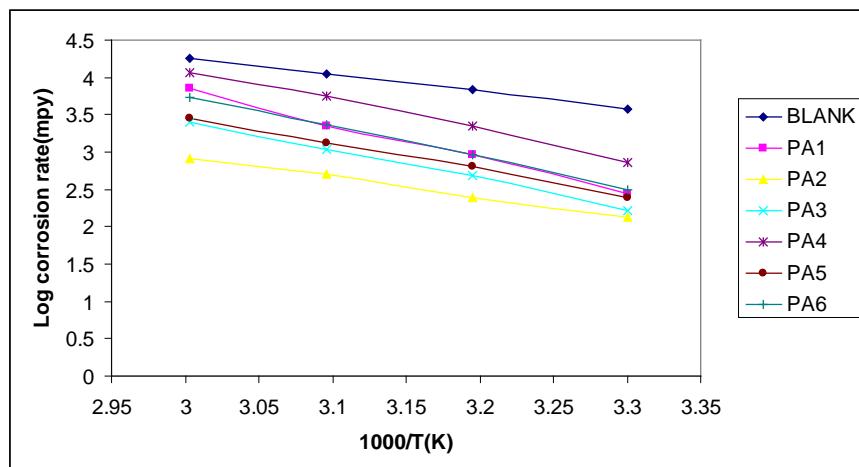
**Table-6. Synergistic influence of halide ions on the inhibition efficiency of the inhibitor for mild steel corrosion in 1M  $\text{H}_2\text{SO}_4$  at  $30 \pm 1^\circ\text{C}$ .**

Name of the inhibitor	Inhibitor concentration on mM	Inhibition efficiency (%)			
		Without KCl, KBr & KI	With 1mM KCl	With 1mM KBr	With 1mM KI
PA1	0.02	88.86	89.95	93.32	95.56
	0.06	90.74	91.30	94.59	97.77
	0.1	91.31	92.56	98.50	99.30
PA2	0.02	36.84	46.49	76.70	81.34
	0.06	51.60	73.20	84.67	88.86
	0.1	62.63	79.59	85.17	89.13
PA3	0.02	49.29	60.70	83.32	95.88
	0.06	60.66	70.33	89.91	97.65
	0.1	63.96	73.16	89.99	98.50

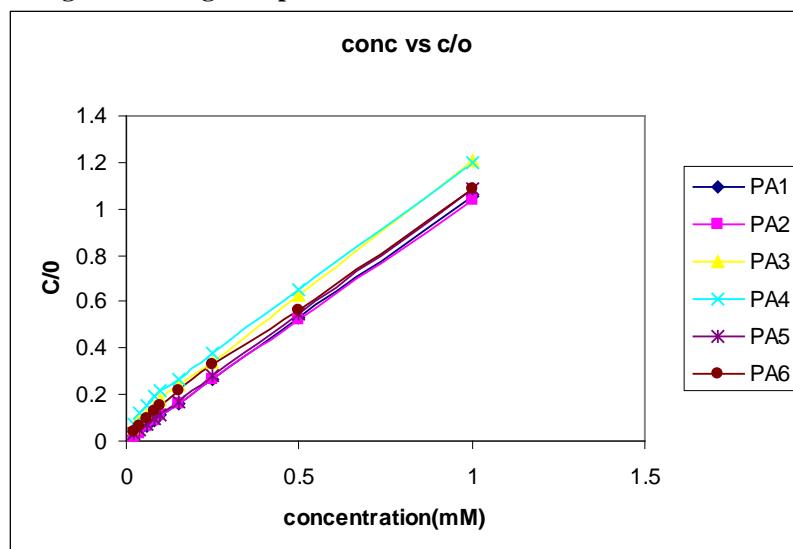
**Table-7. Structure of the inhibitors.**



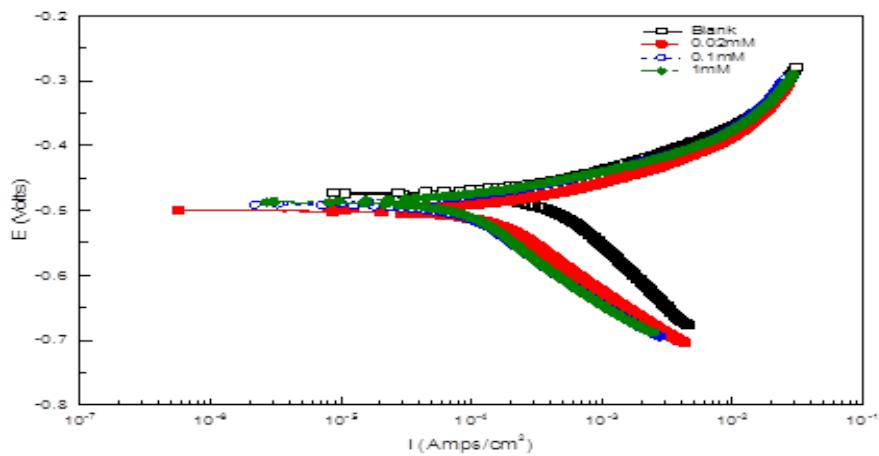
**Figure-1.** Arrhenius plot of corrosion rate of mild steel in 1M  $\text{H}_2\text{SO}_4$  solution in the absence and presence of inhibitors.



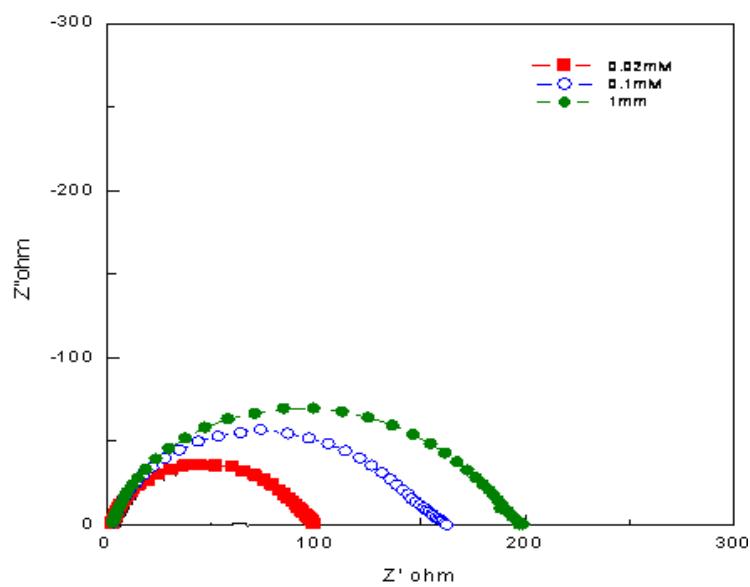
**Figure -2.** Langmuir plot of inhibitors for mild steel in 1M  $\text{H}_2\text{SO}_4$



**Figure-3.** Polarization curves for mild steel recorded in 1M  $\text{H}_2\text{SO}_4$  for selected concentrations of inhibitor (PA1)



**Figure-4.** Nyquist diagram for mild steel in 1M  $\text{H}_2\text{SO}_4$  for selected concentrations of inhibitor (PA1)



# Solutions of Generalized Fredholm Type Integral Equations Pertaining To Product of Special Functions

*GJSFR Classification - F (FOR)  
010302*

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**Abstract-** The object of this paper is to solve an integral equation whose kernel involves the product of the M-series and  $\bar{H}$ -functions. The solution is derived by the application of Mellin-transform. The integral equation discussed here can be used to investigate a wide class of known (may be new also) integral equations, hitherto scattered in the literature. Special

cases, involving generalized Riemann zeta function,  $g[\tau, \theta, v, \phi; z]$  function and generalized Wright function are considered.

**Key Words:** Fredholm integral equations,  $\bar{H}$ -function, generalized M-series, generalized Riemann zeta function, generalized Wright function, Mellin transform

## I. INTRODUCTION

In the last several years a large number of Fredholm type integral equations involving various special functions or polynomials as their kernels have been studied by many researchers notably Srivastava and Bushman (1992), Srivastava and Raina (1992), Goyal and Mukherjee (2002), Gupta and Agrawal (2009), and others.

In this paper, we obtain the solution of the following Fredholm type integral equation

$$\int_0^\infty y^{-1} u\left(\frac{x}{y}\right) f(y) dy = g(x), \quad (x > 0) \quad (1)$$

where  $g$  is a prescribed function,  $f$  is an unknown function to be determined and the kernel  $u(x)$  is given by

$$u(x) = {}_k M_{\ell}^{\alpha, \beta} [a_1, \dots, a_k; b_1, \dots, b_\ell; \omega x^\rho] \bar{H}_{p', q'}^{m', n'} \left[ \begin{matrix} zx^\sigma \\ (e_j, E_j; \alpha_j)_{1, n'}, (e_j, E_j)_{n'+1, p'} \\ (f_j, F_j)_{1, m'}, (f_j, F_j; \beta_j)_{m'+1, q'} \end{matrix} \right] \\ \times \bar{H}_{p', q'}^{m, n} \left[ t x^{-\lambda} \left| \begin{matrix} (e_j, E_j; \alpha_j)_{1, n'}, (e_j, E_j)_{n'+1, p'} \\ (f_j, F_j)_{1, m'}, (f_j, F_j; \beta_j)_{m'+1, 1} \end{matrix} \right. \right]. \quad (2)$$

The generalized M-series is introduced by Sharma and Jain (2009) defined as

$${}_k M_{\ell}^{\alpha, \beta} (\omega) = {}_k M_{\ell}^{\alpha, \beta} (a_1, \dots, a_k; b_1, \dots, b_\ell; \omega) \\ = \sum_{r=0}^{\infty} \frac{(a_1)_r \dots (a_k)_r}{(b_1)_r \dots (b_\ell)_r} \frac{\omega^r}{\Gamma(\alpha r + \beta)}, \quad \omega, \alpha, \beta \in \mathbb{C}, \quad \text{Re}(\alpha) > 0. \quad (3)$$

For convergence conditions and other details of the generalized M-series see Sharma and Jain (2009).

The  $\bar{H}$ -function, a generalization of Fox H-function introduced by Inayat Hussain (1987) and studied by Bushman and Srivastava (1990) and others, is defined and represented in the following manner:

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$$\bar{H}_{p,q}^{m,n}[z] = \bar{H}_{p,q}^{m,n} \left[ z \begin{matrix} (e_j, E_j; \alpha_j)_{1,n}, (e_j, E_j)_{n+1,p} \\ (f_j, F_j)_{1,m}, (f_j, F_j; \beta_j)_{m+1,q} \end{matrix} \right] = \frac{1}{2\pi i} \int_L \bar{\phi}(\xi) z^\xi d\xi, \quad (4)$$

where

$$\bar{\phi}(\xi) = \frac{\prod_{j=1}^m \Gamma(f_j - F_j \xi) \prod_{j=1}^n \{\Gamma(1 - e_j + E_j \xi)\}^{\alpha_j}}{\prod_{j=m+1}^q \{\Gamma(1 - f_j + F_j \xi)\}^{\beta_j} \prod_{j=n+1}^p \Gamma(e_j - E_j \xi)} \quad (5)$$

and the contour L is the line from  $C - i\infty$  to  $C + i\infty$ , suitably indented to keep the poles of  $\Gamma(f_j - F_j \xi)$ ,  $j=1,2,\dots,m$  to the right of the path and the singularities of  $\{\Gamma(1 - e_j + E_j \xi)\}^{\alpha_j}$ ,  $j=1,2,\dots,n$  to the left of the path.

For convergence conditions and other details of the  $\bar{H}$ -function see Inayat Hussain (1987), Bushman and Srivastava (1990) and Gupta et al. (2007).

The series representation of  $\bar{H}$ -function (1987) is as follows

$$\bar{H}_{p',q'}^{m',n'}[z] = \bar{H}_{p',q'}^{m',n'} \left[ z \begin{matrix} (e_j, E_j; \alpha_j)_{1,n'}, (e_j, E_j)_{n'+1,p'} \\ (f_j, F_j)_{1,m'}, (f_j, F_j; \beta_j)_{m'+1,q'} \end{matrix} \right] = \sum_{g=1}^{m'} \sum_{h=0}^{\infty} \frac{(-1)^h \phi(\eta_{g,h})}{h! F_g^{q'}} z^{\eta_{g,h}}, \dots (6)$$

where

$$\phi(\eta_{g,h}) = \frac{\prod_{j=1}^{m'} \Gamma(f_j - F_j \eta_{g,h}) \prod_{j=1}^{n'} \{\Gamma(1 - e_j + E_j \eta_{g,h})\}^{\alpha_j}}{\prod_{j=m'+1}^{q'} \{\Gamma(1 - f_j + F_j \eta_{g,h})\}^{\beta_j} \prod_{j=n'+1}^{p'} \Gamma(e_j - E_j \eta_{g,h})}$$

and

$$\eta_{g,h} = \frac{f_g + h}{F_g}.$$

## II. IMELLIN TRANSFORM OF U(X)

In order to solve the integral equation (1), we require the following result

**Lemma 2.1.** Let  $U(s) = M \{u(x); s\}$ , where  $u(x)$  is given by (2), then

$$U(s) = \sum_{g=1}^{m'} \sum_{h,r=0}^{\infty} (-1)^h \frac{(a_1)_r \dots (a_k)_r}{(b_1)_r \dots (b_\ell)_r} \frac{1}{\Gamma(\alpha r + \beta)} \frac{\phi(\eta_{g,h})}{h! F_g^{q'}} \omega^r z^{\eta_{g,h}} \lambda^{-1}$$

$$\times t^{\left(\frac{s+\rho r+\sigma \eta_{g,h}}{\lambda}\right)} \bar{\phi}\left(\frac{s+\rho r+\sigma \eta_{g,h}}{\lambda}\right) \quad (7)$$

provided that

$$\max_{1 \leq j \leq n} \left[ \operatorname{Re} \left\{ \alpha_j \left( \frac{e_j - 1}{E_j} \right) \right\} \right] < \operatorname{Re} \left( \frac{s + \rho r + \sigma \eta_{g,h}}{\lambda} \right) < \min_{1 \leq j \leq m} \left[ \operatorname{Re} \left( \frac{f_j}{F_j} \right) \right].$$

**Proof:** We have

$$\begin{aligned} U(s) &= M\{u(x); s\} \\ &= M \left\{ \sum_k M_{\ell}^{\alpha, \beta} [\omega x^{\rho}] \bar{H}_{p', q'}^{m', n'} [z x^{\sigma}] \bar{H}_{p, q}^{m, n} [t x^{-\lambda}] \right\} \\ &= \int_0^{\infty} x^{s-1} \sum_k M_{\ell}^{\alpha, \beta} [\omega x^{\rho}] \bar{H}_{p', q'}^{m', n'} [z x^{\sigma}] \bar{H}_{p, q}^{m, n} [t x^{-\lambda}] dx. \end{aligned} \quad (8)$$

Expressing the M-series and one  $\bar{H}$ -function in series form defined by (3) and (6) respectively and changing the order of summations and integration (which is permissible under the conditions stated), we get

$$\begin{aligned} U(s) &= \sum_{g=1}^{m'} \sum_{r, h=0}^{\infty} (-1)^h \frac{(a_1)_r \dots (a_k)_r}{(b_1)_r \dots (b_{\ell})_r} \frac{1}{\Gamma(\alpha r + \beta)} \frac{\phi(\eta_{g,h})}{h! F_g^r} \omega^r z^{\eta_{g,h}} \\ &\quad \times M \left\{ x^{\rho r + \sigma \eta_{g,h}} \bar{H}_{p, q}^{m, n} [t x^{-\lambda}] \right\}. \end{aligned} \quad (9)$$

Now, applying the known formulae (Erdélyi (1954, p.307, eq.(8))

$$M\{x^{\mu} f(z x^{-\lambda}); s\} = \lambda^{-1} z^{\frac{s+\mu}{\lambda}} F\left(-\frac{s+\mu}{\lambda}\right) \quad (10)$$

and [9, p.114, eq. (4.1)]

$$M \left\{ \bar{H}_{p, q}^{m, n} [x]; s \right\} = \bar{\phi}(-s). \quad (11)$$

provided that  $\Omega > 0$   $|\arg(x)| < 1/2 \Omega \pi$  and

$$-\min_{1 \leq j \leq m} \left[ \operatorname{Re} \left( \frac{f_j}{F_j} \right) \right] < \operatorname{Re}(s) < \min_{1 \leq j \leq n} \left[ \operatorname{Re} \left\{ \alpha_j \left( \frac{1-e_j}{E_j} \right) \right\} \right]$$

in (9), we arrive at the desired result (7).

### III. SOLUTION OF THE INTEGRAL EQUATION

In this section we will investigate the solution of the Fredholm type integral equation (1). The result is given in the form of the following theorem

**Theorem 3.1.** Let the Mellin transform  $F(s)$ ,  $G(s)$  and  $U(s) \neq 0$  of the functions  $f(x)$ ,  $g(x)$  and  $u(x)$  defined by (2) exist and are analytic in some infinite strip  $s_1 < \operatorname{Re}(s) < s_2$  of complex  $s$ -plane. Also suppose that for a fixed  $C \in (s_1, s_2)$ ,  $u^*(x)$  is defined by

$$u^*(x) = M^{-1}\{U^*(s); x\} = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} x^{-s} U^*(s) ds, \quad (12)$$

where

$$U^*(s) = \left[ \frac{\mu^\xi \Gamma(-s/\mu)}{\Gamma[-(s + \mu\xi)/\mu]} \sum_{g=1}^{m'} \sum_{h,r=0}^{\infty} (-1)^h \frac{(a_1)_r \dots (a_k)_r}{(b_1)_r \dots (b_\ell)_r} \right. \\ \times \frac{1}{\Gamma(\alpha r + \beta)} \frac{\phi(\eta_{g,h})}{h! F_g^r} \omega^r z^{\eta_{g,h}} \lambda^{-1} t^{\left( \frac{s + \mu\xi + \gamma + \rho r + \sigma \eta_{g,h}}{\lambda} \right)} \\ \left. \times \bar{\phi} \left( \frac{s + \mu\xi + \gamma + \rho r + \sigma \eta_{g,h}}{\lambda} \right) \right]^{-1} \quad (13)$$

provided that the following conditions are satisfied

$$(i) \quad |\arg(t)| < \frac{1}{2} \Omega \pi \text{ and } \Omega > 0$$

$$(ii) \quad |\arg(t)| = \frac{1}{2} \Omega \pi \text{ and } \Omega \geq 0$$

and (a)  $\zeta \neq 0$  and contour L is so chosen that  $(C \zeta + \epsilon + 1) < 0$

(b)  $\zeta = 0$  and  $(\epsilon + 1) < 0$ ,

where

$$\Omega = \sum_{j=1}^m F_j + \sum_{j=1}^n \alpha_j E_j - \sum_{j=m+1}^q F_j \beta_j - \sum_{j=n+1}^p E_j$$

$$\zeta = \sum_{j=1}^n \alpha_j E_j + \sum_{j=n+1}^p E_j - \sum_{j=1}^m F_j - \sum_{j=m+1}^q F_j \beta_j$$

$$\epsilon = \operatorname{Re} \left( \sum_{j=1}^m f_j + \sum_{j=m+1}^q F_j \beta_j - \sum_{j=1}^n e_j \alpha_j - \sum_{j=n+1}^p e_j \right) \\ + \frac{1}{2} \left( \sum_{j=1}^n \alpha_j - \sum_{j=m+1}^q \beta_j + p - m - n \right)$$

(iii)  $\mu \neq 0$ ,  $\xi$  is a non-negative integer and  $\min \{ \rho, \sigma, \lambda \} > 0$

$$(iv) \quad \max_{1 \leq j \leq n} \left[ \operatorname{Re} \left\{ \alpha_j \left( \frac{e_j - 1}{E_j} \right) \right\} \right] < \operatorname{Re} \left( \frac{s + \mu\xi + \gamma + \rho r + \sigma \eta_{g,h}}{\lambda} \right) \\ < \min_{1 \leq j \leq m} \left[ \operatorname{Re} \left( \frac{f_j}{F_j} \right) \right].$$

Then, the solution of integral equation (1) is given by

$$f(x) = x^{-\mu\xi - \gamma} \int_0^\infty y^{-1} u^* \left( \frac{x}{y} \right) (y^{\mu+1} Dy)^\xi [y^\gamma g(y)] dy \quad (14)$$

provided that the integral on the right hand side of (14) exists.

**Proof:** Applying the Mellin transform on integral equation (1) and using the convolution theorem for Mellin transform (Erdélyi(1954)), we get

$$U(s) F(s) = G(s) \quad (15)$$

where  $U(s)$  is given by (7) and  $F(s)$  and  $G(s)$  are Mellin transforms of  $f(x)$  and  $g(x)$  respectively.

