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# Homeotopy Groups of 2-Dimensional Manifolds with One Boundary Component

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**Introduction-** Let  $Y$  be a compact, connected 2—dimensional manifold with boundary. The homeotopy group of  $Y$ , denoted  $H(Y)$ , is defined to be the group of isotopy classes in the space of all homeomorphisms of  $Y$  onto  $Y$ . This group (also known as the mapping class group) has been studied for various manifolds (see, for example, [2] and [3]). It is also possible to consider “subhomeotopy groups” where there are restrictions placed on the action of the homeomorphisms on the boundary of  $Y$  (see, for example, [7] and [8]). In this note we will consider the special case of a compact, connected manifold with exactly one boundary component. For the remainder of this paper we will assume  $Y$  represents a compact, connected manifold with exactly one boundary component and we will let  $X$  denote the closed 2—manifold obtained by sewing a disk to the boundary of  $Y$ . Let  $\text{Aut } \pi_1(X, x_0)$  denote the group of automorphisms of  $\pi_1(X, x_0)$  where  $x_0 \in \text{Bd}(Y)$ . In this paper we establish the following result.  
Theorem. If  $Y$  is not a Moebius band or a disk, then  $H(Y) = \text{Aut } \pi_1(X, x_0)$ .

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# Homeotopy Groups of 2—Dimensional Manifolds with One Boundary Component

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## I. INTRODUCTION

Let  $Y$  be a compact, connected 2—dimensional manifold with boundary. The homeotopy group of  $Y$ , denoted  $H(Y)$ , is defined to be the group of isotopy classes in the space of all homeomorphisms of  $Y$  onto  $Y$ . This group (also known as the mapping class group) has been studied for various manifolds (see, for example, [2] and [3]). It is also possible to consider "subhomeotopy groups" where there are restrictions placed on the action of the homeomorphisms on the boundary of  $Y$  (see, for example, [7] and [8]). In this note we will consider the special case of a compact, connected manifold with exactly one boundary component. For the remainder of this paper we will assume  $Y$  represents a compact, connected manifold with exactly one boundary component and we will let  $X$  denote the closed 2—manifold obtained by sewing a disk to the boundary of  $Y$ . Let  $\text{Aut } \pi_1(X, x_0)$  denote the group of automorphisms of  $\pi_1(X, x_0)$  where  $x_0 \in \text{Bd}(Y)$ . In this paper we establish the following result.

*Theorem.* If  $Y$  is not a Moebius band or a disk, then  $H(Y) = \text{Aut } \pi_1(X, x_0)$

## II. PROOF OF THE THEOREM

Let  $[(X, x_0), (X, x_0)]$  denote the set of homotopy classes (rel  $x_0$ ) of maps from  $(X, x_0)$  to  $(X, x_0)$  and let  $[f]$  denote the homotopy class (rel  $x_0$ ) of a mapping  $f$  from  $(X, x_0)$  to  $(X, x_0)$ . Let  $\text{End } \pi_1(X, x_0)$  denote the set of endomorphisms of  $\pi_1(X, x_0)$  with the operation of composition.

**Lemma 1.** If  $X$  is a closed 2-manifold with  $\pi_2(X, x_0) = 0$  and  $\phi : [(X, x_0), (X, x_0)] \rightarrow \text{End } \pi_1(X, x_0)$  is given by  $\phi([f]) = f_*$ , then  $\phi$  is a bijection which preserves the operation of composition.

*Proof of lemma 1.*

1. Clearly  $\phi$  is well defined and  $\phi([f \circ g]) = \phi([f]) \circ \phi([g])$
2. Claim  $\phi$  is a surjection.

Let  $F$  in  $\text{END } \pi_1(X, x_0)$ . We define  $f: (X, x_0) \rightarrow (X, x_0)$  as follows:

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Take  $x_0$  to be vertex of the triangulation of  $X$  and let  $T$  be a maximal tree in  $X$ . If  $x \in T$ , we let  $f(x) = x_0$ . Now, suppose  $s$  is a 1—simplex not in  $T$  with  $h: [0,1] = s$ . Let  $\Gamma_i$ , be a path in  $T$  from  $x_0$  to  $h(i)$ ,  $i = 0, 1$ . Define the loop  $\alpha_s$  at  $x_0$  by letting  $\alpha_s(t) = \Gamma_0(t)$  if  $-1 \leq t \leq 0$ ,  $\alpha_s(t) = h(t)$  if  $0 \leq t \leq 1$ , and  $\alpha_s(t) = \Gamma_0^{-1}(t)$  if  $1 \leq t \leq 2$ . If  $F[\alpha_s] = [\beta]$ , we let  $f/s = \beta \circ h^{-1} \circ s$ . This defines  $f$  on the 1-skeleton of  $X$ .

