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Sensory Qualities of Bread

Ecosystem Services Provision

Highlights

Determinants of Conservation

Security of Farming Communities

Discovering Thoughts, Inventing Future

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Shades of Shade: Determinants of Conservation Practices in Coffee Plantations for Ecosystem Services Provision in Puerto Rico, a Preliminary Analysis

By Laura Villegas

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Abstract- Ecosystems provide society with a wide range of services—from reliable flows of clean water to productive soil, carbon sequestration and biodiversity conservation among many others. However, private landowners typically lack the incentive to manage their land to provide ecosystem services because many of these benefits accrue to third parties. As a result, land management effects on ecosystem services are often not incorporated into private decision-making, perpetuating suboptimal outcomes that may even harm both human well-being and the environment. To tackle this inefficiency, the use of market instruments and other forms of incentive programs that target resource conservation and provision of ecosystem services in private lands have become increasingly prevalent in environmental policy.

Keywords: *payments for ecosystem services, biodiversity conservation, puerto rico, coffee.*

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Shades of Shade: Determinants of Conservation Practices in Coffee Plantations for Ecosystem Services Provision in Puerto Rico, a Preliminary Analysis

Laura Villegas

Abstract- Ecosystems provide society with a wide range of services—from reliable flows of clean water to productive soil, carbon sequestration and biodiversity conservation among many others. However, private landowners typically lack the incentive to manage their land to provide ecosystem services because many of these benefits accrue to third parties. As a result, land management effects on ecosystem services are often not incorporated into private decision-making, perpetuating suboptimal outcomes that may even harm both human well-being and the environment. To tackle this inefficiency, the use of market instruments and other forms of incentive programs that target resource conservation and provision of ecosystem services in private lands have become increasingly prevalent in environmental policy. In this paper, I develop a micro-econometric model that examines the factors affecting land-owner participation in a variety of biodiversity conservation and agricultural-land management programs and the impacts of these schemes on the adoption of conservation practices among commercial coffee farmers in Puerto Rico. The empirical results indicate that participation in agricultural-land management programs increases the probability of using conservation agriculture practices. In general, the results suggest that farmers who participate in conservation programs encouraging the cultivation of coffee under shaded canopies are “newer” farmers who take advantage of quality differentials in their product and sell it in specialty markets. In turn, the findings suggest that it may be “privileged” farmers who are more likely to adopt shade-management practices. Based on the studied sample, federal environmental agencies interested in improving the targeting of existing programs should be wary of displacing antagonistic state programs that favor a monocrop-type of coffee cultivation as these seem to be, paradoxically, the most important driver of the decision to adopt environmentally beneficial agricultural management practices.

Keywords: *payments for ecosystem services, biodiversity conservation, puerto rico, coffee.*

I. INTRODUCTION

Ecosystems provide society with a wide range of services—from reliable flows of clean water to productive soil, carbon sequestration and biodiversity conservation among many others. Individuals, companies, and communities rely on these

services for raw inputs, production processes, food security, climate resilience and other benefits. However, private landowners typically lack the incentive to manage land to provide ecosystem services because many of these benefits accrue to third parties. As a result, land management effects on ecosystem services are often not incorporated into private decision-making, perpetuating suboptimal outcomes that may even harm both human well-being and the environment. To tackle this inefficiency, the use of market instruments and other forms of incentive programs that target resource conservation and provision of ecosystem services in private lands have become increasingly prevalent in environmental policy.

Several previous studies have examined the effects of incentive policies on carbon sequestration, biodiversity conservation, pollination services, habitat fragmentation, agricultural land prices, economic returns from different land-use patterns, provision of spatially dependent ecosystem services, and poverty amelioration in developing contexts.¹ In general, evidence of the effect of incentive programs on the adoption of conservation practices and the efficiency of conservation policies in accomplishing environmental and social development goals is mixed and context-specific. This study contributes to the existing literature devoted to this issue not only by adding another estimation testing the robustness of previous findings, but by exploring new linkages between competing policy instruments, adoption decisions and biodiversity conservation goals. In this paper, I develop a micro-econometric model that examines the factors affecting land-owner participation in a variety of biodiversity conservation and agricultural-land management programs and the impacts of these schemes on the adoption of conservation practices among commercial coffee farmers in Puerto Rico.

There is a broad consensus within the literature that adoption and diffusion of conservation practices are

¹ See for example Lawler et al. (2014); Lewis and Wu (2014); Lewis (2010); Lin (2010); Polasky and Segerson (2009); Lewis and Plantinga (2009); Nelson et al. (2008); Lewis and Plantinga (2007); Kremen et al. (2007).

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the result of a complex decision-making process, particularly when examined at the micro-economic level. Those decisions have been found to depend on a wide array of factors related to agro-ecological factors such as habitat fragmentation and spatial configuration of agricultural lands, farmer demographics and political, cultural and economic institutions of a particular social environment, among others.²In Puerto Rico, little research has been done about the status of coffee production, the influence of governmental policies on farming practices and the attitudes of farmers towards production practices that are beneficial to the environment. Therefore, a primary objective of this study is to investigate the factors that determine farmer participation in conservation programs and the impact of said programs on adoption of conservation practices in Puerto Rico.

The preliminary empirical results indicate that participation in agricultural-land management programs increases the probability of using conservation agriculture practices. In general, the results suggest that farmers who participate in conservation programs encouraging the cultivation of coffee under a shaded canopy are “newer” farmers who take advantage of quality differentials in their product to sell in specialty markets. In turn, the findings suggest that it may be “privileged” farmers who are more likely to adopt shade-management practices. Coffee producers in Puerto Rico face the decision to participate in federal incentive programs that encourage in situ biodiversity conservation or in antagonistic state programs that favor the use of monocrop-type-of coffee production methods that may be harmful for the environment. Based on the studied sample, federal environmental agencies interested in improving the targeting of existing programs should be wary of displacing antagonistic state programs, as these seem to be, paradoxically, the most important driver of the decision to adopt environmentally beneficial agricultural management practices.

This paper is organized as follows. Section 2 presents a short description of the agroecology of coffee production and introduces the concept of conservation agriculture and payments for ecosystem services (PES). Section 3 provides a brief review of the microeconomic literature on PES and biodiversity ecosystem services provision. Section 4 introduces and describes in detail the case of coffee farming and biodiversity conservation in Puerto Rico. In section 5, a theoretical framework is elaborated and key insights from this section are used to design and conduct the empirical work of this research. Section 6 presents the

empirical methods followed in this study. Data description is followed by a discussion of the econometric methodology that was followed for estimation. This section ends with a presentation of the results from the econometric estimation. This paper ends with section 7 where conclusions and a short discussion of policy implications are presented.

II. THE AGRO-ECOLOGY OF COFFEE PRODUCTION

Coffee is an important commodity traded internationally. The commodity chain comprises growers, harvesters, processors, exporters, importers, shippers and roasters before the end product finally reaches consumers via supermarkets, specialist retailers and coffee shops. Coffee exporting alone is a USD \$20 billion industry with tens of millions of people relying on coffee production as their primary form of employment around the world.³Coffee is produced and exported by approximately 55 countries, most of which are lower/middle income countries. The largest world producing region is Central and South America, with many of the leading world producers like Brazil, Colombia, and Mexico. Other important producers in the region are Peru, Guatemala, El Salvador, and Costa Rica. As far as production in North American countries goes, coffee plants grow commercially only in U.S. territories of Hawaii and Puerto Rico.⁴

The coffee tree is an evergreen tropical plant which grows in the tropics between latitudes 25°N and 25°S. There are over 60 species of coffee tree but only two dominate world production: Robusta and Arabica—which account for approximately 30% and 70% of world production, respectively. The world’s largest producers of Arabica and Robusta coffee are, respectively, Brazil and Vietnam. Robusta coffee is a relatively resilient, high-yielding tree; highly resistant to disease but producing an inferior quality of bean.⁵ Most of the global production of Robusta is used in the making of instant coffees.

Arabica, on the other hand, is a more delicate variety producing a more heterogeneous product, the quantity and quality of this bean varies significantly depending on soil, rainfall, altitude, temperature, amount of sunlight, and the cultivation practices followed by growers. Typically, Arabica beans sell for almost twice the price of Robusta beans in the market.

A typical coffee tree, Robusta or Arabica, takes about five years to yield a considerable crop and seven

² See the works by Page and Bellotti (2015); Greiner et al. (2009); Kauneckis and York (2009); Amsalud and De gRaffe (2008); De Graffe et al. (2008); Kumar (2007), Birol et al. (2006); Knowler and Bradshaw (2007).

³ Cooper (2014).

⁴ For more information visit the Wikipedia page on economics of coffee: https://en.wikipedia.org/wiki/Economics_of_coffee.

⁵ Robusta has higher caffeine content than Arabica (almost twice as much). Caffeine has a bitter taste but also serves as a chemical defense for the coffee seed so that the quantity of caffeine in Robusta is toxic to insects.

to bear at full capacity (about a pound of coffee annually). Such rapid development of a tree that never becomes large suggests a short life. However, one coffee planting is typically unprofitable after 15-30 crops (more or less the same number of years, as coffee is generally harvested once a year).⁶ There are four fundamental stages in coffee production: picking, processing (sorting), milling, and storing. Whenever coffee plantations are located in the mountains, which is the most frequent case among Central and South American countries—except for Brazil, the picking of fruits (also called cherries) is mostly done by hand. There are two strategies for picking: (1) strip picking, where all coffee fruits are removed from the tree regardless of their maturation, and (2) selectively picking, where only ripe cherries are picked.⁷ Selectively picking is very labor intensive because it requires pickers to visit the plantation every 8 to 10 days; therefore, it is only used for harvesting the finest Arabica beans.

A key feature of coffee production (and other fruit crops) is that the future yields from coffee plants decline (and maybe dramatically) when the plants are not maintained or when the coffee cherries are not harvested in a given year. If left unharvested, many “old” fruits will remain in place and limit the space for the formation of new flowers possibly causing significant damage to the tree’s carrying capacity. In some cases, only significant investments can restore a coffee plantation where cherries have been left unharvested for a year.⁸

There are grossly two methods for managing a coffee plantation: farmers can produce coffee under a diverse and dense canopy of shade trees or grow the coffee trees without a shade cover. The coffee produced in a system with shade trees is called “shade coffee”, its counterpart is called “sun coffee”. In the past three decades, shade coffee cultivation has gained widespread attention for their crucial role in biodiversity conservation and ecosystem services provision.⁹ Ecosystem services such as pollination, pest control, climate regulation, and nutrient sequestration are generally greater in shaded coffee farms. The botanical diversity contained in these systems provides shelter for a high biodiversity of other organisms—including birds and amphibians—and is therefore considered better for the environment. Other purported environmental benefits of shade management regimes include diminished crop exhaustion, improvement of soil fertility,

and increased nutrient availability (due to fallen leaves).¹⁰

Despite the existence of positive environmental spillovers associated with shade cultivation, a recent trend in production in many coffee-growing regions is reducing the shade cover as this management practice proves economically unsustainable. Sun plantations are more economically attractive to coffee growers because, in the short run, sun-grown coffee trees are believed to produce higher yields than shade-grown trees. Additionally, as the forested structure of the farm makes it difficult to implement mechanized harvesting technologies, the harvesting shade plantations relies primarily on labor, therefore creating an additional dependence for coffee producers on the state of the labor market and the availability of complementary or substitutable inputs. Table 1 compares Sun and Shade Coffee production on various performance indicators. This information was obtained from a report on Mexican Shade Coffee presented to the WTO by Consumer’s Choice Council in 2002.

⁶ Perfecto and Vandermeer (2015).

⁷ Unless climate is dry for an unusually long period of time, or unless some other stochastic influences that cause very heavy blossoming very fast, there will be green fruit of different ages on the tree at harvesting time.

⁸ Batz et al. (2005).

⁹ Jha et al. (2014).

¹⁰ Borkhataria et al. (2012).

Table 1 : Comparison of shade and suncoffeeplantations

	Shade	Sun
Production		
Yield	Lower (~25-40%)	Higher
Plants/Hectare	1000-2000	3000-7000
Kg/Ha/Year	550	1600
Lifetime of Plants	24-30	12-15
Side Crops	High	
Flavor	Less Bitter	
Producers	Mostly, small-scale growers	Mostly, large-scale growers
Costs		
Weeding	Lower	Higher
Chemical Fertilizer	Lower	Higher
Pesticides	Lower	Higher
Irrigation	Lower	Higher
Labor*	Higher	Lower
Ecology		
Soil Erosion	Lower	Higher
Soil Acidification	Lower	Higher
Toxic Run-Off	Lower	Higher
Biology		
No. of Bird Species	150	20-50
Proportion Avifauna	2/3	~1/10
Mid-size Mammals	24 species	~0
Other	More species of ants, beetles, epiphytes, amphibians and other	Less species of ants, beetles, epiphytes, amphibians and other
<p>* Not included in the original table. Source: Seattle Audubon Society Shade-Grown Coffee Project available here: http://dnr.wi.gov/wrnmag/html/stories/2004/feb04/shadechart.htm</p>		

a) Institutional Approaches to Promote Conservation Agriculture

Given the economic, cultural and ecological importance of coffee in Latin America, the conservation/productivity trade-off presents an interesting opportunity to develop programs for sustainable development. Recently, several campaigns have been launched to promote the production and consumption of shade-coffee. One of the emerging strategies is shade coffee certification. Shade-coffee certification seeks to compensate farmers for the biodiversity conservation service provided by their shaded plantations. However, there is an important problem with the certification approach: a variety of shade management regimes exist in the coffee agro-ecosystem and it is not clear that all of them are necessarily good for maintaining biodiversity (see Figure 1). This is often called the “shades of shade” paradox. In general, there are three categories of agroforestry farms: rustic (coffee grown within the existing forest, the coffee plants have replaced some of the native plants); traditional polyculture (coffee is grown among native forest trees and intercropped with planted species that can generate additional income to farmers –like fruits and vegetables); and commercial polyculture (most native trees are removed to provide more space for coffee plants and coffee is mostly grown under planted timber and fruit trees). Such heterogeneity in




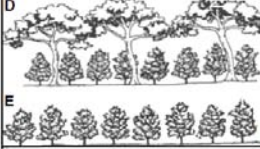
performance would imply determining different premium prices for particular regions, or even particular farms—which is highly unrealistic and politically unpalatable.

Another policy instrument that is increasingly popular for the conservation and sustainable management of natural resources in Latin America are schemes of Payments for Ecosystem Services (PES). PES are economic incentives offered to farmers or landowners in exchange for managing their agricultural and forest lands to provide some sort of ecological service. PES programs circumvent some of the problems encountered by the certification approach. Explicitly, these conservation programs (whether they are governmental or non-governmental) provide funds or other form of payment (sometimes they are paid in the form of agricultural inputs), increasing the profitability of productive lands without passing on higher prices to consumers. Additionally, PES programs can be tailored as pro-poor development schemes, enabling low-income farmers to earn a reliable stream of income by adopting more sustainable land management practices.

PES programs are popular in Central America and in the Amazon region—particularly for addressing matters of agro-forestry and water management. Nevertheless, and in spite of the push by many international organizations to promote shade coffee production, little is known about how coffee farmers

make decisions about take-up, maintenance, harvest, and abandonment, and therefore about their responses to policies that promote this production practice. In this study, I seek to aid the design of sound policy instruments in the region and improve the scientific understanding of producers' decision-making process

by carefully reviewing the particular case of Puerto Rican coffee growers that choose whether or not to participate in conservation programs offered by federal agencies and whose decision may help further broader conservation and environmental goals.

	MANAGEMENT SYSTEM	% SHADE* COVER	SHADE TREE RICHNESS*
	RUSTIC	71-100	> 50
	TRADITIONAL POLYCULTURE	41-70	21-50
	COMMERCIAL POLYCULTURE	31-40	6-20
	SHADED MONOCULTURE	10-30	1-5
	UNSHADED (SUN) MONOCULTURE	0	0

Modified from: Moguel and Toledo 1999; Rain Forest Alliance.

* Figures for percent shade and tree species richness are approximates based on studies cited by Moguel and Toledo, 1999 and our own research (Perfecto et al. 2003).

Figure 1 : Types of shade management systems with shade cover and shade tree richness. Source: Perfecto et al. (2007)

III. LITERATURE REVIEW

In neoclassical economic theory, the existence of market failures justifies regulatory intervention. In general, market failures are situations in which something prevents the market from reaching an efficient allocation of goods and services. In other words, there exists an alternative outcome where someone can be made better off without making someone worse off (in economics lingo, it is said there is an opportunity for a Pareto improvement). The coarse nomenclature of market failures includes externalities, public goods, imperfect information, and existence of market power. The study of the causes of market failures and the possible means of correcting it have important implications for public policy decisions. Policy interventions, such as taxes, price controls, and quotas, are often used to address market failures and reach a more efficient allocation of the resources in question. The case of ecosystem service provision in private lands is one of environmental externalities and impure public goods—goods that are neither wholly public nor wholly private.

The idea behind the PES concept is to provide additional incentives for private landowners to do more,

or less, of the target activity in order to produce the socially optimal level of ecosystem services—the level that maximizes social value. In this sense, PES behave as a Pigouvian subsidy for providers of ecosystem services and biodiversity conservation.¹¹ Theoretically, if the level of payment is set correctly, private agents can reach a socially efficient outcome by means of engaging in otherwise undistorted market interactions. The microeconomic foundations of PES can be visually summarized in Figure 2.

The economic literature studying Pigouvian approaches to solve environmental problems is long, rich and sound. However, the application of Pigouvian approaches to integrate economics and ecology in the study of ecosystem services is a newer initiative and its interdisciplinary nature makes it a vibrant topic in the environmental economics field. Over the past decade, academic progress in the natural resources fields has improved the scientific community's understanding of how ecosystems provide services and how service

¹¹ A Pigouvian subsidy is a subsidy provided to an activity that generate external benefits. The logic behind this policy is to incentivize the production of something whose production process generates benefits to third parties. For more detail see https://en.wikipedia.org/wiki/Pigouvian_tax.

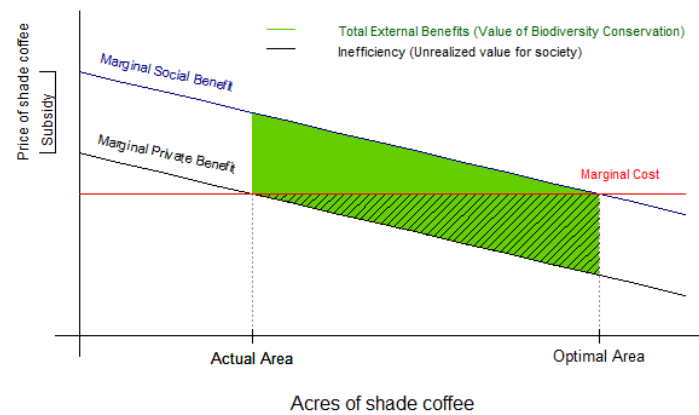


Figure 2 : Illustration of a Pigouvian Subsidy

provision translates into economic value.¹² Yet, there is much criticism over the approaches and assumptions used to study the relation between nature and economics. Common approaches to valuation often lack scientific foundation, or that lead to research that provides information that is largely irrelevant for answering complex policy question. Thus, moving from general pronouncements about the benefits nature provides to credible, quantitative estimates of ecosystem service values has been difficult.¹³

Nevertheless, recent advancements in computer technology and increased computer power has allowed researchers to incorporate more and more diverse information into scientific analysis, triggering an enormous amount of activity among focal natural resources researchers including environmental economists, ecologists, geographers, biologists and earth scientists. Between 2002 and 2015 the interdisciplinary literature examining ecosystem services provision and biodiversity conservation from a microeconomics framework grew rapidly. Recent studies have examined the effects of incentive policies on carbon sequestration, biodiversity conservation, pollination services, habitat fragmentation, agricultural land prices, economic returns from different land-use patterns, provision of spatially dependent ecosystem services, and poverty amelioration in developing contexts.¹⁴ In general, evidence of the effect of incentive programs on the adoption of conservation practices and the efficiency of conservation policies to meet

environmental and social development goals is not definite.

The problem of how to optimally allocate habitat for species conservation has been addressed previously.¹⁵ The objective of these studies is to select reserves to maximize the number of protected species subject to a constraint on the total area of reserved land. Economists have also contributed to this literature.¹⁶ Several authors have examined questions of optimal targeting of conservation incentives (including voluntary incentives) for furthering some environmental goal—such as reducing forest or habitat fragmentation, or enhancing the provision of ecosystem services like carbon sequestration and pollination.¹⁷ However, few studies have developed methods to explicitly connect policy impacts on private land-use decisions and the resulting change ecosystem services provision.

Among the most complete and sophisticated works found in the recent literature, is the study conducted by Lewis et al. (2011) which addresses the efficiency of voluntary incentive-based policies in achieving biodiversity conservation objectives. In this study, researchers build off of their previous works on conservation planning and incentive-based policies.¹⁸ The researchers develop a sound method that integrates an econometric model of private land-use decisions, landscape simulations, spatially explicit data, a biological model that estimates species persistence, and an algorithm that approximates a set of efficient solutions. The general result from this study is that voluntary incentive-based policies are often highly

¹² The field of research on topics of ecosystem services provision has benefited from support of large initiatives such as the EPA's establishment of the Science Advisory Board to study the valuation and protection of ecological systems and services in 2003, the 2005 UN Millennium Ecosystem Assessment, and joint ventures among private institutions like the Natural Capital Project which was launched in 2006.

¹³ Nelson et al. (2009).

¹⁴ See for example Lawler et al. (2014); Lewis and Wu (2014); Lewis (2010); Lin (2010); Polasky and Segerson (2009); Lewis and Plantinga (2009); Nelson et al. (2008); Lewis and Plantinga (2007); Kremen et al. (2007).

¹⁵ See for example, Kirkpatrick (1993); Vane-Wright, Humphries and Williams (1991); Fischer and Church (2003); and Onal and Briers (2003).

¹⁶ Ando et al.(1998); Wy, Zilberman and Babcock (2001); Polasky, Camm and Garber-Yonts(2001); Costello and Polasky(2004); Newburn, Berck and Merenlender(2006); and Polasky et al. (2008).

¹⁷ Lewis and Plantinga(2007); Lewis et al. (2009); Lubowski et al. (2006); Kremer et al., (2007).

¹⁸ see Lewis and Plantinga(2007); Nelson et al. (2008); Polasky et al. (2008); and Lewis et al. (2009).

inefficient in achieving conservation objectives with the inefficiency of incentives in improving biodiversity arising primarily from the inability of regulators to control the spatial pattern of landscapes with a voluntary payment mechanisms.

As for the literature examining adoption and diffusion of conservation practices, there is a broad consensus within the literature that these decisions are the result of a complex process, particularly when examined at the micro-economic level. Those decisions have been found to depend on a wide array of factors related to agro-ecological factors such as habitat fragmentation and spatial configuration of agricultural lands, farmer demographics and political, cultural and economic institutions of a particular social environment, among others.¹⁹

For the particular case of Puerto Rico, little research has been done about the status of coffee production, the influence of governmental policies on farming practices and the attitudes of farmers towards sustainable production practices. The most recent study on farming practices and attitudes towards conservation was conducted by Borkhataria et al. (2012). The findings in this paper suggest that farmers prefer to grow shade coffee but grow sun coffee in order to qualify for incentives established by Puerto Rico's Department of Agriculture. In the following section, the specific case of coffee farming and incentive programs for and against biodiversity conservation in Puerto Rico is explored in detail. As it is understood from reviewing the rich history

of research and experimentation in the area of environmental policy, in conducting this study, I stand on the shoulders of giants. Nevertheless, as the field of environmental economics grows more dynamic and computer savvy, it becomes apparent that the present study is more than "new wine for old bottles". In incorporating new methodologies and tools into the sturdy foundations of neoclassical economic theory, this study contributes to the development of the fields of economics, ecology, and policy-making.

IV. THE CASE OF HABITAT CONSERVATION AMONG PUERTO RICO'S COFFEE PRODUCERS

In 2013, the Puerto Rico Department of Natural and Environmental Resources (PR DRNA) published its new habitat conservation strategy, which seeks to ensure the long-term persistence of resident species of birds and amphibians. As part of the strategy, the DRNA requires an increase in the share of protected habitat area in the island from 8% to 15% (that increase would require another 62,250 hectares of land). The Department established a priority for targeting five of the eleven habitats in the island (the five habitats for which less than 15% their area is currently covered by the standing boundaries of official protected areas). These five habitats are, in essence, variations of the secondary wet forest habitat and share similar geographical location in the island, the central south west (see Figure 3).

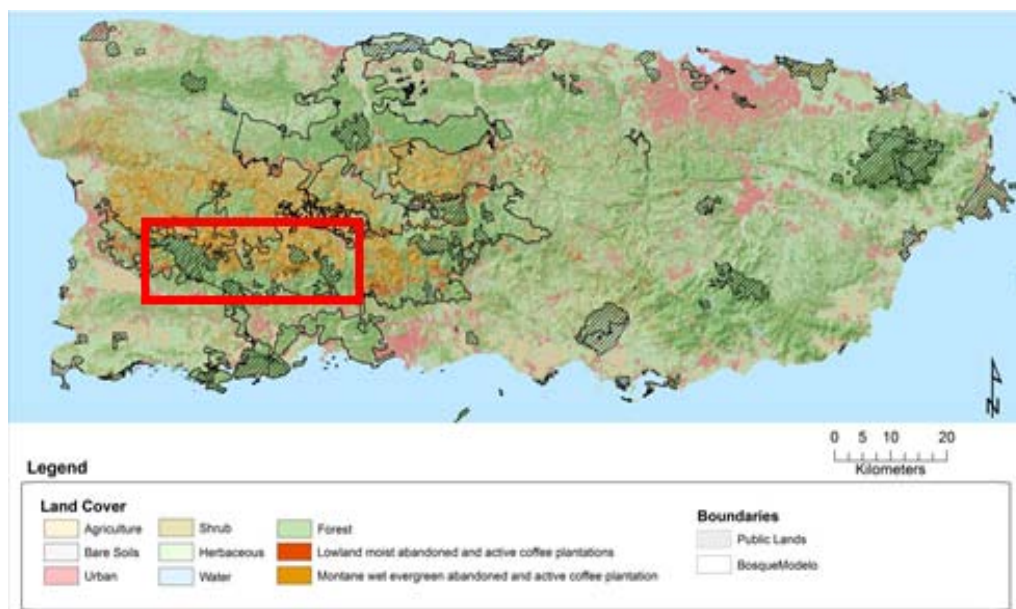


Figure 3 : General Target Area

¹⁹ See the works by Page and Bellotti (2015); Greiner et al. (2009); Kauneckis and York (2009); Amsalud and De gRaffe (2008); De Graffe et al. (2008); Kumar (2007), Birol et al. (2006); Knowler and Bradshaw (2007).