Now replacing  $s$  in (15) by  $s + \mu\xi + \gamma$  ( $\mu \neq 0$  and  $\xi$  is non-negative integer), we have

$$F(s + \mu\xi + \gamma) = U^*(s) \mu^{\xi} \left( -\frac{s + \mu\xi}{\mu} \right)_{\xi} G(s + \mu\xi + \gamma) \quad (16)$$

and using the formula [14]

$$M\{(x^{\xi+1} D_x^n) f(x); s\} = \xi^n \left( -\frac{s + \xi n}{\xi} \right)_n F(s + \xi n), \quad (17)$$

we get

$$F(s + \mu\xi + \gamma) = U^*(s) M\{(y^{\mu+1} D_y^{\xi}) [y^{\gamma} g(y)]; s\}. \quad (18)$$

Further using the elementary result

$$M\{x^{\mu} f(x); s\} = F(s + \mu) \quad (19)$$

and well known convolution theorem for Mellin transform in (18), we get

$$M\{x^{\mu\xi+\gamma} f(x); s\} = M\left\{ \int_0^{\infty} y^{-1} u^*\left(\frac{x}{y}\right) (y^{\mu+1} D_y^{\xi}) [y^{\gamma} g(y)] dy; s \right\}. \quad (20)$$

Finally, inverting both sides of (20) by using well known Mellin inversion theorem, we arrive at the required result (14).

#### IV. SPECIAL CASES

**Corollary 4.1.** Reducing generalized M-series to unity and one  $\bar{H}$ -function to generalized Riemann zeta function  $\phi(z, p', \eta')$  (Gupta and Soni (2005, p.159, eq.(5)) in the integral equation (1), it takes the following form

$$\int_0^{\infty} y^{-1} u_1\left(\frac{x}{y}\right) f(y) dy = g(x), \quad (21)$$

where

$$u_1(x) = \phi[z x^{\sigma}, p', \eta'] \bar{H}_{p,q}^{m,n} [t x^{-\lambda}] \quad (22)$$

has its solution given by

$$f(x) = x^{-\mu\xi-\gamma} \int_0^{\infty} y^{-1} u_1^*\left(\frac{x}{y}\right) (y^{\mu+1} D_y^{\xi}) [y^{\gamma} g(y)] dy, \quad (23)$$

provided that integral in (23) exists,  $u_1^*(x)$  is the Mellin inverse transform of

$$U_1^*(s) = \left[ \frac{\mu^{\xi} \Gamma(-s/\mu)}{\Gamma[-(s + \mu\xi)/\mu]} \sum_{h=0}^{\infty} \frac{1}{(\eta' + h) p'} z^h \lambda^{-1} t^{\left(\frac{s+\mu\xi+\gamma+\sigma h}{\lambda}\right)} \bar{\phi}\left(\frac{s + \mu\xi + \gamma + \sigma h}{\lambda}\right) \right]^{-1} \quad (24)$$

and the set of conditions of the theorem modified appropriately are satisfied.

**Corollary 4.2.** Reducing generalized M-series to unity and one  $\bar{H}$ -function to  $g[\tau, \theta, \nu, \phi; z]$  in the theorem, we easily observe that the integral equation

$$\int_0^{\infty} y^{-1} u_2\left(\frac{x}{y}\right) f(y) dy = g(x), \quad (25)$$

where

$$u_2(x) = g[\tau, \theta, \nu, \phi; z x^{\sigma}] \bar{H}_{p,q}^{m,n} [t x^{-\lambda}] \quad (26)$$

has its solution given by

$$f(x) = x^{-\mu\xi-\gamma} \int_0^\infty y^{-1} u_2^* \left( \frac{x}{y} \right) (y^{\mu+1} D_y)^\xi [y^\gamma g(y)] dy, \quad (27)$$

provided that integral in (27) exists,  $u_2^*(x)$  is the Mellin inverse transform of

$$U_2^*(s) = \left[ \frac{\mu^\xi \Gamma(-s/\mu)}{\Gamma[-(s + \mu\xi)/\mu]} \frac{K_{d-1} 2^{-\phi-2} \Gamma(\phi+1) B \left( \frac{1}{2}, \frac{1}{2} + \frac{v}{2} \right)}{\pi} \right. \\ \left. \times \sum_{h=0}^{\infty} \frac{\left( \tau - \frac{v}{2} \right)_h (\tau)_h (\theta + h)^{-(1+\phi)}}{\left( 1 + \frac{v}{2} \right)_h} \frac{z^h}{h!} \lambda^{-1} t^{\left( \frac{s+\mu\xi+\gamma+\sigma h}{\lambda} \right)} \bar{\phi} \left( \frac{s + \mu\xi + \gamma + \sigma h}{\lambda} \right) \right]^{-1} \quad (28)$$

and the conditions of validity follow easily from those mentioned with the theorem.

**Corollary 4.3.** Finally, if we reduce generalized M-series to unity and one  $\bar{H}$ -function to generalized Wright hypergeometric function  ${}_p^p\bar{\Psi}_q[z]$ , then the integral equation

$$\int_0^\infty y^{-1} u_3 \left( \frac{x}{y} \right) f(y) dy = g(x), \quad (29)$$

where

$$u_3(x) = {}_p^p\bar{\Psi}_q [zx^\sigma] \bar{H}_{p,q}^{m,n} [t x^{-\lambda}] \quad (30)$$

has the solution given by

$$f(x) = x^{-\mu\xi-\gamma} \int_0^\infty y^{-1} u_3^* \left( \frac{x}{y} \right) (y^{\mu+1} D_y)^\xi [y^\gamma g(y)] dy, \quad (31)$$

provided that integral in (31) exists,  $u_3^*(x)$  is the Mellin inverse transform of

$$U_3^*(s) = \left[ \frac{\mu^\xi \Gamma(-s/\mu)}{\Gamma[-(s + \mu\xi)/\mu]} \sum_{h=0}^{\infty} \frac{\prod_{j=1}^{p'} \{\Gamma(e_j + E_j h)\}^{\alpha_j}}{\prod_{j=1}^{q'} \{\Gamma(f_j + F_j h)\}^{\beta_j}} \frac{z^h}{h!} \lambda^{-1} \right. \\ \left. \times t^{\left( \frac{s+\mu\xi+\gamma+\sigma h}{\lambda} \right)} \bar{\phi} \left( \frac{s + \mu\xi + \gamma + \sigma h}{\lambda} \right) \right]^{-1} \quad (32)$$

and the set of conditions of the theorem modified appropriately are satisfied. The importances of our results lie in their manifold generality. In view of the generality of the  $\bar{H}$ -functions and the generalized M-series, on specializing the various parameters and variables, we can obtain from our results, several integral equations and solutions

involving a remarkably wide variety of useful functions (or product of several functions), which are expressible in terms of H-functions, G-functions, Mittag-Leffler functions and their various special cases. Thus, the results presented in this paper would at once yield a very large number of results involving a large variety of special functions occurring in

the problems of mathematical analysis, mathematical physics and engineering.

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# Quantification of Piperine In *P. Chaba* By HPLC And Its Bio-Potentials {

GJSFR Classification - G (FOR)  
270899p

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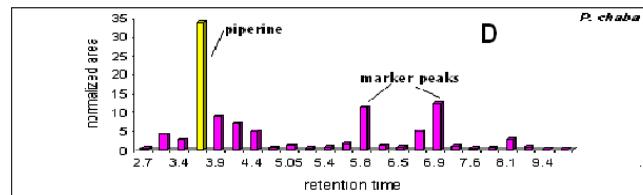
**Abstract-**Plants are by far the most important source of natural therapeutics, and their role in enhancing the longevity and quality of life is gaining prominence throughout the world and still the plant is often the most neglected part of plant-based medicines. Although, millions of consumers purchase medicinal plant preparations on the basis of anecdotal and scientific evidence of efficacy but very little is known about the factors that make medicinal plants different from other species. It is, therefore, necessary to standardize the medicinal plants widely used throughout the world. In view of the current importance of and interest in herbal drugs, it is necessary to prepare an International Codex containing the details of such plants so that their sale and utilization could be controlled judiciously. Therefore, in present investigations attempts have been made to isolate piperine from *P. chaba* and its quantification to evaluate the percentage of piperine for herbal validation and standardization. Further, antimicrobial, antioxidant and anti-HIV efficacy of piperine were also screened to prove its bio-potentials as bioavailability enhancer. HPLC analysis of pet.ether extract of *P. chaba*, exhibited a prominent peak of piperine at rt 3.642 min which was further ascertained by varying the concentration (1, 2, 5 and 10 mg/ml) of the extract. In the assessment of linearity, two calibration curves were plotted in the ranges 1.0 –5.0 and 5.0-10.0 mg/ml. Three replicates of each range were analyzed. The assay value of piperine was found to be 3.18%. The correlation coefficients for standard curves were 0.9933 and 0.9997. Standard deviation 8.38% and the coefficient of variation (cv) among the two curves was 5.77%. Validation of analytical method exhibited the cv of analysis less than 6%. The composite linear equations obtained from the regression analysis were  $y = 25210.62 x + 884438$  and  $y = 83410 x - 2042764$ , where y is the area of I and x is the amount of the extract injected. Piperine possess appreciable efficacy as antimicrobial, antioxidant and anti-HIV agents but due to least toxicity it can be used as additive to toxic potent principles as bio-potent agents. Conclusively, piperine can be safely used for identification and herbal validation of *P. chaba* and as a vehicle for various biopotents.

## ABSTRACT OUTLAY



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**Key words:** *Piper chaba*, piperine, hplc quantification, biopotentials

## I. INTRODUCTION

A medicinal plant is any plant in which one or more of its parts contain substances that can be used for therapeutic purposes or which are precursors for chemopharmaceutical semisynthesis. Plants have been used in traditional medicine for several thousand years (Abu-Rabia, 2005). The knowledge of medicinal plants has been accumulated in the course of many centuries based on different medicinal systems such as Ayurveda, Unani and Siddha. In India, it is reported that traditional healers use 2500 plant species and 100 species of plants serve as regular sources of medicine (Pei, 2001). During the last few decades there has been an increasing interest in the study of medicinal plants and their traditional use in different parts of the world (Lev, 2006; Gazzaneo 2005; Al-Qura'n, 2005; Hanazaki *et al.*, 2000; Rossato *et al.*, 1999). Documenting the indigenous knowledge through ethnobotanical studies is important for the conservation and utilization of biological resources. Today according to the World Health Organization (WHO), as many as 80% of the world's people depend on traditional medicine for their primary healthcare needs. There are considerable economic benefits in the development of indigenous medicines and in the use of medicinal plants for the treatment of various diseases (Azaizeh *et al.*, 2003). Due to less communication means, poverty, ignorance and unavailability of modern health facilities, most people especially rural people are still forced to practice traditional medicines for their common day ailments. Most of these people form the poorest link in the trade of medicinal plants (Khan, 2002). A vast knowledge of how to use the plants against different illnesses may be expected to have accumulated in areas where the use of plants is still of great importance (Diallo *et al.*, 1999). In the developed countries, 25 per cent of the medical drugs are based on plants and their derivatives (Principe, 1991). A group of World Health Organization (WHO) experts, who met in Congo Brazzaville in 1976, sought to define traditional African medicine as 'the sum total of practices, measures, ingredients and procedures of all kinds whether material or not, which from time immemorial has enabled

the African to guard against diseases, to alleviate his/her suffering and to cure him/herself (Busia, 2005). Traditional medical knowledge of medicinal plants and their use by indigenous cultures are not only useful for conservation of cultural traditions and biodiversity but also for community healthcare and drug development in the present and future (Pei, 2001). Plants are by far the most important source of natural therapeutics, and their role in enhancing the longevity and quality of life is gaining prominence throughout the world and still the plant is often the most neglected part of plant-based medicines. Although, millions of consumers purchase medicinal plant preparations on the basis of anecdotal and scientific evidence of efficacy but very little is known about the factors that make medicinal plants different from other species. Current problems with medicinal plant products that compromise the quality and safety of medicinal plant products have included contamination with biological and environmental pollutants, adulteration with misidentified species, and the unsustainable harvest resulting in quantitative and qualitative variations in bioactive compounds. It is, therefore, necessary to standardize the medicinal plants widely used throughout the world. In view of the current importance of and interest in herbal drugs, it is necessary to prepare an International Codex containing the details of such plants so that their sale and utilization could be controlled judiciously. *Piper* species are known to be a rich source of *Piper* amides and their derivatives, as a result of which the plant species carry potent pharmaceutical properties like: diuretic, carminative, stimulant, etc. (Charaka Samhita, 1949; Chopra *et al.*, 1956, 1969; Nadkarni and Nadkarni, 1954). Significant attention has been paid by the workers on the study of these compounds in *Piper* species (Miyakado *et al.*, 1979; Sengupta and Ray, 1987; Parmar *et al.*, 1998; Siddiqui *et al.*, 2005 a, b), but very little work on their adulteration has been carried out (Madan *et al.*, 1996; Paradkar *et al.*, 2001). The prime objective of this work is to study and set up certain fundamental diagnostic standards for the identification and authentication of a few important drugs such as *Piper chaba* used in the Ayurvedic System of Medicine. Efforts have been made to detect all the major and minor market adulterants with special reference to their analytical, chemical and biological screening.

## II. MATERIALS AND METHODS

### a) Plant material

Authenticated samples of (Badi pippali) *Piper chaba* (from Suttind Seeds Pvt. Ltd., Delhi) and their market samples were collected and used in the present study.

### b) HPLC Analysis

The HPLC analysis was performed using a Shimadzu Model-VP 135P2 equipped with a UV spectrophotometric detector set at 254 nm, column: Luna 5  $\mu$ C<sub>18</sub>(2) 100 Å (250 x 4.6 mm; 5  $\mu$ m particle diameter), flow rate: 1 ml/min, injection volume 20  $\mu$ l in methanol (HPLC grade).

### c) Extraction and isolation

The fruits of *Piper chaba* and its adulterant *Piper longum* were individually extracted with ethanol for 36 hr, filtered and concentrated to dryness. Later from each, 10 mg extract of *P. chaba* and its adulterant was dissolved in 5 ml MeOH separately and used for HPLC analysis.

### d) Quantification of piperine in *P. chaba* by HPLC

Pet. ether extract (piperine-rich fraction) of *P. chaba* was weighed (10, 20, 50 and 100 mg) and dissolved in 10 ml methanol (hplc grade) to prepare a concentration of 1, 2, 5 and 10 mg/ml. 200  $\mu$ l of each concentrations of *P. chaba* was injected onto HPLC and the peak which appeared at the same retention time as that of standard piperine (**I**) was recorded. This value was used to calculate the amount of **I** in the extract by using the linear equation obtained from the composite standard curve. The reproducibility of quantitative analysis was verified by carrying out three replicate injections of each extract and coefficient of variation for each determination was calculated. In the present work, various calculations were achieved by Pearson's correlation formula, which is otherwise used in many forms for correlation co-efficient (*r*) and co-efficient of variation (cv):

$$r = \frac{\Sigma XY - \frac{\Sigma X \Sigma Y}{N}}{\sqrt{\left( \Sigma X^2 - \frac{(\Sigma X)^2}{N} \right) \left( \Sigma Y^2 - \frac{(\Sigma Y)^2}{N} \right)}}$$

$$cv = \frac{\sigma}{x} \times 100$$

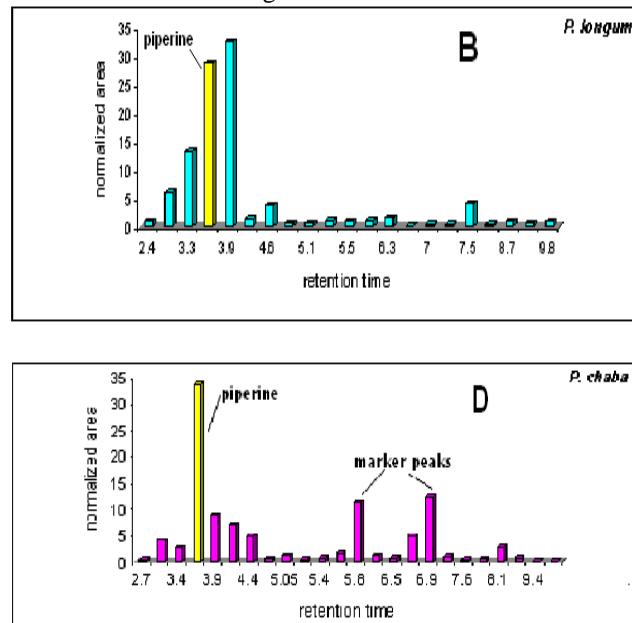
### e) Composite standard curve

The area of corresponding piperine peak and concentration in *P. chaba* were plotted as composite standard curve.

## III. RESULTS

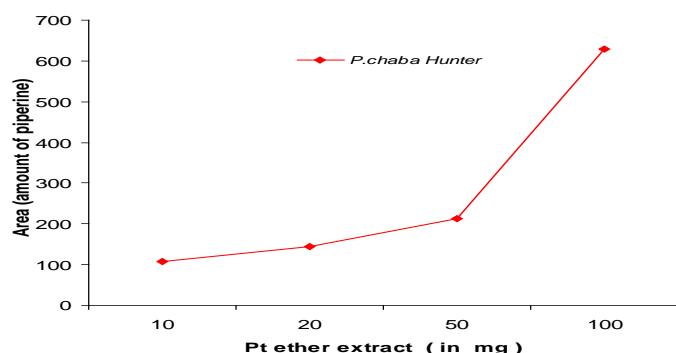
The quantitative evaluation of adjoining elution curves was done by calculating the resolution ( $R = \frac{\Delta t}{w_a + w_b}$ ), where  $\Delta t$  is the difference between peak of interest and preceding peak and  $w_a$  and  $w_b$  are the width of peaks respectively. An easier interpretation of the HPLC tracing, as obtained in this study, was achieved when the peak area was divided by the area of reference peak and the retention time (rt) was plotted against the respective peak area gave histograms as "normalized fingerprints". In the present investigations, attempts have been made to evaluate various extracts and generate some "fingerprints as markers". In *P. chaba* and its adulterants, HPLC chromatograms showed different retention time and peak area, which are characterized as "fingerprints" of *P. chaba* and its adulterants. Similarly, overlay view clearly exhibited different peaks in market samples, and thus, indicative of adulteration. The piperine concentration was also low in market samples as compared to genuine samples, and thus an efficient marker in

identification in quality control of a drug. HPLC chromatograms of extract of *P. longum* and *P. chaba* exhibited piperine at rt 3.642 and others. In *P. chaba*, two peaks at rt 5.85 and 6.98 can safely be used as marker because these peaks are absent in *P. longum* and can easily identify when adulterated with *P. chaba*. So these peaks can safely be referred as "marker peaks" (Fig. 1B and D). Further normalized fingerprints can be used as a tool for identification of the drugs.



**Fig. 1: HPLC Chromatograms and normalized fingerprints of alcoholic extract *P. longum* (B) and *P. chaba* (D).**

HPLC analysis of pet.ether extract of *P. chaba*, exhibited a prominent peak of piperine at rt 3.642 min which was further ascertained by varying the concentration (1, 2, 5 and 10 mg/ml) of the extract. In the assessment of linearity, two calibration curves were plotted in the ranges 1.0 – 5.0 and 5.0-10.0 mg/ml (Fig. 2). Three replicates of each range were analyzed. The assay value of piperine was found to be 3.18%. The correlation coefficients for standard curves were 0.9933 and 0.9997. Standard deviation 8.38% and the coefficient of variation (cv) among the two curves was 5.77%. Validation of analytical method exhibited the cv of analysis less than 6%. The composite linear equations obtained from the regression analysis were  $y = 25210.62 x + 884438$  and  $y = 83410 x - 2042764$ , where  $y$  is the area of I and  $x$  is the amount of the extract injected.



**Fig. 2: The Composite standard calibration curve for quantification of piperine in *P. chaba* by HPLC**

#### IV. DISCUSSIONS

Indian herbal medicines have undoubtedly efficacy but still their market value is comparatively low due to unstable quality and unsuitable approaches for quality assessment. The fingerprinting is referred to as "chemical prints" established by chromatographic and spectroscopic methods for herbal drugs as markers for standardization which is easy to monitor and judge the changes within the constituents. Such chemical fingerprinting can also be used to ensure the efficacy and safety of ISM by controlling to its constituents pattern. Similarly, *Piper chaba* was studied for "HPLC chromatograms for markers in the form of peaks at different retention time (rt). An overlay view of HPLC of *P. chaba* and *P. longum* showed the peaks at rt. 5.85 and 6.98 present in *P. chaba* but were absent in *P. longum* and its market samples, thus, indicatives of adulteration in the market samples. Simultaneously, quantification of piperine in *P. chaba* was also performed for the first time and found to be 3.18% where correlation coefficient for standard curves were 0.9933 and 0.9997 with a standard deviation 8.38% and the coefficient of variation (CV) among the two curves was 5.77%. Earlier, the use of HPLC as a tool for standardization of herbals was performed by few workers (Philipp and Isengard, 1995) but no such HPLC standardization in *Piper* species was carried out so far, and thus, it is the first report of this nature to generate HPLC chromatograms of genuine v/s adulterants.