Finally, if  $\Delta$  is a 2-simplex with edges  $s_1, s_2$ , and  $s_3$ , then  $[\alpha_{s_1} * \alpha_{s_2} * \alpha_{s_3}] = 1$ . This means  $f/\delta\Delta$  is null homotopic, i.e.,  $f$  extends to  $\Delta$ . Hence, the mapping of  $f$  defined on the 1-skeleton as above, extends to a mapping defined on all of the 2-dimensional manifold  $X$ . Note that by construction,  $f_*[\alpha_s] = F[\alpha_s]$  and since  $\{[\alpha_s]: S \text{ is a 1-simplex of } X\}$  generates  $\pi_1(X, x_0)$  we have  $f_* = F$ .

### III. CLAIM $\phi$ IS AN INJECTION

Suppose  $f_* = g_*$ . As in Part 2), let  $T$  be a maximal tree.  $f/T$  is homotopic (rel  $x_0$ ) to a map which sends  $T$  to  $x_0$  (just use the retraction of  $T$  to  $x_0$ ). Hence, by the homotopy extension property,  $f$  is homotopic (rel  $x_0$ ) to a map  $f'$  with  $f'(T) = x_0$ . Therefore, we can assume  $f(T) = g(T) = x_0$ . In particular, for each 1-simplex  $s$ ,  $f/s$  and  $g/s$  are loops at  $x_0$ . Since  $f_* = g_*$  this means  $f/s$  is homotopic to  $g/s$  (rel  $x_0$ ). Thus, for each 2—simplex  $\Delta$  we have a map  $H: \delta(\Delta \times I) \rightarrow X$  where  $H/\Delta \times 0 = f/\Delta$ ,  $H/\Delta \times 1 = g/\Delta$  and for each 1-simplex  $s$  in  $\delta\Delta$ ,  $H/S \times I$  is a homotopy from  $f/s$  to  $g/s$ . Since  $\pi_2(X, x_0) = 0$  and  $\delta(\Delta \times I) = S^2$ , this map  $H$  can be extended to all of  $\Delta \times I$ . Fitting together each of these  $H$ 's we get a homotopy (rel  $x_0$ ) from  $f$  to  $g$ , i.e.,  $[f] = [g]$ .

**Lemma 2 :** If  $X$  is a closed 2—manifold and  $h: (X, x_0) \rightarrow (X, x_0)$  is a homeomorphism which is homotopic to the identity (rel  $x_0$ ) then  $h$  is isotopic to the identity (rel  $x_0$ ).

*Proof.* This is a special case of Theorem 6.3 of [1].

**Lemma 3.** If  $X$  is a closed 2—manifold with  $x_0 \in X$  and  $G$  is an automorphism of  $\pi_1(X, x_0)$ , then there exists a homeomorphism  $h: (X, x_0) \rightarrow (X, x_0)$  with  $h_* = G$ .

*Proof.* This result is proved in [4].

Let  $H(X, x_0)$  denote the group of isotopy classes (rel  $x_0$ ) in the space of all homeomorphisms of  $(X, x_0)$  onto  $(X, x_0)$ .

By Lemma 2 the function from  $H(X, x_0)$  to  $[(X, x_0)]$  which sends the isotopy class (rel  $x_0$ ) of a homeomorphism to its homotopy class (rel  $x_0$ ) is an injection. By Lemma 1 the composition  $H(X, x_0) \rightarrow [(X, x_0), (X, x_0)] \rightarrow \text{End } \pi_1(X, x_0)$  is a monomorphism of the group  $H(X, x_0)$  onto a subgroup of  $\text{Aut } \pi_1(X, x_0)$ . Finally Lemma 3 shows that this monomorphism is an isomorphism onto  $\text{Aut } \pi_1(X, x_0)$ . Thus the proof of the theorem reduces to showing that  $H(Y)$  is isomorphic to  $H(X, x_0)$ . This is done by the following lemma.

**Lemma 4.** If  $Y$  is a compact, connected 2—dimensional manifold with one boundary component and  $X$  is the closed 2—manifold obtained by sewing a disk to the boundary of  $Y$  and  $x_0 \in X - \text{Bd}(Y)$  then  $H(X, x_0) = H(Y)$ .

**Proof.** This result is a special case of Theorem 6 of [6].

**Remark 3.** If  $Y$  is a Moebius band or disk, then  $H(Y)$  is not isomorphic to  $\text{Aut } \pi_1(X, x_0)$ . In the case  $Y$  is a disk, so that  $X = S^2$ , we have  $\text{Aut } \pi_1(X, x_0) = 1$  while  $H(Y) = Z_2$  (see Theorem 4.2 of [4]). In the case  $Y$  is a Moebius band, so that  $X = P^2$ , we have  $\text{Aut } \pi_1(X, x_0) = 1$  while  $H(Y) = Z_2$  (see Theorem 8.1 of [4]).

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