Interestingly, the focal area of the conservation project, where these five priority habitats are found, overlaps with the strongest coffee producing region of the island. The DRNA has limited resources and is therefore interested in finding a way to reach the conservation target area without having to purchase private land. An attractive alternative is to take advantage of the ecological benefits that conservation agriculture practices offer. Thus, the DRNA has expressed interest in improving the efficiency of existing biodiversity conservation programs that target land-management practices among coffee growers.

In 2013, the DRNA selected an interdisciplinary group of researcher to conduct a comprehensive study to guide the Department's decisions regarding the allocation of funds and efforts to meet the agency's goal: to increase the conservation area from 8% to 15% in the region of the island where most of the secondary wet forest habitats are. The project involves state, federal, academic and NGO agencies, including researchers from North Carolina State University (NCSU), the South East Climate Science Center (SECSC), PR Department of Agriculture (PRDA), the US

Fish and Wildlife Service (USFWS), the National Resources Conservation Service (NRCS), and Puerto Rico's Centro para la Conservación del Paisaje (CCP) and Casa Pueblo.

Between 2013 and 2015, a team of ecologist and geographers gathered data to determine the "optimal area of influence" of the project. Said area was defined as the patch of private land that performed best at meeting the following four conditions: maximizing the area within the "Bosque Modelo" (a political definition of certain zoning class in the island); maximizing the area of natural conservation areas already protected; hosting secondary wet forest habitats (priority habitats); and being located where the dominant economic activity is coffee agriculture. The final selection of land consisted of 44,174 hectares (18,076 short of the DNRA target). Based on the results of the geographical analysis, a socioeconomic survey was distributed to a random sample of coffee farmers within the selected area. The sample included 124 coffee farms in 12 municipalities. Figures 4-9 illustrate the process followed for finding the target area from which farmers were randomly selected.

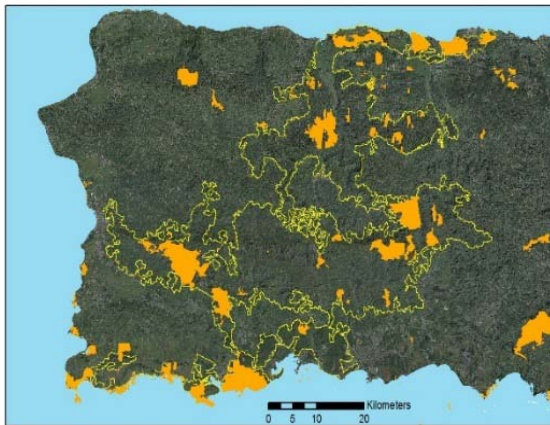


Figure 4 : Conservation zones (orange)

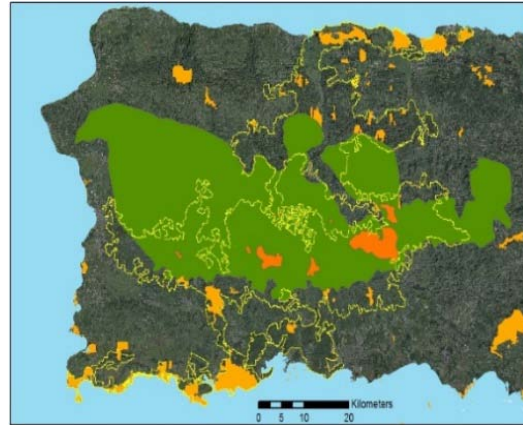


Figure 5 : Secondary wet forest

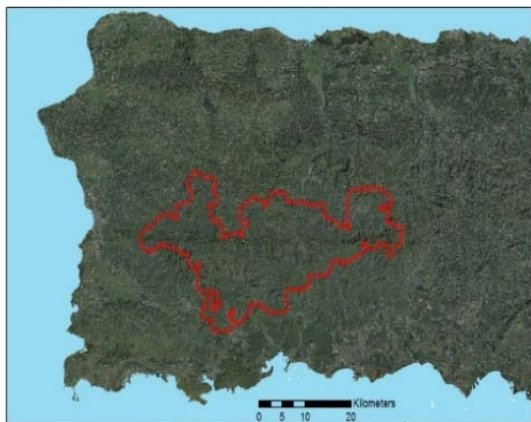


Figure 6 : Target Area

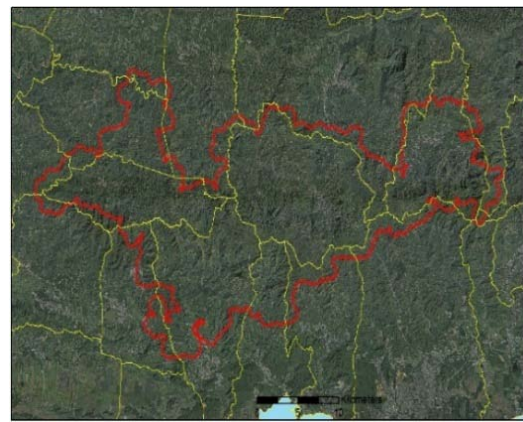


Figure 7 : Target Area and boundaries

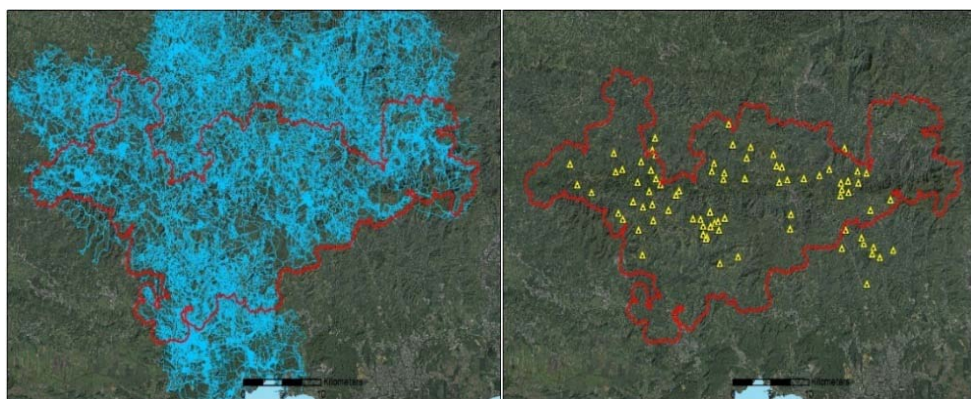


Figure 8 : Target Area and Properties

Figure 9 : Target Area and Survey Sites

a) *The Economic Incentives of Coffee Farming in Puerto Rico*

As presented so far, the case of conservation agriculture in Puerto Rico seems entirely ecological. However, there are standing, and competing, economic institutions and incentives of both governmental and non-governmental nature, which can ultimately determine whether the DNRA's strategy to increase the conservation area succeeds or fails.

Traditionally, coffee has been an essential commodity for Puerto Rican consumers and producers, and coffee cultivation in the island has a long history of government involvement.²⁰ In the recent past, support for the crop has included guaranteed price floors to producers, protection against international competition though the imposition of high tariffs, crop insurance, extension programs, wage subsidies and direct government payments. Since the late 1960s, the Department of Agriculture of Puerto Rico (PRDA) has encouraged coffee farmers to take up intensive farming without auxiliary shade trees in the plot to increase yields. To encourage sun coffee cultivation, the government uses subsidy programs and conditional insurance terms.

Government subsidy programs involve conditional cash and in-kind assistance (farmers receive fertilizer or pesticides). They also include economic aid for investment in specialized machinery, distribution of fertilizers and pesticides, access to extension services, and wage subsidies to reduce the costs of labor to farmers. In turn, the PRDA offers insurance products that focus on ameliorating costs from catastrophic environmental events, like hurricanes. Producers of sun and shade coffee have access to insurance and at the same cost. However, the perception is that shade coffee is of high risk to the coffee plants during catastrophic events (for example, falling trees will damage the crop during a hurricane). Therefore, growers of shade-coffee face less attractive insurance terms; for instance, shade

coffee growers receive less insurance money back in the event of a catastrophe and, in addition, the cost of replacing the shade trees is not covered by the insurance.

Government programs seemed to have had certain success. Figure 10 shows farmland devoted to shade and sun coffee between 1980 and 2007, and Figure 11 shows total number of coffee farms growing shade and sun coffee in the same period of time.

²⁰ Borkhataria et al. (2012).

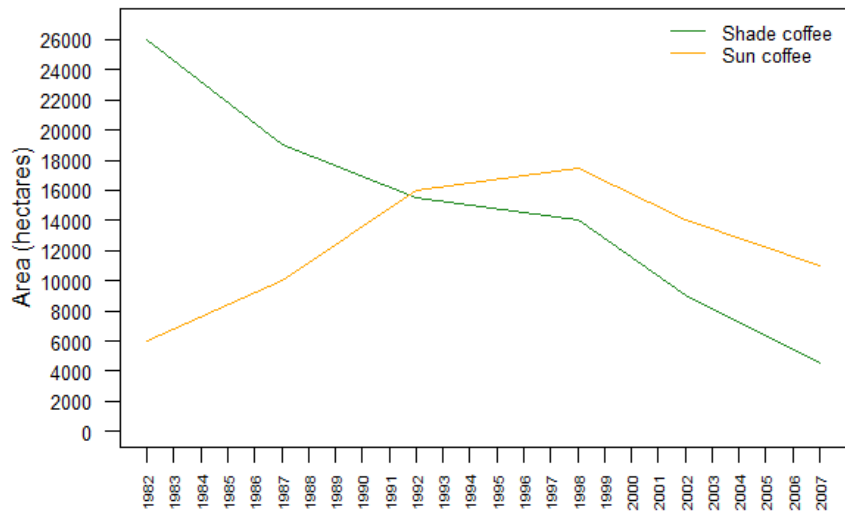


Figure 10 : Farmlandundershade and suncoffee. Source: USDA Census, 2012

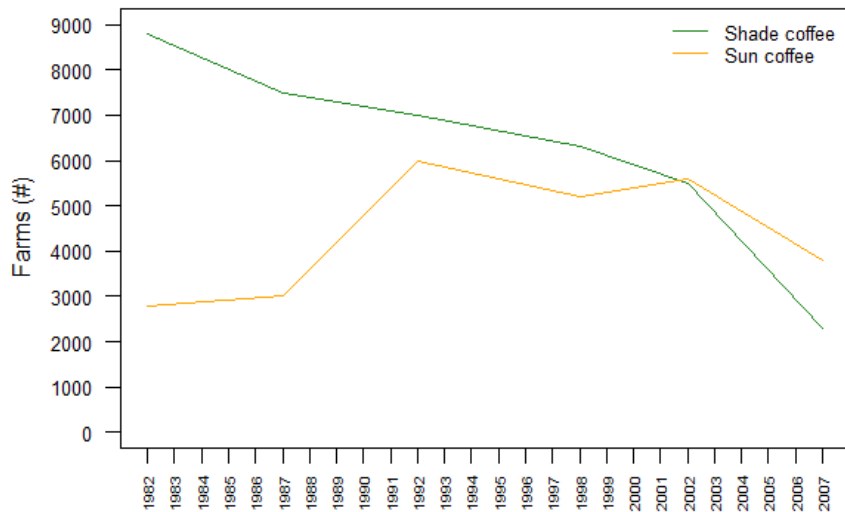


Figure 11 : Farms growing shade and suncoffee. Source: USDA Census, 2012

The apparent increase in farms practicing of sun monoculture has inspired concern among conservation agencies and since the early 2000 a consortium of organizations that include the NRCS and the USFWS has been involved in restoring the shading canopy in coffee plantations in the island. The restoration project in Puerto Rico focuses on promoting the transition from sun to shade coffee among farmers by providing the shade trees, funds and technical assistance. Under the NRCS and USFWS subsidy program, farmers are required to convert at least a third of their farm to shade. Beneficiaries receive the shade trees for free and some fixed amount of money (\$8) per tree planted. The number of trees a farmer receives depends on the land that is converted to shade coffee. Also, the support is only offered once, upon adoption.

It is unclear whether federal programs targeting biodiversity conservation have been successful at

nudging farmers to transition from sun to shade cultivation practices. There is a general lack of coordination between the agencies and the data collection and record is unorganized. Furthermore, there appear to be other incentive programs in place but their definition and function is rather unclear to farmers and researchers. An imperative threat to the validity of the findings resulting from the current investigation involves the confounding effect of these uncertain programs.

A preliminary review of the socioeconomic survey distributed by CCP in 2015, shows that 18% of farmers do not participate in any of the incentive programs (for sun or shade), 29% receive subsidies for cultivating both sun and shade coffee, 50% receive only PRDA (sun) incentives and 3% receive only federal (shade) incentives. According to this survey, the most popular incentive program is the PR Department of Agriculture's fertilizer subsidy program with 93% of

participants applying and receiving benefits from this program, followed by the wage subsidy program (53%), the assistance for new sun coffee farmers (48%), the NRCS shade coffee program (27%), and the DA's

subsidy for returning sun farmers (13%). Table 2 shows the percentage of program participants that receive benefits from a particular agency involved in distributing incentives.

Table 2 : Program participants by provider agency. Source: CCP Surveys, 2015.

USFWS	NRCS	PRDA	NRCS+PRDA	USFWS+PRDA	PRDA+NRCS+USFWS
0%	1%	60%	30%	3%	6%

b) Coffee Markets in Puerto Rico

Puerto Ricans consume around 30 million pounds of coffee per year—that's nearly 8.3 pounds per person per year. However, the island only produces a fraction of what it demands. Once a strong coffee producer with large markets in both the U.S. and Europe, Puerto Rico's coffee sector has been in sharp decline in the last decades, with growers increasingly leaving the coffee business and abandoning coffee

farms. Figure 12 shows a time series of area harvested, tons of coffee produced and coffee yield in Puerto Rico between 1961 and 2012. The contraction of the industry is undeniable. Since 1990, production has fallen by 63% and total land area devoted to coffee has declined more or less by half (from 32,114 ha to 15,144). In this time, the land devoted to shade coffee decreased nearly by 80% while cultivated sun coffee area increased by 65% (see Figures 10 and 11).

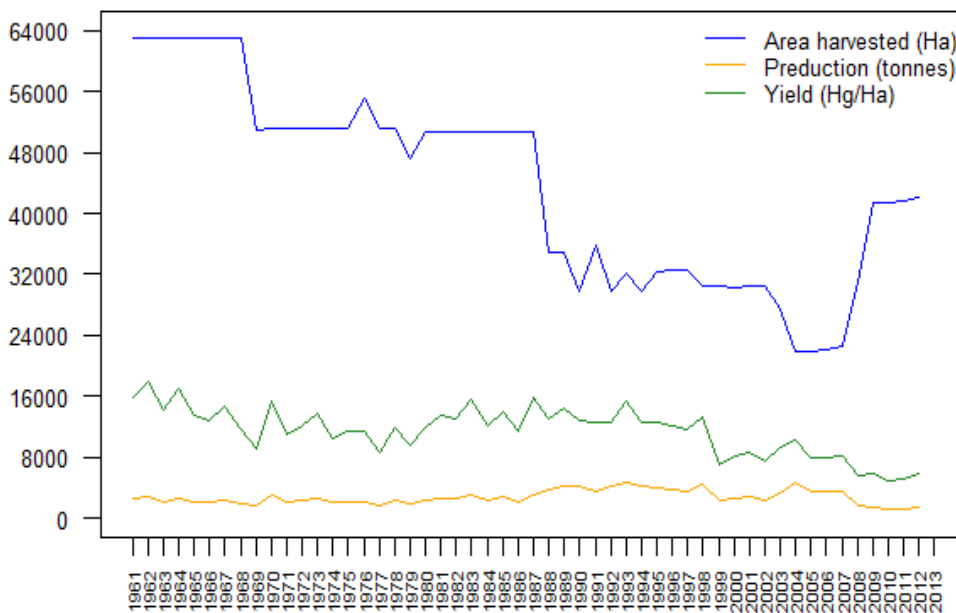


Figure 12 : Coffee Production in Puerto Rico (1961-2012). Source: FAO, 2015

The recent decline in coffee production may have something to do with the PR Department of Agriculture incentives programs (initiated in the 60s) and the conditional insurance; however, there is reason to suspect that many other more structural causes tightly related to Puerto Rico's economic model are behind the recent decline. Large up-front costs, a tight labor market, sluggish markets for fertilizer and seeds, pest emergence, and output market rigidities could be among the important obstacles that coffee growers face.

One of the main problems for Puerto Rican coffee growers is the lack of hireable "pickers". The harvesting/picking of coffee cherries is one of the most important stages in coffee production, not only because it determines current final output but because it has

implications for future harvests.²¹In addition, Puerto Rico's coffee plantations are located in the mountains; therefore, the picking of fruits (cherries) is mostly done by hand. Informal local sources report that as much as 35% of the crop is lost every year because there are no workers to pick it.²² To some extent, the shortage of coffee workers may be caused by rigidities in input markets and government price controls. More

²¹ A key feature of coffee production is that the future yields from coffee plants decline—may be even dramatically—when plants are not maintained or when the coffee cherries are not harvested in a given year.

²² "Puerto Rico faces lowest coffee production ever". Jamaica Observer (May 24, 2013). Accessed April, 2016. Found here: http://m.jamaicaobserver.com/mobile/digicel/business/Puerto-Rico-faces-lowest-coffee-production-ever_14323278

specifically, the fact that Puerto Rico is a U.S. territory means that it is covered by US federal minimum wage laws, making a labor-intensive activity like coffee production uncompetitive relative to neighboring Caribbean nations and therefore unattractive as a business. The case may be even worse for growers of shade coffee as shade plantations require more labor than sun plantations—partially for maintenance of the canopy and partially because it is more technically challenging to implement mechanized harvesting technologies.

Lack of seeds, increases in the cost of fertilizer and emergence of pests are also blamed for the drop in production (not to mention the lack of workers to spray fields with pesticides and fertilizer). In the output market, other factors affecting the profitability of the coffee industry include regulations and government imposed rigidities. In Puerto Rico, coffee prices are fixed and are kept artificially low. Only growers producing a sufficiently high quality of bean are able to export their product and therefore receive higher prices that will keep them competitive.²³

Shade coffee is of better quality than sun coffee and, in theory, growers of shade coffee should be able to sell their product for a premium. In practice, however, market failures like transportation costs and presence of monopsonistic power, prevent farmers from reaching high-value markets. Reaching the gourmet market almost certainly entails the farmer processing, grinding, roasting and certifying its own product. Becoming a certified coffee producer is an imminent hurdle for first time coffee farmers, and even though the industry has seen a trend towards vertical integration, the majority of farmers still simply sell bags of unprocessed mixed coffee beans.²⁴ Furthermore, in Puerto Rico there are few large coffee processing corporations with monopsonistic power that can “coerce” growers into selling their good quality coffee for low prices.²⁵

Other relevant up-front costs keeping farmers from reaching high-price markets may be related to current management practices. For instance, if top soils are depleted due to the long-term use of sun cultivation practices, farmers may have to incur in large expenses to rehabilitate the land. Additionally, if farmers wish to

transition from sun to shade coffee, they will have to wait around five years after the first planting to see the first useful harvest of coffee cherries (although it takes a plant 2-4 years to produce cherries that are ripe enough to harvest).

As described above, the reasons behind the recent drop in production are many and possibly inter-related. The data collected by CCP, together with commentary data from the USDA and other sources may offer an opportunity to explore the importance of these institutional factors in explaining the overall decline in coffee production at the macro-economic level and in explaining farmer behavior at the micro-economic level. The completion of this analysis remains a secondary goal of the current study but may be revisited in future research.

V. THEORETICAL DISCUSSION

At the microeconomic level, the agents of interest in this problem of ecosystem services provision in Puerto Rico are coffee farmers. The fundamental assumption of economic theory is that the objective of coffee farmers is to maximize the value of their plantation for as long as they are in the business of coffee production—this is what economists call *rational behavior*. Coffee farmers choose what to do with their land in order to meet their economic goal: to maximize the stream of expected discounted profits their land can support. In a given year, coffee farmers consider current and historic values of net revenues in all alternative economic uses to their land to form static expectations of future returns. Every year, based on these expectations, farmers choose to continue their current practices, to change management practices, or to switch to different economic activities altogether.

The Puerto Rican coffee farmer problem can be modeled as an adoption problem in presence of environmental externalities. In this model, farmers choose whether or not to adopt the shade management regime or to abandon their plantation altogether based on expected market performance and government subsidies when available. In addition to predicting farmer behavior, this theoretical framework allows for the explicit characterization of the decision-making process, facilitating researchers to address questions of policy efficiency. With this simple model, the optimal level of PES can be inferred—the level of payments that nudges farmers to grow the amount of shade coffee leading to the socially efficient provision of ecosystem services. Of course, the answering of this question entails knowing the ecological functions of coffee farm systems and the social value of the ecosystem services produced in coffee farms.

The strategy for answering the questions posed in this paper is to find the stream of expected discounted profits that makes a farmer indifferent

²³ In 2015, imported coffee was set at \$3.22 per pound while prices for local coffee beans were \$3.79 per pound.

²⁴ According to a Marketing study, Puerto Rican farmers mostly sold their products to local supermarkets (73%), indirectly to the consumer (32%). Of those farmers selling to supermarkets, 54% were large farmers, 30% small farmers and 15% medium farmers. The majority (89%) of small farmers reported selling through other channels such as Internet sales indirectly to the consumer in farmer markets (Alamo et al., 2006).

²⁵ Since 2013, the Puerto Rico Coffee Roasters company, a branch of Coca-Cola, owns around 85% of Puerto Rico's coffee brands (“Coca Cola and the Puerto Rico Coffee Industry: A Double-Edged Sword?” May, 2016. <https://repeatingislands.com/2013/06/23/coca-cola-and-the-puerto-rico-coffee-industry-a-double-edged-sword/>).

between cultivating shade and sun coffee. Having estimated the monetary difference, the goal is to derive an expression for the value of the external benefits from shade plantations that justifies different levels of a subsidy on low-yielding shade coffee. In what remains of this section I will formulate a simplified version of the coffee farmer's decision-making problem. In the design of the model I will assume separability between consumption and production decisions; complete labor, credit and insurance markets (although the latter may not be the case according to some anecdotal evidence); fixed output prices for coffee; and no quality differentials (see discussion on accessing premium price markets). Further, I will assume there are no distortions in the input markets (labor, land, fertilizer, pesticides and irrigation water).²⁶ I will also assume there are no land quality differentials between farmers and that once a farmer chooses to grow shade or sun coffee he devotes all his "coffee-land" to one or the other but not both. Finally, it will be assumed that shade increases longevity of coffee plants so that shaded plantations are profitable for more crops than sun plantations and farmers have a longer stream of expected profits; that the type of trees used for shade

eliminate farmers' need for pesticides, fertilizer and irrigation water;²⁷ and that yields from shade plantations are 30% lower than those from sun plantations.

a) *A simple Model of Adoption in Presence of Externalities*

From the above discussion, it follows that a farmer adopts shade management practices if he considers this to be a more profitable practice over time. For illustration purposes, I will present the adoption of shade-management regime decision as a multiple stage problem where adoption occurs at the first stage. In this illustrative exercise, a farmer that uptakes the shade-plantation strategy foregoes 5 years of coffee revenues and once the plantation starts producing at full capacity, yields are lower than yields from an analogous sun coffee farm. However, the adopting farmer will receive a stream of profits that outlasts those of the sun plantation by up to $T + 5$ periods, where T is the lifespan of a sun plantation.

Take F to represent fixed costs of transitioning from sun to shade cultivation. Then, the expected, discounted, stream of profits from transitioning to a shade farm is:

$$E_0 \sum_{t=0}^{2T+5} \delta^t \pi_{sh} = -F + 0 + 0 + 0 + 0 + E[\delta^6 \pi_{sh} + \delta^7 \pi_{sh} + \dots + \delta^{2T+5} \pi_{sh}] \quad (1)$$

And the stream of profits from a sun farm is:

$$E_0 \sum_{t=0}^T \delta^t \pi_{su} = \pi_{su} + E[\delta \pi_{su} + \delta^2 \pi_{su} + \dots + \delta^T \pi_{su}] \quad (2)$$

A farmer will adopt the shade regime if (1) is larger than (2). In this case, the positive externality of the shade plantation is realized. The social benefit has two components: increased agricultural wealth via spill-over effects onto the productivity of other farms and the intrinsic value of biodiversity. On the contrary, if (2) is greater than (1) a farmer will cultivate sun coffee. It is under this scenario where it is relevant to address the question of what value of external benefits justifies different levels of subsidies nudging farmers to grow the less profitable variety of coffee.

The specific questions of interest in this study are the following:

1. When is (1) \geq (2)?
2. If (1) < (2), what level of subsidy will bring (1) to equal (2) plus a miniscule additional benefit that is enough for the farmer to prefer shade over sun coffee?

If some structure is imposed to the analysis, a brief pick at equations (1) and (2) may reveal useful insights. For instance, assuming that the yield differential between sun and yield coffee is of 30% and

that this yield differential directly translates into a profits differential of 30%²⁸; further assuming a discount factor of 0.99, and a lifespan of 15 years for coffee trees grown under a sun regime ($T=15$), then equations (1) and (2) are equal when:

$$-F + E_0 \sum_{t=6}^{2T+5} \delta^t \pi_{sh} = E_0 \sum_{t=0}^T \delta^t \pi_{su} \quad (3)$$

$$-F + 0.7 \cdot E_0 \sum_{t=6}^{35} 0.99^t \pi_{su} = E_0 \sum_{t=0}^{15} 0.99^t \pi_{su} \quad (4)$$

$$0.7 \cdot E_0 \sum_{t=6}^{35} 0.99^t = 0.3 \cdot E_0 \sum_{t=0}^{15} 0.99^t + \frac{F}{\pi_{su}} \quad (5)$$

$$10.85 = 2.7 + \frac{F}{\pi_{su}} \quad (6)$$

$$8.15 \pi_{su} = F \quad (7)$$

²⁷ Depending on the trees used to create a dense shade canopy, the shade trees can reduce farmers' costs. Certain trees can help fix nitrogen to the soil, reducing the need for fertilizer. Also, if the trees help soak more water in to the soil, farmers of shade coffee do not need to apply as much water to their plots. Additionally, the trees can help reduce farmers' need for pesticides in two ways. First, the shade and fallen leaves help suppress weeds and fungi; and second, by providing an enhanced habitat for ants, birds, and lizards the tree helps decrease the abundance of insect pests in coffee plantations (Borkhataria et al., 2012).