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# A Study of Prevalence of Malnutrition In Government School Children In The Field Area of Azad Nagar Bangalore, India

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GJSFR Classification - H (FOR)  
111104

**Abstract:** Malnutrition is a problem at varying proportions in developing countries, and anthropometry is a simple tool to assess its magnitude in children. This study was aimed at identifying the prevalence of malnutrition among 500 children of govt schools of Azad Nagar, Bangalore south Asia. The value of using various field based formulae and of various anthropometric indicators used for classification of malnutrition was also studied. The study was focused on children aged 8-14 years studying in class 1<sup>st</sup> to 8<sup>th</sup> in govt schools. Anthropometric data and eating practices of children were collected with the help of a pretested questionnaire and food intake diary. Selected anthropometric measurements were taken using standard techniques. Their Body Mass Index (B.M.I) for age was calculated and compared with WHO (2007) standards. Compared to WHO standards, mean BMI of school children in Azad Nagar and its surrounding area was inferior at all ages. The prevalence of malnutrition was 68%, males recorded a relatively high rate of malnutrition 57.94% (197) than females 42.06% (143). The study reveals that the average of govt school children in Azad Nagar are underweighted. Poor nutrition of children do not only affects the cognitive development of children but also likely to reduce the work capacity in future.

**Key words:** School children, BMI, Undernutrition

## I. INTRODUCTION

In large areas of the world today, malnutrition, especially that affecting young children, is one of the principal public health problem in developing countries. Growing children in particular are most vulnerable to its consequences. The frequency of malnutrition cannot be easily estimated from the prevalence of commonly recognized clinical syndromes, such as Kwashiorkor and Marasmus because these constitute syndromes only, the proverbial tip of iceberg. Cases with mild to moderate malnutrition are likely to remain unrecognized because clinical criteria for their diagnosis are imprecise and are difficult to interpret accurately. Anthropometry can be sensitive indicators of health, growth and development in infants and children. Anthropometry is the single most universally applicable, inexpensive and non-invasive method available to assess the size, proportion and composition of human body (W.H.O, 1995).

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According to W.H.O, the ultimate intention of nutritional assessment is to improve human health ( Beghin et al, 1998). Malnutrition which refers to an impairment of health either from a deficiency or excess or imbalance of nutrients is public health significance among children all over the world. There is no doubt that diet has profound influence on human health, at all ages and affecting all medical subspecialities. Defining and influencing nutritional status is, however very complicated. Adequate food and nutrition are essential for proper growth and physical development to ensure optimal work capacity, normal reproductive performance, adequate immune reactions and resistance to infections. Inadequate diet may produce severe forms of malnutrition in children, Vit A deficiency and Iodine deficiency disorders. World Health Organization (W.H.O, 1995) has recommended various indices based on anthropometry to evaluate the nutritional status of the school aged children. It has now been established that the Body Mass Index (BMI) is the most appropriate variable for nutritional status among adolescents (WHO, 1995; Himes and Boucher, 1989; Must et al, 1991; Roland. Cachera, 1993). Several studies have investigated nutritional status of adolescents from different parts of the world (Kurz, 2006; Cookson et al; Venkainsh et al, 2007; Ahmed et al, 2000). However, there is paucity of anthropometric indices based information on nutritional status of govt school children in Azad Nagar. Moreover, to date there are no studies which have dealt with sex differences in the level of malnutrition among govt school children in Azad Nagar. The present study was attempted to evaluate the overall prevalence of malnutrition, to recommend measures for correction of the nutritional deficit of the vulnerable populations group and to provide a baseline data for future research. In short, the nutritional assessment of a community aim at discovering factors and guiding action intended to improve nutrition and health. This study is carried out to estimate the prevalence of malnutrition among children 8- 14 years and to compare the commonly used anthropometric indicators in terms of their sensitivity and specificity.

## II. MATERIAL AND METHODS

The present study was carried out between January and June 2010. The data were collected from govt higher primary schools of Azad Nagar and its surrounding areas. Necessary approval was obtained from the school authorities prior to the commencement of the research. A total of 500 pupils (382 boys and 118 girls) aged 8-14 years participated in the study. This study was cross sectional in nature and the subjects were selected through random sampling

procedures, aimed at know the prevalence of malnutrition and nutritional status in govt higher primary schools children in the field area of Azad Nagar, and to create awareness among higher primary school children, school teachers and their parents regarding childhood malnutrition, its complications and preventions.

### III. DATA COLLECTION

The data were collected by visiting govt higher primary schools on different visits and a particular day was fixed for the investigation, school staff was requested to collect all students of one or more classes on that fixed day. A semi structured, pre tested questionnaire was administered to each child to collect data on socio-demographic profile ( Age,

Sex, father's and mother education, profession and income). Exact date of birth was verified from the school registers.

### IV. ASSESSMENT OF NUTRITIONAL STATUS BY ANTHROPOOMETRY

Anthropometry is the measurement of the human. It is a quantitative method and is highly sensitive to nutritional status; especially among children. Two basic variables (height and weight) and a single derived variable (Body Mass Index) have been used in the present report. All the anthropometric measurement were taken following the standard techniques recommended by ( Lohmann et al,1998) and body mass index was determined by the CDC table for calculated Body Mass Index.

**Table1.**

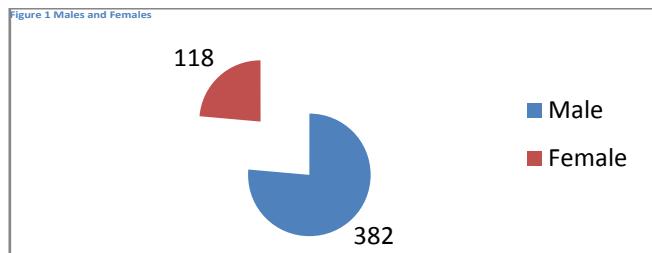
Age (years)	Gender	Height( cms)	Weight( kg) Mean $\pm$ S.D	BMI Mean + S.D	BMI Mean (NHCS/WHO Standard)
(8)	M(n=33) F(n=10)	120 + 3.12 121.40 + 4.65	19.20 + 3.12 20.04 + 3.18	13.26 + 3.78 13.68 + 3.92	15.87 15.85
(9)	M(n=61) F(n=13)	123.12 + 5.33 122.10 + 4.84	21.10 + 4.52 20.90 + 6.12	13.94 + 4.19 14.04 + 3.70	16.68 16.32
(10)	M(n = 57) F(n = 20)	128.90 + 6.40 126.20 + 4.64	23.63 + 4.68 24.25 + 3.74	14.42 + 3.10 15.27 + 2.19	17.20 17.57
(11)	M(n = 50) F(n = 23)	131.12 + 6.44 131.90 + 3.18	26.10 + 4.70 27.04 + 3.13	15.20 + 3.42 15.78 + 2.19	17.84 17.36
(12)	M(n = 73) F(n = 20)	138.16 + 6.12 135.12 + 5.90	28.62 + 4.14 31.20 + 2.17	15.93 + 3.21 17.07 + 2.13	18.58 19.15
(13)	M(n = 58) F( n = 21)	143.10 + 4.12 135.84 + 3.10	32.70 + 4.12 35.84 + 3.10)	15.99 + 3.27 17.04 + 3.54	19.35 19.88
(14)	M(n = 50) F(n = 11)	146.19 + 4.03 145.18 + 3.13	39.10 + 3.62 41.30 + 2.17	18.34 + 3.28 18.80 + 3.97	

### V. REULSTS

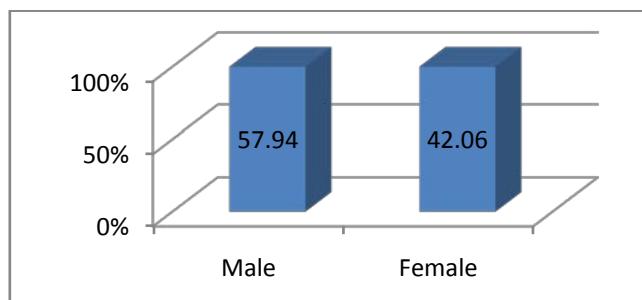
In the study population, the prevalence of malnutrition in govt schools was 68%. The findings were statistically insignificant as validated by Chi square test. In the present study 382 (76.40%) were male and 118 (23.60%) children were female. In this study the prevalence of malnutrition in males and females was 57.94% and 42.06% respectively. Observed difference was not statistically significant as revealed by Chi square test. In the age wise distribution of our study population, total number of children in the age of 8 years were 41(8.20%), in the age of 9 years were 74

(14.80%), in the age of 10 years were 77 (15.40%), in the age of 11 years were 73 (14.60%), in the age of 12 years were 93 (18.60%), in the age of 13 years 79 (15.80%) and in the age of 14 years were 61 (12.20%). Among the study population, 33 (6.60%) male and 10 (2%) female children was of 8 years, 61 (12.20%) male and 13 (2.60%) female of 9 years, 57 (11.40%) male and 20 (4%) female children of 10 years, 50 (10%) male 23 (4.60%) female children of 11 years, 73 (14.60%) male and 20 (4%) female of 12 years, 58 (11.60%) males and 21 (4.20%) female children of 13 years and 50 (10%) male and 11(2.20%) female children were of 14 years. In the distribution of the studied population

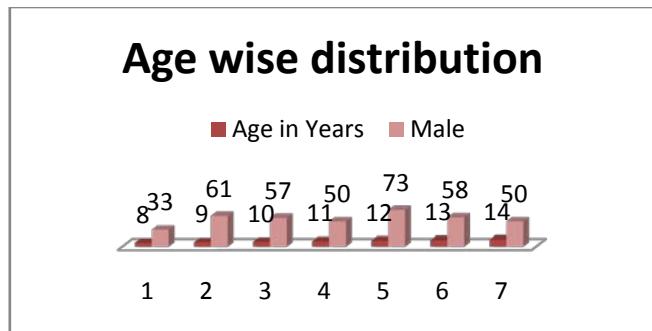
according to their class levels, 127 (25.40%) of class 5<sup>th</sup>, 123 (24.60%) of class 6<sup>th</sup>, 140 (28%) of class 7<sup>th</sup> and 110 (22%) were of class 8<sup>th</sup>. Among the study population, 410 (82%) children were Muslims, 60 (12%) of Hindus and 30 (6%) were Jain, Sikh and belongs to other religions



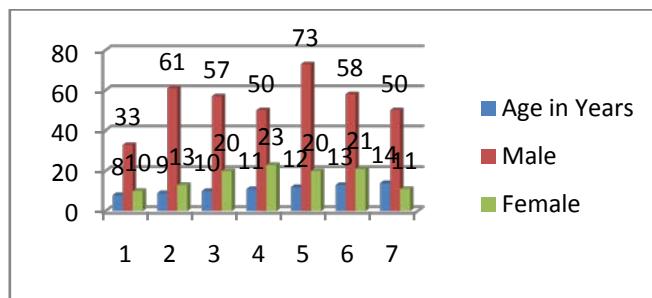
**Males and Females in Study**



**Prevalence of malnutrition in Males and Females**



**Age Wise Distribution of Children**



**Male Female Age Wise Distribution**

Considering sex variation, boys recorded a prevalence rate of 197 (57.94%) while girls recorded a prevalence rate of 143 (42.06%). However, the age groups 10,11 and 12 years recorded high prevalence of undernutrition with 6.83%, 7.00% and 11.66% respectively. There was no significant

difference ( $X^2 = 38.72, P > 0.05$ ) between age and sex in the prevalence of malnutrition. It is observed that both sexes and all ages are undernourished.

## VI. DISCUSSION

The use and interpretation of growth measurements differ significantly according to whether they concern the individual or entire population. The selection and use nutritional status of children in a clinical setup, in emergency situations, such as natural and man-made calamities, and in growth monitoring of children. Deficits in one or more of the anthropometric indicators are regarded as evidence of malnutrition. Each indicator has its own merits and demerits, and each indicator is best suited for a particular situation. Anthropometry, for many years, has been the indicator of choice for use during emergency situations. Indicators such as weight-for-height or height-for-age, allow malnutrition to be classified into stunting and wasting. Stunting is highly prevalent in most developing countries. The worldwide prevalence of stunting varies considerably from 5 % to 65 % in developing countries. The mean height and mean weight of the present study at all ages were found to be much inferior when compared to NCHS (National Centre for Health Statistics, USA) standard which is the reference data recommended by WHO. Mean height and Mean weight of girls is 12-14 years were better than boys of the same age groups. This could be due to the earlier onset of pubertal growth spurt in girls than boys.

The relatively high prevalence of malnutrition observed among govt school children located in Azad Nagar may be due to inadequate dietary intake of food. Alongside, the fact that most of these children are from parents of low socio-economic background mainly laborers, fisherman, auto drivers, farmers and traders, who themselves attended poor schools and live in poor houses where unhygienic living standards, unsafe drinking water, low calories food, and insanitary conditions of the immediate environment prevail. Such environmental factors contribute to the survival of disease agents such as parasites, bacteria and viruses. After being infected by these organisms, these children loose the protein energy, iron and vitamins intake to the benefit of these disease agents which later adversely affect the growth and nutritional status of the individual. One study indicated that malnutrition in middle income or low socio-economic group children aged 6-16 years in Hyderabad was 10-13 %. Children having a body height and weight <-2 SD of the NCHS growth standards were considered as malnourished. The rate of malnutrition observed among boys (57.94%) is distinctively lower than the findings of the IRC (International Rescue Committee) in Kakuma, Kenya where 75% of boys were found malnourished. On the other hand, the malnutrition (42.06%) observed among the girls and (57.94%) among boys demonstrated a higher rate of malnutrition.

## VII. CONCLUSION

Current study show a high prevalence of malnutrition even among govt schools children in Azad Nagar Bangalore.

Malnutrition is a health concern in this group and these are strong indications that micronutrients deficiencies might well exists among these children. However, adequate and recent information is lacking, especially with respect to micronutrient status, and data are only available from a number of small studies from Azad Nagar and its surrounding area that might not be representative for the general population. There is definitely a need for well-planned, large scale studies using standardized methodologies to estimate the prevalence of malnutrition and other micronutrients deficiencies. A comprehensive study including anthropometric data, biochemical data, clinical signs and dietary intake data among the same group of children will give a better insight into situation. In shortly, the present study provides evidence that the average govt school children in Azad Nagar state Karnataka are malnourished. The children studying in govt schools do not realize their full genetic potential for growth and they are considerably malnourished than their counterparts of private schools. The need for more calories, protein and micronutrients like iron and vitamins for the children of govt schools cannot be overemphasized. Giving iron tablets or micronutrient fortification are not a solution of the problem in this situation, but what they need is more food which of good nutritive value. School lunch can be an ideal vehicle to achieve this end. A protective role from the govt and community leaders is the need of the hour.

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# Integral Solutions To Heun's Differential Equation Via Some Rational Transformation

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GJSFR Classification - F (FOR)  
 230107p

**Abstract**-The present work determines the integral form of solutions obtained from the transformation of Heun's equation to hypergeometric equation by rational substitution. All relevant solutions are provided.

AMS Subject Classification: 33CXX

Key Words : Hypergeometric functions, Heun's functions.

## I INTRODUCTION

The hypergeometric equation has three regular singular points. Heun's equation has four singular points. The problem of conversion from Heun's equation to hypergeometric equation has been treated in the works of K. Kuiken [1]. The purpose of this work is to derive some integrated forms of solutions to the Heun's equation via some rational transformation as stated in [1]. The steps taken shall be the conversion of Heun's functions to the hypergeometric functions then taken the integration, and through a push and pull back process we arrive back to a new Heun's functions different from the original Heun's function. Every homogenous linear second order differential equation with four regular singularities can be transformed into[3]

$$\frac{d^2u}{dt^2} + \left( \frac{\gamma}{t} + \frac{\delta}{t-1} + \frac{\epsilon}{t-d} \right) \frac{du}{dt} + \frac{\alpha\beta t - q}{t(t-1)(t-d)} u = 0, \quad (1.1)$$

where  $\{\alpha, \beta, \gamma, \delta, \epsilon, d, q\}$  ( $d \neq 0, 1$ ) are parameters, generally complex and arbitrary, linked by the Fuchsian constraint  $\alpha + \beta + 1 = \gamma + \delta + \epsilon$ . This equation has four regular singular points at  $\{0, 1, a, \infty\}$ , with the exponents of these singularities being respectively,  $\{0, 1 - \gamma\}$ ,  $\{0, 1 - \delta\}$ ,  $\{0, 1 - \epsilon\}$ , and  $\{\alpha, \beta\}$ . The equation (1.1) is called Heun's equation[3]

The hypergeometric equation[3]

$$z(1-z) \frac{d^2y}{dz^2} + [c - (a+b+1)z] \frac{dy}{dz} - aby = 0, \quad (1.2)$$

has three regular singular points. In the works of [1], it has been shown that these two equations above can be transformed to one another via six rational polynomials  $z = R(t)$ , where  $R(t) = t^2, 1 - t^2, (t-1)^2, 2t - t^2, (2t-1)^2, 4t(1-t)$ . The following parameter relations were deduced[1].

For the polynomial  $R(t) = t^2$

- $\alpha + \beta = 2(a+b)$ ,  $\alpha\beta = 4ab$ ,  $\gamma = -1 + 2c$ ,  $\delta = 1 + a + b - c$ ,  $\epsilon = \delta$ ,  $q = 0$  and  $d = -1$  .

For the polynomial  $R(t) = 1 - t^2$

- $\alpha + \beta = 2(a+b)$ ,  $\alpha\beta = 4ab$ ,  $\gamma = 1 - 2c + 2a + 2b$ ,  $\delta = c$ ,  $\epsilon = \delta = c$ ,  $q = 0$  and

For the polynomial  $R(t) = 2t - t^2$

- $\alpha + \beta = 2(a + b)$ ,  $\alpha\beta = 4ab$ ,  $\gamma = c$ ,  $\delta = 1 - 2c + 2a + 2b$ ,  $\epsilon = \delta = c$ ,  $q = 4ab$  and  $d = 2$ .

For the polynomial  $R(t) = (2t - 1)^2$

- $\alpha + \beta = 2(a + b)$ ,  $\alpha\beta = 4ab$ ,  $\gamma = -1 + a + b - c$ ,  $\delta = \gamma$ ,  $\epsilon = \delta = -1 + 2c$ ,  $q = 2ab$  and  $d = 1/2$ .

For the polynomial  $R(t) = 4t(1 - t)$

- $\alpha + \beta = 2(a + b)$ ,  $\alpha\beta = 4ab$ ,  $\gamma = c$ ,  $\delta = \gamma$ ,  $\epsilon = 1 - 2c + 2a + 2b$ ,  $q = 2ab$  and  $d = 1/2$ .

Assuming  $H(d, q; \alpha, \beta, \gamma, \delta, \epsilon; t)$  and  ${}_2F_1(a, b; c; z = R(t))$  are representative forms of the solutions of (1.1) and (1.2) respectively, together with the parameters above relations can be established between these two forms via the polynomials data given above. We provide an answer to this in this paper. In deed, we provide that the integral of the solution of **GHE** can be expressed in terms of another **GHE** solution.

## Main Results

### II Integral solutions

In this section we shall apply the relations above in deriving the integral form of solutions via these polynomial transformations. Let  $\mathcal{I} = \int_C$  be a integral operator defined over a compact interval  $C$ . Since  $(a)_{n-1} = \frac{(a-1)_n}{a-1}$ , we have  $\mathcal{I}_2F_1(a, b; c; z = R(t)) = \frac{R^*(t)(c-1)}{(a-1)(b-1)} {}_2F_1(a-1, b-1; c-1; z = R(t))$ , where  $R^*(t)$  is a polynomial factor derived from the integrand and through a push and pull-back processes we have the following possible solutions;

1. For polynomial  $R(t) = t^2$

(a) Using  $c = (\gamma + 1)/2$ , we obtain

$$\begin{aligned} \mathcal{I}H(-1, 0; \alpha, \beta, \gamma, \delta, \epsilon; t) &= \frac{2(\gamma - 1)t^3}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\gamma - 1}{2}; R(t) = t^2\right)|_C \\ &= \frac{2(\gamma - 1)t^3}{3(\alpha - 2)(\beta - 2)} H(-1, 0; \alpha - 2, \beta - 2, \gamma - 2, \frac{\alpha + \beta - \gamma - 1}{2}, \frac{\alpha + \beta - \gamma - 1}{2}; t))|_C. \end{aligned} \quad (2.1)$$

(b) Using  $c = 1 - \delta + a + b$ , we get

$$\mathcal{I}H(-1, 0; \alpha, \beta, \gamma, \delta, \epsilon; t)$$

$$\begin{aligned}
&= \frac{4(\alpha + \beta - 2\delta)t^3}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \alpha + \beta - 2\delta; R(t) = t^2\right)|_C \\
&= \frac{4(\alpha + \beta - 2\delta)t^3}{3(\alpha - 2)(\beta - 2)} \\
&\quad \times H(-1, 0; \alpha - 2, \beta - 2, 2(\alpha + \beta - 2\delta) - 1, \frac{4\delta - (\alpha + \beta) - 2}{2}, \frac{4\delta - (\alpha + \beta) - 2}{2}; t))|_C.
\end{aligned} \tag{2.2}$$

2. For polynomial  $R(t) = 1 - t^2$

(a) Using  $c = \delta$ , we have

$$\begin{aligned}
&\mathcal{I}H(-1, 0; \alpha, \beta, \gamma, \delta, \epsilon; t) \\
&= \frac{4(\delta - 1)(3t - t^3)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \delta - 1; R(t) = 1 - t^2\right)|_C \\
&= \frac{4(\delta - 1)(3t - t^3)}{3(\alpha - 2)(\beta - 2)} H(-1, 0; \alpha - 2, \beta - 2, \alpha + \beta - 2\delta - 1, \delta - 1, \delta - 1; t))|_C.
\end{aligned} \tag{2.3}$$

(b) Using  $c = \epsilon$ , we have

$$\begin{aligned}
&\mathcal{I}H(-1, 0; \alpha, \beta, \gamma, \delta, \epsilon; t) \\
&= \frac{4(\epsilon - 1)(3t - t^3)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \epsilon - 1; R(t) = 1 - t^2\right)|_C \\
&= \frac{4(\epsilon - 1)(3t - t^3)}{3(\alpha - 2)(\beta - 2)} H(-1, 0; \alpha - 2, \beta - 2, \alpha + \beta - 2\epsilon - 1, \epsilon - 1, \epsilon - 1; t))|_C.
\end{aligned} \tag{2.4}$$

(c) Using  $c = (1 - \gamma + 2a + 2b)/2$ , we arrive at

$$\begin{aligned}
&\mathcal{I}H(-1, 0; \alpha, \beta, \gamma, \delta, \epsilon; t) \\
&= \frac{2(\alpha + \beta - \gamma - 1)(3t - t^3)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\alpha + \beta - \gamma - 1}{2}; R(t) = 1 - t^2\right)|_C \\
&= \frac{2(\alpha + \beta - \gamma - 1)(3t - t^3)}{3(\alpha - 2)(\beta - 2)} \\
&\quad \times H(-1, 0; \alpha - 2, \beta - 2, \gamma - 2, \frac{\alpha + \beta - \gamma - 1}{2}, \frac{\alpha + \beta - \gamma - 1}{2}; t)|_C.
\end{aligned} \tag{2.5}$$

3. For polynomial  $R(t) = 2t - t^2$

(a) Using  $c = (\delta + 1)/2$ , we obtain

$$\begin{aligned}
&\mathcal{I}H(2, \alpha\beta; \alpha, \beta, \gamma, \delta, \epsilon; t) \\
&= \frac{2(\delta - 1)t^2(3 - t^2)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\delta - 1}{2}; R(t) = 2t - t^2\right)|_C \\
&= \frac{2(\delta - 1)t^2(3 - t^2)}{3(\alpha - 2)(\beta - 2)} \\
&\quad \times H(2, (\alpha - 2)(\beta - 2); \alpha - 2, \beta - 2, \frac{\alpha + \beta - \delta - 1}{2}, \delta - 2, \frac{\alpha + \beta - \delta - 1}{2}; t)|_C.
\end{aligned} \tag{2.6}$$

(b) Using  $c = 1 + a + b - \gamma$ , we get

$$\begin{aligned}
 & \mathcal{I}H(2, \alpha\beta, \beta, \alpha, \gamma, \delta, \epsilon; t) \\
 &= \frac{2(\alpha + \beta - 2\gamma)t^2(3 - t^2)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \gamma + 1; R(t) = 2t - t^2\right)|_C \\
 &= \frac{2(\alpha + \beta - 2\gamma)t^2(3 - t^2)}{3(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(2, (\alpha - 2)(\beta - 2); \alpha - 2, \beta - 2, \frac{\gamma - 2}{2}, \alpha + \beta - \gamma - 1, \frac{\gamma - 2}{2}; t))|_C. \tag{2.7}
 \end{aligned}$$

4. For polynomial  $R(t) = (t - 1)^2$

(a) Using  $c = (1 - \delta + 2a + 2b)/2$ , we get

$$\begin{aligned}
 & \mathcal{I}H(2, \alpha\beta, \alpha, \beta, \gamma, \delta, \epsilon; t) \\
 &= \frac{2(\alpha + \beta - \delta - 1)(t - 1)^3}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\alpha + \beta - \delta - 1}{2}; R(t) = (t - 1)^2\right)|_C \\
 &= \frac{2(\alpha + \beta - \delta - 1)(t - 1)^3}{3(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(2, (\alpha - 2)(\beta - 2); \alpha - 2, \beta - 2, \frac{\alpha + \beta - \delta - 1}{2}, \frac{\alpha + \beta - \delta - 1}{2}, \frac{\alpha + \beta - \delta - 1}{2}; t))|_C. \tag{2.8}
 \end{aligned}$$

(b) Using  $c = \gamma$ , we have

$$\begin{aligned}
 & \mathcal{I}H(2, \alpha\beta, \alpha, \beta, \gamma, \delta, \epsilon; t) \\
 &= \frac{2(\gamma - 1)(t - 1)^3}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \gamma - 1; R(t) = (t - 1)^2\right)|_C \\
 &= \frac{2(\gamma - 1)}{(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(2, (\alpha - 2)(\beta - 2); \alpha - 2, \beta - 2, \gamma - 1, \alpha + \beta - 2\gamma - 1, \alpha + \beta - 2\gamma - 1; t))|_C. \tag{2.9}
 \end{aligned}$$

(c) By changing  $\gamma$  to  $\epsilon$  in (2.9), similar relation can be obtained.

5. For polynomial  $R(t) = (2t - 1)^2$

(a) Using  $c = (\epsilon + 1)/2 = (\delta + 1)/2$

$$\begin{aligned}
 & \mathcal{I}H(1/2, \alpha\beta/2; \alpha, \beta, \gamma, \delta, \epsilon; t) \\
 &= \frac{2(\epsilon - 1)(2t - 1)^3}{6(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\epsilon - 1}{2}; R(t) = (2t - 1)^2\right)|_C \\
 &= \frac{2(\epsilon - 1)(2t - 1)^3}{6(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(1/2, \frac{(\alpha - 2)(\beta - 2)}{2}; \alpha - 2, \beta - 2, \frac{\alpha + \beta - \epsilon - 5}{2}, \frac{\alpha + \beta - \epsilon - 5}{2}, \epsilon - 2; t))|_C. \tag{2.10}
 \end{aligned}$$

By changing  $\epsilon$  to  $\delta$  a similar expression can be obtained.

(b) Using  $c = -1 + a + b - \gamma$ , we obtain

$$\begin{aligned}
 & \mathcal{I}H(1/2, \alpha\beta/2; \alpha, \beta, \gamma, \delta, \epsilon; t) \\
 &= \frac{2(\alpha + \beta - 2(\gamma + 2))(2t - 1)^3}{6(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\alpha + \beta - 2\gamma - 4}{2}; R(t) = (2t - 1)^2\right)|_C \\
 &= \frac{2(\alpha + \beta - 2(\gamma + 2))(2t - 1)^3}{6(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(1/2, \frac{(\alpha + 2)(\beta + 2)}{2}; \alpha - 2, \beta - 2, \gamma - 1, \gamma - 1, \alpha + \beta - 2\gamma - 5; t)|_C.
 \end{aligned} \tag{2.11}$$

6. For polynomial  $R(t) = 4t(1 - t)$

(a) Using  $c = \gamma$ , we have

$$\begin{aligned}
 & \mathcal{I}H(1/2, \alpha\beta/2; \beta, \alpha, \gamma, \delta, \epsilon, ; t) \\
 &= \frac{4(\gamma - 1)2t^2(3 - 2t)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \gamma - 1; R(t) = 4t(1 - t)\right)|_C \\
 &= \frac{4(\gamma - 1)2t^2(3 - 2t)}{3(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(1/2, \frac{(\alpha - 2)(\beta - 2)}{2}; \alpha - 2, \beta - 2, \gamma - 1, \gamma - 1, \alpha + \beta - 2\gamma - 1; t)|_C.
 \end{aligned} \tag{2.12}$$

(b)

$$\begin{aligned}
 & \mathcal{I}H(1/2, \alpha\beta/2; \beta, \alpha, \gamma, \delta, \epsilon, ; t) \\
 &= \frac{4(\delta - 1)2t^2(3 - 2t)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \delta - 1; R(t) = 4t(1 - t)\right)|_C \\
 &= \frac{4(\delta - 1)2t^2(3 - 2t)}{3(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(1/2, \frac{(\alpha - 2)(\beta - 2)}{2}; \alpha - 2, \beta - 2, \delta - 1, \delta - 1, \alpha + \beta - 2\delta - 1; t)|_C.
 \end{aligned} \tag{2.13}$$

(c) Using  $c = (1 - \epsilon + 2a + 2b)/2$

$$\begin{aligned}
 & \mathcal{I}H(1/2, \alpha\beta/2; \alpha, \beta, \gamma, \delta, \epsilon, ; t) \\
 &= \frac{2(\alpha + \beta - \epsilon - 1)2t^2(3 - 2t)}{3(\alpha - 2)(\beta - 2)} {}_2F_1\left(\frac{\beta - 2}{2}, \frac{\alpha - 2}{2}; \frac{\alpha + \beta - \epsilon - 1}{2}; R(t) = 4t(1 - t)\right)|_C \\
 &= \frac{2(\alpha + \beta - \epsilon - 1)2t^2(3 - 2t)}{3(\alpha - 2)(\beta - 2)} \\
 &\quad \times H(1/2, \frac{(\beta - 2)(\alpha - 2)}{2}; \alpha - 2, \beta - 2, \frac{\alpha + \beta - \epsilon - 1}{2}, \frac{\alpha + \beta - \epsilon - 1}{2}, \epsilon - 2; t)|_C.
 \end{aligned} \tag{2.14}$$

### III. CONCLUDING REMARKS AND SUGGESTIONS

In this paper, we have shown that the parameter relations obtained in the works of K. Kuiken[1] leads to some integral forms of solutions to the general Heun's equation. The multiple choice of close form solutions arises from the parameter relations. For example, consider the quadratic equation arising from the relations  $+ = 2(a+b)$  and  $= 4ab$  leads to the parameter choice  $a = /2$  and  $b = /2$  or  $a = /2$  and  $b = /2$ . The first leads to all the relations above while the later repeats all the relations described above by changing to . This method has been extended in the works of [2] to the work of Robert Maier [11] pp 15.

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# A Survey on Theoretical and Applied Mathematics under the Efficiency

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{ GJSFR Classification - F (FOR)  
010299 }

**Abstract-**This research paper is focused on the common concepts of the efficiency and set-valued map. After a short introduction, we propose some questions regarding the notion of efficiency and we emphasize the Pareto optimality as one of the first finite dimensional illustrative examples. We present the efficiency and the multifunctions in the infinite dimensional ordered vector spaces following also our recent results concerning the most general concept of approximate efficiency, as a natural generalization of the efficiency, with implications and applications in vector optimization and the new links between the approximate efficiency, the strong optimization - by the full nuclear cones - and Choquet's boundaries by an important coincidence result. In this way, the efficiency is strong related to the multifunctions and Potential theory through the agency of optimization and conversely. Significant examples of Isac's cones and several pertinent references conclude this study.

Mathematics Subject Classification (2000): 91 – 02, 90 C 48.

**Keywords :** Efficiency, Multifunction, Pareto optimality, Optimization, Approximate Efficiency, Isac's (nuclear or supernormal) Cone,Choquet boundary.

## I. INTRODUCTION.

Throughout in our Life, the Efficiency was, it is and it will remain essential for the Existence and not only. Its mathematical models were unanimously accepted in various knowledge fields. Also, we propose it as a New Frontier in Mathematical Physics and Engineering in the World context of priorities concerning the Alternative Energies, the Climate Exchange and the Education. To it we dedicate our modest scientific contribution. The content of this research work is organized as follows: Section 2 is dedicated to some useful matters on the efficiency. We briefly present in Section 3 the Pareto Optimality as one of the main starting points for the mathematical modelling of the Economical Efficiency. In Section 4, conceived as a concise Survey, we present the most general notion of Approximate Efficiency and its particular case of the usual Efficiency, with the immediate connections to Multifunctions, in the Infinite Dimensional Ordered Vector Spaces, completed by the coincidence between Choquet's Boundaries and the Approximate Efficient Points Sets in Ordered Hausdorff Locally Convex Spaces, this conclusion being based on the first result established by us concerning such a property as this for Pareto type efficient points sets and the

corresponding Choquet boundaries. Our results represent strong relationships between important Great Fields of Mathematics and the Human Knowledge: Vector and Strong Optimization, Set-valued Maps, the Axiomatic Theory of Potential together with its Applications and the Human Efficiency. Finally, we indicate the selected bibliography which refers only to the papers which were used. Sections 2, 3 and a part of Section 4 are presented following [74]

## II. SOME SELECTED QUESTIONS ON THE EFFICIENCY.

First of all, we present a short survey on the efficiency. The current language defines the efficiency as "the ability to produce the desired effect in dealing with any problem". In the actual world characterized by: globalization which has generated efficiency gains, liberalisation, individualization, informatization, informalization ([78] and so on), the efficiency is perceived as follows: "working well, quickly and without waste". But, our life has its Divine efficiency and the projection in the reality deals with a lot of kind of descriptions: information efficiency, energy efficiency, eco - efficiency (see, as recent references, [42], [43], [50] and so on), home energy efficiency, water efficiency market enhancement programs, sports and efficiency, economic efficiency (agricultural and industrial efficiency, human efficiency in business, efficiency in financial and betting markets, efficiency in capital asset pricing models, etc.), efficiency in Mathematics (efficient algorithms in computational complexity, efficiency frontier in data envelopment analysis, statistics and so on, efficiency in multi - objective, stochastic and goal programming, efficiency in mathematical economics, etc.), efficiency in medicine, technical efficiency, etc., all of them being based on the fundamental question: "whose valuations do we use, and how shall they be weighted ?" [28]. Thus, the economic efficiency is characterized by the "optimal" relationships between the value of the ends (the physical outputs) and the value of the means (the physical inputs), both of them "measured" by the money. Hence, the monetary evaluations are essential for the economic efficiency which usually is associated with the sustainability defined as "development that meets the needs of the present without compromising the ability of future generations to meet their own needs" to achieve a good society ([11], p.43). However, the goals of efficiency and sustainability might not be enough to ensure a positive social development because the economic efficiency does not include any component of it (see, for example, the distribution of goods) ([54], p.13) and the sustainability was not very clear defined [77]. In terms of the technical efficiency, a machine is considered to be useful

or “more efficient than another” when it generates “more work output per unit of energy input”. But, it is not possible to speak about complete efficiency in any process since we have not developed yet workable procedures concerning the corresponding evaluations (see, for instance, the urban automobile traffic which engages different people from many points of view; in general, the transport systems are highly complex with different goals often conflict). In fact, in all the processes, we try to approximate the real efficiency by some kinds of fuzzy or relative efficiency in order to obtain several controls on it, taking into account its complexity. For example, one of the main purposes of the data envelopment analysis is to “to measure” the relative efficiency and the comparison of decision making units by the estimation of “the distance” between the evaluated real units and the virtual units (see, for instance, [42]). Another strong argument and a significant example in this direction is represented by the permanent measure and the continuous supervision of the interest rate risk to obtain the optimal efficiency balance in the management of banks’ assets and liabilities (see, for example, the pertinent asset liability management model together with the simulation analysis given in [43]). Generally speaking, from the decisional point of view, the main steps to obtain the efficiency are the following:

**2.1.** Wording of the problems by the managerial staff in an adequate language for mathematicians and computer scientists because the “bilateral” dialogue is absolutely necessary to solve the programs through the agency of such as these cooperations.

**2.2.** The elaboration of the appropriate mathematical models and the corresponding numerical processings.

**2.3.** The selection, by a serious study, of the best multicriteria decisions.

**2.4.** The application of them.

**2.5.** The evaluation of the efficiency.

We must remark that the multicriteria decision aid ([20], [78]) and the decision making under uncertainty viewed as an important area of decision making and recognized as “the fact that in certain situations a person does not have the information which quantitatively and qualitatively is appropriate to describe, prescribe or predict deterministically and numerically a system, its behaviour or other characteristics”, that is, “it relates to a state of the human mind” characterized by the “lack of complete knowledge about something” [89] represents the real risk for the efficiency which, in our opinion, generates it. Following [89], we remember that the term of “risk” was initially applied for “the situations in which the probabilities of outcomes were objectively known”. In accordance with [22] and [79] now this concept “means a possibility of something bad happening” and the uncertainty “is applied to the problems in which real alternatives with several possible outcomes exist”.

Another important matter is the efficacy defined as “the quality of being efficacious” in the sense of “producing the desired effects or results”. In our opinion, this means to be efficient step by step, that is, a discrete efficiency with appropriate links between the stages

### III. PARETO OPTIMALITY: AN ILLUSTRATIVE EXAMPLE OF EFFICIENCY.

Not even for the market economies there exists no an universal mathematical model. Pareto efficiency or Pareto optimality, the term being named for an Italian economist Vifredo Pareto [56], is a central theory in economics with broad applications in game theory, engineering and the social sciences. Pareto efficiency is important because it provides a weak but widely accepted standard for comparing the economic outcomes. It’s a weak standard since there may be many efficient situations and Pareto’s test doesn’t tell us how to choose between them. Any policy or action that makes at least one person better off without hurting anyone is called a Pareto improvement. From the Mathematics point of view, the Pareto efficiency represents the actual finite dimensional part of the multiobjective programming in vector optimization. Thus, whenever a feasible deviation from a genuine solution  $S$  of an arbitrary multiobjective programme generates the improvement of at least one of the objectives while some other objectives degrade, any such a solution  $S$  as this is called efficient or nondominated. A system in economics and in politics is called Pareto efficient whenever “no individual can be made better off without another being made worse off” that is, a social state is economically efficient, or Pareto optimal, provided that “no person in society can become better off without anyone else becoming worse off”. This characteristic of Pareto type efficiency has been pointed out in [1] - [3], [19], [25], [56], [82] and the others. In terms of the alternative allocations this means that given a set of alternative allocations and a set of individuals, any movement from one alternative allocation to another that can make at least one individual better off, without making any other individual worse off is called a Pareto improvement or a Pareto optimization. An allocation of resources is named Pareto efficient or Pareto optimal whenever no further Pareto improvements can be made. If the allocation is strictly preferred by one person and no other allocation would be as good for everyone, then it is called strongly Pareto optimal. A weakly Pareto optimal allocation is one where any feasible reallocation would be strictly preferred by all agents [89]. Consequently, Pareto type efficiency is an important approximate criterion for evaluating the economic systems and the political policies, with minimum assumptions on the interpersonal comparability. We said “approximate criterion” because it asks “the ideal” which may not reflect, for example, the workings of real economics, thanks to the following restrictive assumptions necessary for the existence of Pareto efficient outcomes: the markets exist for all possible goods, being perfectly competitive and the transaction

costs are negligible. In the political policies, not every Pareto efficient outcome is regarded as desirable (see, for instance, the strategies based on the unilateral benefits). For these reasons, Pareto optimality was accepted with some or much uncertainty and controversy, but, by the Arrow's renowned impossibility theorem given in 1951 according to which "no social preference ordering based on individual orderings only could satisfy a small set of very reasonable conditions" - the Pareto criterion being one of them, it remains "plausible and uncontroversial" [78]. Usually, the concept of efficiency replaces the notion of optimality in multiple criteria optimization because whenever the solutions of a multiple – objectives program exist in Pareto's sense, they cannot be improved following the ordering induced by the cone

#### IV APPROXIMATE EFFICIENCY, EFFICIENCY AND MULTIFUNCTIONS IN INFINITE DIMENSIONAL ORDERED VECTOR SPACES WITH RECENT CONNECTED RESULTS

Seemingly, the concept of efficiency is equivalent to the optimality, as we can see from the next abstract construction. In reality, the optimality represents a particular case of the efficiency, that is, "the best approximation" of all the efficient points. Let  $X$  be a real or complex ordered vector space, let  $K$  be the class of all convex cones defined on  $X$  and let  $A$  be an arbitrary, non-empty subset of  $X$  with respect to an arbitrary  $K \in K$  is in the following relation with the "vectorial" minimization or maximization

$$Eff(A) \supseteq \bigcup_{K \in K} MIN_K(A) \cup \bigcup_{K \in K} MAX_K(A).$$

Clearly, any vector optimization program (which has its origin in the usual ordered Euclidean spaces programs thanks to Pareto optimality of vector-valued real functions) includes the corresponding strong optimization program and allows to be described as one of the next optimization problems. Moreover, it is possible to replace the convex cone  $K$  by any other convenient, non-empty subset of  $X$ :

$$(P_{T,K}) : MIN_K f(T) \text{ or } (P_{T,K}) : MAX_K f(T)$$

where  $K \in K$ ,  $T$  is a given non-empty set and  $f : T \rightarrow X$  is an appropriate application. If one denotes by  $S_f(T, K)$  the corresponding set of solutions, then the announced equivalence seems to be justified by the following relation:

$$\bigcup_{\emptyset \neq A \subseteq X} Eff(A) = \bigcup_{\substack{T \neq \emptyset \\ K \in K, f: T \rightarrow X}} S_f(T, K)$$

but, in reality, only the next inclusion is valid :

$$\bigcup_{\emptyset \neq A \subseteq X} Eff(A) \supseteq \bigcup_{\substack{T \neq \emptyset \\ K \in K, f: T \rightarrow X}} S_f(T, K)$$

thanks to the refinement of the notion of efficiency which can not be totally described for the moment by mathematical means, that is, the final agreement between "the dictionary's concept of efficiency" and "the corresponding notion in mathematics" was not signed yet.