²⁸ These parameters are taken from the literature documenting yields of sun and shade coffee plantations in Mexico (see Table 1).

²⁶ Although in reality there are wage subsidies to farmers, in the mean time, I will abstract from this fact to make the model more tractable.

According to this naïve arithmetic exercise, a farmer will be indifferent between growing shade and sun coffee if the annual profits are 8.15 times the costs the farmer bears for transitioning into shade coffee. Thus, a subsidy program looking to achieve this end would provide the farmer with lump sum payments with the present value of $8.15\pi_{su}$.

Of course, this is not an accurate result as it ignores changes in input choices and the substitutability between labor and capital inputs under different production regimes. To account for this differences, it is precise to find the optimal levels of labor and fertilizer a farmer chooses when growing sun or shade coffee. To derive comparative statics that pin down this substitutability between labor and capital inputs (fertilizer/pesticide/irrigation) and the change in costs, it is useful to set up the farmer's decision-making process as a standard profit maximization problem. A simple model of conservation practice adoption in presence of externalities is included in the Appendix section of this paper.

b) *Insights from Modeling*

If it were possible to parameterize and solve explicitly the model presented above, it would also be possible to compare the stream of profits a Puerto Rican farmer expects to attain by growing shade or sun coffee. In turn, the optimal level of subsidy for ecosystem services (improved soil fertility, increased habitat for wildlife, and decreased erosion) would correspond to the amount that would make a farmer indifferent between these two streams.

Under the highly restrictive model (included as an Appendix), the key to find the optimal level of subsidy is to pin down the change in production costs to a farmer that chooses to transition from sun to shade coffee. Specifically, the parameters that will allow the assessment of this transition are the elasticity of substitution between labor and the capital input in the production of sun coffee, and the size of the externality. If the reduction in capital input costs outweighs the increase in labor input costs that would be necessary to keep production of shade coffee on par with yields from a non-shaded plantation, and if the subsidy allows a farmer to cover the upfront fixed costs of planting the shade canopy and forgone profits of the first 5-7 years of production, then a Puerto Rican coffee farmer should find it lucrative to switch into a shade management regime. On the other hand, if increases in labor requirement translate into substantial increases in cost (particularly relevant given the scarcity of labor), a farmer would only choose to grow shade coffee if the subsidy not only covered the upfront cost and forgone profits of the first 5 years, but also the annual economic losses for the following 30 years.

On a final note, and looking ahead towards future research, it seems important to explore the role of

potential income effects on production decisions. Apparently, Puerto Rican coffee farmers are increasingly willing and able to become small producers and processors of specialty/gourmet coffee.²⁹ This trend may be partially explained by the increased importance of non-farm activities as sources of household income. Said shift in livelihood orientation may impact attitudes towards risk and risky farming practices (such as growing shade coffee). If the impact to be positive, then we may find that the level of subsidy necessary to incentivize farmers to grow shade coffee is actually lower than anticipated. The opposite is true if non-farm wages are associated with tighter liquidity constraints and higher risk aversion among coffee farmers.

VI. EMPIRICAL ANALYSIS

In this section a description of the datasets used for estimating the effect of policies on adoption of conservation practices. Data description is followed by a discussion of the econometric methodology that was followed for estimation. Finally, this section ends with a presentation of the results from the econometric estimation.

a) *Data*

For the preliminary empirical analysis of this project I use cross-section farmer data from a survey conducted by the Centro para la Conservación del Paisaje (CCP) in 2015. Ideally, in the near future I will complement this dataset with historical agricultural data from the USDA census and historical records of program participants and benefit receipts made available by the US FWLS, NRCS and PRDA.³⁰ Below I describe the survey data.

Between September and December, 2015, the CCP conducted interviewed 89 coffee farmers in 12 Puerto Rican municipalities in the west-central region of Puerto Rico—Adjuntas, Ciales, Guayanilla, Jayuya, Juana Diaz, Lares, Las Marias, Maricao, Ponce, Sabana Grande, Utuado and Yauco.³¹ Farmers in the survey ranged in age from 12 to 86, with the average age being 59. About a third of respondents had a bachelor's degree or higher, another third high-school degrees, and the remaining third had below middle school attainment. The majority of them were land owners (82%) and although there was reasonable variance in length of ownership (fairly uniformly distributed between 0 and 40 years), the majority had substantial experience

²⁹ Alamo et al. (2006).

³⁰ Unfortunately, at the moment such data is unavailable. It turns out that finding "public" data in digital format for Puerto Rico is much more difficult than one would expect. Currently, there are no spreadsheets available containing PR Agricultural Census Historic data; the data is only available in pdf format of the original publications. In addition, permission is needed from the territory's officials to access the US FWLS and NRCS data.

³¹ Information about the CCP's involvement in the DRNA's project can be found here: <http://ccpaisaje.org/node/59>.

with coffee growing activities with more than two thirds of the sample having grown coffee for more than 20 years.

The average farm size was 65 acres but farms varied between 2 and 750 acres. On average, 51% of total farmland was planted with coffee, the remaining land was forested land or was used for other purposes. About 34% respondents were growers of both sun and shade coffee, 28% only produced sun coffee, while the remaining reported producing coffee under shade or semi-shade conditions (22% and 16%, respectively).

Farmers in the sample were primarily producers for commercial purposes—74% of respondents sold coffee beans, and of those, 9.5% sold their coffee to gourmet markets; 19% of all interviewees had processing equipment; and 7% had equipment for coffee milling. The average price received per pound of coffee cherries of average quality was \$0.52, but it varied according to buyers from \$0.46 to \$0.58. Most farmers planted a variety of other crops with their coffee trees. About 12% of respondents reported using all their farmland for coffee farming, but of those that planted

Table 3 : Characteristics of farmers in the CCP Survey by participation status

	Total Sample	Any Program	FWS incentives	NRCS incentives	PRDA incentives	PRDA wage-subsidy
Percentage of farmers involved		82%	5%	31.5%	80.9%	43.8%
Percentage growing sun coffee only	34.8%	35.6%	20%	21.4%	36.1%	38.5%
Av. land size (in cuerdas)*	67.14	72 cuerdas	91.6	116.42	71.77	90.13
Av. land holdings	70.67	76.3	120.4	123	75.72	97.42
Av. time as manager (years)	20.9	20.68	16	16.79	20.97	21.03
Av. area in coffee cultivation	22.53	26.16	76	39.68	25.414	36.43
Av. age	58.63	57.51	64	56.61	57.93	59.15
Av. Farm income (annual)	16,000	18,300	60,000	28,000	18,600	30,100
* 1 cuerda = 0.9 acres						

other crops, the majority kept their produce for personal consumption.³²

Farm incomes were low in general—below \$30,000 for 82% of the respondents, and below \$10,000 for 49% of respondents. Not surprisingly, respondents reported non-farm sources of income had become increasingly important for coffee growers. About 78% of the respondents were participants in local state incentives (favoring sun coffee), 32% participated in federal programs (favoring shade coffee), and 18% did not participate in any program. Around 29% of the simple received benefits from both federal and state program. Table 3 provides a summary of the profile of participant and non-participant farmers surveyed by CCP in 2015.

To complete building the profile of a typical coffee farmer in the coffee-producing region of the island, I will use results from a comparable survey from a recent study of 96 farmers in three Puerto Rican municipalities in the central region—Ciales, Utuado and Jayuya.³³ This study found that coffee growers relying mostly on coffee profits as their source of income had been in decline. Apparently, 34% of farmers in their study made the majority of their income from coffee in

1992; 16.8% did so in 2002, and 23.6% in 2007. Importantly for the project at hand is that for the majority of farmers (93%), family was an important source of labor. Of these 93%, 73% complemented family labor with hired labor during harvest. The remaining 9% had permanent employees.

Finally, responses in this complementary study, show that hurricanes were perceived as the most important obstacle to coffee production. Other obstacles reported as important included lack of capital, unavailability of workers, erosion, insect damage, nutrient deficiencies, and fungal damage. About half of the respondents had some crop insurance. More sun coffee farmers insured their crops than did farmers of shaded coffee (56% of sun growers against 34% of shaded coffee growers) but few farmers had difficulties finding insurance and the different impediments reported did not differ significantly between plantation types.

b) *Econometric Methods*

Participation in conservation programs (like the FWS or NRCS programs) and land management practices (like the use of a shade canopy) are likely to affect one another and be determined simultaneously. Thus, to evaluate the impact of participation conservation programs on land management practices, in this preliminary analysis, I follow the three-stage framework presented in Wissen and Golob (1990) and estimate a system of two simultaneous equations

³² Commonly cultivated produce included oranges, bananas, plantains, rootplants, breadfruit, squash, pigeon peas, papayas, and avocados.

³³ Details of the survey are found in Borkhataria et al. (2012).

involving binary endogenous variables. I follow a bivariate probit estimation procedure and instrumental variables to correct for endogeneity.

The three-stage procedure is the following. In a first stage, the structural equation is expressed in reduced form—that is, it is expressed only in terms of exogenous variables and random disturbances. The reduced model is estimated to retrieve the predicted parameters via Maximum Likelihood Estimation. In the second stage, the structural equation is estimated by replacing the endogenous right-hand side variables with

$$P_{ij}^* = \delta_1 \text{Shade}_i^* + \sum_{k \neq j} \alpha_k P_{ik} + \alpha_0 + \alpha_1 X_i + \alpha_2 Y_i + \alpha_3 Z_i + \alpha_5 p_i^c + \varepsilon_{1i}$$

$$\text{Shade}_i^* = \delta_2 P_{ij}^* + \sum_{k \neq j} \beta_k P_{ik} + \beta_0 + \beta_1 X_i + \beta_2 Y_i + \beta_3 Z_i + \beta_5 p_i^c + \varepsilon_{2i}$$

The dependent latent variables are P_i^* and Shade_i^* . P_i^* is a binary measure of participation decision by farmer i in program j that takes on the value of 1 if the farmer is a current participant in the j^{th} incentive program available to Puerto Rican farmers. There are over 30 such programs, thus, for analytic convenience I bundle them by provisionary agency. In total there are 3 types of programs: FWS programs, NRCS programs and PRDA programs. The former two offer shade incentives, while the latter offers incentives to grow sun coffee. Shade_i^* is also binary and it signals whether farmer i uses a shaded canopy in his coffee plantation. Variable p_i^c represents the price per pound of coffee received by farmer i .³⁴ P_{ik} are binary variables taking the value of 1 if farmer i participates in any of the alternative incentive programs available to him.

Vectors X_i , Y_i , and Z_i consist of exogenous variables and include farmer-specific attributes, farm-specific variables, and land farmer managerial characteristics, respectively. The variables included in vector X_i are age, gender, and indicator variables for different levels of educational attainment. Vector Y_i includes variables that characterize the production capacity of the farm. These include total land owned, area under coffee cultivation,³⁵ farm income, whether the

the continuous fitted latent instruments constructed in the first stage. The methodology described above provides consistent and unbiased estimates. However, given the use of instruments in the second stage, the reported standard errors are not accurate. Hence, the final stage of the procedure involves correcting the variance-covariance matrix of estimated disturbances to compute the adequate standard errors.

The structural econometric representation of the joint decision model is defined as follows:

farm is large enough to sell its produce by bulk (this is measured by an indicator variable that equals 1 if the farmer reports selling his product by the quintal—100 lbs.), and whether the farmers sells his product in specialty markets.

Vector Z_i includes variables that define farmers' managerial aptitudes and attitudes. Variables included here are ownership status, number of years that farmer i has managed the farm, whether the farmer grows only coffee, whether the farmer intercroops, whether the farmer leaves land undeveloped for forest, the farmer's the farmer's current management practice (sun, shade, part sun and part shade, or semi-shade), whether the farmer has changed from sun to shade or vice versa, and whether the is also involved in any of the coffee processing stages. Lastly, ε_{ni} is the error term. Summary statistics of the variables included in the econometric estimation are presented in Table 4.

³⁴ Various important simplifying assumptions of this model are reflected in this price term. The first is that the coffee market is competitive and that consumers compete in prices for the product. Thus, a farmer with higher quality product can find a buyer that is willing to pay a premium for this specialty product. Also, it is assumed that the coffee industry is vertically integrated; meaning that all farmers are producers of an intermediary good. In other words, it assumed that coffee producers are not coffee processors, thus, any variation in received should only reflect differences in the quality of coffee cherries. In reality, these assumptions are highly questionable—at least in the case of Puerto Rico's coffee industry.

³⁵ In this analysis, I do not use actual productivity of the farm because that question was missing from the interviews. However, from the open-ended questions I induce that one cuerda of land (0.9 acres) can yield between 20-25 quintals (one quintal has 100 lbs. of coffee) of coffee. In this study, land area can be used as a proxy for productivity.

Table 4 : Variable Definition and Summary Statistics

Variable	Description	Mean	Median	Std. Dev.
Participant	Binary variable equals 1 if respondent participates in any incentive program	0.82	1	0.386
FWS	Binary variable equals 1 if respondent participates in any FWS pro-shade incentive program	0.05	0	0.231
NRCS	Binary variable equals 1 if respondent participates in any NRCS pro-shade incentive program	0.3146	0	0.4669
PRDA	Binary variable equals 1 if respondent participates in any PRDA pro-sun incentive program	0.809	1	0.395
PRDA_wage	Binary variable equals 1 if respondent participates in PRDA's pro-sun wage-subsidy incentive program	0.4382	0	0.498
Age	Continuous, age of respondent	58.63	60	13.189
Gender	Binary, equals 1 if masculine	0.9438	1	0.231
Basic education	Binary, equals 1 if maximum educational attainment is middle school	0.3034	0	0.462
High school education	Binary, equals 1 if maximum educational attainment is high school	0.2697	0	0.446
College education	Binary, equals 1 if maximum educational attainment is a university degree	0.3146	0	0.4669
Graduate education	Binary, equals 1 if maximum educational attainment is a graduate degree	0.089	0	0.287
Farm size	Continuous, measures size of farm in cuerdas (1 cuerda = 0.9 acres)	67.14	25	109.76
# land holdings	Continuous, number of landholdings managed by respondent	1.1691	1	0.548
Total land managed	Continuous, area of landholdings managed by respondent	70.67	27	109.46
Annual farm income	Categorical, 1 if annual farm income is between 10,000-19,999; 2 if between 20,000-29,999; etc.	1.607	0	2.2744
Coffee area	Area of farm devoted to coffee cultivation measured in cuerdas	22.53	11	25.728
Sells in large scale ⁺	Binary, equals 1 if farmer reports selling by quintal instead of almud.	0.1685	0	0.376
Sells in specialty markets	Binary, equals 1 if the farmer sells coffee in specialty markets	0.1236	0	0.33
Price per pound*	Average price received per pound of coffee	0.9853	0.5357	1.2144
Ownership status	Binary, equals 1 if respondent is owner, 0 if sharecrops	0.82	1	0.386
Years as manager	Continuous, time managing the farm	20.9	20	14.97
Main crop	Categorical, 1=coffee, 2=coffee and plantain or citrus, 3 = not coffee	1.281	1	0.62
Intercrop	Binary, equals 1 if farmer practices intercropping	0.8652	1	0.343
Forest land	Binary, equals 1 if farmer leaves uncultivated areas for forest	0.5506	1	0.5
Current management practice	Categorical, 1=sun, 2=shade, 3=part sun and part shade, 4=semi-shade	2.315	2	1.124
Change in management practices	Binary, equals 1 if farmer has switch from sun to shade or vice versa.	0.5843	1	0.49
Caficultor	Binary, equal 1 if farmer only grows coffee	0.7303	1	0.446
Beneficiado	Binary, equal 1 if farmer is involved in initial stage of coffee processing	0.191	0	0.395
Torrefactor	Binary, equals 1 if farmer is involved in all processing stages	0.0674	0	0.252

* In Puerto Rico, the price of coffee is fixed by the Department of consumer affairs (DACO). However, in the data, we do observe variation in the prices received by farmers. The variation seems correlated with farm capacity and processing of the beans done in situ.

⁺ The definition of these units used by the USDA is the following 1 quintal=100 lbs., and 1 almud=28 lbs. However, the use of these metrics may be an issue of concern. Therefore, this variable is left out from the regression.

c) Results

Results from the simultaneous bivariate probit estimation procedure described earlier are reported in Tables 5—7. Table 5 shows the results of various regression on a subsample of the data where farmers are primarily coffee growers, while table 6 shows results

of the same regressions on the entire sample. The differences between these two are minimal—particularly on the variables of interest; therefore, only the results corresponding to Table 5 will be discussed. The first two columns in Table 5 show the results of the bivariate simultaneous probit regression when participation in any

program (pro-sun or pro-shade) is considered. The third and fourth column show the results corresponding the analysis when only participation in conservation programs (offered by the FWS or NRCS) is considered. The last two columns show the similar results when participation in the DA's pro-sun incentive programs is analyzed. In turn, table 7 shows the transformed coefficients to reflect estimated marginal effects for the variables that were significant in the regressions explored and summarized in Table 5.

Based on the sample data, very little can be said with confidence about the determinants of farmer participation in any program. In general, it can be concluded that none of the explanatory variables examined is related to the decision of whether or not to participate in any sort of agricultural incentive program. On the other hand, farmers that do not leave undeveloped land for forest, who are involved in some stage of coffee processing and who have graduate-level education are significantly more likely to follow shade management practices in their coffee plantations. However, the effects are small—circling around a 25% increase in probability of adopting shade-management regimes.

When only participation in conservation programs is examined, the results are slightly different. As columns three and four of Table 5 show, a farmer is more likely to participate in conservation programs if he leaves some of his farmland undeveloped for forest, if he owns the farm, if he sells his product in specialty markets, if he has larger area of his land devoted to coffee cultivation, if he has basic rather than higher education (farmers with high-school degrees are 18% less likely to participate in incentive programs than farmers with basic education attainment), and, interestingly, if he has spent less time managing the farm (although this effect is very small). Not much changes in the adoption of shade-management practice equation when only participation in conservation programs is examined.

Finally, the results corresponding to the decision to participate in DA's programs favoring sun coffee management practices are more in line with economics intuition. In general, variables describing economic capacity of the farm, like farm income, whether the farmer sells by bulk (quintal), and whether he is involved in the processing of the coffee cherries, become significant determinants of the participation decision. In turn, education indicators, and whether the farmer leaves land undeveloped for forest are variables that remain related to the adoption of shade-management practices. Interestingly, in this analysis, participation in the incentive program examined is also significant. When the coefficient estimates are adjusted to reflect marginal effects, it turns out that the probability of adopting shade management practices increases by 77% if farmers participate in DA's pro-sun incentive

programs. This result is interesting and rather counter-intuitive as it suggests that farmers who participate in pro-sun incentive programs are also more likely to adopt a shade management practice. Although this result is somewhat surprising, it is well supported by the fact that 39% of the interviewed farmers receive both types of subsidies (see Table 2).

Age, college education, farm size, number of plots owned, and total land holdings, are never significant determinants of either of the two decisions. Surprisingly, neither are the dummy indicator of changes in management practice (from sun to shade or from shade to sun) nor the indicators of intercropping or high-level processing of the cherries (if he is a "torrefactor" who grinds his own coffee beans). Finally, shade cultivation is never significantly related with program participation.

Table 5 : Results simultaneous bivariate probit. Subsample of coffee growers (Maincrop == 1)

Explanatory Variables	Any Incentive program						Conservation Program						DA's pro-sun Program					
	Participation		Shade Coffee Management		Participation		Shade Coffee		Participation		Shade Coffee		Participation		Shade Coffee			
	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error		
Shade	268.4	88240			2.257	2.1002			-0.800	2.805								
Participates			0.896	0.939			1.159	1.684			1.159	1.684			8.366	3.606 *		
Intercept	-182.7	2.28E+07	-198	3.20E+05	2.123	14.407	-164.3	9.11E+05	2.977	4130	-164.3	9.11E+05	2.977	4130	-336.9	2.29E+05		
Age	3.38	818.1	-0.016	0.021	0.016	0.028	-0.025	0.022	0.000	0.037	-0.025	0.022	0.000	0.037	-0.004	0.033		
High-school	-22.38	5.05E+03	0.44	0.692	-1.46	0.85	0.12	0.63	-1.38	0.75	0.12	0.63	-1.38	0.75	3.45	1.69 *		
College	95.14	2.00E+04	-0.719	0.74	0.757	0.896	-0.834	0.7597	1.41	1.091	-0.834	0.7597	1.41	1.091	-1.29	0.88		
Graduate	-105.8	4.75E+04	1.9	1	-1.642	1.470	1.674	1.004	-0.133	2.851	-1.642	1.470	1.674	1.004	4.03	1.70 *		
Farm size	19.23	2.31E+03	-5.57	519	-0.424	0.704	-4.665	531.4	0.1845	0.1245	-4.665	531.4	0.1845	0.1245	-9.15	565.50		
Plots owned	210.80	4.76E+04	-115	1.09E+04	-10.33	15.026	-96.52	1.12E+04	1.826	1.948	-96.52	1.12E+04	1.826	1.948	-186.9	1.18E+04		
Land	-19.98	2.47E+03	5.57	519	0.428	0.704	4.672	531.4	-0.159	0.1275	4.672	531.4	-0.159	0.1275	9.15	565.50		
Income	8.80	2.31E+03	0.105	0.20	0.19	0.16	0.06	0.19	1.12	0.55 *	0.06	0.19	1.12	0.55 *	-0.29	0.29		
Area coffee	12.93	1.73E+03	-0.02	0.02	0.05	0.02	-0.02	0.02	0.00	0.03	-0.02	0.02	0.00	0.03	-0.04	0.02		
Sells specialty	203.00	2.11E+07	0.535	1.16	3.121	1.395 *	0.3339	1.174	8.493	4155	3.121	1.395 *	0.3339	1.174	-1.43	1.63		
Sells quintal	-24.98	2.34E+04	-33.5	3.19E+05	1.13	1.48	-29.02	9.10E+05	-10.37	3.89 **	1.13	1.48	-29.02	9.10E+05	-52.56	2.28E+05		
Ownership	20.72	4.26E+03	-0.179	0.71	3.31	1.43 *	-0.15	0.81	0.23	0.78	3.31	1.43 *	-0.15	0.81	-1.40	1.05		
Time	0.94	1.08E+02	-0.007	0.023	-0.050	0.025 *	0.004	0.023	-0.010	0.021	-0.050	0.025 *	0.004	0.023	0.01	0.03		
Intercrops	-433	2.28E+07	313	3.21E+05	1.630	1.473	262.1	9.11E+05	-5.571	4130	1.630	1.473	262.1	9.11E+05	518.60	2.30E+05		
Forest land	72.98	3.38E+04	-1.81	0.70 **	3.21	1.01 **	-1.99	0.91 *	1.20	1.13	3.21	1.01 **	-1.99	0.91 *	-4.43	1.57 **		
Change	41.15	4.37E+03			-0.46	0.74			1.10	0.75	-0.46	0.74						
Beneficiado	-2.22	1.65E+07	1.94	1.08	-1.44	1.37	1.77	1.08	11.62	3.95 **	-1.44	1.37	1.77	1.08	3.20	1.46 *		
Torrefactor	-191.7	2.11E+07	-8.81	2.00E+04	-2.643	1.932	-8.794	2.08E+04	-14.44	4155	-2.643	1.932	-8.794	2.08E+04	-5.77	9665		
N	82		82		82		82		82		82		82		82			
K	20		19		20		19		20		20		19		19			
AIC	40		77.224		75.839		77.815		73.469		73.469		77.815		65.323			

Significance codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 6 : Results simultaneous bivariate probit. All farmers (Maincrop = 1, 2 or 3)

Explanatory Variables	Any Incentive program				Conservation Program				DA's pro-sun Program			
	Participation		Shade Coffee Management		Participation		Shade Coffee		Participation		Shade Coffee	
	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error	Coeff.	Std. error
Shade	268.4	8.82E+04	-186	3.42E+05	0.703	1.86			-0.269	2.149		
Participates			1.678	1.171			0.8098	1.613			6.386	2.473
Intercept	-198.1	3.20E+05	-185.6	3.42E+05	2.53	9.63	-156.3	4.84E+05	-2.79	3.31	-212.6	1.63E+07
Age	-0.02	0.02	-0.01	0.02	0.01	0.03	-0.03	0.02	-0.01	0.03	-0.01	0.03
High-school	0.44	0.69	0.58	0.70	-1.47	0.86	0.09	0.60	-1.52	0.76	2.18	1.07
College	-0.72	0.74	-1.17	0.79	0.80	0.80	-0.93	0.72	1.58	1.09	-2.32	1.01
Graduate	1.90	1	1.94	1.10	-0.45	1.05	1.18	0.99	-2.79	1.45	4.56	1.80
Farm size	-5.57	519	-5.17	478.90	-0.43	0.48	-4.41	499.20	0.18	0.11	-6.02	456.10
Plots owned	-115	1.09E+04	-106.4	1.01E+04	-10.2	10.3	-91.14	1.05E+04	2.25	1.69	-122.1	9.58E+03
Land	5.573	519	5.184	478.9	0.44	0.48	4.422	499.2	-0.176	0.111	6.028	456.1
Income	0.105	0.196	-0.262	0.210	0.32	0.15	-0.171	0.195	0.680	0.264	-0.799	0.335
Area coffee	-0.021	0.019	-0.028	0.022	0.04	0.02	-0.020	0.022	0.025	0.021	-0.040	0.024
Sells specialty	0.535	1.164	0.261	1.311	3.156	1.49	0.548	1.311	11.33	473.9	-1.545	1.966
Sells quintal	-33.47	3.19E+05	-32.84	3.42E+05	0.02	1.40	-28.04	4.84E+05	-6.48	2.14	-47.90	1.63E+07
Ownership	-0.179	0.706	-0.058	0.68	3.51	1.48	0.023	0.792	-0.203	0.784	-0.291	0.778
Time	-0.007	0.023	1.22E-04	0.022	-0.05	0.03	0.007	0.022	-0.013	0.021	0.014	0.025
Intercrops	313.4	3.21E+05	291.3	3.43E+05	1.96	1.46	248.4	4.85E+05	0.72	1.39	330.8	1.63E+07
Forest land	-1.81	0.70	-1.73	0.64	2.68	0.82	-1.59	0.78	1.95	0.94	-3.71	1.18
Change	1.94	1.08			-0.68	0.71			1.34	0.72		
Beneficiado	-8.81	2.00E+04	2.60	1.16	-0.91	1.34	2.10	1.10	9.04	3.31	4.21	1.52
Torrefactor	0.90	0.94	-7.79	1.93E+04	-3.65	2.37	-7.86	2.01E+04	-13.53	473.9	-6.31	1.85E+04
N	89			89	89			89	89			89
K	20			19	20			19	20			19
AIC	40			81.728	80.072			83.754	84.721			74.705

Significance codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 7 : Marginal effects significant variables in Table 5 (Only coffee growers)

Explanatory Variables	Participation in any incentive program	Shade Coffee Management Practice	Participation in conservation program	Shade Coffee Management Practice	Participation in DA's pro-sun-coffee program	Shade Coffee Management Practice
	Marginal effect	Marginal effect	Marginal effect	Marginal effect	Marginal effect	Marginal effect
Participates in program						0.770 *
High-school			-0.18		-0.15	0.32 *
Graduate		0.2545		0.226		0.37 *
Farm income					0.13 *	
Area in coffee			0.01			
Sells specialty			0.386 *			
Sells Quintal					-1.15 **	
Ownership			0.41 *			
Time managing			-0.006 *			
Forest land		-0.24 *	0.40 **	0.27 *		-0.41 **
Beneficiado		0.259			1.13 **	0.29 *

Significance codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

VII. CONCLUSIONS

As far as the decision to participate in conservation programs goes, the empirical analysis suggests that farmers are about 40% more likely to participate in conservation programs if they sell their product in specialty markets, if they leave land undeveloped for forest growth, and if they own their farm. There are other significant factors in this relation but their effect is rather small. For instance, participation in conservation programs increases by 1% as their area under coffee cultivation increases. Also, farmers with higher than basic education are 18% less likely to participate (probably because they do not need the additional economic assistance). Finally, as coffee growers spend more time managing their farm they become 0.6% less likely to participate in conservation programs.

On the other hand, variables related to participation in Department of Agriculture's pro-sun incentive programs are, in general, indicators of economic performance of the farm. For instance, as farm income increases, farmers are 13% more likely to participate in the DA's programs. Also, farmers that process their coffee cherries are 113% more likely to receive DA's assistance. However, if farmers sell by bulk they are 115% less likely to participate in pro-sun programs. These results are somewhat contradictory, but I offer the following interpretation. It appears that larger farmers may not need additional economic

assistance, however, more vertically integrated farmers—those involved in some of the processing stages—may be more closely related to the DA.

In regards to the decision of shade-management practices, the results suggest that it may be "privileged" farmers who are more likely to adopt them. For instance, farmers with higher education attainment are consistently more likely to adopt shade management practices. Also, farmers that do not have undeveloped land are 41% more likely to grow their coffee under shade. Finally, what is perhaps the most interesting result from this analysis, is that vertically integrated farmers and farmers participating in pro-sun incentive programs are also more likely to adopt shade management practices. The main rationale behind vertical integration is to increase the overall efficiency and reduce costs of production. It is possible that farmers who control more of the production process and have access to additional farm income are more profitable and therefore can afford switching to practices to become producers of shade coffee.

a) Policy Implications for environmental services and biodiversity conservation programs

In 2013, the Puerto Rico Department of Natural and Environmental Resources (PR DRNA) published its new habitat conservation strategy which seeks to ensure the long-term persistence of resident species of birds and amphibians. The DRNA is interested in improving the efficiency of existing biodiversity conservation

programs that target land-management practices among coffee growers to increase the area of certain protected habitats in the island.

There is a broad consensus within the literature that adoption and diffusion of conservation practices are the result of a complex decision-making process, particularly when examined at the micro-economic level. In Puerto Rico, little research has been done about the status of coffee production, the influence of governmental policies on farming practices and the attitudes of farmers towards sustainable production practices. A primary objective of this study was to investigate the factors that determine farmer participation in conservation programs and the impact of said programs on adoption of conservation practices. A secondary goal was to explore new linkages between competing policy instruments, adoption decisions and biodiversity conservation goals. The empirical results indicate that participation in agricultural-land management programs increases the probability of using conservation agriculture practices.

Based on the studied sample, federal environmental agencies interested in improving the targeting of existing programs should be wary of displacing antagonistic state programs, as these seem to be, paradoxically, the most important driver of the decision to adopt environmentally beneficial agricultural management practices.

In general, the results suggest that farmers who participate in conservation programs encouraging the cultivation of coffee under shade are “newer” farmers who take advantage of quality differentials in their product to sell in specialty markets. In turn, the findings suggest that it may be “privileged” farmers who are more likely to adopt shade-management practices. The level of distortion in the Puerto Rican coffee market is striking; thus, it is possible, that simple income-transfer programs that allow farmers to afford switching from sun to shade coffee may be the less distortionary, and perhaps more efficient, way to promote biodiversity conservation practices. However, this proposition is not verifiable given the data or the estimation methods chose for this study.

b) Note from the author: Additional considerations and recommendations for policy design

In conducting my study, I ran into several inconsistencies in the data that raised my awareness of additional structural factors in a rather complex system of which coffee farmers are a small component. If the intention is to use agricultural policy to further environmental goals, the environmentalist agencies will need to gain much deeper understanding of institutional idiosyncrasies governing the microeconomics of coffee production in Puerto Rico. With the risk of overstepping, I will discuss two examples that illustrate how difficult it will be to successfully intervene in Puerto Rico’s coffee

sector in order to improve biodiversity conservation and environmental service provision in the is land.

The first issue is an example of what could be the prevalence of pernicious incosystemy in monitoring, recording and measuring of economic performance in the coffee sector. Coffee in Puerto Rico is sold by *almuds* or *quintals*. These are non-standard metrics that have different definitions—and indeed, are used to measure different properties (say volumen instead of mass) —across Latin America and the Caribbean. The USDA defines these units as one almud equaling 28 pounds, and one quintal equaling 100 pounds. However, after speaking with officials and researchers, there is reason to believe that farmers, researchers, government agents, and consumers may have different ideas of what exactly these units constitute. The lack of transparency in the metric system itself may be enough reason to worry about some agents taking advantage of the system to exploit illicit profits. Although I have no evidence of illicit profiting, in the data I do find that average coffee prices vary drastically depending on whether the farmer sells by almud or quintal. The average price per pound that farmers selling by quintal received was \$3.16 (with standard deviation of 1.37); on the other hand, the corresponding figure for farmers selling by almud was 0.54 (with standard deviation of \$0.49). Although, theoretically those receiving \$3.16 are “beneficiadores” (business that are in charge of processing the coffee at a comercial scale) selling coffee beans, while farmers receiving \$0.5 are growers selling coffee cherries; there is no certainty over this issue and the data does not support this distinction entirely. This large discrepancy in prices is reason of concern, particularly for distributional considerations and compensatory public policy.

The second issue that clearly reflects the level of convolution in the system, shows how uncertainty as to the implementation of public policy by one state agency can escálate rapidly and affect the actions of other regulatory agencies and the industrial organization of coffee markets itself. In Puerto Rico, the Department of Consumer Affairs (DACO) sets the price of coffee. By law, since 1973, the DACO is supposed to review the price of coffee every 5 years and fix an increase based on recommendations by the Department of Agriculture and the University of Puerto Rico through the Agricultural Science Department and the Agricultural Extension Service. However, coffee prices have not been reviewed systematically.³⁶

³⁶ It took 13 years since the enactment of the “Ley Organica del Departamento de Asuntos del Consumidor” for DACO to adjust coffee prices. In 1986 it set them to \$3.12 per pound. In 1991, the price was adjusted to \$3.64 per pound. Then, in 2005, prices were raised by 20%. The last time DACO reviewed coffee prices was in 2015. Then, DACO set the price of ripe coffee cherries to \$0.52 per pound (and \$0.35 for green cherries) and the price of coffee beans to \$379 per quintal—or \$3.79 per pound.

The DACO is also in charge of systematically setting import tariffs on coffee. Historically, imported coffee had been taxed heavily, keeping its Price artificially higher than that of local coffee. However, since 2015, DACO signed an order imposing a Price ceiling of \$322 per quintal of imported coffee—making local coffee less competitive from a pricing standpoint. DACO's neglect and apparent favoritism for coffee consumers—local and multinational—over local producers has likely had an impact on subsequent political actions taken by interested parties such as the Department of Agriculture and certain large multinational companies operating in Puerto Rico. In turn, these actions may have spurred interactions with existing disruptions and inefficiencies of the market, making the situation for coffee farmer seven more complicated. I will elaborate on these thoughts to make their meaning more explicit.

With the objective of relieving some of farmers' financial pressures, since 2001, the Department of Agriculture has established a series of incentives programs in addition to the existing programs subsidizing seeds, fertilizer and labor. The effectiveness of these new programs is highly questionable based on anecdotal observations. Certain legal records document the flaws in these programs. Among them, high uncertainty as to the priority given by the administration to the appropriation of funds to said programs. Additionally, payments conceded by these new schemes are often received late, and sometimes never. Yet, with in the last year, DACO's failure to revise the prices systematically has risen legislation proposals to have the PR Department of Agriculture establish coffee prices instead.³⁷

On the other hand, DACO's neglect is certainly not helping farmers who are facing higher input prices and a fiercer competition from abroad. However, an artificially low price of coffee may be disproportionately benefiting large consumers. Although I have no evidence and no way of showing that monopsonistic power is related to DACO's public policy, it is a worry supported by recent news and media analysis.³⁸

The coffee industry in Puerto Rico has been struggling in the last few decades. The reasons behind this collapse are multiple and likely to be interrelated. From natural reasons (like pests and hurricanes) to shocks in the labor market to public policy initiatives to market organization, these reasons obscure the fundamental factors determining farmer behavior and thus, make matters ever more complicated for parties interested in targeting coffee producers to further

environmental objectives. I want to conclude this paper with the following thought. Although much progress has been made in the areas of economics and ecology in terms of understanding the micro-economic foundations of human behavior and the interactions between humans with the environment in an economic setting, taking these lessons to action will ultimately depend on the functionality, reliability and transparency of political and legislative systems.

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³⁷Sen. Ruiz Proposes Agriculture Department Set Coffee Prices." May, 2016. <http://cb.pr/sen-ruiz-proposes-agriculture-department-set-coffee-prices/>

³⁸ Since 2013, Puerto Rico Coffee Roasters (which is domain of Coca-Cola Co.) controls 80% of the coffee market.

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APPENDIX

The profit-maximization problem framework applied to Puerto Rican coffee farmers

In this appendix I develop a simple model of conservation practice adoption in presence of externalities. Here I introduce the definition of variables used in the mathematical expression and describe the relevant equations in the system that the model represents. Then, I make explicit certain simplifying assumptions. A discussion of the model's insights for guiding the empirical work is found in the theoretical section of the main text.

Variable Definition

Define the variables in this model as follows:

Q_t : Coffee production, this is the state variable of the model

X_t : Non-coffee crop production

A : Area of the farm devoted to shade-coffee

A_j : Area of an adjacent farm devoted to shade-coffee

$\sum A_j = \bar{A}$: Total area of adjacent farms devoted to shade-coffee.

Z_t : Composite technology/capital inputs (fertilizer, pesticides and irrigation water)

L_t : Labor input, this is the control variable of the model

L_t^f : Household's farm-labor

L_t^{nf} : Household's non-farm labor

L_t^h : Hired labor

π_t : Net revenues at time t

B_t : Borrowings at time t

M_t : Income at time t

Y_t : Cash at hand at time t

γ_t : A penalty term that reduces output at time t depending on the level of previous produce that was left unharvested and the area of plot that was not maintained.

I_t : Indicator variable, it takes the value of 1 when a farmer grows shade coffee, and of zero otherwise.

D_t : Dividend term that represents benefits to any farmer from the environmental spill-overs generated by the group of farmers growing shade-coffee. This term depends on the total area devoted to shade-coffee plantations that is adjacent to a particular farmer's land.

β_{PES} : Subsidy provided for growing shade-coffee

w : Wage in the labor market³⁹

w_z : Price of a composite technology input

P_q : Price of coffee (assumed to be constant)

P_x : Price of non-coffee crop (also assumed to be exogenous and fixed)

i : Interest rate

F : Fixed cost of transforming a sun plantation to a shade plantation

δ : Discount rate

ω : A binary outcome that takes the value of 1 when a particular plot with shade coffee is adjacent to the farmer's land.

ε_t^L : Exogenous shock to labor market (for example, out migration changes amount of hireable labor).

ε_t^N : Exogenous shock to natural conditions (for example, a hurricane).

Model Equations

The model developed here is best represented by a system of equations relevant for each farmer i . For notational simplicity the index i is left out. The equations that characterize this system are the following:

Coffee production function

$$Q_t(L_t, Z_t, \gamma_t; A, \bar{A}) = \gamma_t \cdot f_i(L_t, Z_t; A, \bar{A}), \quad (8)$$

Coffee production depends on labor, capital inputs, fixed land, the amount of land devoted to shade coffee in adjacent farms, and a penalty term that depends on previous produce was left unharvested and the area of plot that was not maintained. The hypothesis is that $f_i(L_t, Z_t; A)$ will be different for shade than for sun coffee (thus, $i = \text{shade, sun}$), but that for the same level of inputs $f_{\text{shade}} = 0.7f_{\text{sun}}$.

Equation for penalty γ_t

$$\gamma_t = \gamma(Q_{t-1}(L_{t-1}, Z_{t-1}, A), L_{t-1}, Y_{t-1}) + \varepsilon_{t-1}^N,$$

$$\text{with } \gamma_t \in (0, 1) \text{ and } \varepsilon_t^N \text{ iid } \sim N(0, \sigma^2), \quad (9)$$

The level of fruits that is left unharvested and the size of the plot that is not maintained is determined by the amount of labor available, available liquidity to pay cherry pickers, and an exogenous shock to natural conditions (like hurricane or landslide).

Non-Coffee crop production function

$$X_t(L_t, Z_t, I_t; A, \bar{A}) = g_i(L_t, Z_t; A, \bar{A}) + \xi g_i(L_t, Z_t; A, \bar{A})I_t, \quad (10)$$

Non-Coffee production depends on labor, capital inputs, fixed land, adjacent land devoted to shade-coffee, and a term that captures the increased productivity of the non-coffee crop by virtue of growing shade coffee (captures the spill-over benefit on own farm).

³⁹ US Federal minimum wage applies in Puerto Rico. Although the government offers a wage subsidy to ameliorate labor costs to coffee farmer, this distortion will be ignored for the moment.



Profit equation

$$\pi_t = P_q Q_t(\cdot) + P_x X_t(\cdot) - wL_t - w_z Z_t + wL_t^{nf} + I_t \beta_{PES} A + D_t (\sum \omega A_j) \quad (11)$$

Profit is the revenue from shade coffee production, net the costs, plus off-farm income, plus whatever subsidy is provided for growing shade-coffee, plus a dividend term that represents benefits to any farmer from the environmental spill-overs generated by the group of farmers growing shade-coffee in adjacent land. The transfer benefit is zero for farmers growing sun coffee. This term depends on the total area devoted to shade-coffee plantations that is adjacent to a particular farmer's land (ω denotes when a particular plot with shade coffee is adjacent to the farmer's land).

Income equation

$$Y_t = \pi_t + B_t - (1 + i)B_{t-1} \quad (12)$$

Income equals cash at hand equals profit plus borrowings minus payments on standing debt.

Labor supply equation

$$L_t = (L_t^f + L_t^{hired}) \varepsilon_t^L, \text{ with } \varepsilon_t^L \text{ iid } \sim N(0, \sigma^2), \quad (13)$$

Total labor dedicated to farm production is the sum of own-household labor and hired labor. These are considered perfect substitutes. Labor employed depends on an exogenous shock to the labor market that may increase or decrease the amount of available hireable labor. This level need not equal labor demand for a given time period.

Let f_i and γ_t take convenient Cobb-Douglas forms:

$$f_{sh} = a + L_t^{\alpha_1} A^{\alpha_2}, \text{ where } a \geq 0 \quad (15)$$

$$f_{su} = b + L_t^{\beta_1} A^{\beta_2} Z_t^{\beta_3}, \text{ where } b \geq 0 \text{ and } b \leq a \quad (16)$$

$$\gamma_t = \gamma(Q_{t-1}(L_{t-1}, Z_{t-1}, A), L_{t-1}, Y_{t-1}) + \varepsilon_t^N, \text{ with } \gamma_t \in (0, 1) \text{ and } \varepsilon_t^N \text{ iid } \sim N(0, \sigma^2) \quad (17)$$

Using all the structure above, the current time profit and total profit stream equations corresponding to farmers currently growing shade coffee take the following form:

$$\pi_t^{sh} = P_q \gamma_t(\cdot) (a + L_t^{\alpha_1} A^{1-\alpha_1}) - wL_t - F + \beta_{PES} A + D_t (\sum \omega A_j) \quad (18)$$

and

$$E_0 \sum_{t=6}^{2T+5} \delta^t \pi_{sh} = E_0 \sum_{t=6}^{2T+5} \delta^t \{P_q \gamma_t(\cdot) (a + L_t^{\alpha_1} A^{1-\alpha_1}) - wL_t - F + \beta_{PES} A + D_t (\sum \omega A_j)\} \quad (19)$$

The First Order Conditions (FOC's) are:

$$(L_t): \alpha_1 P \gamma_t L_t^{\alpha_1 - 1} A^{1-\alpha_1} - w + E \left[\delta P \frac{\partial \gamma_{t+1}}{\partial L_t} \cdot Q_{t+1} \right] = 0 \quad (20)$$

which imply an optimal level of labor input, an optimal output level, and an optimal per period profit that look as follows:

$$L_*^{Sh} = \left[\frac{\alpha_1 P \gamma}{w - \delta P \left[E \frac{\partial \gamma_{t+1}}{\partial L_t} \cdot Q_{t+1} \right]} \right]^{\frac{1}{1-\alpha_1}} \cdot A, \quad (21)$$

$$Q_*^{Sh} = Q(a, \alpha_1, P, w, \gamma, A, A_j, E \frac{\partial \gamma_{t+1}}{\partial L_t}, E Q_{t+1}, D_t(\cdot)) \quad (22)$$

Time Constraint

$$T_t = L_t^f + L_t^{nf} \quad (14)$$

Each farmer is endowed with T time, and it is allocated among labor on- and off-farm

Model formulation and solution

Using with the definitions presented above, the economic model can now be formulated. A farmers' objective function is to maximize expected, discounted stream of profits derived from working on and off the farm. Farm work includes cultivation of coffee and other crops. In every period, the farmer chooses whether to continue its current management practice (shade or sun), to switch management practices (to sun or shade), or to abandon coffee production altogether. In addition to choosing a use for their land, farmers choose the level of inputs that will be used for the chosen purpose.

$$\max_{Q_{t+1}(\cdot), X_{t+1}(\cdot), L_t, A} E_0 \sum_{t=1}^{T+\tau} \delta^t \pi(X_t, Q_t, L_t^{nf}, A, \bar{A})$$

Where $\tau = 0$ for sun coffee plantations and $\tau \in [0, T]$ for shade coffee plantations.

Consider a simplified version of the model where farmers only grow coffee in their land. This version also abstracts from off-farm labor and credit markets. Finally, I will impose convenient structural forms for the unknown functions $(Q_t, f_i, \gamma_t, \varphi_t)$.

and

$$\pi_*^{Sh} = \pi \left(a, \alpha_1, P, w, \gamma, A, A_j, E \frac{\partial \gamma_{t+1}}{\partial L_t}, EQ_{t+1}, \beta_{PES}, D_t(\cdot) \right) \quad (23)$$

Similarly, for farmers currently growing sun coffee, the current time profit and total profit stream equations take the following form:

$$\pi_t^{Su} = P_q \gamma_t(\cdot) (b + L_t^{\beta_1} Z_t^{\beta_2} A^{1-\beta_1-\beta_2}) - wL_t - w_z Z_t + D_t(\sum \omega A_j) \quad (24)$$

and

$$E_0 \sum_{t=6}^{2T+5} \delta^t \pi_{sh} = E_0 \sum_{t=6}^{2T+5} \delta^t \{ P_q \gamma_t(\cdot) (b + L_t^{\beta_1} Z_t^{\beta_2} A^{1-\beta_1-\beta_2}) - wL_t - w_z Z_t + D_t(\sum \omega A_j) \} \quad (25)$$

The FOC's are:

$$(L_t): \beta_1 P \gamma_t L_t^{\beta_1-1} Z_t^{\beta_2} A^{1-\beta_1-\beta_2} - w + E \left[\delta P \frac{\partial \gamma_{t+1}}{\partial L_t} \cdot Q_{t+1} \right] = 0 \quad (26)$$

$$(Z_t): \beta_2 P \gamma_t L_t^{\beta_1} Z_t^{\beta_2-1} A^{1-\beta_1-\beta_2} - w_z + E \left[\delta P \frac{\partial \gamma_{t+1}}{\partial Z_t} \cdot Q_{t+1} \right] = 0 \quad (27)$$

Which imply optimal levels of labor and capital inputs, coffee output, and per period profits:

$$L_*^{Su} = \frac{1}{\beta_2} \left[\frac{\frac{\partial \gamma_{t+1}}{\partial Z_t}}{\frac{\partial \gamma_{t+1}}{\partial L_t}} (\beta_1 Z_*^{Su} - w) + w_z \right] \text{ and } Z_*^{Su} = \frac{1}{\beta_1} \left[\frac{\frac{\partial \gamma_{t+1}}{\partial L_t}}{\frac{\partial \gamma_{t+1}}{\partial Z_t}} (\beta_2 L_*^{Su} - w_z) + w \right], \quad (28-9)$$

$$Q_*^{Su} = Q(b, \beta_1, \beta_2, P, w, w_z, \gamma, A, A_j, E \frac{\partial \gamma_{t+1}}{\partial L_t}, EQ_{t+1}, D_t(\cdot)) \quad (30)$$

and

$$\pi_*^{Su} = \pi \left(b, \beta_1, \beta_2, P, w, w_z, \gamma, A, A_j, E \frac{\partial \gamma_{t+1}}{\partial L_t}, EQ_{t+1}, D_t(\cdot) \right) \quad (31)$$

Comparative Statics

Ultimately, the goal of this theoretical exercise is to compare the stream of profits a Puerto Rican farmer expects to attain by growing shade coffee to those he would receive from growing sun coffee. However, interesting behavioral responses may arise given the public goods aspect of the problem. In particular, it would be interesting to assess the following theoretical relations:

$$\frac{\partial \pi^{Sh}}{\partial \beta_{PES}} = \sum_{t=6}^{2T+5} \delta^t A + \sum \omega \frac{\partial A_j}{\partial \beta_{PES}} \cdot D \quad (A)$$

This relationship measures whether or not shade-coffee farmers are benefiting by a subsidy program, and if so to what extent. Intuitively, this comparative static should be positive. Furthermore, recognizing there is a relationship between the size of the lump-sum subsidy and the area devoted to shade coffee by other farmers, it is possible that the overall effect is larger than the direct effect of the subsidy alone.

$$\frac{\partial \pi^{Sh}}{\partial A_j} = \sum \omega \frac{\partial D}{\partial A_j} \geq 0 \quad (B)$$

Comparative static (B) examines whether or not there are positive externalities to a shade-coffee farmer from other farmers' choice of management regime. Although the ecology argument is that this relation is positive over the long-run, it is possible that market factors, such as increased competition for scarce labor, will make the sign in this relationship ambiguous. The spatial aspect of the problem is relevant for distributional effects given that for farmers to capitalize on the ecosystem services it is more convenient to have large adjacent areas of secondary-forest (shade plantations) than many scattered farms.

$$\frac{\partial Q^{Sh}}{\partial A_j} \geq 0 \quad (C)$$

The way the model is designed, has (C) equal zero. However, allowing for this relationship is interesting because it indicates the existence of sorting behavior or some type of learning process. It would be useful to identify this effect in a spatial analysis of distributional impacts and would serve as guide for policy-makers for a target population.

$$\frac{\partial \pi^{Su}}{\partial A_j} = \sum \omega \frac{\partial D}{\partial A_j} \geq 0 \quad (D)$$

Comparative static (D) identifies whether or not sun-coffee farmers are substantial beneficiaries of the externality. This is important as it reflects the public goods nature of the problem. Perhaps, positive externalities actually serve as incentives for farmers to switch to sun the more profitable, and now better nourished sun coffee.

$$\frac{\partial \pi^{Su}}{\partial \beta_{PES}} = \sum \omega \frac{\partial D}{\partial A_j} \frac{\partial A_j}{\partial \beta_{PES}} \stackrel{!}{\geq} 0 \quad (E)$$

Finally, comparative static (E) examines contemplates a situation where the subsidy program may incentivize some farmers to free ride on the ecosystem services provided by shade-coffee adopters. If the type of farmer that free-rides happens to “dis-adopt” shade coffee, the program may in fact constitute a loss to the ecological objective (especially if the farmer owns large farm areas).