Now, let  $X$  be a non-empty set, let  $E$  be a vector space ordered by a convex, pointed cone  $K$  and let  $f : X \rightarrow E$  be a function. We consider the next Vector Optimization Problem:

$$(P) \quad \left\{ \begin{array}{l} \min f(x) \\ x \in X \end{array} \right.,$$

To solve it means to identify all the efficient points  $x_0 \in X$  in the sense that

$f(X) \cap [f(x_0) - K] = \{f(x_0)\}$ . In all these cases,  $x_0$  is *an efficient solution* and  $f(x_0)$  is called *a nondominated point* of the program  $(P)$  for Multicriteria Optimization Programs in Finite Dimensional Vector Spaces. If one replaces  $K$  by  $K \setminus \{0\}$  or  $\text{int}(K)$ , then  $x_0$  is called strictly( weakly) efficient for  $X$ , respectively, and  $f(x_0)$  is named strictly (weakly) nondominated. Consequently, whenever  $E$  is an usual ordered Euclidean space, the above concepts for  $x_0$  means *Pareto optimal*, *strictly Pareto optimal* and *weakly Pareto optimal solution*, respectively, with the corresponding nondominated concepts for  $f$  (see, for a recent instance, [21]). So, concerning the practical vector - optimization problems, it is important to know when the set of all efficient points is non-empty, to establish its main properties (existence, domination, connectedness, compactness, density in varied topologies, etc.) and to extend the concepts together with the results to multicriteria optimization in infinite dimensional ordered vector spaces. The proper efficiency introduced in [47] and developed in [5] – [7], [9], [10], [18], [24], [26], [27], [37], [40], [53], etc. appears as a refined case of the efficiency, that is, the set of all properly efficient solutions and the set of all positive proper efficient solutions of problem  $(P)$  are subsets of the set containing all the efficient points and the study of them has been proposed in order to eliminate some “undesirable” efficient solutions. We recall that  $x_o \in X$  is *a properly efficient solution* of  $(P)$  if it is *an efficient point* and  $\text{cl}[\text{cone}(f(X) + K - \{f(x_o)\})] \cap K = \{0\}$ ;  $x_o$  is a positive proper efficient solution of  $(P)$  if there exists a linear continuous functional  $\varphi$  on  $E$  such that  $\varphi(k) > 0$  for every  $k \in K$  and  $\varphi[f(x_o)] \leq \varphi[f(x)]$  for all  $x \in X$ . This section deals with a new generalization of the efficiency, named by us the approximate efficiency, in ordered Hausdorff locally convex spaces. All the elements concerning the ordered topological vector spaces used here are in accordance with [57].

Let  $E$  be a vector space ordered by a convex cone  $K, K_1$  a non-void subset of  $K$  and  $A$  a non-empty subset of  $E$ . The following definition introduces a new concept of approximate efficiency which generalizes the well known notion of Pareto efficiency.

**Definition 1.** [73]. *We say that  $a_0 \in A$  is a  $K_1$  - Pareto (minimal) efficient point of  $A$ , in notation,  $a_0 \in \text{eff}(A, K, K_1)$  (or  $a_0 \in \text{MIN}_{K+K_1}(A)$ ) if it satisfies one of the following equivalent conditions:*

$$(i) \quad A \cap (a_0 - K - K_1) \subseteq a_0 + K + K_1;$$

$$(ii) \quad (K + K_1) \cap (a_0 - A) \subseteq -K - K_1;$$

In a similar manner one defines the Pareto (maximal) efficient points by replacing  $K + K_1$  with  $-(K + K_1)$ . Clearly,

$$A \cap (a_0 - K) \subseteq a_0 + K_1 \Rightarrow A \cap (a_0 - K - K_1) \subseteq a_0 + K + K_1 \Rightarrow A \cap (a_0 - K_1) \subseteq a_0 + K,$$

which suggests other concepts for the approximate efficiency in ordered linear spaces.

**Remark 1.** The notion of *approximate efficiency* in the sense of the above definition is the most general notion of approximate efficiency introduced until now. We also remark that  $a_0 \in \text{eff}(A, K, K_1)$  iff it is a *fixed point* for the *multifunction*  $F : A \rightarrow A$  defined by

$F(t) = \{a \in A : A \cap (a - K - K_1) \subseteq t + K + K_1\}$ . Consequently, for the existence of the Pareto type efficient points we can apply appropriate fixed points theorems for multifunctions (see, for instance, [13], [55], [88] and any other proper scientific papers) and we need the next usual continuity properties of multifunctions. Namely, if  $X$  and  $Y$  are two topological spaces and  $f : X \rightarrow 2^Y$  is a set-valued map, then:  $f$  is *upper semicontinuous (usc)* if for any  $x \in X$  and any open set  $D \supseteq f(x)$  we have  $D \supseteq f(x_1)$  for all  $x_1$  in some neighbourhood  $V(x)$  of  $x$ . The multifunction  $f$  is *lower semicontinuous (lsc)* if for any  $x \in X$  and every open set  $D$  with  $f(x) \cap D \neq \emptyset$  it follows that  $f(x_1) \cap D \neq \emptyset$  for all  $x_1$  from some neighbourhood  $V(x)$  of  $x$ . The map  $f$  is *continuous* iff it is both usc and lsc. Whenever the graph of  $f$  defined by  $\text{gr}(f) = \{(x, y) \in X \times Y : y \in f(x)\}$  is a *closed (open)* set of  $X \times Y$  one says that  $f$  has a *closed (open)* graph. Any multifunction having a closed graph is also called *closed*. If  $f(x)$  is a closed (compact) subset of  $Y$  for any  $x \in X$ , then  $f$  is a *closed-valued (compact-valued)* map. The set-valued map  $f$  is called *compact* if

$im(f) = f(X) = \bigcup_{x \in X} f(x)$  is contained in a compact subset of  $Y$ . A topological space is *acyclic* if all of its reduced Čech homology groups over rationals vanish (see for example, the contractible spaces; in particular, the convex sets and the star-shaped sets are acyclic). The multifunction  $f$  is *acyclic* if it is usc and  $f(x)$  is non-empty, compact and acyclic for all  $x \in X$ . The next theorem is useful to establish the existence of the (approximate) efficient points taking into account the above mentioned connection with the fixed points of multifunctions.

**Theorem 1. [55].** *If  $A$  is any non-empty convex subset of an arbitrary Hausdorff separated locally convex space and  $F: A \rightarrow 2^A$  a compact acyclic multifunction, then  $F$  has a fixed point, that is, there exists  $a_0 \in A$  such that  $a_0 \in F(a_0)$ .*

**Remark 2.** In [52] it was proved that whenever  $K_1 \subset K \setminus \{0\}$ , the existence of this new type of efficient points for bounded from below sets characterizes the semi-Archimedean ordered vector spaces and the regular ordered locally convex spaces.

**Remark 3.** When  $K$  is pointed, that is,  $K \cap (-K) = \{0\}$ , then  $a_0 \in eff(A, K, K_1)$  means that  $A \cap (a_0 - K - K_1) = \emptyset$  or, equivalently,  $(K + K_1) \cap (a_0 - A) = \emptyset$  for  $0 \notin K_1$  and  $A \cap (a_0 - K - K_1) = \{a_0\}$ , respectively, if  $0 \in K_1$ . Whenever  $K_1 = \{0\}$ , from Definition 1 one obtains the usual concept of *efficient (Pareto minimal, optimal or admissible) point*:  $a_0 \in eff(A, K)$  (or  $a_0 \in MIN_K(A)$ ) if it fulfills (i), (ii) or any of the next equivalent properties:

$$(iii) \quad (A + K) \cap (a_0 - K) \subseteq a_0 + K;$$

$$(iv) \quad K \cap (a_0 - A - K) \subseteq -K$$

These relations show that  $a_0$  is a *fixed point* for at least one of the following

*multifunctions:*

$$F_1: A \rightarrow A, F_1(t) = \{\alpha \in A : A \cap (\alpha - K) \subseteq t + K\},$$

$$F_2: A \rightarrow A, F_2(t) = \{\alpha \in A : A \cap (t - K) \subseteq \alpha + K\},$$

$$F_3: A \rightarrow A, F_3(t) = \{\alpha \in A : (A + K) \cap (\alpha - K) \subseteq t + K\},$$

$$F_4: A \rightarrow A, F_4(t) = \{\alpha \in A : (A + K) \cap (t - K) \subseteq \alpha + K\},$$

that is,  $a_0 \in F_i(a_0)$  for some  $i = \overline{1,4}$ . If, in addition,  $K$  is pointed, then  $a_0 \in A$  is an efficient point of  $A$  with respect to  $K$  if and only if one of the following equivalent relations holds:

- (v)  $A \cap (a_0 - K) = \{a_0\}$ ;
- (vi)  $A \cap (a_0 - K \setminus \{0\}) = \emptyset$ ;
- (vii)  $K \cap (a_0 - A) = \{0\}$ ;
- (viii)  $(K \setminus \{0\}) \cap (a_0 - A) = \emptyset$ ;
- (ix)  $(A + K) \cap (a_0 - K \setminus \{0\}) = \emptyset$ .

and we notice that  $eff(A, K) = \bigcap_{\{0\} \neq K_2 \subseteq K} eff(A, K, K_2)$ . Moreover,  $a_0 \in eff(A, K)$  iff it is a *critical (equilibrium) point* ([33], [34], [69], [72]) for the *generalized dynamical system*  $\Gamma : A \rightarrow 2^A$  defined by  $\Gamma(a) = A \cap (a - K)$ ,  $a \in A$ . Thus,  $eff(A, K)$  describes the moments of equilibrium for  $\Gamma$  and *the ideal equilibria* are contained in this set. In particular, this kind of critical points generates the special class of critical boundaries for dynamical systems

represented by Pareto type boundaries. Taking  $K_1 = \{\varepsilon\}$  ( $\varepsilon \in K \setminus \{0\}$ ), it follows that

$a_0 \in eff(A, K, K_1)$  iff  $A \cap (a_0 - \varepsilon - K) = \emptyset$ . In all these cases, the set  $eff(A, K, K_1)$  is

denoted by  $\varepsilon - eff(A, K)$  and it is obvious that  $eff(A, K) = \bigcap_{\varepsilon \in K \setminus \{0\}} [\varepsilon - eff(A, K)]$ .

Concerning existence results on the efficient points and significant properties for the efficient points sets we refer the reader to [12], [33] – [39], [48], [49], [52], [53], [61] – [72], [81], [85], [86] for a survey. The following theorem offers the first immediate connection between the strong optimization and this kind of approximate efficiency, in the environment of the ordered vector spaces.

**Theorem 2.** [41]. *If we denote by  $S(A, K, K_1) = \{a_1 \in A : A \subseteq a_1 + K + K_1\}$  and*

*$S(A, K, K_1) \neq \emptyset$ , then  $S(A, K, K_1) = eff(A, K, K_1)$ .*

**Remark 4.** We shall denote by  $S(A, K)$  the set  $S(A, K, \{0\})$ . If  $S(A, K, K_1) \neq \emptyset$ , then

$K + K_1 = K$ , hence  $eff(A, K, K_1) = eff(A, K)$ . Indeed, let  $a \in S(A, K, K_1)$ . Then,

$a \in a + K + K_1$  which implies that  $0 \in K + K_1$ . Therefore,  $K \subseteq K_1 + K + K = K_1 + K \subseteq K$ .

The above theorem shows that, for any non-empty subset of an arbitrary vector space, the set of all strong minimal elements with respect to any convex cone through the agency of every non-noid subset of it coincides with the corresponding set of the efficient points, whenever there exists at least a strong minimal element. Obviously, the result remains valid for the strong maximal elements and the corresponding efficient points, respectively.

Using this conclusion and the abstract construction given in [59] and [66] for the splines in the H-locally convex spaces introduced in [75] as separated locally convex spaces

$(X, P = \{p_\alpha : \alpha \in I\})$  with any semi-norm  $p_\alpha$  ( $\alpha \in I$ ) satisfying the parallelogram law:

$p_\alpha^2(x + y) + p_\alpha^2(x - y) = 2[p_\alpha^2(x) + p_\alpha^2(y)]$  whenever  $x, y \in X$ , linear topological spaces also studied in [45], it follows that the only best simultaneous and vectorial approximation for each element in the direct sum of any (closed) linear subspace and its orthogonal, with respect to any linear (continuous) operator between two arbitrary H-locally convex spaces, is its spline function. We also note that it is possible to have  $S(A, K, K_1) = \emptyset$  and

$eff(A, K, K_1) = A$ . Thus, for example, if one considers  $X = R^n$  ( $n \in N, n \geq 2$ ) endowed with the separated H-locally convex topology generated by the semi-norms  $p_i : X \rightarrow R_+$ ,

$p_i(x) = |x_i|, \forall x = (x_i) \in X, i = \overline{1, n}, K = R_+^n, K_1 = \{(0, \dots, 0)\}$  and for each real number

we define  $A_c = \left\{ (x_i) \in X : \sum_{i=1}^n x_i = c \right\}$ , then it is clear that  $S(A_c, K, K_1)$  is empty and

$eff(A_c, K, K_1) = A_c$ .

At the same time, in the usual real linear space of all sequences, ordered by the convex cone  $K = \{(x_n) : n \in N^*, n \geq 2, x_n \geq 0, \forall n \geq 2\}$ , for  $A = \{(x_{n\alpha}) : n \in N^*, n \geq 2, \alpha > 0\}$  with  $x_{n\alpha} = (n-1)^{-\alpha} - n^{-\alpha}, n \in N^*, n \geq 2, \alpha > 0$  and  $K_1 = \{(0, 0, \dots)\}$  we have

$$Eff(A, K, K_1) = S(A, K, K_1) = \emptyset.$$

In all our further considerations we suppose that  $X$  is a Hausdorff Locally Convex Space having the topology induced by a family  $P = \{p_\alpha : \alpha \in I\}$  of semi-norms, ordered by a convex cone  $K$  and its topological dual space  $X^*$ . In this framework, the next theorem contains a significant criterion for the existence of the approximate efficient points, in

\* \* \* \*

defined by  $K = \{x \in X : x(x) \geq 0, \forall x \in K\}$  and its attached polar cone is  $K^0 = -K^*$ . The cone  $K$  is called *Isac's cone (supernormal or nuclear in [33], [34])* if for every seminorm  $p_a \in P$  there exists  $f_a \in X^*$  such that  $p_a(k) \leq f_a(k)$  for all  $k \in K$ . Moreover, if  $\varphi : P \rightarrow K^*$  is a function, then the convex cone

$K_\varphi = \{x \in X : p_a(x) \leq \varphi(p_a)(x), \forall p_a \in P\}$  is the *full nuclear cone* associated to  $K$ ,  $P$  and  $\varphi$  [39]. A characterization of the supernormality by the full nuclearity is given in the next Remark 7.

**Theorem 3. [41].** *If  $A$  is any non-empty subset of  $X$  and  $K_1$  is every non-void subset of  $K$ , then  $a_0 \in \text{eff}(A, K, K_1)$  whenever for each  $p_a \in P$  and  $\eta \in (0, 1)$  there exists  $x^*$  in the polar cone  $K^0$  of  $K$  such that  $p_a(a_0 - a) \leq x^*(a_0 - a) + \eta, \forall a \in A$ .*

**Remark 5.** The above theorem represents an immediate extension of Proposition 1.2 in [76]. Generally, the converse of this theorem is not valid at least in partially ordered separated locally convex spaces as we can see from the example considered in Remark 4. Indeed, if one assumes the contrary in the corresponding mathematical background then, taking  $\eta = \frac{1}{4}$ , it follows that for each  $\lambda_0 \in [0, 1]$ , there exists  $c_1, c_2 \leq 0$  such that

$$|\lambda_0 - \lambda| \leq (c_1 - c_2)(\lambda_0 - \lambda) + \frac{1}{4}, \forall \lambda \in [0, 1]. \text{ Taking } \lambda_0 = \frac{1}{4} \text{ one obtains}$$

$$|1 - 4\lambda| \leq (c_1 - c_2)(1 - 4\lambda) + 1, \forall \lambda \in [0, 1] \text{ which for } \lambda = 0 \text{ implies that } c_2 \leq c_1 \text{ and for } \lambda = \frac{1}{2}$$

leads to  $c_1 \leq c_2$ , that is,  $|1 - 4\lambda| \leq 1, \forall \lambda \in [0, 1]$ , a contradiction.

**Remark 6.** If  $a_0 \in A$  and for every  $p_a \in P$ ,  $\eta \in (0, 1)$  there exists  $x^* \in K^0$  such that  $p_a(a_0 - a) \leq x^*(a_0 - a) + \eta, \forall a \in A$ , then  $K \cap (a_0 - A) = \{0\}$  even if  $K$  is not pointed. Indeed, if  $x \in K \cap (a_0 - A)$ , then  $a_0 - x \in A$  and for each  $p_a \in P$  and  $\eta \in (0, 1)$  there exists  $x^* \in K^0$  with  $p_a(x) = p_a(a_0 - (a_0 - x)) \leq x^*(x) + \eta \leq \eta$ . Because  $\eta$  is arbitrarily chosen in  $(0, 1)$ , we obtain  $p_a(x) = 0$  and since  $X$  is separated it follows that  $x = 0$ . If  $0 \in K + K_1$ , then  $K + K_1 = K$  and  $0 \notin K + K_1$  implies that  $(K + K_1) \cap (a_0 - A) = \emptyset$ . Consequently,  $a_0 \in \text{eff}(A, K, K_1)$  in both cases and in this way we indicated also another proof of the theorem. The beginning and the considerations in Section 4 of [39] suggested us to consider for each function  $\varphi : P \rightarrow K^* \setminus \{0\}$  the full nuclear cone

$K_\varphi = \{x \in X : p_\alpha(x) \leq \varphi(p_\alpha)(x), \forall p_\alpha \in P\}$  in order to give the next generalization of Theorem 7 indicated in [38].

**Theorem 4. [41].** *If  $0 \in K_1$  and there exists  $\varphi : P \rightarrow K^* \setminus \{0\}$  with  $K \subseteq K_\varphi$ , then*

$$eff(A, K, K_1) = \bigcup_{\substack{a \in A \\ \varphi : P \rightarrow K^* \setminus \{0\}}} S(A \cap (a - K - K_1), K_\varphi)$$

for any non-empty subset  $K_1$  of  $K$ .