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Effect of Blending on Selected Sweet Potato Flour with Wheat Flour on Nutritional, Anti-Nutritional and Sensory Qualities of Bread

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Keywords: *sweet potato, variety, proximate, mineral, anti-nutritional factors, breads, blending ratio, functional properties, quality parameters, sensory attributes.*

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Effect of Blending on Selected Sweet Potato Flour with Wheat Flour on Nutritional, Anti-Nutritional and Sensory Qualities of Bread

Endrias Dako ^α, Negussie Retta ^σ & Gulelat Desse ^ρ

Abstract- This study was conducted to determine the possibility of blending selected sweet potato flour to wheat flour to produce breads and to evaluate nutritional, anti-nutritional factors, quality and sensory attributes of breads. Because of its potential source of nutrients compared to yellow and white sweet potato varieties, orange sweet potato cultivar was selected for bread making and six blending ratios of its flour with wheat flour (0:100, 5:95, 10:90, 15:85, 20:80 and 25:75) were designed. Functional properties of composite flour such as wet gluten and water absorption capacity was decreased and, increased respectively as blending ratio of sweet potato flour increases. Quality parameters of breads such as loaf size (volume, specific volume and height) were significantly decreased but loaf weight was increased as blending ratio of sweet potato flour increases. Increase sweet potato flour in blending ratio significantly increased the moisture, ash, fiber, carbohydrate, calcium, iron and zinc content of the breads and resulted in a decrease in the protein, fat, energy, phosphorus, phytate, phytate: calcium, phytate: iron, phytate: zinc and [phytate x calcium]: zinc molar ratios of the breads. Sensory attributes such as appearance, aroma, taste, mouth feel and overall acceptability indicated that control bread was more preferred by consumers than sweet potato flour supplemented breads. However, breads made up to using 15% sweet potato flour supplemented accepted by consumers (scored above like slightly range), this leads to the conclusion that nutritional improved, anti-nutritional reduced and consumer acceptable breads can be prepared by supplementing up to 15% SPF in WF.

Keywords: sweet potato, variety, proximate, mineral, anti-nutritional factors, breads, blending ratio, functional properties, quality parameters, sensory attributes.

I. INTRODUCTION

Bread is a food product basically formed from flour, water, salt and yeasts. Bread flour is commonly made from wheat but it can be produced from other cereals like maize, rye, barley, rice and non-grain plants. Flour is the major basic ingredient in bakery products. Due to its important characteristics, wheat flour is the main ingredient in most types of breads (Aboaba and Obakpolor, 2010). Bread contains a good source of nutrients, such as macronutrients (carbohydrates, protein and fat) and micronutrients

(minerals and vitamins) that are essential for human health to all population. However, the nutrient contents of bread products are depend on the chemical composition and baking processes used (Mohammed *et al.*, 2008).

Bread mainly produced from wheat flour but it can also be produced from composite flour such as a mixture of wheat and non wheat flours or wholly non wheat flour (David, 1992). The use of composite flour is advantageous in bread making for developing countries as it promotes high-yielding native plant species, increases nutritional values and enhances domestic agriculture production (Jolaosho, 2010). The goal of earlier researcher with composite flours was to save the largest possible percentage of wheat flour in the production of certain baked products. However, recently some research findings had showed that composite flour in new product development was used to improve nutritional value and sensory quality of the final products (Ammar *et al.*, 2009; Mepba *et al.*, 2007; Shoukat *et al.*, 2006).

Development in technology and research area showed that composite flours of sweet potato processed in to different food products for various purposes. A variety of food products such as doughnuts, biscuits, cakes, breads, cookies, fried chips, ice cream, porridge, breakfast foods and weaning foods have been made from sweet potato composite flour (Greene *et al.*, 2003 ; Truong and Ramesh, 2010). Sweet potato flour can serve as source of nutrients (carbohydrates, protein, dietary fiber, beta-carotene, minerals (Ca, P, Fe and K) and can also add natural sweetness, color and flavor to processed food products (Woolfe, 1992). Hence, the development of appealing processed products from sweet potatoes play a major role in raising awareness on the potential use of the crop around the world.

An increased consumption of dietary fiber in daily diet has been recommended by nutritionists to improve health. High dietary fiber content of food is one of the most important factors in terms of developing healthy diets to reduce the incidences of cardiovascular diseases, diabetes, obesity and reduction of glucose metabolism and promotion of the growth of beneficial gut micro flora (Brennan, 2005). Depending on varieties,

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sweet potatoes are low in protein but rich in dietary fiber and carbohydrate content so a successful combination of sweet potato variety with high nutritive value with wheat flour for bread production would be nutritionally advantageous. Fiber is an important nutritional contributor of sweet potatoes in human diet. So that integration of wheat flour and sweet potato flour enhance the fiber content of bread and may have a significant effect on human health (Anton, 2008). Thus, the aim of this study was to determine the appropriate substitution level of selected sweet potato flour for wheat flour in bread making.

II. MATERIALS AND METHODS

a) Description of the sampling area and sampling methods

Three sweet potato varieties with orange, yellow and white flesh colors were collected from Areka Agricultural Research Center (Figure 2.1). Wheat flour was obtained from KOJJ Food Processing Complex P.L.C. currently used for bread production. The other ingredients such as compressed yeast, powdered salt (NaCl) and improver were purchased from the local market in Addis Ababa.



Figure 2.1 : Three sweet potato varieties with different storage root flesh colors

b) Experimental study setting

Breads were baked in Entoto Technical and Vocational Education Training (TVET) and A laboratory experiment was conducted at the laboratories of Addis Ababa University and Ethiopian Health and Nutrition Research Institute.

c) Preparation of sweet potato flour

Flour from sweet potato was prepared based on the method described by Adeleke and Odedeji (2010) and shown in figure 2.2

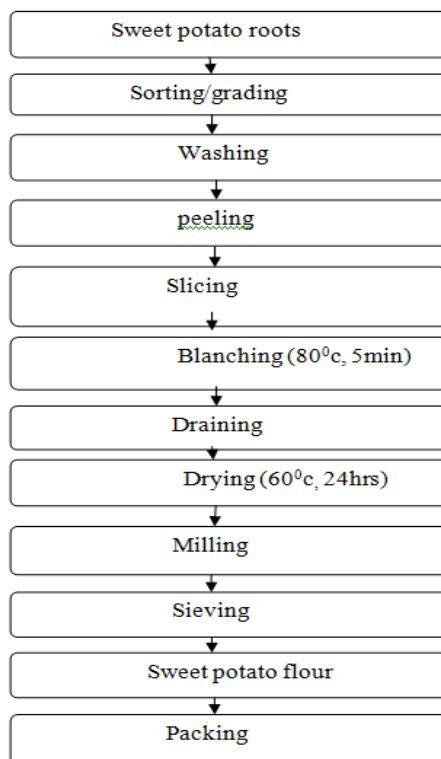


Figure 2.2 : Flow chart for the preparation of sweet potato flour

d) Preparation of composite flour

Six different blend proportions including control were designed (Table 2.1) based on the blend proportions used by Ifie (2011) in his bread formulated from sweet potato flour and wheat flour with slit modifications such that 5% and 10% more sweet potato flours are included in this blend preparation.

Table 2.1 : blending ratios of sweet potato flour and wheat flour in bread formulation

Sweet potato flour (%)	Wheat flour (%)
0	100
5	95
10	90
15	85
20	80
25	75

e) Bread preparation processes

Breads were formulated, prepared and baked based on the straight dough method used by Anton (2008) with slight modifications as the mixtures were mixed and kneaded with water in a flat wooden material manually instead of using electric mixer. Except water (variable) similar amount of all ingredients such as flour (100%), salt (1%), improver (1.5%) and yeast (2%) were used in each blend during the preparation of the dough (Table2.2). These ingredients in bread formulation were determined based on knowledge from traditional experienced bakers and literatures (Mepba et al., 2007; Mardiana, 2008; Jolaosho, 2010; Ukpabi, 2010).

Table 2.2 : Ingredient used in dough formulation per loaf

Ingredient	Composition (%)
Flour	100
Yeast	2
Salt	1
Improver	1.5
Water	Variable

For bread baking, the straight dough method was used (Anton, 2008), all the ingredients were added at the same time (Figure 2.3) and mixed manually for 5 minutes and kneading was done until consistent dough was obtained. The resulted dough was left to rest for 20 minutes at room temperature (first proofing) then 100g piece of dough was divided, rolled and molded. Each piece was placed in metal pan and let to ferment for 45 minutes at room temperature (final proofing) then the baking process was carried out in electrically heated oven at 200°C for 20 minutes. After baking loaves were separated from the metal pan and allowed to cool at room temperature before evaluation. The cooled loaves were dried at 60°C for 9 hours and milled in to a fine powder using electric grinder (High-Speed sampling

machine model- FW100) until to pass through 0.425mm sieve mesh size.

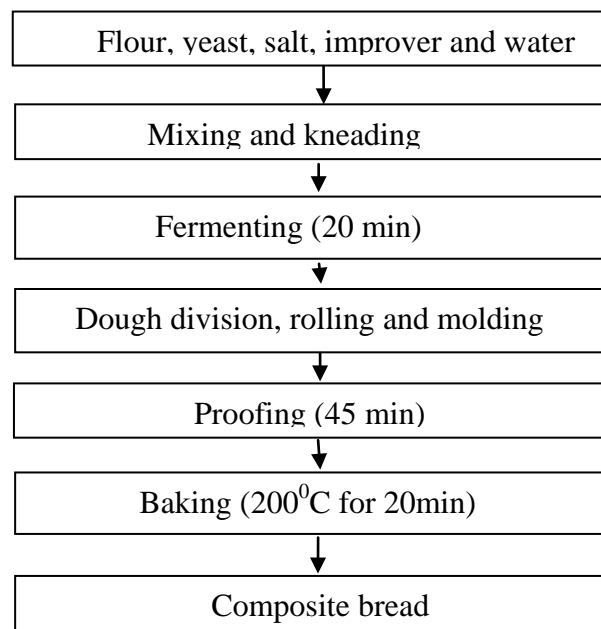


Figure 2.3 : Flow chart for bread making process

f) Methods of Analysis

i. Determination of functional properties of composite flours

Water absorption capacity was determined using the centrifuge method of Sosulki (1962) as cited in Edema et al. (2005). The gluten amount was evaluated by the standard methods of AACC test procedure (AACC, 2000).

ii. Evaluation of bread quality parameters

The loaf volume(VL) was measured by using seed displacement method (Mepba et al., 2007) with slit modification using chickpea instead of barley seed. Loaf weight (W) of breads were measured after cooling for one hour on digital balance (Masood et al., 2011). Specific loaf volume (VS) was calculated in the following expression:

$$\text{Specific Loaf Volume}(\text{cm}^3/\text{g}) = \frac{\text{VL}}{\text{W}}$$

iii. Sensory evaluation of bread

The sensory evaluation was carried out for consumer acceptance to evaluate loaf attributes such as appearance, aroma, taste, mouth feel and overall acceptability of the bread sample within two hours after baking. Twenty four panelists comprising of students and staff members from Food Science and Nutrition program of AAU and students and staff members of Hotel Management department in Entoto Technical and Vocational Education Training Institute (TVET) were randomly selected to perform the evaluation. Nine-point category scales was used to rate the attributes ranging

from like extremely to dislike extremely as used by (Mepba *et al.*, 2007).

iv. Proximate analysis

The moisture content was determined according to AOAC (2000) using the official method 925.09 by oven drying. Crude fiber content was determined according to AOAC (2000) using official method 962.09. Protein content was determined according to AOAC (2000) using the official method 979.09. Total ash content was determined according to AOAC (2000) using the official method 923.03. The crude

fat content was determined according to AOAC (2000) using official method 4.5.01. Total carbohydrate content was calculated by difference using the formula as follows:

$$\text{Carbohydrate (\%)} = 100 - (\% \text{ crude protein} + \% \text{ crude fiber} + \% \text{ total ash} + \% \text{ crude fat})$$

Total energy content was obtained using Atwater conversion factors 4, 9 and 4 for each gram of crude protein, crude fat and carbohydrate and expressed in calories, respectively (Guyot *et al.*, 2007).

$$\text{Total energy} \left(\frac{\text{Kcal}}{100\text{g}} \right) = (9 \times \% \text{Fat}) + (4 \times \% \text{Protein}) + (4 \times \% \text{Carbohydrate})$$

g) Mineral Analysis

Calcium, magnesium, iron and zinc were determined according to the standard method of AOAC (2000) using an Atomic Absorption Spectrophotometer (Varian SAA-20 Plus). Phosphorus was determined using UV-VIS spectrometer

h) Analysis of anti-nutritional factors

Phytate content was determined using method described by Latta and Eskin (1980) and later modified by Vaintraub and Lapteva (1988). Tannin content was determined using the method of Burns (1971) as modified by Maxson and Rooney (1972). Oxalate content of sample was determined using method originally employed by Ukpabi and Ejidoh (1989).

i) Statistical analysis

The effect of blending ratio on nutritional, anti-nutritional and sensory attributes of breads was analyzed with one way ANOVA. Mean differences were statically significant at $p < 0.05$ and the means of each parameter were compared using Duncan's multiple

range test procedures to separate the means using SPSS, version 15.0 software.

III. RESULTS AND DISCUSSIONS

a) Functional properties of composite flours

The mean wet gluten content of blends was significantly ($p < 0.05$) decreased from 30.44 to 16.87g/100g for 100% wheat flour to 25% SPF in blending proportion, respectively (Table 3.1). The highest (30.44g/100g) and lowest (16.87g/100g) mean wet gluten content was observed in 100% wheat flour and 25% blend of sweet potato flour, respectively. Generally, the mean wet gluten content was significantly ($P < 0.05$) decreased as blending ratio of sweet potato flour increased. This means that the sweet potato-wheat flour blends significantly decrease gluten content; this is because of gluten is absent in sweet potato flour and as blending ratio increases the wet gluten content decreases. Similar ideas were reported by (Kun-Lun *et al.*, 2009; Hamed *et al.*, 1973).

Table 3.1 : Effect of blending ratio on functional properties of composite flours

Blending ratio	Wet gluten content (g/100g)	Water absorption capacity (ml/100g)
0%	30.44 ± 0.31 ^a	65.60 ± 0.42 ^f
5%	28.38 ± 0.15 ^b	73.20 ± 0.21 ^e
10%	25.24 ± 0.24 ^c	75.32 ± 0.13 ^d
15%	23.85 ± 0.12 ^d	81.60 ± 0.33 ^c
20%	19.88 ± 0.24 ^e	89.57 ± 0.23 ^b
25%	16.87 ± 0.09 ^f	91.61 ± 0.18 ^a

Reported values are the mean ± SE (n=3). Means with different letters in the same column are significantly different ($P < 0.05$).

The mean water absorption capacity of sweet potato flour and wheat flour was 194.58ml/g and 65.60ml/100g, respectively. The mean water absorption value of control and blend flours ranged from 65.60-91.61ml/100g (Table 3.1). Blending proportion significantly ($P < 0.05$) affected water absorption capacity of flours. Generally, the mean water absorption capacity was significantly ($P < 0.05$) increased as blending proportion of sweet potato flour increased. Similar outcomes were investigated by (El-Zainy *et al.*,

2010; Sukhcham *et al.*, 2008). This increment in water absorption capacity as SPF increase in blend ratio might be contributed by sweet potato flour; because moisture content was low in sweet potato flour than wheat flour which increases water absorption capacity as more sweet potato flour was supplemented in blending ratio.

b) Evaluation of quality parameters of bread

Table 3.2 : Effect of blending ratio on quality parameters of breads

Blending ratio	Volume (cm ³)	Weight (g)	Specific volume (cm ³ /g)	Height (cm)
0%	467.98 ± 1.80 ^a	84.09 ± 1.02 ^e	5.68 ± 0.08 ^a	5.77 ± 0.11 ^a
5%	461.08 ± 3.30 ^b	87.19 ± 0.53 ^d	5.29 ± 0.07 ^b	5.58 ± 0.04 ^a
10%	420.37 ± 2.90 ^c	89.32 ± 0.69 ^c	4.71 ± 0.06 ^c	4.92 ± 0.04 ^b
15%	357.87 ± 1.96 ^d	91.14 ± 0.17 ^b	3.93 ± 0.02 ^d	4.68 ± 0.10 ^c
20%	312.50 ± 1.48 ^e	92.79 ± 0.16 ^b	3.37 ± 0.12 ^e	4.22 ± 0.06 ^d
25%	260.47 ± 2.79 ^f	94.78 ± 0.15 ^a	2.75 ± 0.03 ^f	3.75 ± 0.03 ^e

Reported values are the mean ±SE (n=3). Means with different letters in the same column are significantly different (P<0.05).

The dough (100g) was weighed before baking and the breads were weighed after baking. Blending ratio was showed significant increase (P<0.05) in weight of loaves as sweet potato flour increases in blending (Table 3.2). This increment might be contributed by the higher water absorption capacity of sweet potato flour than that of wheat flour. These results were well agreed findings of (Hamed *et al.*, 1973; Greene and Bovel-Brenjamin, 2004). On the other hand, volume of loaves were significantly decreased (P<0.05) as sweet potato flour increased in blending (Table 3.2). The results in this study were agreed with other findings (El-Zainy *et al.*, 2010; Greene and Bovel-Brenjamin, 2004; Kun-Lun *et al.*, 2009; Hamed *et al.*, 1973). This is because sweet

potato is soft with low gluten content and rich in fiber and thus the loaf reflect the gluten content of the bread. The specific volume of breads was significantly (p<0.05) decreased as blending ratio of SPF to WF increased in breads (Table 3.2). This decrement in specific volume of breads can be resulted from decreased wet gluten content with increased supplementation of SPF on WF that results in increased loaf volume. Moreover the extra water absorption capacity of more SPF supplemented on WF those results in increased weight of final breads. Hence, specific volume is the ratio of loaf volume to loaf weight expected to be decreased. This result was agreed with El-Zainy *et al.* (2010).



Figure 3.1 : Breads made from wheat flour and wheat-sweet potato composite flour

- A. Control (100%WF), B. 95% WF and 5% SPF, C. 90% WF and 10% SPF, D. 85% WF and 15% ,
- E. 80% WF and 20% SPF, F. 75% WF and 25% SPF.

Blending ratio was caused a significant decrease (P<0.05) in an average loaf height of breads as sweet potato flour supplementation level increased in blending (Table 3.2). This observed reduction in loaf height as supplementation of WF with SPF increased

might be contributed with less and less gluten level presence during dough formulation as more and more SPF was added in blending.

c) *Sensory analysis of breads***Table 3.3** : Effect of blending ratio on sensory characteristics of breads

Blending ratio	Appearance	Aroma	Taste	Mouth Feel	Overall Acceptability
0%	7.92 ± 0.16 ^a	7.58 ± 0.16 ^a	7.46 ± 0.17 ^a	7.08 ± 0.18 ^a	7.17 ± 0.21 ^a
5%	7.42 ± 0.17 ^{ab}	7.25 ± 0.24 ^{ab}	7.13 ± 0.30 ^{ab}	6.88 ± 0.21 ^a	6.79 ± 0.28 ^{ab}
10%	6.88 ± 0.18 ^b	6.83 ± 0.24 ^b	6.83 ± 0.21 ^{ab}	6.00 ± 0.23 ^b	6.54 ± 0.20 ^{abc}
15%	6.08 ± 0.29 ^c	6.25 ± 0.30 ^c	6.67 ± 0.29 ^{bc}	5.88 ± 0.23 ^{bc}	6.17 ± 0.25 ^{bc}
20%	5.88 ± 0.19 ^c	6.33 ± 0.18 ^c	6.71 ± 0.21 ^{bc}	5.54 ± 0.27 ^{bc}	5.83 ± 0.23 ^{cd}
25%	5.75 ± 0.28 ^c	6.46 ± 0.27 ^c	6.33 ± 0.28 ^c	5.21 ± 0.28 ^c	5.38 ± 0.34 ^d

Reported values are the mean ± SE (n=24). Means with different letters in the same column are significantly different (P<0.05).

Table 3.3 shows that breads made at 15%, 20% and 25% sweet potato substitution levels were significantly (P<0.05) different in some of the attributes tested (appearance, aroma, taste and mouth feel) from the control bread hence control bread being more preferred by the panelists. However, at 5% and 10% SPF substitution levels there were no significant (P>0.05) difference in the mean acceptability of control bread and sweet potato supplemented breads. The panelists mean score test revealed that the control bread was scored higher in all the tested attributes. This does not mean that other bread samples were not acceptable, even at 25% substitution level the panelists seem to like the aroma and taste of bread produced from sweet potato

and wheat flour blend. Despite the fact that control bread was more preferred to sweet potato supplemented breads, the average mean score of overall acceptability of up to 15% SPF composite breads are above 6 (like slightly) suggesting that they are acceptable range by consumers. This higher sensory attribute scoring for control bread compared with sweet potato and wheat flours composite breads could be due to the familiarization of the consumers to the normal wheat bread (Olaoye *et al.*, 2006). This result was agreed with previous works of (Aniedu and Agugo, 2010; Greene and Bovell-Benjamin, 2004; Sukhcham *et al.*, 2008).

d) *Nutritional composition*i. *Nutritional composition of flours***Table 3.4** : Chemical composition of sweet potato flour and wheat flour (on dry weight basis)

Constituents (%)	Wheat flour	Sweet potato flour
Moisture(g)	12.38 ± 0.04 ^a	8.37 ± 0.02 ^b
Protein(g)	11.63 ± 0.18 ^a	2.74 ± 0.01 ^b
Fat(g)	1.97 ± 0.02 ^a	1.12 ± 0.01 ^b
Crud Fiber(g)	1.83 ± 0.01 ^b	3.83 ± 0.04 ^a
Ash(g/100g)	0.78 ± 0.15 ^b	4.30 ± 0.03 ^a
Carbohydrate(g)	84.04 ± 0.18 ^b	88.00 ± 0.02 ^a
Total Energy (Kcal)	400.53 ± 0.14 ^a	373.00 ± 0.15 ^b
Calcium(mg)	27.41 ± 0.02 ^b	45.54 ± 0.01 ^a
Phosphorus(mg)	24.66 ± 0.01 ^a	20.68 ± 0.01 ^b
Iron (mg)	3.65 ± 0.02 ^b	11.46 ± 0.11 ^a
Zinc(mg)	0.69 ± 0.01 ^b	0.93 ± 0.01 ^a
Phytate(mg)	158.38 ± 0.24 ^a	77.74 ± 0.01 ^b

Reported values are the mean ± SE (n=3).

Means with different letters in the same rows are significantly different (p<0.05)

The mean values for proximate, minerals and phytate composition of wheat flour and sweet potato flour were calculated in 100g of flours and the obtained results are presented in Table 3.4. Sweet potato flour compared to wheat flour contains lower level of crude protein, crude fat, moisture, total energy and higher level of total ash, crude fiber and total carbohydrate content. Considering to minerals and phytate composition, sweet potato flour has higher level of calcium, iron and zinc and lower level of phosphorus and phytate than wheat flour. This result indicates that there is significantly compositional difference between sweet potato flour

and wheat flour in their levels of proximate, minerals and phytate content. Therefore, blending of sweet potato flour to wheat flour will contribute to increase nutrients which were lower in one of the component of composite flours of breads.

ii. Nutritional composition of breads

a. Proximate composition of breads

Table 3.5 : Effect of blending ratio on proximate composition (g/100g) of breads

Blend ratio	Moisture*	Protein	Fat	Ash	Fiber	CHO**	T.E***
0%	30.77 ± 0.31 ^f	11.17 ± 0.01 ^a	1.73 ± 0.02 ^a	1.59 ± 0.01 ^f	1.76 ± 0.01 ^f	83.74 ± 0.01 ^{cd}	395.25 ± 0.17 ^a
5%	32.81 ± 0.04 ^e	10.86 ± 0.04 ^b	1.48 ± 0.03 ^b	1.82 ± 0.03 ^e	1.96 ± 0.01 ^e	83.87 ± 0.09 ^c	392.29 ± 0.29 ^b
10%	33.47 ± 0.02 ^d	10.66 ± 0.06 ^b	1.40 ± 0.04 ^{bc}	2.16 ± 0.04 ^d	2.22 ± 0.01 ^d	83.55 ± 0.03 ^d	389.47 ± 0.38 ^c
15%	34.90 ± 0.02 ^c	9.94 ± 0.02 ^c	1.36 ± 0.01 ^{cd}	2.40 ± 0.01 ^c	2.43 ± 0.01 ^c	83.88 ± 0.05 ^c	387.51 ± 0.06 ^d
20%	35.49 ± 0.03 ^b	9.31 ± 0.06 ^d	1.32 ± 0.02 ^d	2.58 ± 0.02 ^b	2.63 ± 0.02 ^b	84.16 ± 0.07 ^b	385.73 ± 0.22 ^e
25%	37.15 ± 0.03 ^a	8.34 ± 0.13 ^e	1.23 ± 0.02 ^e	2.83 ± 0.02 ^a	2.75 ± 0.01 ^a	84.85 ± 0.17 ^a	383.85 ± 0.08 ^f

Reported values are the mean ± SE (n=3). Means with different letters in the same column are significantly different (P<0.05), *Wet basis, **Total carbohydrate, ***Total energy (Kcal/100g).

The mean moisture content of breads ranged from 30.77-37.15g/100g (Table 3.5). Breads at 25% SPF substitution level had the highest mean moisture value (37.15g/100g) while the control one had the least value (30.77g/100g). Statistical analysis showed that the mean moisture content of all experimental breads were significantly increased (p<0.05) as substitution levels of sweet potato flour increased. This increment could be attributed to the water binding capacity of sweet potato flour. The present finding was consistent with reports of Aniedu and Agugo (2010).

Control bread had the highest mean protein content (11.17g/100g) while bread at 25% SPF substitution level had the least value (8.34g/100g) (Table 3.5). The mean protein content of breads were significantly decreased (p<0.05) as substitution levels of sweet potato flour increased. Low protein content was observed with all sweet potato supplemented breads compared to the control bread. This could be as a result of the low protein content in sweet potato flour than wheat flour. The present finding was consistent with reports of (Aniedu and Agugo, 2010; El- Zainy *et al.*, 2010; Ifie, 2011).

Table 3.5 shows that the fat content of breads ranging from 1.23-1.73g/100g. Control bread had the highest mean fat content (1.73g/100g) while bread at 25% SPF substitution level had the least value (1.23g/100g). The mean fat content of breads were significantly decreased (p<0.05) as substitution levels of sweet potato flour increased. These reductions in fat content of breads observed in current study due to sweet potato flours contain low fat when compared to wheat flours. These results were well agreed with the findings of (Aniedu and Agugo, 2010; Ifie, 2011).

The mean fiber content of breads ranged from 1.76-2.75g/100g (Table 3.5). Control bread had the least mean fiber content (1.76g/100g) while bread at 25% SPF substitution level had the highest value (2.75g/100g). The mean fiber content of breads were significantly increased (p<0.05) as substitution levels of sweet potato flour increased. This increment in mean fiber content of breads could be due to the high quantity

of fiber in sweet potato flour than that of wheat flour. The present findings were consistent with results of (Aniedu and Agugo, 2010; El- Zainy *et al.*, 2010; Ifie, 2011).

The mean ash content of breads ranged from 1.59-2.83g/100g (Table 3.5). All blended breads had significantly higher (p<0.05) value of mean ash content when compared with the control bread. The effects of blend ratio was significantly increased (p<0.05) in mean ash content with SPF supplementation level on wheat flour increased. This increment in mean ash content of breads might be attributed by sweet potato flour; as sweet potato flour contains high ash level when compared to wheat flour. These results were in full agreement with results reported by (Aniedu and Agugo, 2010; El- Zainy *et al.*, 2010; Ifie, 2011).

The mean carbohydrate content of breads ranged from 83.55-84.85g/100g (Table 3.5). The mean carbohydrate content of breads in this finding was increased even though some irregularity value was also happened. Control bread did not show significant difference (p>0.05) in carbohydrate content up to 15% SPF substitution level but was significantly different (p<0.05) with breads made up of 20% and 25% SPF substitution levels. The highest and lowest mean carbohydrate content were observed with breads supplemented at 25% SPF and 5% SPF levels, respectively. This increment might be attributed by sweet potato flour; as sweet potato flour contains high carbohydrate level when compared to wheat flour. A similar result had been reported by Ifie (2011).

The energy content of breads ranged from 383.85- 395.25Kcal/100g (Table 3.5). The energy content of breads was significantly decreased (p<0.05) with SPF substitution level increased. This decrement in total energy of breads as the ratio of SPF increased in the blend, therefore, might be attributed by less energy content of sweet potato flour.

b. Mineral content of breads

The calcium content of breads ranged from 21.08-31.42mg/100g (Table 3.6). The calcium content of breads were significantly increased (p<0.05) as

substitution levels of sweet potato flour increased. This could be due to high content of calcium in sweet potato flour than wheat flour. This result was consistent with finding of El- Zainy *et al.* (2010).

Table 3.6 : Effect of blending ratio on mineral composition of breads (mg/100g)

Blending ratio	Calcium	Phosphorus	Iron	Zinc
0%	21.08± 0.01 ^f	19.23± 0.02 ^a	2.92± 0.01 ^f	0.52± 0.01 ^e
5%	22.11± 0.01 ^e	19.12± 0.01 ^b	3.30± 0.01 ^e	0.56± 0.01 ^{ed}
10%	24.55± 0.02 ^d	18.78± 0.02 ^c	3.76± 0.02 ^d	0.59± 0.01 ^{cd}
15%	26.90± 0.01 ^c	18.42± 0.02 ^d	4.27± 0.01 ^c	0.62± 0.02 ^{bc}
20%	29.14± 0.01 ^b	17.98± 0.01 ^e	4.65± 0.02 ^b	0.64± 0.02 ^b
25%	31.42± 0.01 ^a	17.51± 0.02 ^f	5.09± 0.01 ^a	0.68± 0.01 ^a

Reported values are the mean ±SE (n=2). Means with different letters in the same column are significantly different (P<0.05)

The mean phosphorus content of breads ranged from 17.51-19.23mg/100g (Table 3.6). The phosphorus content of breads were significantly decreased (p<0.05) as substitution levels of sweet potato flour increased. This decrement in phosphorus level of breads as the ratio of SPF increased in the blend, therefore, might be attributed by less phosphorus content of sweet potato flour.

The mean iron content of breads ranged from 2.92-5.09mg/100g (Table 3.6). The iron content of breads were significantly increased (p<0.05) as substitution levels of sweet potato flour increased. Higher score of iron content was observed with all sweet potato supplemented breads compared to the control bread. This could be due to high content of iron in sweet potato flour than wheat flour. A similar result was observed by El- Zainy *et al.* (2010). Low level of zinc content was observed in all investigated breads and the mean value ranged from 0.52-0.68mg/100g. The mean zinc content of breads were significantly increased (p<0.05) as blending ratio of sweet potato flour increased. This could be due to high content of zinc in sweet potato flour than wheat flour; a similar result was investigated by El- Zainy *et al.* (2010).

The phytate content of breads was significantly decreased (p<0.05) with SPF substitution levels increased (Table 3.7). Control bread had the highest

value of phytate (98.88mg/100g) and was significantly different (p<0.05) compared to all other SPF supplemented breads while bread supplemented with 25% SPF had the least value (67.43mg/100g). This decrease could be attributed to low level of phytate in sweet potato flour than wheat flour.

The phytate: calcium molar ratio of breads was significantly decreased (p<0.05) with SPF substitution levels increased (Table 3.7). Control bread had the highest value of phytate: calcium molar ratio (0.29) and was significantly different (p<0.05) compared to all other SPF supplemented breads while bread supplemented with 25% SPF had the least value (0.14). This decrease could be attributed to the low and high level of phytate and calcium in sweet potato flour than wheat flour.

The phytate: calcium molar ratios >0.24, indicative of poor calcium bioavailability (Norhaizan and Faizadatul, 2009). The phytate: calcium molar ratio in the present study for control and 5% SPF substituted breads were higher than the reported critical level; this indicates that absorption of calcium adversely affected by phytate in these breads. But in case of other breads (10%-25% SPF substituted breads) the value was lower than the reported critical level, which shows that absorption of calcium not affected by phytate in these breads.

Table 3.7 : Effect of blending ratio on phytate and phytate mineral molar ratios of breads

Blending ratio	Phytate (mg/100g)	Phy:Ca	Phy:Fe	Phy:Zn	[PhyxCa]:Zn (mg/100g)
0%	98.88±0.34 ^a	0.29±0.01 ^a	2.89±0.01 ^a	18.82±0.41 ^a	0.099±0.001 ^a
5%	89.94±0.65 ^b	0.25±0.01 ^b	2.31±0.02 ^b	16.03±0.10 ^b	0.094±0.004 ^a
10%	87.29±0.65 ^c	0.22±0.01 ^c	1.96±0.00 ^c	14.67±0.05 ^c	0.093±0.003 ^a
15%	75.83±0.64 ^d	0.18±0.01 ^d	1.52±0.02 ^d	12.12±0.49 ^d	0.083±0.004 ^b
20%	72.21±0.65 ^e	0.16±0.01 ^e	1.32±0.02 ^e	11.19±0.19 ^{de}	0.082±0.002 ^b
25%	67.43±0.33 ^f	0.14±0.01 ^f	1.13±0.01 ^f	10.25±0.05 ^e	0.081±0.001 ^b

Reported values are the mean ± SE (n=3). Means with different letters in the same columns are significantly different (P<0.05)

The phytate: iron molar ratio of breads was significantly decreased (p<0.05) with SPF substitution levels increased (Table 3.7). Control bread had the highest value of phytate: iron molar ratio (2.89) and was significantly different (p<0.05) compared to all other

SPF supplemented breads while bread supplemented with 25% SPF had the least value (1.13). This decrease could be attributed to the low and high level of phytate and iron in sweet potato flour than wheat flour. The phytate: iron molar ratio >1, indicative of poor iron

bioavailability (Norhaizan and Faizadatul, 2009). The phytate: iron molar ratio in the present study for all breads were higher than the reported critical value, which implies the absorption of iron from all experimental breads were found inhibited by phytate and as a result the bioavailability of iron is poor in these breads.

The mean phytate: zinc molar ratio of breads ranged from 10.25-18.82 (Table 3.7). The mean phytate: zinc molar ratio of breads was significantly decreased ($p < 0.05$) with SPF substitution levels increased. Control bread had the highest value of phytate: zinc molar ratio (18.82) and was significantly different ($p < 0.05$) compared to all other SPF supplemented breads while bread supplemented with 25% SPF had the least value (10.25). This decrease could be attributed to the low and high level of phytate and zinc in sweet potato flour than wheat flour. The phytate: zinc molar ratios > 15 , indicative of poor zinc bioavailability (Norhaizan and Faizadatul, 2009). The phytate: zinc molar ratio in the present study for control and 5% SPF substituted breads were higher than the reported critical level, indicating that absorption of zinc was found adversely affected by phytate in these breads. But in case of other breads (10%-25% SPF substituted breads) the value was found lower than the reported critical value, indicating that absorption of calcium was not affected by phytate in these breads.

The [phytate x calcium]: zinc molar ratio of breads ranged from 0.081-0.099mg/100g (3.7). Generally, the mean [phytate x calcium]: zinc molar ratio of breads was significantly decreased ($p < 0.05$) with SPF substitution levels increased. This decrease could be attributed to the low and high level of phytate and zinc in sweet potato flour than wheat flour. The potential effect of calcium on zinc absorption in the presence of high phytate intakes has led to the suggestion that the [phytate x calcium]: zinc molar ratio may be a better index of zinc bioavailability than the phytate: zinc molar ratio alone (Obah and Amusan, 2009). High calcium levels in foods can promote the phytate-induced decrease in zinc bioavailability when the [phytate x calcium]: zinc molar ratio greater than 200mg/100g (Norhaizan and Faizadatul, 2009). In this study, the values of [phytate x calcium]: zinc molar ratios of all breads were found less than the reported critical level. Therefore, bioavailability of zinc is not affected by calcium in the presence of phytate levels in all experimental breads.

IV. CONCLUSION AND RECOMMENDATIONS

The result of present study showed that supplementation of sweet potato flour to wheat flour greatly decreased dough stability and loaf size (volume, specific volume and height) due to the dilution of gluten

matrix in wheat flour. Composite flours have lower level of wet gluten content and higher level of water absorption capacity than control(wheat) flour. Breads prepared from SPF supplemented had significantly increased levels of moisture, ash, fiber, carbohydrate, calcium, iron and zinc content than control(wheat) bread. This was due to the high levels of these nutrients in SPF compared to wheat flour. On the other hand, there was a decrease in protein, fat, energy and phosphorus levels of breads as substitution of SPF increased in blending ratio. Moreover, the phytate content and phytate mineral molar ratios of blended breads were also significantly decreased than control(wheat)bread. Thus, supplementation of SPF can reduce phytate level, enhance mineral bioavailability and improve nutritional status of wheat bread.

Nevertheless, all nutritionally rich food products may not be always desired to consumer acceptance. Results of sensory attributes in terms of appearance, aroma, taste, mouth feel and overall acceptability indicated that control bread was more preferred by consumers than SPF supplemented breads. However, breads made up-to using 15% SPF substituted level accepted by consumers (scored above like slightly range), this leads to the conclusion that nutritional improved, anti-nutritional reduced and consumer acceptable breads can be prepared by supplementing up to 15% level SPF in WF.

Based on the current study, it is recommended that breads production from sweet potato and wheat composite flours should be given emphasis and processors should be encouraged to utilize the potential nutrient source of sweet potato flour. Since such breads are a good source of dietary fiber, which may also be of benefit in the prevention of cardiovascular diseases and cancers. Since protein value is low in sweet potato cultivars, it is encouraged that sweet potato crop should be consumed along with legumes. Thus, farmers who cultivate sweet potato crop should also cultivate legumes in order to compensate protein value of sweet potato for their growing children.

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Manifestation of Some Meadow Grasses of Local Origin, under Conditions of the Central Balkan Mountain in Bulgaria

By Dimitar Mitev & Galina Naydenova

Summary- In the period of 2011-2015, a field experiment was conducted in the foothill region of the Central Balkan Mountain. The behaviour of some meadow grasses of local origin was studied, which had not been used before, under artificial sowing conditions. It has been found that there is an opportunity to cultivate French rye grass and white bentgrass under conditions of highly gleyed pseudopodzolic soils. Under the experimental conditions, the most productive species were red and tall fescue. The average green matter yield of red fescue was 21.70 t.xa^{-1} , and dry matter – 7.032 t.xa^{-1} . In comparison, tall fescue surpassed it insignificantly according to average green matter yield (5.07%), but it was also insignificantly inferior according to dry matter (5,73%). Perennial ryegrass and big quaking grass were the most low-yielding grasses in the current study. Their average green mass, for the period of study, was 16.30 t.xa^{-1} and 16.10 t.xa^{-1} , and dry matter was 4.159 t.xa^{-1} and 4.878 t.xa^{-1} .

Keywords: meadow grasses, hypothesis.

GJSFR-D Classification : FOR Code: 060701



Strictly as per the compliance and regulations of :



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Dimitar Mitev ^α & Galina Naydenova ^ο

Summary- In the period of 2011-2015, a field experiment was conducted in the foothill region of the Central Balkan Mountain. The behaviour of some meadow grasses of local origin was studied, which had not been used before, under artificial sowing conditions. It has been found that there is an opportunity to cultivate French rye grass and white bentgrass under conditions of highly gleyed pseudopodzolic soils. Under the experimental conditions, the most productive species were red and tall fescue. The average green matter yield of red fescue was 21.70 t.xa⁻¹, and dry matter – 7.032 t.xa⁻¹. In comparison, tall fescue surpassed it insignificantly according to average green matter yield (5.07%), but it was also insignificantly inferior according to dry matter (5.73%). Perennial ryegrass and big quaking grass were the most low-yielding grasses in the current study. Their average green mass, for the period of study, was 16.30 t.xa⁻¹ and 16.10 t.xa⁻¹, and dry matter was 4.159 t.xa⁻¹ and 4.878 t.xa⁻¹.

Red fescue and white bentgrass had the highest percentage in the grasslands. In the end of the study period (2015), it was respectively 83.3% and 81.8%.

Self-sowing of other meadow grasses of local origin was found, based on availability of their seeds in the soil.

A hypothesis is formed that each "structural unit" (... , species, population, cultivar,...) represents a peculiar "energy-information system", with the corresponding "projection in Time

Keywords: meadow grasses, hypothesis.

I. INTRODUCTION

The idea, on the advantages (Mitev and Petrov, 1999) and disadvantages (Hector, 1998) of the simple and mixed grasslands in comparison to the individually created meadow grasslands, has had a long history (Darwin, 1872). It has carried on during the years with unceasing power (Mitev and Naydenova, 2015; Sanderson et al., 2004). The global climate changes impose the selection of species, which should establish such kind of grasslands, that the latter could resist the extreme environmental conditions of the habitat (Ives et al., 2000). Grassed areas take a significant share in production of harsh fodder, which in turn forms a positive norm of profitability in agriculture (Frank et al., 1998; Totev et al., 2000, etc.). It is necessary to mention that in the selection of components in order to establish

a meadow grassland, attention should be paid not only to the productivity and respectively to the economy, but also, for example, to its influence over the environment (Kanneganti et al., 1998; Bloom and Mallik, 2004). The grasslands using permits to go beyond the traditional limits of the commonly accepted views about agriculture, ecology, preservation, and land management, which must also include sustainable development, decreased pesticide use and artificial fertilizers, and soil protection (Watkinson et al., 2001; Krueger et al., 2002; Mitev and Naydenova, 2008; Farooq et al., 2013). The specificity in water consumption regulation for different species increases soil humidity and lowers its temperature. The heat regulation of soil leads to decrease in its air temperature (Shumway, 2000; Wilson, 1996), which increases their significance in ecological terms. The change in nutrient transfer and absorption of the created organic matter (Koukoura, 1998) permits to look for "a preserving approach in the re-establishment of degraded areas", which are determined in some regions in Bulgaria (Mitev and Naydenova, 2008). A number of researches, which have been carried out in Bulgaria, show the impossibility to create qualitative and long-lasting meadow grasslands using foreign seeds (Chourkova, 2007; Goranova-Naydenova, 2002; Mitev, 1997; Stoeva, 2001; Totev, 1984; etc.) This differs from the idea of realization of the Evolution of Increased Competitive Ability (Bossdorf et al., 2005). Sometimes, the introduced species could make enormous economic difficulties (Perrings et al., 2000). The difference in relation to meadow grasses (Goranova-Naydenova, 2002; Mitev, 1997 etc.) required to conduct a large-scale selection programme for creation of meadow grass cultivars of local origin, meeting the conditions of the habitat. Every particular region is distinguished by a peculiar specificity, which could make it unique in ecological terms (Wardle et al., 1987; Mitev and Naydenova, 2015).

The aim of present study was to determine the manifestation of some meadow grasses of local origin under the conditions of the Central Balkan Mountain.

II. MATERIAL AND METHODS

The experiment was set in the experimental field of RIMSA - Troyan, located at the altitude of 384 m, in

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the spring of 2010, using the block method, with 4 replications, with a size of the experimental parcel of 1m². The soil is pseudopodzolic, with a high degree of gleying, characterized by pH (KCl) 3.9, exchangeable cations in meqv/100 g soil, Al - 1.6; Mn - 1.3; Ca+Mg - 4.5. (Mitev and Belperchinov, 2000). The average annual rainfall amount in the region of the experiment for a long-term period (1965 - 2000) was 734,4 mm, and for the vegetation period - 453 mm. Soil was ploughed in depth of 18 – 20sm in autumn. Before sowing, the soil was brought to a garden state. Sowing was conducted manually in 2010 in a disperse manner, with 800 seeds capable of germinating at 1m², without fertilization. After sowing the earth was rolled. The variants of study are as follows: 1. Red fescue (*Festuca rubra* L.), 2. Perennial ryegrass (*Lolium perenne* L.), 3. Cock's foot (*Dactylis glomerata* L.), 4. French rye grass (*Arrhenatherum elatius* P.B.-*Avena elatior* L.), 5. Tall fescue (*Festuca arundinacea* Schreb), 6. Big quaking grass (*Briza maxima* L.), 7. Golden oat grass (*Trisetum flavescens* L.), 8. White bentgrass (*Agrostis alba* L.= *Agrostis stolonifera* L.). Local populations of species were used. Green and dry matter yield were followed in t.xa-1, as well as the botanical composition of grasslands in the period 2011 – 2015. Grasses were cut in the phase of full heading/ear formation. In the year of sowing (2010) the experiment was not harvested, but two sanitary cuttings were performed in order to fight weeds. For the statistical data processing were used analysis of variance (ANOVA) and a multiple comparison of mean values in relation to green and dry matter yield by means of least significant differences (LSD0.05). A part of the results were published in the previous article (Mitev, 2014 ⁽²⁾)

III. RESULTS AND DISCUSSIONS

Green and dry matter yields are shown in figures 1 and 2. The most productive species for an average long-term period (2011 - 2015) were red and tall fescue. The average green matter yield of red fescue was 21.70 t.xa⁻¹, as dry matter was 7.032 t.xa⁻¹. In comparison, tall fescue surpassed it insignificantly in the average green matter yield (5,07%), and it was also insignificantly inferior according to dry matter (5,73%). The least productive were perennial ryegrass and big quaking grass for the conditions of the experiment. Their average green matter yield for the period was respectively 16.30 t.xa⁻¹ and 16.10 t.xa⁻¹, and dry matter was 4.159 t.xa⁻¹ and 4.878 t.xa⁻¹.

Species, such as French rye grass, golden oat grass and white bentgrass, which have not been cultivated as cultural species till now in the region of foothills of the Central Balkan Mountain, gave way with unproven differences in the average dry matter yield of red fescue. In other studies, the latter species has shown its advantages in productive terms, in

comparison with a number of other meadow grasses (Mitev, 1995; Mitev et al., 2013; Totev, 1984). In some years (2012), white bentgrass formed 13.21% more green matter in comparison with red fescue, golden oat grass was by 9,43% more; and French ryegrass was 11.32% more. In 2012 only the French ryegrass gave 24.25% more dry matter in comparison with red fescue. The manifestations of white bentgrass give reasons to compare it in a certain relation with red fescue. It is known that red fescue reaches a balance of substances in its issues at a low level of ionic density (Brrogowski and Trasczyk, 1978), which allows it successfully to assert itself on the account of natural soil resources. Cock's foot had approximately 3.2 times higher requirements in relation to that study. Regardless of unproven mathematical differences between the above-mentioned two cultures, the comparison in their dry matter productivity showed interesting correlation. In odd years of study (2011; 2013; 2015), red fescue surpassed in its yield the white bentgrass. In even years (2012; 2014), their productivity was approximately equal. This „succession” brings about the feeling of a peculiar „pulsation of systems”, which is found in other author's studies. It is different as a manifestation in years, for each of the species and is caused by the synchronicity or its lack with the rhythm in Nature, with its energy essence. It is also possible when a definite energy status is reached to determine the shrinking and expanding in the area of species distribution (Mitev and Yasheva, 1998). French ryegrass has spread in the region in the last 3-4 decades. White bentgrass has spread for the last 1-2 decades.

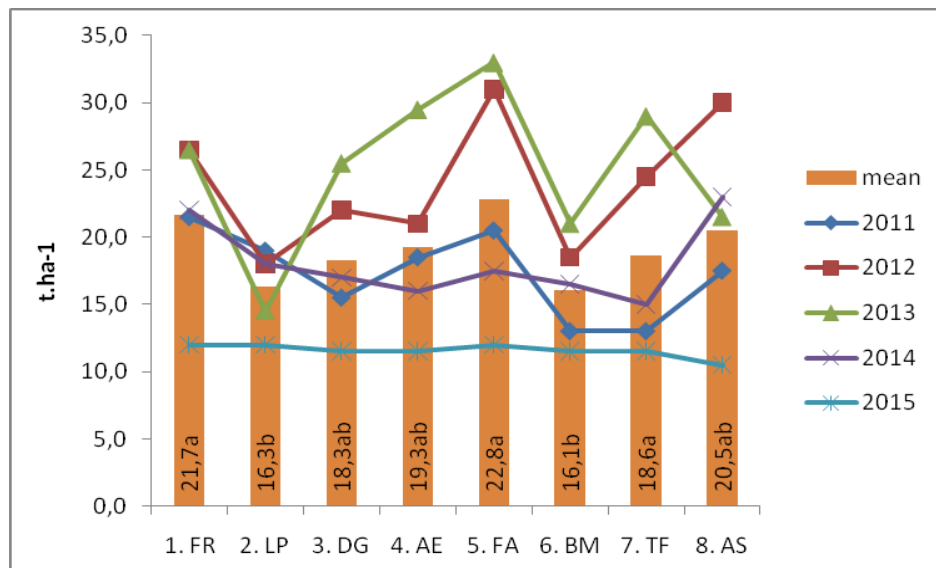


Figure 1 : Green matter yield in years and average for the period, t.ha⁻¹

*Note: 1. Red fescue (F.R.) 2. Perennial ryegrass (LP), 3. Cock's foot (DG), 4. French rye grass (AE), 5. Tall fescue (FA), 6. Big quaking grass (BM), 7. Golden oat grass (TF), 8. White bentgrass (AA=AS)

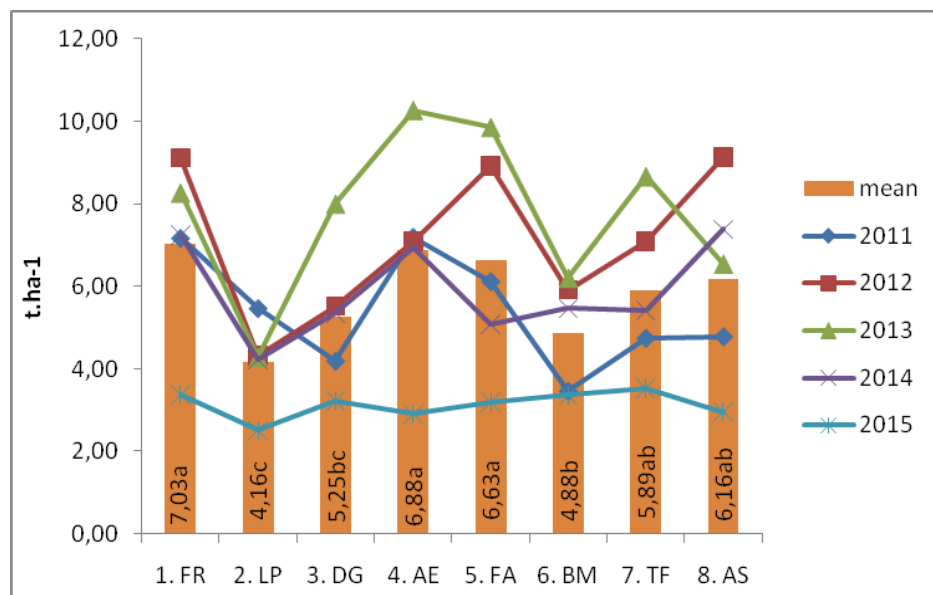


Figure 2 : Dry matter yield in years and average for the period, t.ha⁻¹

*Note: 1. Red fescue (F.R.) 2. Perennial ryegrass (LP), 3. Cock's foot (DG), 4. French rye grass (AE), 5. Tall fescue (FA), 6. Big quaking grass (BM), 7. Golden oat grass (TF), 8. White bentgrass (AA=AS)

It is considered that forage production quantity is a result of reduced invasion of unwanted weed vegetation (Tracy and Sanderson, 2004), the recovery of the available feeding resources (Gilroy and Trewavas, 2001; Reiche et al., 2001) and so on. The use of these resources depends on the physiological and phenological peculiarities of components in the grasslands (Sanderson et al., 2004). Moreover, that could be the combination of these and other factors with the concrete condition of the plant material included in the study (Mitev, 2004).

From this perspective, the botanical composition of grasslands and the species ability to counteract to the local weed complex are of interest (Figure 3). Big quaking grass established itself extremely difficult in the grassland. In the first harvest year (2011), its participation in the cut grass was only 6.2%. In 2012 its share reached up to 89.3%, and in 2014 it was 85.7%. The percentage share of white bentgrass in the grassland in the first and second experimental year was very high - respectively 90.9% and 89.3%. In the fourth harvest year of the grassland

(2014), its share was decreased at 58,8%, but it was increased on 81.8% in 2015. These results are different from the previously established for the area of subalpine zone of the Central Balkan Mountain susceptibility to drought, unstable productivity and presence of that species in the grassland (Totev, 1970 a, b). Its relatively

good productivity, in combination with its high percentage of participation in the total forage mass in the fourth and fifth years of use, raise the necessity for further research on its manifestation in artificial grass sowing.