**Remark 7.** If  $0 \notin K_1$ , then  $a_0 \in eff(A, K, K_1)$  implies that  $A \cap (a_0 - K - K_1) = \emptyset$ . Therefore, it is not possible to have  $a_0 \in S(\emptyset, K_\varphi)$ . In case of  $0 \in K_1$ , then  $eff(A, K, K_1) = eff(A, K)$  and  $a_0 \in eff(A, K)$  iff  $A \cap (a_0 - K) = \{a_0\}$ , so in the right member of the first proved inclusion it can be selected any convex cone, not necessary  $K_\varphi$ . The hypothesis  $K \subseteq K_\varphi$  imposed upon the convex cone  $K$  is automatically satisfied whenever  $K$  is an *Isac's (nuclear or supernormal)* cone ([33] – [37], [39]) and it was used only to prove the inclusion

$$eff(A, K, K_1) \subseteq \bigcup_{\substack{a \in A, \varphi : P \rightarrow K^* \setminus \{0\}}} S(A \cap (a - K - K_1), K_\varphi).$$

Moreover,  $K$  is an Isac's cone if and only if there exists  $\varphi : P \rightarrow K^* \setminus \{0\}$  such that  $K \subseteq K_\varphi$ . Indeed, Lemma 5 of [39] ensures the necessity of the above inclusion condition.

Conversely, since for every seminorm  $p_\alpha \in P$  there exists  $\varphi(p_\alpha) \in K^* \setminus \{0\}$  and for any  $x \in K \subseteq K_\varphi$  it follows that  $p_\alpha(x) \leq \varphi(p_\alpha)(x)$ , we conclude the nuclearity of  $K$ . When  $K$  is an arbitrary pointed convex cone,  $A$  is a non-empty subset of  $X$  and  $a_0 \in eff(A, K)$ , then, by virtue of (v) in Remark 3, we have  $A \cap (a_0 - K) = \{a_0\}$ , that is,

$A \cap (a_0 - K) - a_0 = \{0\} \subset K_\varphi$ . Hence,  $a_0 \in S(A \cap (a_0 - K), K_\varphi)$  for every mapping  $\varphi : P \rightarrow K^* \setminus \{0\}$  and the next corollary is valid.

**Corollary 4.1.** *For every non-empty subset  $A$  of any Hausdorff locally convex space ordered by an arbitrary, pointed convex cone  $K$  with its dual cone  $K^*$  we have*

$$eff(A, K) = \bigcup_{\substack{a \in A \\ \varphi : P \rightarrow K^* \setminus \{0\}}} S(A \cap (a - K), K_\varphi)$$

**Remark 8.** The hypothesis of Theorem 4 together with Lemma 3 of [39] involves  $K$  to be pointed. Consequently,  $0 \in K_1$  iff  $0 \in K+K_1$ . If  $a_0 \in S(A \cap (a-K-K_1), K_\varphi)$  for some  $\varphi : P \rightarrow K^*$  and  $a \in A$  with  $a_0 = a - k - k_1$ ,  $k \in K$ ,  $k_1 \in K_1$ , then  $K \cap (a_0 - A) = \{0\}$  because  $A \cap (a-K-K_1) \subseteq a_0 + K_\varphi$  in any such a case as this. Indeed, let  $x \in K \cap (a_0 - A)$  be an arbitrary element. Then,  $a_0 - x \in A$  and  $a_0 - x = a - k - k_1 - x \in a - K - K_1$ . Therefore,  $a_0 - x \in a_0 + K_\varphi$ , that is,  $x \in K_\varphi$ . For every  $p_\alpha \in P$  we have  $p_\alpha(-x) \leq \varphi(p_\alpha)(-x) = -\varphi(p_\alpha)(x) \leq 0$ . Since  $p_\alpha$  was arbitrary chosen in  $P$  and  $X$  is a Hausdorff locally convex space, it follows that  $x = 0$ .

**Remark 9.** Clearly, the announced theorem represents a significant result concerning the possibilities of scalarization for the study of some Pareto efficiency programs in separated locally convex spaces, as we can see also in the final comments of [39] for the particular cases of Hausdorff locally convex spaces ordered by closed, pointed and normal cones.

**Remark 10.** As an open problem, it is interesting to replace  $K_1$  with any non-empty subset of an ordered linear space  $X$ , under proper hypotheses.

**Remark 11.** It is well known that the Choquet boundary represents a basic concept in the axiomatic theory of potential and its applications and the efficiency is a fundamental notion in vector optimization. The main aim of the last part in this section is to indicate the recent generalization of our coincidence result established in [12] between the set of all Pareto type minimum points of any non-empty, compact set in an ordered Hausdorff locally convex space and the Choquet boundary of the same set with respect to the convex cone of all real, increasing and continuous functions defined on the set, using our new concept of approximate efficiency. Following this line, firstly the Choquet boundary concept is revised in an original manner.

Let us consider an arbitrary Hausdorff locally space  $(E, \tau)$ , where  $\tau$  denotes its topology and let  $K$  be any closed, convex, pointed cone in  $E$ . The usual order relation  $\leq_K$  associated with  $K$  is defined by  $x \leq_K y$  ( $x, y \in E$ ) if there exists  $k \in K$  with  $y = x + k$ . Clearly, this order relation on  $E$  is closed, that is, the set  $G_K$  given by  $G_K = \{(x, y) \in E \times E : x \leq_K y\}$  is a closed subset of  $E \times E$  endowed with the induced product topology. If  $S$  is any convex cone satisfying the properties:

a)  $\forall x \in X, \exists s \in S, s > 0$  and  $s(x) < +\infty$ ;

b)  $S$  linearly separates  $X_1 = \{x \in X : \exists s \in S \text{ with } s(x) < 0\}$ , that is, for every  $x, y \in X_1, x \neq y$ , there exists  $s, t \in S$  with real values in  $x$  and  $y$  such that  $s(x)t(y) \neq s(y)t(x)$ , then, on the set  $M_+(X)$  of all positive Radon measures defined on  $X$ , one associates the following natural pre-order relation: if  $\mu, \nu \in M_+(X)$ , then  $\mu \leq_S \nu$  means that  $\mu(s) \leq \nu(s)$  for all  $s \in S$ . Let  $S_1$  be the convex cone of all lower semicontinuous and bounded from below real functions  $s$  on  $X$  having the next property: if  $x \in X$  and  $\mu \leq_S \varepsilon_x$ , where  $\varepsilon_x(f) = f(x)$  for every real continuous function  $f$  on  $X$  denotes the Dirac measure, implies that  $\mu(s) \leq s(x)$ . Any non-empty subset  $T \subseteq X$  will be called

$S$  - boundary if, whenever  $s \in S_1$  and its restriction on  $T$  denoted by  $s_{|T}$  is positive, it follows that  $s \geq 0$ . The smallest, closed

$S$  - boundary is usually called *the Silov boundary of  $X$  with respect to  $S$* . A closed set  $A \subseteq X$  is called  $S$  - absorbent if  $x \in A$  and  $\mu \leq_S \varepsilon_x$  implies that  $\mu(X \setminus A) = 0$ . The set

$\partial_S X = \{x \in X_1 : \{x\} \text{ is } S\text{-absorbent}\}$  is named *the Choquet boundary of  $X$  with respect to  $S$*  and clearly its closure coincides with *the Silov boundary of  $X$  with respect to  $S$* . The trace on  $\partial_S X$  of the topology on  $X$  in which the closed sets coincide with  $X$  or with any of the  $S$ -absorbent subsets of  $X$  contained in  $X_1$  is usually called *the Choquet topology of  $\partial_S X$* .

**Definition 2. [73].** A real function  $f : X \rightarrow \mathbb{R}$  is called  $(K + K_1)$  - increasing if

$f(x_1) \geq f(x_2)$  whenever  $x_1, x_2 \in X$  and  $x_1 \in x_2 + K_1 + K$ .

It is obvious that every real increasing function defined on any linear space ordered by an arbitrary convex cone  $K$  is  $K + K_1$  - increasing, for each non-empty subset  $K_1$  of  $K$ .

Now, we present the coincidence of the approximate efficient points sets and the Choquet boundaries, which generalizes the main results given in [12] and [63], respectively, and can not be obtained as a consequence of the Axiomatic Potential Theory.

**Theorem 5. [74].** If  $A$  is any non-void, compact subset of  $X$  and

- (i)  $K$  is an arbitrary, closed, convex, pointed cone in  $X$ ;
- (ii)  $K_1$  is a non-empty subset of  $K$  such that  $K + K_1$  is closed with respect to the

Hausdorff separated locally convex topology on  $X$ .

Then,  $\text{eff}(A, K, K_1)$  coincides with the Choquet boundary of  $A$  with respect to the convex cone  $S_1$  of all  $K + K_1$  - increasing real continuous functions on  $A$ . Consequently, the set

$\text{eff}(A, K, K_1)$  endowed with the corresponding trace topology is a Baire space and, if

$(A, \tau_A)$  is metrizable, then  $\text{eff}(A, K, K_1)$  is a  $G_\delta$  - subset of  $X$ .

**Corollary 5.1.**

(i)  $\text{eff}(A, K, K_1) = \{a \in A : f(a) = \sup\{f(a') : a' \in A \cap (a - K - K_1)\} \text{ for all } f \in C(A)\}$ ;

(ii)  $\text{eff}(A, K, K_1)$  and  $\text{eff}(A, K, K_1) \cap \{a \in A : s(a) \leq 0\} \ (s \in S)$  are compact sets with respect to Choquet's topology;

(iii)  $\text{eff}(A, K, K_1)$  is a compact subset of  $A$ .

(iv) under the above hypotheses (i) and (ii) in Theorem 5, for any non-empty, compact set  $A$  in  $X$  such that  $(A, \tau_A)$  is metrizable and every non-void  $G_\delta$  - subset  $T$  of  $A$  there exists a convex cone  $S$  of  $K + K_1$  - increasing real continuous functions on  $A$  which contains the constants and separates the points of  $A$  such that  $T$  coincides with  $\text{eff}(A, K, K_1)$ .

**Corollary 5.2.** Under the hypotheses of the above theorem we proved that

$$\text{eff}(A, K, K_1) = \{a \in A : f(a) = \bar{f}(a), \forall f \in C(A)\} = \partial_{S_1} A = \{a \in A : a \in F(a)\}$$

where  $F : A \rightarrow A$  is the multifunction defined by

$$F(t) = \{a \in A : A \cap (a - K - K_1) \subseteq t + K + K_1\}, \forall t \in A.$$

**Remark 12.**

In general,  $\text{eff}(A, K, K_1)$  coincides with the Choquet boundary of  $A$  only with respect to the convex cone of all real, continuous and  $K + K_1$  - increasing functions on  $A$ . Thus, for example, if  $A$  is a non-empty, compact and convex subset of  $X$ , then the Choquet boundary of  $A$  with respect to the convex cone of all real, continuous and concave functions on  $A$  coincides with the set of all extreme points for  $A$ . But, it is easy to see that, even in finite dimensional cases, an extreme point for a compact convex set is not necessary an efficient point and conversely.

**Remark 13.**

As we have already specified before Theorem 1, there exists more general conditions than compactness imposed upon a non-empty set  $A$  in a separated locally convex space ordered by a convex cone  $K$  ensuring that  $\text{eff}(A, K) \neq \emptyset$ . Perhaps our coincidence result suggests a

natural extension of the Choquet boundary at least in these cases. Anyhow, Theorem 5 represents an important link between vector optimization and potential theory and a new way for the study of the properties of efficient points sets and the Choquet boundaries. Indeed, one of the main question in potential theory is to find the Choquet boundaries. This fact is relatively easy for particular cases but, in general, it is an unsolved problem. Since in a lot of cases the efficient points sets contain dense subsets which can be identified by adequate numerical optimization methods, it is possible to determine the corresponding Choquet boundaries in all these situations. In this direction of study, an important role is attributed to the density properties of the efficient points sets with respect to varied topologies. Consequently, our coincidence result has its practical consequences at first for the axiomatic theory of potential and its applications. At the same time, by the above coincidence result, the Choquet boundaries offer important properties for the efficient points sets. In this way, the above coincidence result establishes a strong relationship between the approximate (in particular, strong) solutions for vector optimization programs in separated, ordered topological vector spaces and Choquet's boundaries of non-empty compact sets. Similar to the Choquet integral considered as an important risk measure [79], Choquet's boundary represents a very significant efficiency mathematical model. Nevertheless, even if these both concepts belong to Choquet, they are completely different at least because Choquet's boundary is defined as a non-empty set with respect to a convex cone and Choquet's integral is considered a measure. Thus, any possible connection between Choquet's boundary and Choquet's integral represents a genuine new open problem.

## 5. Isac's (nuclear or supernormal) cones

Throughout the research works devoted to nuclear (supernormal) cones professor Isac considered any locally convex space in the sense of the next definition.

**Definition 1.** (Treves, F., 1967). *A locally convex space is any couple  $(X, \text{Spec}(X))$  which is composed of a real linear space  $X$  and a family  $\text{Spec}(X)$  of seminorms on  $X$  such that:*

- (i)  $\forall p \in \text{Spec}(X), \forall \chi \in R_+, p \in \text{Spec}(X);$
- (ii) *if  $p \in \text{Spec}(X)$  and  $q$  is an arbitrary seminorm on  $X$  such that  $q \leq p$ , then  $q \in \text{Spec}(X);$*
- (iii)  $\sup(p_1, p_2) \in \text{Spec}(X), \forall p_1, p_2 \in \text{Spec}(X)$  where  

$$\sup(p_1, p_2)(x) = \sup(p_1(x), p_2(x)), \forall x \in X.$$

It is well known [84] that whenever such a family as this  $\text{Spec}(X)$  is given on a real vector space  $X$ , there exists a locally convex topology  $\tau$  on  $X$  such that  $(X, \tau)$  is a topological linear space and a seminorm  $p$  on  $X$  is  $\tau$  - continuous iff  $p \in \text{Spec}(X)$ . A non-empty subset  $B$  of  $\text{Spec}(X)$  is a *base* for it if for every  $p \in \text{Spec}(X)$  there exist  $\chi > 0$  and  $q \in B$  such that  $p \leq \chi q$  and  $(X, \tau)$  is a Hausdorff locally convex space iff  $\text{Spec}(X)$  has a base  $B$ , named *Hausdorff base*, with the property that  $\{x \in X : p(x) = 0, \forall p \in B\} = \{\theta\}$  where  $\theta$  is the null vector in  $X$ . In this research paper we will suppose that the space  $(X, \tau)$  sometimes denoted by  $X$  is a Hausdorff locally

convex space. Every non-empty subset  $K$  of  $X$  satisfying the following properties:  $K + K \subseteq K$  and  $\chi K \subseteq K, \forall \chi \in R_+$  is named *convex cone*. If, in addition,  $K \cap K = \{\theta\}$ , then  $K$  is called *pointed*. Clearly, any pointed convex cone  $K$  in  $X$  generates an ordering on  $X$  defined by  $x \leq y (x, y \in X)$  iff  $y - x \in K$ . If  $X^*$  is the dual of  $X$ , then the *dual cone* of  $K$  is defined by  $K^* = \{x^* \in X^* : x^*(x) \geq 0, \forall x \in K\}$  and its corresponding *polar* is  $K^0 = -K$ . We recall that a pointed convex cone  $K \subset (X, Spec(X))$  is *normal* with respect to the topology defined by  $Spec(X)$  if it fulfills one of the next equivalent assertions:

- (i) *there exists at a base  $\Omega$  of neighborhoods for the origin  $\theta$  in  $X$  such that  $V = (V + K) \cap (V - K), \forall V \in \Omega$ ;*
- (ii) *there exists a base  $B$  of  $Spec(X)$  with  $p(x) \leq p(y), \forall x, y \in K, x \leq y, \forall p \in B$ ;*
- (iii) *for any two nets  $\{x_i\}_{i \in I}, \{y_i\}_{i \in I} \subset K$  with  $\theta \leq x_i \leq y_i, \forall i \in I$  and  $\lim y_i = \theta$  it follows that  $\lim x_i = \theta$ . In particular, a convex cone  $K$  is normal in a normed linear space  $(E, \|\cdot\|)$  iff there exists  $t \in (0, \infty)$  such that  $x, y \in E$  and  $y - x \in K$  implies that  $\|x\| \leq t \|y\|$ .*

It is well known that the concept of *normal cone* is the most important notion in the theory and applications of convex cones in topological ordered vector spaces. Thus, for example, *for every separated locally convex space  $(X, Spec(X))$  and any closed normal cone*

*$K \subset (X, Spec(X))$  we have  $X^* = K^* - K^*$  (see, for instance, [29], [32]). Each pointed convex cone  $K \subset (X, Spec(X))$  for which there exists a non-empty, convex bounded set*

*$B \subset X$  such that  $0 \notin \bar{B}$  and  $K = \bigcup_{\chi \geq 0} \chi B$  is called *well-based*. A cone  $K \subset (X, Spec(X))$  is *well-based* iff there exists a base  $B = \{p_i\}_{i \in I}$  of  $Spec(X)$  and a linear continuous functional  $f \in K^*$  such that for every  $p_i \in B$  there exists  $c_i > 0$  with  $c_i p_i(x) \leq f(x), \forall x \in K$  ([29], [30]).* Clearly, every well-based cone is a normal cone, but, in general, the converse is not true, as we can see in the examples below, starting from the next basic notion.

**Definition 2.** (Isac, G., 1981, 1983) *In a Hausdorff locally convex space  $(X, Spec(X))$  a pointed convex cone  $K \subset X$  is nuclear (supernormal) with respect to the topology induced by  $Spec(X)$  if there exists a base  $B = \{p_i\}_{i \in I}$  of  $Spec(X)$  such that for every  $p_i \in B$  there exists  $f_i \in X^*$  with  $p_i(x) \leq f_i(x), \forall x \in K$ .*

**Remark 1.** For the first time, we called any such as this cone “Isac’s cone” in [74], with the permission of the regretted professor George Isac, taking into account that the above definition of locally convex spaces is equivalent with the following: Let  $X$  be a real or

complex linear space and  $\{P = p_\alpha : \alpha \in A\}$  a family of seminorms defined on  $X$ . For every  $x \in X, \varepsilon > 0$  and  $n \in N^*$  let

$V(x; p_1, p_2, \dots, p_n; \varepsilon) = \{y \in X : p_\alpha(y - x) < \varepsilon, \forall \alpha = \overline{1, n}\}$ , then the family

$$\mathcal{V}_0(x) = \{V(x; p_1, p_2, \dots, p_n; \varepsilon) : n \in N^*, p_\alpha \in P, \alpha = \overline{1, n}, \varepsilon > 0\}$$

has the properties :

$$(V_1) x \in V, \forall V \in \mathcal{V}_0(x);$$

$$(V_2) \forall V_1, V_2 \in \mathcal{V}_0(x), \exists V_3 \in \mathcal{V}_0(x) : V_3 \subseteq V_1 \cap V_2;$$

$$(V_3) \forall V \in \mathcal{V}_0(x), \exists U \in \mathcal{V}_0(x), U \subseteq V \text{ such that } \forall y \in U, \exists W \in \mathcal{V}_0(y) \text{ with } W \subseteq V.$$

Therefore,  $\mathcal{V}_0(x)$  is a base of neighborhoods for  $x$  and taking  $\mathcal{V}(x) = \{V \subseteq X : \exists U \in \mathcal{V}_0(x) \text{ cu } U \subseteq V\}$ , the set  $\tau = \{D \subseteq X : D \in \mathcal{V}(x), \forall x \in D\} \cup \{\emptyset\}$  is the locally convex topology generated by the family  $P$ . Obviously, the usual operations which induce the structure of linear space on  $X$  are continuous with respect to this topology. The corresponding topological space  $(X, \tau)$  is a Hausdorff locally convex space iff the family  $P$  is sufficient, that is,  $\forall x_0 \in X \setminus \{\theta\}, \exists p_\alpha \in P$  with  $p_\alpha(x_0) \neq 0$ . In this context, a convex cone  $K \subset X$  is an Isac's cone iff  $\forall p_\alpha \in P, \exists f_\alpha \in X^* : p_\alpha(x) \leq f_\alpha(x), \forall x \in K$ . The best special, refined and non-trivial Isac's cones class associated to normal cones in Hausdorff locally convex spaces was introduced and studied in [39] as the full nuclear cones family defined as follows: if  $(X, Spec(X))$  is an arbitrary locally convex space  $B \subset Spec(X)$  is a Hausdorff base of  $Spec(X)$  and  $K \subset X$  is a normal cone, then for any mapping

$\varphi : B \rightarrow K^* \setminus \{0\}$  one says that the set

$K_\varphi = \{x \in X : p(x) \leq \varphi(p)(x), \forall p \in B\}$  is a full nuclear cone associated to  $K$  whenever  $K_\varphi \neq \{\theta\}$ . Taking into account that in a real normed linear space  $(E, \|\cdot\|)$  a non-empty set  $T \subset E$  is called a Bishop-Phelps cone if there exists  $y^*$  in the usual dual space  $E^*$  of

$E$  and  $\alpha \in (0, 1]$  such that  $T = \{y \in E : \alpha \|y\| \leq y^*(y)\}$  and the applications of such as these cones in Nonlinear Analysis and in Pareto type optimization for vector-valued mappings, we conclude that, for Haudorff locally convex spaces, the full nuclear cones are similar and generalizations of Bishop-Phelps cones in normed vector spaces.