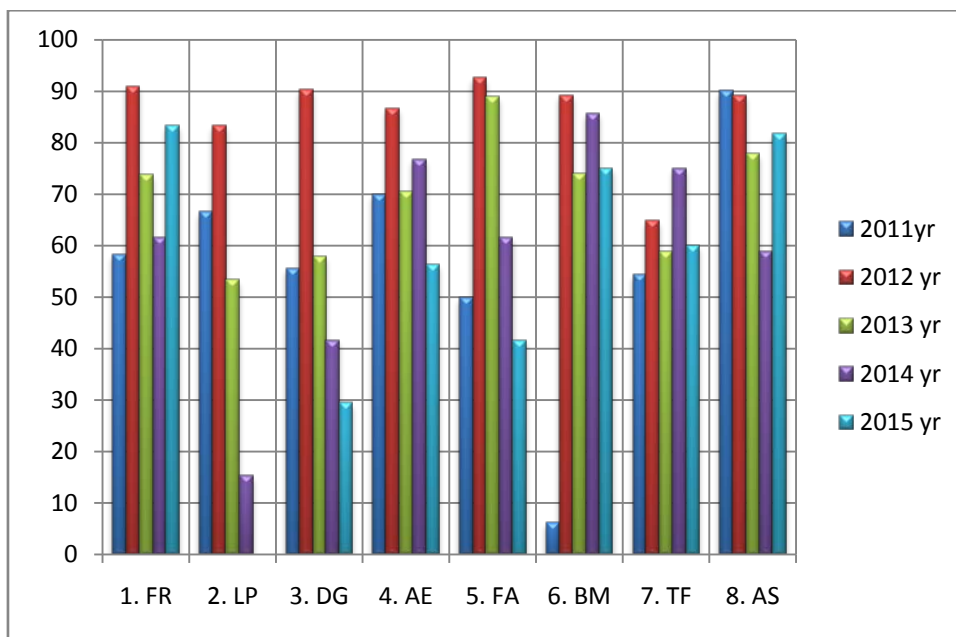


Figure 3 : Relative share of sown grasses in the grassland in years, %

*Note: 1. Red fescue (F.R.) 2. Perennial ryegrass (LP), 3. Cock's foot (DG), 4. French rye grass (AE), 5. Tall fescue (FA), 6. Big quaking grass (BM), 7. Golden oat grass (TF), 8. White bentgrass (AA=AS)

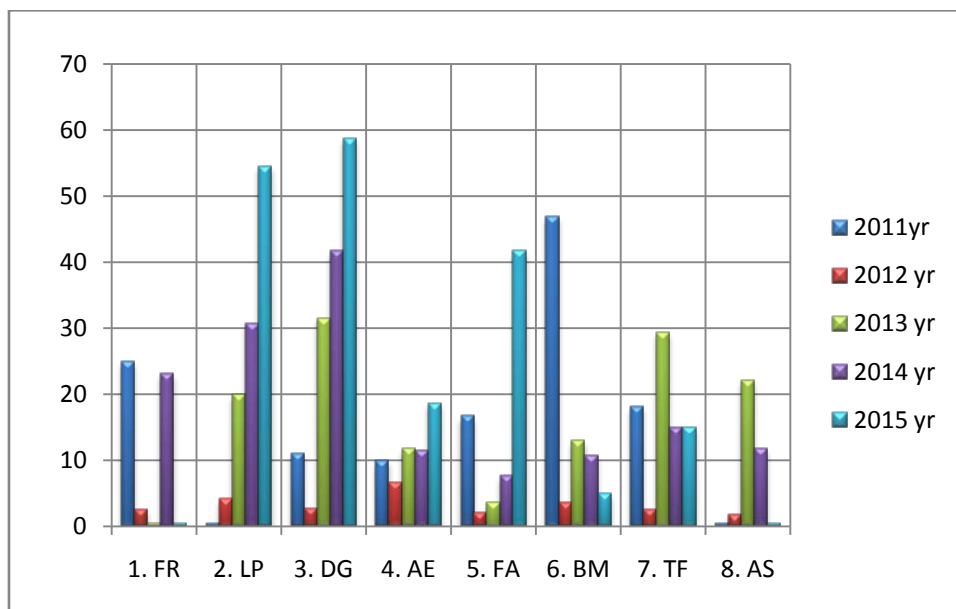


Figure 4 : Relative share of self-sown forage trees in years, %

*Note: 1. Red fescue (F.R.) 2. Perennial ryegrass (LP), 3. Cock's foot (DG), 4. French rye grass (AE), 5. Tall fescue (FA), 6. Big quaking grass (BM), 7. Golden oat grass (TF), 8. White bentgrass (AA=AS)

In certain years red fescue showed a high percentage of participation in grasslands. In the end of

the five-year period, it was 83.3%, and the presence of weeds was small. The participation of other self-sown

meadow species of local origin in the red fescue grassland was more significant - in 2014 their share was 23.1, and in 2011 - 25.0%. (Figure 4). The ability of white bentgrass and red fescue forms used in that case (Mitev, 1996) to form root system, made them especially useful to fight water and wind erosion in many areas in our country.

Tall fescue and French ryegrass showed a high percentage of participation in the created grasslands in the second and third vegetation. Fast decrease in cock's foot share was found in the grassland for the experimental conditions in 2015 - 29.4%. In comparison, the participation of big quaking grass, white bentgrass and red fescue in the grasslands was twice higher in the same year - respectively 75.0%; 81.8% and 83.3%.

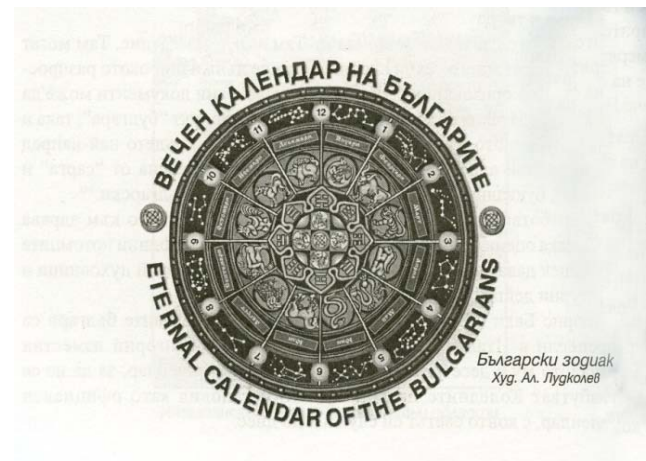
Even in the self-sowing, meadow grasses created mixed grasslands with the available seeds in soil of species of local origin. Similar development was also noticed in the current experiment discussed here. Self-sowing of false red fescue, common white bentgrass was found etc. Their relative share was very high in the cut grass for the species of cock's foot and perennial ryegrass, which dropped relatively quickly from the grassland. A relatively sustainable development was observed for French rye grass and golden oat grass under the conditions of that experiment.

The influence of geographical location over the quantity of the available seeds of local origin in soil is known (Peeters and Janssens, 1998; Stohlgren et al., 1999; Tracy and Sanderson, 1999). Unlike that, a productive expression of the existing seeds in the soil is searched in the experiment described here.

We search for the possible causes for the condition of grasslands in the results shown above. The established results lead us to the notion that the habitat conditions of grasslands should be determinant in the selection of the constituent species, their origin, sowing period etc. The influence of factors, such as nutrients, light, space etc., over the behaviour of species has been discussed in previous author's articles (Mitev and Belperchinov, 1996; Mitev and Yasheva, 1998; Mitev₍₁₎ unpublished). It is considered that there is a connection between the energy essence of Nature and condition of the concrete "structural units" (... , species, population, cultivar) (Mitev, 2004; Mitev and Naydenova, 2012). There is a view that their behaviour in the environment is determined by the level of energy saturation that is reached. Its change leads to shrinking and expanding in the area of spreading, i.e. to a formation process. Besides there is a mutual conditionality between the energy level reached and the hereditary information created (Mitev and Yasheva, 1998. If each "structural unit"(... , species, population, ...) represents a peculiar "projection in Time" (Mitev, 2004; Mitev and Naydenova, 2012), then it is not difficult to assume that the combination of components (in this case of grass species, including these in the soil etc.) lead to

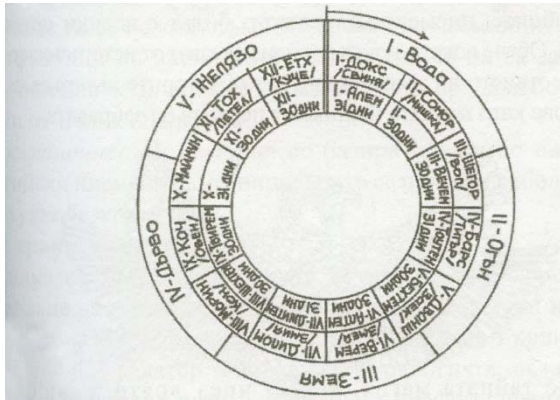
interaction on "a time level"? The principles for symmetry of Time (equivalent to directions "future"- "past" for each moment) lead directly to the law on preservation of energy (Mitrani, 1989) and to durability of grasslands in the cases discussed here. Hence come the assumption that each grassland, regardless the number of its components, represents a peculiar "energy-information system" (Mitev and Naydenova, 2014; 2015), directly related to the essential characteristics of Nature.

The notion of ancient people that the Earth is exposed to constant energy impact with a definite rhythm is well known. Its change determines an adequate reaction in Nature (Baggott, 2000; Wong, 1997 etc.). Each different species is subjected to different interdependences (Aldrich, 1978; Cleaveland and Duvic, 1992; Kunz et al., 2011; Mannila; 1980 etc.). At the same time there are irrevocable rules that led to creation of the calendars of some ancient nations. Attention should be paid on the comparison of some of the calendars (Baggott, 2000; Madzharov, 2001; Madzharov et al., 2002; Valchev, 1986; Wong, 1997 etc.) They are based on thousand-year old traditions. There are certain cases of coincidence in the main conclusions in the contemporary studies (Thompson, 1989) with those of ancient times (Baggott, 2000). The most ancient notions of people of cosmogony have found their final form in the Book of Changes (I Ching), and the structure of the ancient Bulgarian calendar shows a direct relation to these (Velev, 2000). Each particular year is subjected to corresponding constellation and trigram (Bahshi Iman, 1680; quoted after Madzharov et al., 2002). Trigrams reflect fundamental concepts of the ancient notion of the world (I Ching, 1996)

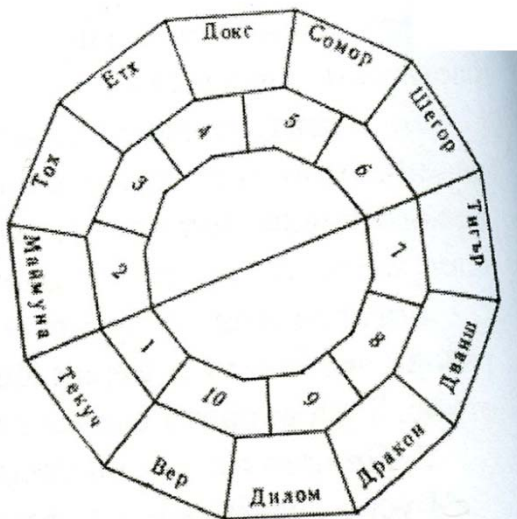


Ancient people considered that all manifestations in the Universe arise from the law on the modification of the energy. There are "five spinnings/elements" in it ("water"; "fire"; earth"; wood"; "metal"). "Five spinnings" are levels of the qualitative changes of energy, which are dependent on the changes of "the fifth elements". After completion of each

cycle, there is a new genesis. The term "spinning" speaks about cyclical changes.



The calendar consists of 10 "heavenly stems" and 12 "earthly branches". Ten "stems" are characterized by the transition of positive principles into negative, and vice versa: odd "stems" are positive (i. e. with surplus), and even numbers are negative (i. e. a lack of certain energy). "Stems" characterize heavenly energy, and "branches" - the earthly.



Древният български календар (според Борис Рогев)

These ten "heavenly stems" are combined with twelve "earthly branches" in 60-year cycle. Depending on the full rotation of the Earth's axis in space, it could span a period of 20160 years (Valchev, 1986). The odd years (2011; 2013; 2015), covered in the current study, are characterized by "a lack" of energy (Yin), and the even by "surplus"(Yang). It is necessary to make a difference between even "stems" and even years. Based on the theoretical formulation for the origin of species (that they are "a peculiar projection in Time") (Mitev, 2004; Mitev

and Naydenova, 2012), as well as that each grassland represents a peculiar "energy-information system" (Mitev and Naydenova, 2014; 2015), which is in a direct connection with the essence of Nature, we come to the opportunity to connect them with the beginning of the research program for modeling the behaviour of cultures. In this way the opportunity appears to reach the knowledge of the ancient Bulgarians. Usually the media introduce us to the formulations of the ancient Chinese calendar, but not with those of the ancient Bulgarian. It is considered as necessary to point out that the concepts "heavenly stems" and "earthly branches" are based on the knowledge of the Chinese calendar. The Bulgarian ones are not known to the authors. The readers are less familiar with specialized literature of some Chinese researchers because of certain reasons (Li You and Yin Ting 2008; He Juan 2009, etc.). About 2500-3000 years ago, a part of the Bulgarian nation lived in the region of the great northern bend of the Yellow River (Huang He), today's China. Bulgarian and Chinese people had a direct cultural, economic and political exchange. After the foundation of the Chinese Empire (4-3 c. BC) and the subsequent military defeat of Bulgarians, our ancestors retreated to the west, and later participated in the so called "Migration Period" in the beginning of the New Era (the new system of chronology). It is natural that during the ages, after breaking the contact between Bulgarians and Chinese, some differences between the calendar systems appeared. It is considered, that for the establishment of the Bulgarian calendar, were needed observations in the course of 70 thousand years (Pophrstov, 2015)!!!!

IV. CONCLUSIONS

It has been found that there is an opportunity to cultivate French rye grass and white bentgrass under conditions of highly gleyed pseudopodzolic soils in the region of the Central Balkan Mountain.

During the study period (2011-2015) the most productive were red fescue and tall fescue. The average for the period green matter yield of red fescue was 21.70 t.xa⁻¹, as dry matter was 7.032 t.xa⁻¹ In comparison, tall fescue surpassed it insignificantly in the average green matter yield (5,07%), and it was also insignificantly inferior according to dry matter (5,73%). The lowest yields were found for perennial ryegrass and big quaking grass. Their average green matter yield for the period was respectively 16.30 t.xa⁻¹ and 16.10 t.xa⁻¹, and dry matter was 4.159 t.xa⁻¹ and 4.878 t.xa⁻¹.

Red fescue and white bentgrass had the highest percentage in the grasslands. In the end of the study period (2015), it was respectively 83.3% and 81.8%.

It was found self-sowing of meadow grasses of local origin, at the base of availability of their seeds in the soil.

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Women in Smallholder *Fadama* Farming: Significance, Roles and Constraints

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Abstract- This paper presents results from a recent fieldwork in Nigeria and explores the socio-economic dimension of gender as it relates to agriculture in the *fadama* areas of North-Central Nigeria. Findings reveal the central role women play in smallholder agriculture and how this is shaped by complex social processes that are inextricably linked with power relations. Through direct and indirect agricultural activities, women provide the bulk of the labor in smallholder agriculture. This is often in addition to the central role they play in maintaining the family structure. Notwithstanding their input to agricultural production and the family, women in the study areas are greatly disadvantaged as demonstrated by cultural practices that exclude them from owning the primary means of production, land and relegate them to the status of second class citizens. This prejudicial position of women in these communities was found out to be the result of multifaceted factors that include cultural practices which understands 'the woman in a certain way' and thus constructs her identity and role accordingly.

Keywords: agriculture, smallholders, fadama, gender, food security, nigeria.

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Abstract- This paper presents results from a recent fieldwork in Nigeria and explores the socio-economic dimension of gender as it relates to agriculture in the *fadama* areas of North-Central Nigeria. Findings reveal the central role women play in smallholder agriculture and how this is shaped by complex social processes that are inextricably linked with power relations. Through direct and indirect agricultural activities, women provide the bulk of the labor in smallholder agriculture. This is often in addition to the central role they play in maintaining the family structure. Notwithstanding their input to agricultural production and the family, women in the study areas are greatly disadvantaged as demonstrated by cultural practices that exclude them from owning the primary means of production, land and relegate them to the status of second class citizens. This prejudicial position of women in these communities was found out to be the result of multifaceted factors that include cultural practices which understands 'the woman in a certain way' and thus constructs her identity and role accordingly. The perpetuation of this notion of the feminine is deliberately upheld as a means of control and ascendancy by the men. The realm of the sacred (religion), which is considered as sacrosanct and infallible, has often provided a subterfuge for this continued dominance. The response of women through 'silence' has only affirmed and strengthened traditional gender roles.

Keywords: agriculture, smallholders, fadama, gender, food security, nigeria.

I. INTRODUCTION

Fadama is a Hausa¹ word for wetlands or the seasonally flooded or floodable floodplains along major savannah rivers and/or depressions on the adjacent low terraces. In this paper, *fadama* is used in a narrow way to refer to "actual water surfaces of the ponds and swamps left behind as the floodwaters retreat from the floodplains of the largest river (FAO, 2008) and not simply the seasonally flooded lands that tend to dry up after the rainy season. In the savannah and arid/semi-arid areas of Northern-Nigerian (where rainfall is often low or erratic) *fadama* are an important source of agricultural production and have been utilized using traditional methods and indigenous knowledge of the ecosystem accumulated over centuries (Lustig, 2008). Smallholder farming families engage in subsistence farming in which

family needs determine the scale of production and wherein small plots of land are cultivated by individual owners or sub-owners using age-old methods of soil and water management. Smallholders uses mainly family labor which could be augmented with minor hiring of labor and labor exchanges with other farmers at peak seasons. The essential factors of production – land, labor, and capital are provided within the family.

Smallholders can hardly be characterized as a homogenous group. They are diverse and varied and include a wide array of rural people who practice intensive, permanent, diversified agriculture on relatively small farm areas of dense population. A characterizing feature of smallholders is the organizing unit of the family household where agricultural labor is mobilized, resources are managed and consumption is organized. Most smallholders utilize the scarce land available to them and optimize per unit area production. About two-thirds of all the agricultural holdings in the world are between one and five hectares. About two-thirds of all the agricultural holdings in the world are between one and five hectares. The bulk of the food need of the world's population is, therefore, provided for by these smallholders who cultivate units in the 1-5 hectare range. (Von Grebmer et al., 2008).

The relationship between gender roles and agriculture is an intricate, complex but essential one. This is even truer for developing countries where women are closely associated with smallholder agricultural production. Half of world's staple food (wheat, rice, maize) is produced by rural women, most of whom live in developing countries and account for between 60 and 80 percent of the food in these countries (World Bank, 2001, Oputa et al., 1985, Erenstein et al., 2003). In sub-Saharan Africa, for instance, 80% of basic foodstuffs both for consumption within the household and for sale are produced by women (90 per cent of women in SSA are farmers) (World Bank, 2007c, Carrol et al., 1990, Marx et al., 1971). Similarly, women provide labor on most farms through activities like sowing, weeding, fertilizer and pesticide application, harvesting and threshing of crops and food processing and storage (FAO, 2005). In Nigeria, more than 80% of rural women are engaged in agricultural production and forestry and provide more than 70% of the labor force (Holt-Giménez, 2008, Henschen, 2009, Fairtrade Foundation, 2009).

The role that women play in agricultural production has increased in the last decades in many

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¹ The language (Hausa) is a Chadic language belonging to the Afro-Asiatic language family and it is one of the three major languages spoken mostly in Northern Nigeria and across West Africa.

developing countries. This change of trend in gender role and division of labor, particularly in developing countries like Nigeria, has partly been explained in terms of the neo-liberal policies experimented with in most developing countries (e.g. structural adjustment programmes) since the early 1980s. The effects of liberalization on smallholders include higher input costs, lower farm-gate prices and cuts in access to credit and extension services. This problem was compounded by increasing land scarcity especially in sub-Saharan Africa (Atkinson, 1991), high rates of mortality of working men due to HIV/AIDS, rural-urban migration of men searching for better opportunities. The effect of this is more on smallholders as women become principal farmers in the absence of men (Blaikie and Brookfield, 1987). This phenomenon has been described as the 'feminization of agriculture,' i.e. increased participation of women in the agricultural labor force as independent producers, unremunerated family workers or as agricultural wage workers (Bryant and Bailey, 1997, Robbins, 2004). It is not just the task of food provisioning that has fallen more and more to women but other social responsibilities such as educating the children (Gray and Moseley, 2005).

However, that the 'feminization of agriculture' does not always mean empowerment. Whereas in some cases and cultural contexts women's role as economic producers has translated into more power in the household and community, in others women continue to be subordinate to men. Despite the greater responsibility that changes in agricultural production has thrust on women, they face immense problems such as limited or no access to land, credit, extension services and technology (Peet and Watts, 1996, Bryant and Bailey, 1997, Peet and Watts, 2004, World Bank, 2007a, World Bank, 2007b). Generally, it is very difficult for women to overcome these obstacles because even their political and organizational rights are severely curtailed and their involvement in policy and decision making minimal (Derbyshire, 2002, Zuckerman, 2002, Chattopadhyay and Duflo, 2004, World Bank, 2005, Inter-Parliamentary Union, 2006, UNIFEM, 2007).

II. STUDY AREA AND PARTICIPANTS

The participants in this research were rural farmers in two villages in North-Central Nigeria: Karshi and Baddeggi, two small agrarian communities in North Central Nigeria. Karshi, the core study area and the place that provided the bulk of the data for the research, is one of the satellite towns of Abuja, Nigeria's capital city. It covers a land area of 8,000 square kilometers and is located in the middle of the country. Abuja falls within latitude 7° 25' N and 9° 20' North of the equator and longitude 5° 45' and 7° 39'. Karshi is one of the typical settlements in Abuja and consists mainly of rural indigenous communities engaged mostly in farming and related activities. Gwari, Gwandra and Gwandu are Karshi's predominant ethnic groups.

Baddeggi is a small district of Bida town, the second largest city in Niger State. Bida sits on the Bako River, one of the several minor tributaries of the Niger River. It is approximately 100 km/60 mi southwest of Minna and 200 km/120 mi northeast of Ilorin and falls on Latitude 9° 4' 60 N, Longitude: 6° 1' 0 E. Baddeggi is a major trade center for rice, which is mainly cultivated in the *fadamas* of the Niger and Kaduna rivers. It is predominantly inhabited by the Nupe people. Most of the inhabitants of Karshi and Baddeggi are farmers involved in both upland and lowland (*fadama*) farming. Baddeggi served as a comparative study of the similarities and differences with Karshi and the underlining general structure that generates them.

III. DATA AND METHODS

Methodological Triangulation was used in this research. It is pluralistic, mixing the mainly qualitative data (generated from in-depth interviews) with quantitative data (generated from survey methods) (Hurst, 1990). This is in line with the realist epistemology/ontology that sees reality as stratified; on the one hand social objects have a real ongoing existence irrespective of what we know of them, while on the other hand they are affected by the way they are construed (Moody, 1996). Triangulation considers as false the claim that quantitative and qualitative methodologies are incompatible (Altieri, 1998) and seeks to avoid simple generalizations by enabling a more comprehensive understanding of social phenomenon (Moseley, 2005).

Over a period of four months, 47 people were interviewed in-depth in Karshi and 21 in Baddeggi. The research strategy consisted of mixed techniques led principally by a core interview schedule which was complemented by a follow-up strategy, involving survey techniques used to accurately measure the demographic features of the research participants and the extent of agrochemical use. The research methodology was Grounded Theory (GT) as the research was concerned with expanding an explanation of *fadama* agriculture through the identification of its key elements and then categorizing the relationships of those elements to the context and process of the experiment (Collings, 1995, FAI, 2004). The data collected was mainly analyzed using the qualitative GT technique which helped to achieve a more critical and reflexive interpretation of the statistics generated and hence helped to avoid the often simple, general and impersonal nature of statistics.

IV. RESULTS AND FINDINGS

In Karshi village, 47 farmers were interviewed. Of these, 27 (57.4%) were females and 20 (42.6%) were male. Like in many parts of Nigeria, smallholder rice farming in Karshi is predominantly done by women (table 1). Others are involved in cultivating such crops as cowpea and a broad range of vegetables. In Baddeggi,

however, 81% of the respondents were men while only 19% were women. The involvement of women in both communities is related to religious and cultural norms.

An examination of the *fadama* farming in Karshi immediately reveals the place and importance of women in the production chain. Inextricably linked to agriculture and to the role that women play in food production in Karshi are other complex issues such as religion, social process and power relations. Findings in Karshi reveal and corroborate what is now a widely accepted fact, namely the role of women in development and food provision in Africa and many parts of the developing world. In Karshi, a substantial quantity of the food consumed and sold is produced by women. For instance, rice is the exclusive reserve of women while the men are involved in the cultivation of crops such as yam, cassava, maize and sorghum. In addition to rice, however, other important crops grown by women in Karshi include cowpea, vegetables and fruits and beans. Much of

Karshi's agricultural productivity relies on women labor and the multiple roles they play in the general life of the community. Women, in Karshi, are the string that holds together the social milieu and ensure stability through efficient juggling of their roles as farmers, mothers, marketers, cooks and health care providers.

74.5% of the respondents in Karshi were Muslims, while 25.5% were Christians or practitioners of traditional religion. All of the respondents in Baddeggi were Muslims. Religion is an important factor and shapes such issues as land ownership, marriage, and indeed the whole social milieu. Nevertheless, the understanding and practice of religion slightly differs in the case of Karshi and Baddeggi. In the former, the majority of women were involved in farming, whereas in the latter, very few women were involved in farming due restrictions placed on them by their husbands on religious grounds. In both cases, though, access to female farmers was initially difficult due to religious restriction (*purdah*).

Table 1 : Statistical distribution of farmers based on sex and farm size in Karshi

	Karshi			Baddeggi	
		Frequency	Percentage	Frequency	Percent
Sex	Male	20	42.6	17	81.0
	Female	27	57.4	4	19.0
	Total	47	100.0	21	100.0
Farm Size	1Ha & Below	28	59.6	6	28.6
	Between 2-4Ha	19	40.4	15	71.4
	Total	47	100.0	21	100.0

Majority (59.6%) of the respondents in Karshi cultivate between 0.2 to 1Ha of land, whereas 40.4 % cultivate between 2-4Ha (table 1). In Baddeggi, however, a higher percentage of the respondents (40.4%) have between 2-4Ha while 59.6 have 1ha or less. The bulk of the farmers, therefore, fit into the general characteristics of smallholder farmers typical in many developing countries of Africa. Similarly, land use is intensive among the majority of the respondents as they attempt to alleviate land constraints. As in many parts of Africa, arable land is a priced commodity in Karshi and Baddeggi in light of scarcity and population growth. The problem is compounded in Karshi because of the influx of people into Federal Capital Territory (Abuja) and land loss to road construction through the community. The pressure on land is also not helped by the lack of viable alternative employment opportunities in the non-farm sector.