In the next considerations we offer significant examples and adequate remarks on the supernormal cones. The existence of the efficient points and important properties of the efficient points sets are ensured in separated locally convex spaces ordered by (weak) supernormal cones named by us “Isac’s cones”, through the agency of the (weak) completeness instead of compactness (the reader is referred to, Isac, G., 1981, 1983, 1985, 1994, 1998, Isac, G., Postolică, V., 1993, Postolică, V., 1993, 1994, 1995, 1997, 1999, 2001, 2002, 2009, Truong, X. D. H., 1994 and so on).

**Theorem 1.** (Bahya, A. O., 1989) A convex and normal cone  $K$  in a Hausdorff locally convex space is supernormal if and only if every net of  $K$  weakly convergent to zero converges to zero in the locally convex topology.

Let us consider some pertinent examples

1. *Any convex, closed and pointed cone in an arbitrary usual Euclidean space  $R^k$  with  $k$  in  $N^*$  is supernormal.*
2. *In every locally convex space any well-based convex cone is an Isac’s cone.*
3. *A convex cone is an Isac’s cone in a normed linear space if and only if it is well-based.*
4. *Let  $n \in N^*$  be arbitrary fixed and let  $Y$  be the space of all real symmetric  $(n, n)$  matrices ordered by the pointed, convex cone  $C = \{A \in Y : x^T A x \geq 0, \forall x \in R^n\}$ . Then,  $Y$  is a real Hilbert space with respect to the scalar product defined by  $\langle A, B \rangle = \text{trace}(A \cdot B)$  for all  $A, B \in Y$  and  $C$  is well-based by  $B = \{A \in C : \langle A, I \rangle = 1\}$  where  $I$  denotes the identity matrix.*
5. *Every pointed, locally or weakly locally compact convex cone in any Hausdorff locally convex space is an Isac’s cone.*
6. *A convex cone is an Isac’s cone in a nuclear space (Pietch, A., 1972) if and only if it is a normal cone.*
7. *In any Hausdorff locally convex space a convex cone is an weakly Isac’s cone if and only if it is weakly normal.*
8. *In  $L^p([a, b])$ ,  $(p \geq 1)$ , the convex cone  $K_p = \{x \in L^p([a, b]) : x(t) \geq 0 \text{ almost everywhere}\}$  is an Isac’s cone if and only if  $p=1$ , being well based in this case by the set  $B = \{x \in K_1 : \int_a^b x(t) dt = 1\}$ . Indeed, if  $p > 1$ , then the sequence  $(x_n)$  defined by*

$$x_n(t) = \begin{cases} n^{1/p}, & a \leq t \leq a+(b-a)/2n \\ 0, & a+(b-a)/2n < t \leq b \end{cases} \quad n \in N$$

*converges to 0 in the weak topology but not in the usual norm topology. Therefore, by virtue of Theorem 1,  $K_p$  is not an Isac’s cone. Generally, for every  $p > 1$ ,  $K_p$  has a base  $B = \{x \in K_p : \int_a^b x(t) dt = 1\}$  which is unbounded and any cone generated by a closed and bounded set*

$B_t = \{x \in B : \int_a^b |x(t)|^p dt \leq t\}$  with  $t \geq 0$  is certainly an Isac's cone. A similar result holds for  $L^p(R)$ .

Thus, if we consider a countable family  $(A_n)$  of disjoint sets which covers  $R$  such that  $(A_n) = 1$  for all  $n$  in  $N$ , where  $\mu$  is the Lebesgue measure, then the sequence  $(y_n)$  given by  $y_n(t) = 1$  if  $t \in A_n$  and  $y_n(t) = 0$  for  $t \in R \setminus A_n$  converges weakly to zero while it is not convergent to zero in the norm topology. Taking into account the above theorem, it follows that the usual positive cone in  $L^p(R)$  is not an Isac's cone if  $p > 1$ , that is, it is not well-based in all these cases. However, these cones are normal for every  $p \geq 1$ . The same conclusion concerning the non-supernormality is valid for the positive orthant of the usual Orlicz spaces.

9. In  $l^p$  ( $p \geq 1$ ) equipped with the usual norm  $\|\cdot\|_p$  the positive cone

$C_p = \{(x_n) \in l^p : x_n \geq 0 \text{ for all } n \in N\}$  is also normal with respect to the norm topology, but it is not an Isac's cone excepting the case  $p = 1$ . Indeed, for every  $p > 1$ , the sequence  $(e_n)$  having 1 at the  $n$ th coordinate and zeros elsewhere converges to zero in the weak topology, but not in the norm topology and by virtue of Theorem 1 it follows that  $C_p$  is not an Isac's cone. For  $p = 1$ ,  $C_p$  is well-based by the set  $B = \{x \in C_1 : \|x\|_1 = 1\}$  and Proposition 5 (Isac, G., 1983) ensures that it is an Isac's cone. If we consider in this case the locally convex

topology in  $l^1$  defined by the seminorms  $p_n((x_k)) = \sum_{k=0}^n |x_k|$  for every  $(x_k)$  in  $l^1$  and  $n \in N$ ,

which is weaker than its usual weak topology, then the usual positive cone remains an Isac's cone with respect to this topology (now it is normal in a nuclear space and one applies Proposition 6 of (Isac, G., 1983) but it is not well based. Taking into account the concept of  $H$ -locally convex space introduced by Precupanu, T. in 1969 and defined as any Hausdorff locally convex space with the seminorms satisfying the parallelogram law and the property that every nuclear space is also a  $H$ -locally convex space with respect to an equivalent system of seminorms (Pietch, A., 1972), the above example shows that in a  $H$ -locally convex space a proper convex cone may be an Isac's cone without to be well-based. Moreover, if we consider in  $l^2$  the  $H$ -locally convex topology induced by the seminorms

$$\tilde{p}_n((x_k)) = \left( \sum_{i \geq n} |x_i|^2 \right)^{\frac{1}{2}}, \quad n \in N, \quad x_k \in \ell^2,$$

then the convex cone  $C_2 = \{(x_k) \in l^2 : x_k \in 0 \text{ for all } k \in N\}$  is normal in the  $H$ -locally convex space  $(l^2, \{\tilde{p}_n\}_{n \in N})$ , but it is not a supernormal cone because the same sequence  $(e_k)$  is weakly convergent to zero while  $(\bar{p}_n(e_k))$  is convergent to 1 for each  $n \in N$  and one applies again Theorem 1. Another interesting example of normal cone in a  $H$ -locally convex space which is not supernormal is the usual positive cone in the space  $L^2_{loc}(R)$  of all functions from  $R$  to  $C$  which are square integrable over any finite interval of  $R$ , endowed with the system of

seminorms  $\{\bar{p}_n : n \in N\}$  defined by  $\bar{p}_n(x) = \left( \int_{-n}^n |x(t)|^2 dt \right)^{\frac{1}{2}}$  for every  $x$  in  $L^2_{loc}(R)$ . In this case,

the sequence  $(x_k)$  given by:

$$x_k(t) = \begin{cases} 0, & t \in (-\infty, 0) \cup (1/k, +\infty) \\ \sqrt{k}, & t \in [0, 1/k] \end{cases}$$

converges weakly to zero, but it is not convergent in the  $H$ -locally convex topology. The results follows by Theorem 1. It is clear that every weak topology is a  $H$ -locally convex topology and, in these cases, the supernormality of convex cones coincides with the normality thanks to the Corollary of Proposition 2 in (Isac, G., 1983).

10. In the space  $C([a, b])$  of all continuous, real valued functions defined on every non-trivial, compact interval  $[a, b]$  equipped with the usual supremum norm the convex cone  $K = \{x \in C([a, b]) : x \text{ is concave, } x(a) = x(b) = 0 \text{ and } x(t) \geq 0 \text{ for all } t \in [a, b]\}$  is supernormal, being well based by the set  $\{x \in K : x(t_0) = 1\}$  for some arbitrary  $t_0 \in [a, b]$ . The hypothesis that all  $x \in K$  are concave is essential for the supernormality.

11. The convex cone of all nonnegative sequences in the space of all absolutely convergent sequences is the dual of the usual positive cone in the space of all convergent sequences. Consequently, it has a weak star compact base and hence it is a weak star supernormal cone.

12. In  $l^\infty$  or in  $c_0$  equipped with the supremum norm, the convex cone consisting of all sequences having all partial sums non-negative is not normal, hence it is not supernormal.

13. In every Hausdorff locally convex space any normal cone is supernormal with respect to the weak topology.

14. In every locally convex lattice which is a  $(L)$ -space the ordering cone is supernormal (see also the Example 7 given by Isac, G. in 1994).

15. If we consider the space of all locally integrable functions on a locally compact space  $Y$  with respect to a Radon measure  $\mu$  endowed with the topology induced by the family of

seminorms  $\{p_A\}$  where  $p_A(f) = \int_A |f(x)| d\mu$  for every non-empty and compact subset  $A$  of  $Y$  and every locally integrable function  $f$ , then the convex cone  $K = \{f: f(x) \geq 0, x \in Y\}$  is supernormal.

16. If  $Z$  is any locally convex lattice ordered by an arbitrary convex cone  $K$  and  $Z^*$  is its topological dual ordered by the corresponding dual cone  $K^*$ , then the cone  $K$  is supernormal with respect to the locally convex topology defined on  $Z$  by the neighbourhood base at the origin  $\{[-f, f]^\circ\}_{f \in K} \tilde{\cup}$

17. In every regular vector space  $(E, K)$  (that is, the order dual  $E^*$  separates the points of  $E$ ) with the property that  $E = K - K$  the convex cone  $K$  is supernormal with respect to the topology defined in the preceding example.

18. Any semicomplete cone in a Hausdorff locally convex space is supernormal (for this concept see the Example 11 of Isac, G., 1994).

**Remark 2.** Clearly, if a convex cone  $K$  is supernormal in a normed space, then  $K$  admits a strictly positive, linear and continuous functional, that is, there exists a linear, continuous functional  $f$  such that  $f(k) > 0$  for all  $k \in K \setminus \{0\}$ . Generally, the converse is not true even in a Banach space as we can see in the following examples:

19. If one considers in the usual space  $\ell^p$  ( $1 \leq p \leq \infty$ ) the convex cone  $K$

$= \ell_+^p = \{x = (x_i) \in \ell^p : x_i \geq 0 \text{ for every } i \in \mathbb{N}\}$  of infinite vectors with non-negative components,

then the functional  $\varphi$  defined by  $\varphi(k) = \sum_{i=1}^{\infty} k_i$  for any  $k = (k_i) \in \ell^p$  is linear, continuous and strictly positive. But, as we have seen in the above considerations (Example 9), this cone is supernormal if and only if  $p = 1$ .

20. Let  $K$  be the usual positive cone  $L^p_+ = \{x \in L^p([a, b]) : x(t) \geq 0 \text{ almost everywhere}\}$  in  $L^p([a, b])$  ( $1 \leq p \leq \infty$ ). Then, the linear and continuous functional  $\psi$  on  $L^p([a, b])$  given by

$\psi(x) = \int_a^b x(t) dt$  for every  $x \in L^p([a, b])$  is strictly positive on  $K$  while  $K$  is supernormal (see the above Example 8) if and only if  $p = 1$ .

Therefore,  $\ell_+^1$  and  $L^1_+$  are supernormal cones with empty topological interiors and for every  $p \in (1, +\infty)$  it follows that  $\ell^p_+$  and  $L^p_+$  are normal cones with empty interiors which are not supernormal. Hence, these convex cones are not well based. A very simple example of supernormal cones having non-empty topological interior is  $R_+^n$  ( $n \in \mathbb{N}^*$ ).

**Remark 3.** In the order complete vector lattice  $B([a, b])$  of all bounded, real valued functions on a compact non-singleton interval  $[a, b]$  endowed with its usual norm the standard positive cone  $K = \{u \in B([a, b]): u(t) \geq 0 \text{ for all } t \in ([a, b])\}$  is normal but it has not a base, that is, it is not supernormal. However, this cone has non-empty interior. If we consider the linear space  $l^1$  endowed with the separated locally convex topology generated

by the family  $\{p_n: n \in N\}$  of seminorms defined by  $p_n(x) = \sum_{k=0}^n |x_k|$  for every  $x = (x_k) \in l^1$ , then the convex cone  $K = \{x = (x_k) \in l^1: x_k \geq 0 \text{ whenever } k \in N\}$  is supernormal but it is not well based.

**Remark 4.** The natural context of supernormality (nuclearity) for convex cones is any separated locally convex space. Isac, G. introduced the concept of “nuclear cone” in 1981, published it in 1983 and he showed that in a normed space a convex cone is nuclear if and only if it is well based or equivalently iff it is “with plastering”, the last concept being

defined by Krasnoselski, M. A. in fifties (see, for example, Krasnoselski, M. A., 1964 and so on). Such a convex cone was initially called “nuclear cone” by Isac, G. (1981) because in every nuclear space (Pietsch, A., 1972) any normal cone is a nuclear cone in Isac’s sense (Proposition 6 of Isac, G., 1983). Afterwards, since the nuclear cone introduced by Isac appears as a reinforcement of the normal cone, it was called supernormal. The class of supernormal cones in Hausdorff locally convex spaces was initially imposed by the theory and the applications of the efficient (Pareto minimum type) points (especially existence conditions based on completeness instead of compactness were decisive together with the main properties of the efficient points sets), the study of critical points for dynamical systems and conical support points and their importance was very well illustrated by important results, examples and comments in the specified references and in other connected papers. It is also very significant to mention again that the concept of supernormality introduced by Isac, G. (1981) is not a simple generalization of the corresponding notion defined in normed linear spaces by Krasnoselski, M. A. and his colleagues in the fifties. Thus, for example, Isac’s supernormality attached to the convex cones has his sense in every Hausdorff locally convex space identically with the well known Grothendieck’s nuclearity. By analogy with the fact that a normed space is nuclear in Grothendieck’s sense if and only if it is isomorphic with an usual Euclidean space, a convex cone is supernormal in a normed space if and only if it is well based, that is, it is generated by a convex bounded set which does not contain the origin in its closure. Beside Pareto type optimization, we also mention Isac’s significant contributions, through the agency of supernormal cones, to the convex cones in product linear spaces and Ekeland’s variational type principles (Isac, G., 2003; Isac, G., Tammer, Chr., 2003). Therefore, the more appropriate background for Isac’s cones is any separated locally convex space.

## VI USEFUL SPLINES FOR THE BEST APPROXIMATION AND OPTIMIZATION IN H-LOCALLY CONVEX SPACES

We conclude this research report with some topics on the best approximation (simultaneous and vectorial) and the optimization in H-locally convex spaces. So, it is known that the concept of H-locally convex space was introduced and studied for the first time by Precupanu, T. (1969) and defined as any Hausdorff locally convex space with the seminorms satisfying the parallelogram law. At the same time, we introduced the notion of spline function in H-locally convex space (Postolică, V., 1981) and we established the basic properties of approximation and optimal interpolation for these splines. Our splines are natural extensions in H-locally convex spaces of the usual abstract splines which appear in any Hilbert space like the minimizing elements for a seminorm subject to the restrictions given by a set of linear continuous functionals.

Let  $(X, P = \{p_\alpha: \alpha$

$\in I\})$  be a H-locally convex space with each seminorm  $p_\alpha$  being

induced by a scalar semiproduct  $(.,.)_\alpha$  ( $\alpha \in I$ ) and  $M$  a closed linear subspace of  $X$  for which there exist a  $H$ -locally convex space  $(Y, \mathcal{Q}) = \{q_\alpha : \alpha \in I\}$  with each seminorm  $q_\alpha \in \mathcal{Q}$  generated by a scalar semiproduct  $\langle ., . \rangle_\alpha$  ( $\alpha \in I$ ) and a linear (continuous) operator  $U: X \rightarrow Y$  such that  $M = \{x \in X : (x, y)_\alpha = \langle Ux, Uy \rangle_\alpha \quad \forall \alpha \in I\}$ .

The space of spline functions with respect to  $U$  was defined by Postolică, V. (1981) as the  $U$ -orthogonal of  $M$ , that is,

$M^\perp = \{x \in X : \langle Ux, U\zeta \rangle_\alpha = 0, \forall \zeta \in M, \alpha \in I\}$ . Clearly,  $M^\perp$  is the orthogonal of  $M$  in the  $H$ -locally convex sense.

Let  $x_0 \in X$  and  $G$  a non-empty subset of  $X$ .

**Definition 1.** (Postolică V., 1993)  $g_0 \in G$  is said to be a best simultaneous approximation for  $x_0$  by the elements of  $G$  with respect the family  $\mathbb{P}$  (abbreviated  $g_0$  is a  $\mathbb{P}$ -b.s.a. of  $x_0$ ) when

$$p_\alpha(x_0 - g_0) \leq p_\alpha(x_0 - g) \text{ for all } g \in G \text{ and } p_\alpha \in \mathbb{P}.$$

If, in addition, each element  $x \in X$  possesses at least one  $\mathbb{P}$ -b.s.a. in  $G$ , then the set  $G$  is called  $\mathbb{P}$ -simultaneous proximinal.

**Definition 2.** (Postolică V., 1993)  $g_0 \in G$  is said to be a best vectorial approximation of  $x_0$  by  $G$  with respect to  $\mathbb{P}$  (abbreviated  $g_0$  is a  $\mathbb{P}$ -b.v.a. of  $x_0$ ) if

$$(p_\alpha(x_0 - g_0)) \in \text{MIN}_K(\{(p_\alpha(x_0 - g)) : g \in G\}) \text{ where } K = \mathbb{R}_+^I.$$

The set  $G$  is called  $\mathbb{P}$ -vectorial proximinal whenever each element  $x \in X$  possesses at least one  $\mathbb{P}$ -b.v.a. in  $G$ .

Let us consider the direct sum  $X' = M \oplus M^\perp$  and for every  $x \in X'$ , we denote its projection onto  $M^\perp$  by  $s_x$ . Then, taking into account the Theorem 4 obtained by Postolică, V. in 1981, it follows that this spline is a best simultaneous  $U$ -approximation of  $x$  with respect to  $M^\perp$  since it satisfies all the next conditions :  $p_\alpha(x - s_x) \leq p_\alpha(x - y) \quad \forall y \in M^\perp, p_\alpha \in \mathbb{P}$ .

Moreover, following the definition of the approximate efficiency, the results given in Chapter 3 of (Isac, G., Postolică, V., 1993) and the conclusions obtained by (Postolică, V., 1981, 1993, 1998), we have

**Theorem 1.**

(i) for every  $x \in X'$  the only elements of best simultaneous and vectorial approximation with respect to any family of seminorms which generates the  $H$ -locally convex topology on  $X$  by the linear subspace of splines are the spline functions  $s_x$ .

Moreover, if  $M$  and  $M^\perp$  supply an orthogonal decomposition for  $X$ , that is  $X=M \oplus M^\perp$ , then  $M^\perp$  is simultaneous and vectorial proximinal;

(ii) if  $K=R_+^1$ , then for each  $s \in M^\perp$ , every  $\sigma \in M^\perp$  is the only solution of following optimization problem  $\text{MIN}_K(\{(q_\alpha(U(\eta-s))): \eta \in X' \text{ and } \eta - \sigma \in M\})$ ;

(iii) for every  $x \in X'$  its spline function  $s_x$  is the only solution for the next vectorial optimization problems:

$$\text{MIN}_K(\{(q_\alpha(U(\eta-x))): \eta \in M^\perp\}), \text{MIN}_K(\{(p_\alpha(x-y)): y \in M^\perp\}), \text{MIN}_K(\{(q_\alpha(Uy)): y-x \in M\}).$$

Finally, let us consider two numerical examples in which, following Postolică, V., (1981), Isac, G., Postolică, V., (1993) and Postolică, V., (1998), we specify the expressions of splines and  $M$  together with  $M^\perp$  realizes orthogonal decompositions.

**Example 1.** Let  $X=H^m(R)=\{f \in C^{m-1}(R): f^{(m-1)} \text{ is locally absolutely continuous and } f^{(m)} \in L^2_{loc}(R)\}$ ,  $m \geq 1$  endowed with the  $H$ -locally convex topology generated by the scalar semiproducts

$$(x, y)_k = \sum_{h=0}^{m-1} [x^{(h)}(k) y^{(h)}(k) + x^{(h)}(-k) y^{(h)}(-k)] + \int_{-k}^k x^{(m)}(t) y^{(m)}(t) dt, \quad k=0, 1, 2, \dots \text{ and}$$

$Y=L^2_{loc}(R)$  with the  $H$ -locally convex topology induced by the scalar semiproducts  $\langle x, y \rangle_k =$

$$\int_{-k}^k x(t) y(t) dt, \quad k=0, 1, 2, \dots$$

If  $U:X \rightarrow Y$  is the derivation operator of order  $m$ , then

$$M=\{x \in H^m(R): x^{(h)}(v)=0, \forall h=\overline{0, m-1}, v \in Z\}$$

$$\text{and } M^\perp=\{s \in H^m(R): \int_{-k}^k s^{(m)}(t) x^{(m)}(t) dt, \forall x \in M, k=0, 1, 2, \dots\}$$

We proved in (Postolică, V., 1981) that

$M^\perp = \{s \in H^m(R) : s_{/(v, v+1)} \text{ is a polynomial function of degree } 2m-1 \text{ at most}\}$  and if  $y = (y), y' = (y'), y'' = (y''), y^{(m-1)} = (y^{(m-1)})$  are  $m$  sequences of real numbers, then there exists an unique spline  $S \in M^\perp$  satisfying the following conditions of interpolation:  $S^{(h)}(v) = y^{(h)}(v)$  whenever  $h = \overline{0, m-1}$  and  $v \in Z$ . Moreover, we observed in the paragraph 3 of (Isac, G., Postolică, V., 1993) that any spline function  $S$  such as this is defined by

$$S(x) = p(x) + \sum_{h=0}^{m-1} c_1^{(h)}(x-1)_+^{2m-1} + \sum_{h=0}^{m-1} c_2^{(h)}(x-2)_+^{2m-1} + \dots + \sum_{h=0}^{m-1} c_0^{(h)}(-x)_+^{2m-1} + \dots$$

where  $u_+ = (|u| + u)/2$  for every real number  $u$ ,  $p$  is a polynomial function of degree  $2m-1$  at most perfectly determined by the conditions  $p^{(h)}(0) = y_0^{(h)}$  and  $p^{(h)}(1) = y_1^{(h)}$  for all  $h = \overline{0, m-1}$  and the coefficients  $c_v^{(h)}$  ( $v = \overline{0, m-1}$ ,  $v \in Z$ ) are successively given by the general interpolation.

Therefore, for every function  $f \in H^m(R)$ , there exists an unique function denoted by  $S_f \in M^\perp$  such that  $S_f^{(h)}(v) = f^{(h)}(v)$ ,  $\forall h = \overline{0, m-1}$  and  $v \in Z$ . Hence, in this case,  $M$  and  $M^\perp$  give an orthogonal decomposition for the space  $H^m(R)$ .

**Example 2.** Let  $X = F_m = \{f \in C^{m-1}(R) : f^{(m-1)} \text{ is locally absolutely continuous and } f^{(m)} \in L^2(R)\}$  endowed with the  $H$ -locally convex topology induced by the scalar semiproducts

$$(x, y)_v = x(v)y(v) + \int_R x^{(m)}(t)y^{(m)}(t)dt, \quad v \in Z, \quad Y = L^2(R) \text{ with the topology generated by the inner}$$

product  $(x, y)_v = \int_R x(t)y(t)dt$ ,  $v \in Z$  and  $U : X \rightarrow Y$  be the derivation operator of order  $m$ .

Then,  $M = \{x \in F_m : x(v) = 0 \text{ for all } v \in Z\}$  and

$$M^\perp = \{s \in F_m : \int_R x^{(m)}(t)y^{(m)}(t)dt = 0 \text{ for every } x \in M\}.$$

In a similar manner as in Example 1 it may be proved that  $M^\perp$  coincides with the class of all piecewise polynomial functions of order  $2m$  (degree  $2m-1$  at most) having their knots at the integer points. Moreover, for every function  $f$  in  $F_m$  there exists an unique spline function  $S_f \in M^\perp$  which interpolates  $f$  on the set  $Z$  of all integer numbers, that is,  $S_f$

satisfies the equalities  $S_f(v)=f(v)$  for every  $v \in Z$ , being defined by

$$S_f(x) = p(x) + a_1(x-1)_+^{2m-1} + a_2(x-2)_+^{2m-1} + \dots + a_0(-x)_+^{2m-1} + a_{-1}(-x-1)_+^{2m-1} + \dots$$

where  $u_+$  has the same signification as in Example 1, the coefficients  $a_v (v \in Z)$  are successively and completely determined by the interpolation conditions  $S_f(v)=f(v)$ ,  $v \in Z \setminus \{0, 1\}$  and  $p$  is a polynomial function satisfying the conditions  $p(0)=f(0)$  and  $p(1)=f(1)$ . The uniqueness of  $S_f$  is ensured in Theorem 2 given by (Postolică, V., 1981).

Thus,  $M$  and  $M^\perp$  give an orthogonal decomposition of the space  $F_m$  and, as in the preceding example,  $M^\perp$  is simultaneous and vectorial proximinal with respect to the family of seminorms generated by the above scalar semiproducts.

**Remark 1.** Our examples show that the abstract construction of splines can be used to solve also several frequent problems of interpolation and approximation, having the possibility to choose the spaces and the scalar semiproducts. It is obvious that for a given (closed) linear subspace of a  $H$ -locally convex space  $X$  such a  $H$ -locally convex space  $Y$  (respectively, a linear (continuous) operator  $U: X \rightarrow Y$ ) would not exist. Otherwise, the problem of best vectorial approximation by the corresponding orthogonal space of any (closed) linear subspace  $M$  for the elements in the direct sum  $M \oplus M^\perp$  might be always reduced to the best simultaneous approximation. But, in general, such a possibility doesn't exist. Even in a  $H$ -locally convex space it is possible that there exist best vectorial approximations and the set of all best simultaneous approximations to be empty for some element of the space. We confine ourselves to mention the following simple example.

**Example 3.** Let  $X = \mathbb{R}^N$  endowed with the topology generated by the family  $P = \{p_i : i \in N\}$  of seminorms defined by  $p_i(x) = |x_i|$  ( $i \in N$ ) for every

$$x = (x_i) \in X \text{ and } G = \{(x_i) \in X : x_i \geq 0 \text{ whenever } i \in N \text{ and } \sum_{i \in N} x_i = 1\}$$

$X$  is a  $P$ -simultaneous strictly convex (Isac, G., Postolică, V., 1993)  $H$ -locally convex space. Nevertheless, every element of  $G$  is  $P$ -b.v.a. for the origin while its corresponding set of the best simultaneous approximations with respect to  $P$  is empty.

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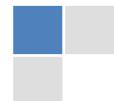
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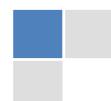
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2. Ethical Guidelines,
3. Submission of Manuscripts,
4. Manuscript's Category,
5. Structure and Format of Manuscript,
6. After Acceptance.

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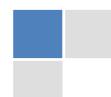
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**28. Make colleagues:** Always try to make colleagues. No matter how sharper or intelligent you are, if you make colleagues you can have several ideas, which will be helpful for your research.

**29. Think technically:** Always think technically. If anything happens, then search its reasons, its benefits, and demerits.

**30. Think and then print:** When you will go to print your paper, notice that tables are not be split, headings are not detached from their descriptions, and page sequence is maintained.

**31. Adding unnecessary information:** Do not add unnecessary information, like, I have used MS Excel to draw graph. Do not add irrelevant and inappropriate material. These all will create superfluous. Foreign terminology and phrases are not apropos. One should NEVER take a broad view. Analogy in script is like feathers on a snake. Not at all use a large word when a very small one would be sufficient. Use words properly, regardless of how others use them. Remove quotations. Puns are for kids, not grunt readers. Amplification is a billion times of inferior quality than sarcasm.

**32. Never oversimplify everything:** To add material in your research paper, never go for oversimplification. This will definitely irritate the evaluator. Be more or less specific. Also too, by no means, ever use rhythmic redundancies. Contractions aren't essential and shouldn't be there used. Comparisons are as terrible as clichés. Give up ampersands and abbreviations, and so on. Remove commas, that are, not necessary. Parenthetical words however should be together with this in commas. Understatement is all the time the complete best way to put onward earth-shaking thoughts. Give a detailed literary review.

**33. Report concluded results:** Use concluded results. From raw data, filter the results and then conclude your studies based on measurements and observations taken. Significant figures and appropriate number of decimal places should be used. Parenthetical remarks are prohibitive. Proofread carefully at final stage. In the end give outline to your arguments. Spot out perspectives of further study of this subject. Justify your conclusion by at the bottom of them with sufficient justifications and examples.

**34. After conclusion:** Once you have concluded your research, the next most important step is to present your findings. Presentation is extremely important as it is the definite medium through which your research is going to be in print to the rest of the crowd. Care should be taken to categorize your thoughts well and present them in a logical and neat manner. A good quality research paper format is essential because it serves to highlight your research paper and bring to light all necessary aspects in your research.

## INFORMAL GUIDELINES OF RESEARCH PAPER WRITING

### Key points to remember:

- Submit all work in its final form.
- Write your paper in the form, which is presented in the guidelines using the template.
- Please note the criterion for grading the final paper by peer-reviewers.

### Final Points:

A purpose of organizing a research paper is to let people to interpret your effort selectively. The journal requires the following sections, submitted in the order listed, each section to start on a new page.

The introduction will be compiled from reference matter and will reflect the design processes or outline of basis that direct you to make study. As you will carry out the process of study, the method and process section will be constructed as like that. The result segment will show related statistics in nearly sequential order and will direct the reviewers next to the similar intellectual paths throughout the data that you took to carry out your study. The discussion section will provide understanding of the data and projections as to the implication of the results. The use of good quality references all through the paper will give the effort trustworthiness by representing an alertness of prior workings.

Writing a research paper is not an easy job no matter how trouble-free the actual research or concept. Practice, excellent preparation, and controlled record keeping are the only means to make straightforward the progression.

#### **General style:**

Specific editorial column necessities for compliance of a manuscript will always take over from directions in these general guidelines.

##### *To make a paper clear*

- Adhere to recommended page limits

##### *Mistakes to evade*

- Insertion a title at the foot of a page with the subsequent text on the next page
- Separating a table/chart or figure - impound each figure/table to a single page
- Submitting a manuscript with pages out of sequence

##### *In every sections of your document*

- Use standard writing style including articles ("a", "the," etc.)
- Keep on paying attention on the research topic of the paper
- Use paragraphs to split each significant point (excluding for the abstract)
- Align the primary line of each section
- Present your points in sound order
- Use present tense to report well accepted
- Use past tense to describe specific results
- Shun familiar wording, don't address the reviewer directly, and don't use slang, slang language, or superlatives
- Shun use of extra pictures - include only those figures essential to presenting results

#### **Title Page:**

Choose a revealing title. It should be short. It should not have non-standard acronyms or abbreviations. It should not exceed two printed lines. It should include the name(s) and address (es) of all authors.

#### **Abstract:**

The summary should be two hundred words or less. It should briefly and clearly explain the key findings reported in the manuscript--must have precise statistics. It should not have abnormal acronyms or abbreviations. It should be logical in itself. Shun citing references at this point.

An abstract is a brief distinct paragraph summary of finished work or work in development. In a minute or less a reviewer can be taught the foundation behind the study, common approach to the problem, relevant results, and significant conclusions or new questions.

Write your summary when your paper is completed because how can you write the summary of anything which is not yet written? Wealth of terminology is very essential in abstract. Yet, use comprehensive sentences and do not let go readability for briefness. You can



maintain it succinct by phrasing sentences so that they provide more than lone rationale. The author can at this moment go straight to shortening the outcome. Sum up the study, with the subsequent elements in any summary. Try to maintain the initial two items to no more than one ruling each.

- Reason of the study - theory, overall issue, purpose
- Fundamental goal
- To the point depiction of the research
- Consequences, including definite statistics - if the consequences are quantitative in nature, account quantitative data; results of any numerical analysis should be reported
- Significant conclusions or questions that track from the research(es)

Approach:

- Single section, and succinct
- As a outline of job done, it is always written in past tense
- A conceptual should situate on its own, and not submit to any other part of the paper such as a form or table
- Center on shortening results - bound background information to a verdict or two, if completely necessary
- What you account in an conceptual must be regular with what you reported in the manuscript
- Exact spelling, clearness of sentences and phrases, and appropriate reporting of quantities (proper units, important statistics) are just as significant in an abstract as they are anywhere else

**Introduction:**

The **Introduction** should "introduce" the manuscript. The reviewer should be presented with sufficient background information to be capable to comprehend and calculate the purpose of your study without having to submit to other works. The basis for the study should be offered. Give most important references but shun difficult to make a comprehensive appraisal of the topic. In the introduction, describe the problem visibly. If the problem is not acknowledged in a logical, reasonable way, the reviewer will have no attention in your result. Speak in common terms about techniques used to explain the problem, if needed, but do not present any particulars about the protocols here. Following approach can create a valuable beginning:

- Explain the value (significance) of the study
- Shield the model - why did you employ this particular system or method? What is its compensation? You strength remark on its appropriateness from a abstract point of vision as well as point out sensible reasons for using it.
- Present a justification. Status your particular theory (es) or aim(s), and describe the logic that led you to choose them.
- Very for a short time explain the tentative propose and how it skilled the declared objectives.

Approach:

- Use past tense except for when referring to recognized facts. After all, the manuscript will be submitted after the entire job is done.
- Sort out your thoughts; manufacture one key point with every section. If you make the four points listed above, you will need a least of four paragraphs.
- Present surroundings information only as desirable in order hold up a situation. The reviewer does not desire to read the whole thing you know about a topic.
- Shape the theory/purpose specifically - do not take a broad view.
- As always, give awareness to spelling, simplicity and correctness of sentences and phrases.

**Procedures (Methods and Materials):**

This part is supposed to be the easiest to carve if you have good skills. A sound written Procedures segment allows a capable scientist to replacement your results. Present precise information about your supplies. The suppliers and clarity of reagents can be helpful bits of information. Present methods in sequential order but linked methodologies can be grouped as a segment. Be concise when relating the protocols. Attempt for the least amount of information that would permit another capable scientist to spare your outcome but be cautious that vital information is integrated. The use of subheadings is suggested and ought to be synchronized with the results section. When a technique is used that has been well described in another object, mention the specific item describing a way but draw the basic principle while stating the situation. The purpose is to text all particular resources and broad procedures, so that another person may use some or all of the methods in one more study or referee the scientific value of your work. It is not to be a step by step report of the whole thing you did, nor is a methods section a set of orders.

#### Materials:

- Explain materials individually only if the study is so complex that it saves liberty this way.
- Embrace particular materials, and any tools or provisions that are not frequently found in laboratories.
- Do not take in frequently found.
- If use of a definite type of tools.
- Materials may be reported in a part section or else they may be recognized along with your measures.

#### Methods:

- Report the method (not particulars of each process that engaged the same methodology)
- Describe the method entirely
- To be succinct, present methods under headings dedicated to specific dealings or groups of measures
- Simplify - details how procedures were completed not how they were exclusively performed on a particular day.
- If well known procedures were used, account the procedure by name, possibly with reference, and that's all.

#### Approach:

- It is embarrassed or not possible to use vigorous voice when documenting methods with no using first person, which would focus the reviewer's interest on the researcher rather than the job. As a result when script up the methods most authors use third person passive voice.
- Use standard style in this and in every other part of the paper - avoid familiar lists, and use full sentences.

#### What to keep away from

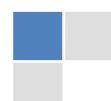
- Resources and methods are not a set of information.
- Skip all descriptive information and surroundings - save it for the argument.
- Leave out information that is immaterial to a third party.

#### Results:

The principle of a results segment is to present and demonstrate your conclusion. Create this part a entirely objective details of the outcome, and save all understanding for the discussion.

The page length of this segment is set by the sum and types of data to be reported. Carry on to be to the point, by means of statistics and tables, if suitable, to present consequences most efficiently.

You must obviously differentiate material that would usually be incorporated in a study editorial from any unprocessed data or additional appendix matter that would not be available. In fact, such matter should not be submitted at all except requested by the instructor.



## Content

- Sum up your conclusion in text and demonstrate them, if suitable, with figures and tables.
- In manuscript, explain each of your consequences, point the reader to remarks that are most appropriate.
- Present a background, such as by describing the question that was addressed by creation an exacting study.
- Explain results of control experiments and comprise remarks that are not accessible in a prescribed figure or table, if appropriate.
- Examine your data, then prepare the analyzed (transformed) data in the form of a figure (graph), table, or in manuscript form.

## What to stay away from

- Do not discuss or infer your outcome, report surroundings information, or try to explain anything.
- Not at all take in raw data or intermediate calculations in a research manuscript.
- Do not present the similar data more than once.
- Manuscript should complement any figures or tables, not duplicate the identical information.
- Never confuse figures with tables - there is a difference.

## Approach

- As forever, use past tense when you submit to your results, and put the whole thing in a reasonable order.
- Put figures and tables, appropriately numbered, in order at the end of the report
- If you desire, you may place your figures and tables properly within the text of your results part.

## Figures and tables

- If you put figures and tables at the end of the details, make certain that they are visibly distinguished from any attach appendix materials, such as raw facts
- Despite of position, each figure must be numbered one after the other and complete with subtitle
- In spite of position, each table must be titled, numbered one after the other and complete with heading
- All figure and table must be adequately complete that it could situate on its own, divide from text

## Discussion:

The Discussion is expected the trickiest segment to write and describe. A lot of papers submitted for journal are discarded based on problems with the Discussion. There is no head of state for how long a argument should be. Position your understanding of the outcome visibly to lead the reviewer through your conclusions, and then finish the paper with a summing up of the implication of the study. The purpose here is to offer an understanding of your results and hold up for all of your conclusions, using facts from your research and generally accepted information, if suitable. The implication of result should be visibly described. Infer your data in the conversation in suitable depth. This means that when you clarify an observable fact you must explain mechanisms that may account for the observation. If your results vary from your prospect, make clear why that may have happened. If your results agree, then explain the theory that the proof supported. It is never suitable to just state that the data approved with prospect, and let it drop at that.

- Make a decision if each premise is supported, discarded, or if you cannot make a conclusion with assurance. Do not just dismiss a study or part of a study as "uncertain."
- Research papers are not acknowledged if the work is imperfect. Draw what conclusions you can based upon the results that you have, and take care of the study as a finished work
- You may propose future guidelines, such as how the experiment might be personalized to accomplish a new idea.
- Give details all of your remarks as much as possible, focus on mechanisms.
- Make a decision if the tentative design sufficiently addressed the theory, and whether or not it was correctly restricted.
- Try to present substitute explanations if sensible alternatives be present.

- One research will not counter an overall question, so maintain the large picture in mind, where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

Approach:

- When you refer to information, differentiate data generated by your own studies from available information
- Submit to work done by specific persons (including you) in past tense.
- Submit to generally acknowledged facts and main beliefs in present tense.

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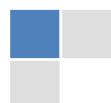
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	A-B	C-D	E-F
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<b>Introduction</b>	Containing all background details with clear goal and appropriate details, flow specification, no grammar and spelling mistake, well organized sentence and paragraph, reference cited	Unclear and confusing data, appropriate format, grammar and spelling errors with unorganized matter	Out of place depth and content, hazy format
<b>Methods and Procedures</b>	Clear and to the point with well arranged paragraph, precision and accuracy of facts and figures, well organized subheads	Difficult to comprehend with embarrassed text, too much explanation but completed	Incorrect and unorganized structure with hazy meaning
<b>Result</b>	Well organized, Clear and specific, Correct units with precision, correct data, well structuring of paragraph, no grammar and spelling mistake	Complete and embarrassed text, difficult to comprehend	Irregular format with wrong facts and figures
<b>Discussion</b>	Well organized, meaningful specification, sound conclusion, logical and concise explanation, highly structured paragraph reference cited	Wordy, unclear conclusion, spurious	Conclusion is not cited, unorganized, difficult to comprehend
<b>References</b>	Complete and correct format, well organized	Beside the point, Incomplete	Wrong format and structuring

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ISSN 9755896

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