The size of a man's land in the two communities is closely linked to his ability to produce more and invariably, this places him well above other members of the community. As indicated above, the bulk of the farmers with more than 1ha of land are men as women almost exclusively cultivated between 0.2-1ha of land.

Respondents in Karshi were between the ages of 29-56. The mean age of the farming sample is 37 (with the minimum being 29 and maximum 56). The mode age

is 38. In Baddeggi, the age range is higher with the mean age being 43, while the median and mode ages are 42 and 38 respectively. It appears, consequently, that most of the farmers are in their prime age and therefore energetic for farming purpose. In contrast however, the age distribution shows fewer young people engaged in *fadama* farming in the areas of study. This can be explained by factors that include migration, the search for more profitable sources of income, and the fact that most male children of these farmers are enrolled in schools (primary and secondary).

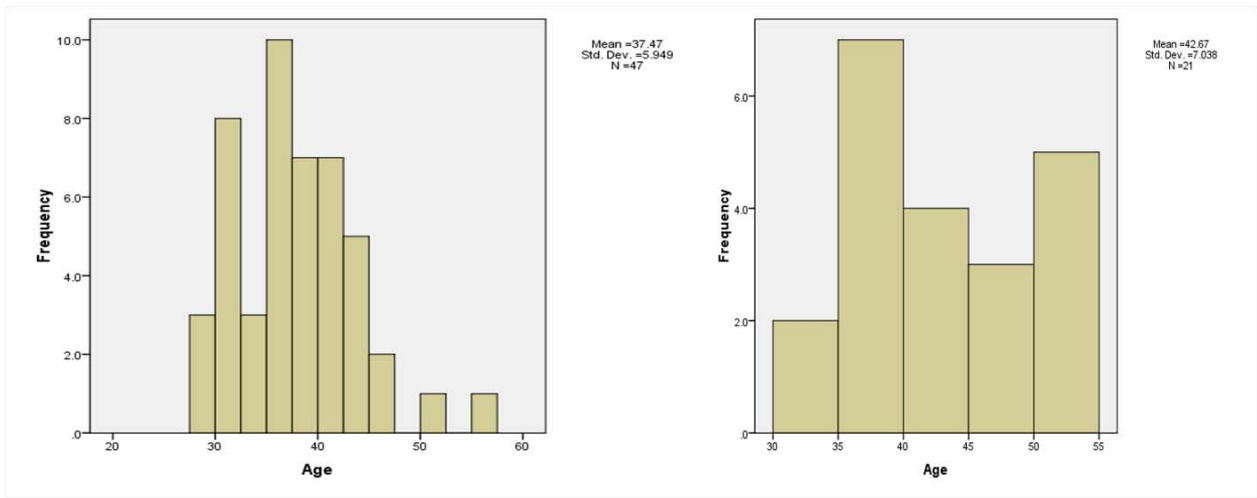


Figure 1 : Histograms showing age distribution of farmers in Karshi and Baddeggi

The boxplot (fig. 2) below compares the distribution of age across sex in Karshi. The median age of males is higher and, overall, the age ranges are higher. The spread of ages, indicated by the size of the shaded

boxes and the length of the T-bars, is also higher for males than for females. In Baddeggi, however, the median age of women was lower given that few women farmers were interviewed.

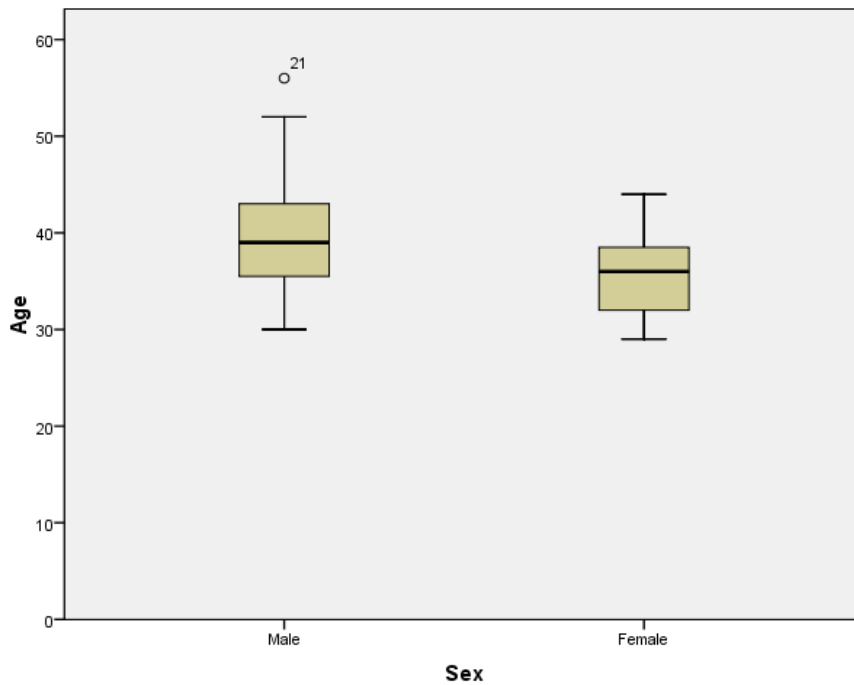


Figure 2 : Boxplots illustrating age distribution of male and female farmers in Karshi

A cross tabulation of sex and age shows significant differences in the age distribution between men and women. The data for age was recoded into 3 equal groups as indicated in below (table 2.). In Karshi, none of the men respondents is between the age ranges of 20-29 and 11.1% of female respondents fit into that age bracket. 50% of men respondents fall between the age categories 30-39 in contrast to 66.7% of the female respondents (table 23). While 22.2% of the female respondents are between the ages 40-49, most of the

men respondents (40%) fall within that age bracket. 2 of the men respondents (10%) fall between 50-59, with none of the female respondents within that age range. Majority of the female respondents therefore, are younger than their male counterparts in Karshi.

Table 2 : Cross-tabulation of sex with age categories for Karshi and Baddeggi

			Karshi				Baddeggi			
			Age categories				Age categories			
			20-29	30-39	40-49	50-59	20-29	30-39	40-49	50-59
Sex	Male	Count	0	10	8	2	0	5	7	5
		% within sex	.0%	50.0%	40.0%	10.0%	.0%	29.4%	41.2%	29.4%
	Female	Count	3	18	6	0	0	4	0	0
		% within sex	11.1%	66.7%	22.2%	.0%	.0%	100.0%	0%	.0%
Total		Count	3	28	14	2	0	9	7	5
		% within sex	6.4%	59.6%	59.6%	4.3%	.0%	42.9%	33.3%	23.8%

Among the male respondents in Baddeggi, 5 (29.4%) were between 30-39; 7 (41.2%) fall within the 40-49 range and 5 (29.4%) are between 50-59. All the 4 female respondents are in the 30-39 age brackets. The median age of the respondents in Baddeggi is therefore higher than that in Karshi among both sexes.

All of the respondents in Karshi and Baddeggi are married, with most of the men being polygamous (only 2 of the male respondents in Karshi were monogamous). All the respondents have children, with the highest percentage (67%) in Karshi having between 5-9 dependents, which also represent the average size of most households. 33%, have between 5-21 dependents. In both Baddeggi, the mean number of children is about 8. The majority of the farmers, therefore, depend on family labor.

Most of the women as seen above are in their most productive age and they invest most of their energy in the agricultural enterprise. Women's labor is the lifeline of Karshi's agriculture as they are involved in all stages of agricultural production: land preparation, planting, weeding, chemical application, harvesting, transporting, processing and marketing. In addition to working on their own farms, they also help their husbands at various stages of the process of agricultural production. Thus,

women spend more hours on average on farms than men do, most of whom claim to attend to other more 'masculine' functions. Generally, men make decisions while women do the real work.

V. EDUCATIONAL QUALIFICATIONS

The level of literacy among the farmers in Karshi is very low with only a handful of them able to read and write. As shown in table 3 (below), 61.7% of the farmers did not have any formal education while only 23.4% had primary school education. None of the respondents had post-secondary school education. In Baddeggi, on the other hand, 33% had no formal education while 47.6% had primary school education with the remaining 19% having some form of formal education beyond primary school. The level of formal education is, therefore, higher in Baddeggi than in Karshi. The reason for this is the well-established status of Baddeggi as a major farming and trading center with a significant government presence.

However, 86% of the respondents in both communities have Qur'anic education which is mandatory for children between the ages of 5-18 in most Muslim households. Some of the respondents are able to read and write Arabic but cannot read nor write in English.

Table 3 : Level of education, risk awareness and use of protective clothing among Karshi farmers

	Karshi			Baddeggi	
		Frequency	Percentage	Frequency	Percent
Education	No formal education	29	61.7	7	33.3
	Up to Primary School	11	23.4	10	47.6
	Up to secondary sch.	7	14.9	4	19.0
	Total	47	100.0	21	100.0

VI. SOURCES OF INCOME OF RESPONDENTS

The majority of the respondents in Karshi (74.5%) have agriculture (crop and animal husbandry) as their only source of income (table 4). The other 25.5%, in addition to farming (usually in a reduced scale than the previous group), are involved in other off-farm activities. In Baddeggi, 71.4% of the respondents depend exclusively on crop and livestock production for their income, while 28.6% depend on other off farm activities, in addition to agriculture. Off farm income sources include trading and

crafts such as mat weaving, carpentry and building. Most of the respondents in both Karshi and Baddeggi (75.6%) are involved in both rain-fed agriculture and recession farming (irrigation).

Savings (*adashe* in Hausa) is a common practice among the farmers in Karshi and involves financial cooperation among friends, cooperatives, colleagues and trading partners. According to Woolcock(1998)*adashe* is "a spontaneous 'bottom-up' group formation, initiated and sustained by members themselves in response to their isolation from orthodox commercial banks" (p. 183).



Table 4 : Sources of income of respondents

	Karshi			Baddeggi	
		<i>Frequency</i>	<i>Percentage</i>	<i>Frequency</i>	<i>Percent</i>
Income	Farming/livestock	35	74.5	15	71.4
	Farming with other	12	25.5	6	28.6
	Total	47	100.0	21	100.0

The general gross income of the farmers at the end of each farming season was reasonably high (table 5). In Karshi, the highest category of farmers (62.5%) earn between NGN50, 000-NGN100, 000 while 22.9% (mostly those who own between 2-4Ha) earn above NGN100, 000. Only 12.5% of the respondents earn NGN50, 000 or less. In Baddeggi, the majority of the respondents

(57.1%) earn more than NGN100, 000, 28.6% earn between NGN50, 000-100,000 and 14.3% earn less than NGN50, 000. The higher income among Baddeggi farmers is related to their farm size, proximity to a river (hence water availability) and the research institute (The National Cereal Institute) with the fringe benefits it offers by way of agricultural extension.

Table 5 : Income by categories

	Karshi			Baddeggi	
		<i>Frequency</i>	<i>Percentage</i>	<i>Frequency</i>	<i>Percent</i>
Income (By category)	NGN50,000 & Below	6	12.5	3	14.3
	NGN50,000-100,000	30	63.8	6	28.6
	Above NGN100,000	11	23.4	12	57.1
	Total	47	97.9	21	100.0
	Missing system	1	2.1		

Much of the income is invested on meeting social pressures especially on health and the education of their children. Due to poor storage and loss during harvest, the income of the farmers is negatively affected. In Karshi, the right of women to what they produce is well protected as the woman is fully entitled to what she produces and to the proceeds from sale of the same. She is under no compulsion to give or share with the husband her income. Most women, however, share in the responsibility of feeding their children and often take from their reserves in the event that the husband is unable to provide sufficiently for the family. This is important because many of the women are in polygamous relationships and, whereas it is the husband's traditional duty to provide food for the family and shoulder other social pressures, it is often the women who are left to carry the burden. For instance, the health care needs of the children are met by women from their income. Findings in Karshi thus conforms to extant literature that shows that play a key role not only in agricultural processes, feeding of the family but also providing general care for children (Quisumbing et al., 1995).

The men in Karshi are, for the most part, supportive of their wives and, in addition to showing

goodwill, some of the men give their wives financial assistance and assist them with some aspects of production such as applying chemicals on their farms. Similarly, the men ensure that their wives have access to as much land as they need and as is available. In general, the men are aware of the important role their wives play as mothers, wives and farmers. This recognition is higher among men who do other jobs alongside farming (artisans) and who often look up to their wives to supplement their income.

VII. LAND ACQUISITION

The method of land acquisition among the respondents in Karshi indicated the following: majority of the (female) respondents (57.4%) acquire land either from husband or from family. 33.4% acquire land by inheritance while the remaining 8.5% get their land either by borrowing or pledge. In Baddeggi, majority of the respondents (85.7%) acquire their land through inheritance with the remaining 14.3% (the female respondents) acquiring theirs from their husbands.

Table 6 : Means of land ownership in Karshi and Baddeggi

	Karshi			Baddeggi	
		<i>Frequency</i>	<i>Percentage</i>	<i>Frequency</i>	<i>Percent</i>
Means of land ownership	From husband/family	27	56.2	3	14.3
	Land from inheritance	16	33.3	18	85.7
	Borrowing/pledge	4	8.3	0	.0
	Total	47	97.9	21	100.0

This can be explained by the fact that the majority of the farmers were women and, culturally speaking, they are not allowed to own their own land; neither can they inherit land. In general, a combination of cultural reasons and the nature of the tenure system in Nigeria makes land acquisition difficult outside one's place of ethnic origin (Abdullahi, 1981). They are allowed to work on land owned by their husband or their father. Generally, therefore, the majority of the respondents who are women cannot have long term plans because they do not own land, which is an important resource not only for subsistence but also as security for credit and means for access to other credits (Acati, 1983). Studies from other African countries like Ghana show that women only held land in a small percent of households (Deere and Doss, 2006).

Notwithstanding their input to agricultural production, women are greatly disadvantaged and they cannot own land in Karshi. Findings from the fieldwork amply demonstrate that the disadvantageous position of women in traditional African communities is firstly a result of religious and cultural anachronism which understands 'the woman in a certain' way and thus constructs her identity and role accordingly. For instance, in Karshi, women are considered as subordinate to their male counterparts and in many ways their rights, such as access to land is tied to marriage and hence to men. This not only gives the man precedence and power over the woman but also restricts efficient planning on the woman's part as the land can be taken away in the event of divorce or the death of the husband.

In general, however, three factors determine land tenure and women rights in Northern Nigeria: religion (*Shari'a*), local customs, and politics (GRAIN, 2008). Thus, if smallholders are generally maligned in government's development policy, women suffer twice the pain. First, they are rarely targeted by agricultural programmes which are very male-centric based on a limited understanding of the roles women play in agricultural production. Secondly, women are further restricted by religious and socio-cultural conditions which view them as subservient to men and second class citizens.

The perpetuation of this conception of the feminine is deliberately upheld as a means of control and ascendancy by the man. The realm of the sacred (religion), which is considered as sacrosanct and infallible, provides a subterfuge for this continued dominance. In an open admission, the chief of Karshi admitted that men use land ownership as leverage against women. This allows them to exercise power and control over the woman. Men, often use threats of land withdrawal to reduce the risk of dissent and rebellion from their wives. According to the chief, "the women are as powerful as it were, because they earn good money from farms and in a way, the man cannot really tell her much, because she contributes in family sustenance. The land, therefore, is the only means of control the husband has over the wife." Thus, men defend and perpetuate the laws of land inheritance because it favors them (Taylor, 2009). The response of women through 'silence' has only affirmed and consolidated traditional gender roles. Many women are reluctant to discuss the issue of land rights but when they do, they express dissatisfaction at the present status quo. Whereas some accept the situation on the basis of religion and culture, a few others express a desire to see a change, even though they realize it is going be difficult given those prevailing circumstances. The women respondents are aware of their contribution to the rural economy and family subsistence and are proud of having an independent source of income from their husbands'. Similarly, they show consciousness of the power dynamics which men perpetuate in the name of culture and religion. However, none of the respondents feel they can change the existing order even if they all wish they had more access to land and credit.

VIII. LABOR

Land preparation, weeding and harvesting are mostly done manually with the help of traditional farm implements. None of the farmers in Karshi has regular access to a tractor. Thus, land preparation and weeding is often labor intensive, especially on rice farms and this in part explains the appeal of herbicides.

Table 7 : Source of labor in Karshi and Baddeggi

	Karshi			Baddeggi	
		Frequency	Percentage	Frequency	Percent
Labor	Self/family labor	36	76.6	11	52.4
	Hired Labor	11	23.4	10	47.6
	Total	47	100.0	21	100.0

About 77% of the farmers in Karshi use family-based labor, often with help from other extended family members and farmer organizations (*gandu* or *gaiya*). The remaining 23%, who represent the more 'successful' of the farmers (owning between 2-4Ha of farm) employ outside labor ranging between 3-5 people to help with farm work. Among the respondents in Baddeggi, the

majority (52.4%) rely on family labor and 47.6% rely at some point during the farming season on hired labor. The higher percentage of farmers relying on external labor in Baddeggi is related to the fact that more farmers own between 2-4ha of land. In general, however, hire is not very common among the respondents in both Karshi and Baddeggi. In Karshi, most of the work is done by women

and their female children as preference is given to male education over female education and this means that the girl child is often at home with the mother.

Polygamous families are able to mobilize and take advantage of the bigger labor pool available to them and hence are able to produce more. Also, the few more successful farmers can hire outside labor which often consisted of individuals (mainly single men) and families with insufficient land.

Those who do not work as paid laborers are often engaged in off-farm activities and artisanship both around the two communities and in the nearby cities of Abuja and Bida. Thus, through a combination of farm and off-farm activities, the people of Karshi and Baddeeggi are able to manage their poverty. Yet, there was no visible evidence of competition and younger single men did not seem keen on taking up full-time farming for what they consider to be the absence of 'incentives'. Similarly, class formation and differentiation was not visible mainly due to the influence of Islam which is critical of economic competition, class struggle and materialism.

The use of family based manual labor can be attributed to a number of reasons. Firstly, land preparation and weeding are feasible because the respondents farmed small farm sizes (between 0.5-1Ha see table 22). Similarly, the size of most families is a contributing factor to its feasibility [or lack of it]. Many of the families are polygamous with over 92% of the men farmers in both communities having between 2-3 wives in line with Islamic principles. The mean number of children for each family is 8. Most men farmers work averagely between 5-8 hours daily (except on market days and on Friday, which is the Muslim holy day). The number of working hours is higher among women farmers who often spend between 8-12 hours daily on the farm or doing farm-related activity.

Generally, it is considered that Islam defines clear roles for men and women and exempts secluded women from farming. This is obviously the case in Baddeeggi where all the men interviewed were men who do not allow their wives to farm. The basis for this, as claimed by all the men, is religion which defines their role as bread winners of the family. However, the opposite situation obtained in Karshi, a similar Muslim dominated community where women are allowed to farm. This confirms recent study which shows an increase in the number of women involved in agriculture, even in Northern Nigeria (The Economist, 2009, Taylor, 2009). It further shows a difference in understanding and interpreting religious precepts and laws.

In addition to their major role as food producers, Karshi women - like all rural women - have to meet the demands placed on them by religion and socio-cultural circumstances. Thus, they often have to return home at given hours and take care of domestic needs: cook for the family, take care of the children and wait on their husband. Many mothers take their infant children along to

the farms and look after their needs between working hours. So, women often take break to breastfeed their children and clean them up. Thus, competing tasks, especially during the farming season, takes a lot of energy from women and exposes them to so many health risks. For example, after the exhaustion of working on the farm, the women come home to cook with babies strapped on their backs while enduring the smoke from the firewood. Some of the women complain about having backache, general fatigue (from bending down, and working on the land to pounding yam – all of which are labor intensive) and respiratory problems. Notwithstanding, they have to carry on because a break means more difficulties in the family. Additionally, women also feed and milk animals and raise poultry and other small animals. Women labor is often only complemented by help from female children, co-wives and co-operatives (*gaiya*)

Most of the male children (about 88%) and 55% of female children are enrolled in either primary or secondary school, which makes them available for work on farm only on certain days. Labor shortage is a common occurrence especially during peak periods of land preparation, planting, weeding and harvesting. Female labor constitutes the bulk of the family labor as they are involved in planting, weeding, threshing, winnowing, and transportation.

Of the respondents, 75% have had between 7 years of *fadama* farming experience, while the other 25% have between 8-15 years of farming experience. Thus, it can be safely assumed that all the farmers interviewed are experienced in the farming and management practices of *fadama* areas.

IX. CONCLUSION

Women play a crucial role in smallholder agricultural production in many developing countries including Nigeria. Through the plurality of the activities they perform, they are key to the survival of poor rural households. Such activities range from crop production, livestock care and food and fuel provisioning. The role that women play in rural households has grown in light of increased male migration to urban areas leading to the feminization of agriculture.

In spite of the fact that the proportion of woman-headed households have grown to almost one third in many developing countries, women continue to be marginalized in agricultural policies: they have less access to land, capital, credit and other social assets than men and most importantly in many developing countries by cultural practices as evidenced by findings in Karshi and Baddeeggi.

To challenge the multiple constraints women engaged in *fadama* farming face, it is critical for women to build on the limited rights and privileges already in place as a means to overcoming and transforming the ideologies, attitudes, values, structures and behavior that

create hierarchical and dominating power relations in their communities. By so doing, women can articulate their demands and exert more influence (Jain, 2005). Also, at the policy level, the use of affirmative action has been advocated for as a means of “reducing poverty, generating income and increasing women’s self-esteem, empowerment and economic autonomy” (Vargas-Lundius, 2007).

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Comparison of Three Sweet Potato (*Ipomoea Batatas* (L.) Lam) Varieties on Nutritional and Anti-Nutritional Factors

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Abstract- In this study the nutritional and anti-nutritional status of yellow, white and orange fleshed sweet potato varieties (*Ipomoea batatas* L. Lam) in their raw roots unpeeled and peeled were determined. The nutritional and anti-nutritional values of three sweet potato varieties were significantly ($p < 0.05$) varied due to cultivar variation, processing conditions and their interaction. Orange sweet potato variety contains the highest level of moisture, fat, ash, carbohydrate, energy, calcium and iron in unpeeled condition and fiber, moisture, fat, ash, calcium, iron and zinc in peeled condition. On the other hand, yellow sweet potato variety contains the highest level of protein, phytate, phytate: calcium, phytate: iron and phytate: zinc molar ratios in both unpeeled and peeled conditions while the highest value of fiber, oxalate and tannin in unpeeled condition.

Keywords: *sweet potato, variety, proximate, mineral, anti-nutritional factors.*

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Abstract- In this study the nutritional and anti-nutritional status of yellow, white and orange fleshed sweet potato varieties (*Ipomoea batatas* L. Lam) in their raw roots unpeeled and peeled were determined. The nutritional and anti-nutritional values of three sweet potato varieties were significantly ($p < 0.05$) varied due to cultivar variation, processing conditions and their interaction. Orange sweet potato variety contains the highest level of moisture, fat, ash, carbohydrate, energy, calcium and iron in unpeeled condition and fiber, moisture, fat, ash, calcium, iron and zinc in peeled condition. On the other hand, yellow sweet potato variety contains the highest level of protein, phytate, phytate: calcium, phytate: iron and phytate: zinc molar ratios in both unpeeled and peeled conditions while the highest value of fiber, oxalate and tannin in unpeeled condition. White sweet potato variety contains the highest and lowest values of phosphorus and phytate in both unpeeled and peeled conditions and it was found in intermediate position for other nutrients compared to other two cultivars. Due to peeling, the fat, carbohydrate and energy values were significantly increased but all other parameters were significantly decreased.

Keywords: sweet potato, variety, proximate, mineral, anti-nutritional factors.

1. INTRODUCTION

Root and tuber crops refer to any growing plant that stores edible material in underground root, corm or tuber (Ugwu, 2009). Many of the developing world's poorest farmers and food insecure people are highly dependent on root and tuber crops as a source of food, nutrition, and cash income (Scott *et al.*, 2000). The nutritional value of root and tuber crops lies in their potential ability to provide one of the cheapest sources of dietary energy in the form of carbohydrates. The amount of energy supplied by these crops is about one third of that of an equivalent weight of grains such as rice or wheat because these crops have high water content than cereals. However, the high yields of these root and tuber crops ensure an energy output per hectare per day which is considerably higher than that of grains (Woolfe, 1987).

In Ethiopia, sweet potato (*Ipomoea batatas* L. Lam) production ranks third after Enset (*Enset*

ventricosum (W.) Cheesman) and potato (*Solanum tuberosum* L.) compared to other root and tuber crops. It is one of the major traditional food crops in the country. The crop cultivation is common in densely populated areas of the South, South-West and Eastern parts of the country and Southern Nation and Nationalities People Regions (SNNPR) is the highest producing area. It is an important food crop during hunger periods in areas such as Wolaita, Sidama, Kanbata Tanbaro, Gamo Gofa and Hadiya zones in SNNPR from February to May (Endale *et al.*, 1994).

When compared to other crops sweet potato is an attractive crop among farmers due to its high productivity, universal uses, high caloric content and good taste. Other important characteristics of sweet potato are; it tolerant adverse environmental conditions such as drought, it requires low soil fertility, high rainfall and very little labor and care (CIP, 1995). In addition to these attributes, it has also short production cycle, high nutritional value and sensory attributes in terms of flesh colors, taste and texture (Woolfe, 1992; Bovell-Benjamin, 2007; ILSI, 2008). Moreover, it contributes to food security and farmers' income in countries like Ethiopia (Terefe and Geleta, 1994).

Currently different varieties of sweet potato cultivars are cultivated and consumed in Ethiopia. These cultivars contain different skin colors (e.g. pink, cream, orange and white) and flesh colors (e.g. white, cream, orange and yellow). As with all crops the nutritional status of sweet potato cultivars vary from place to place depending on the climate, soil type, the crop variety and other factors (Ingabire and Hilda, 2011). Depending on the variety, sweet potatoes are rich in carbohydrates, dietary fiber, ash, β -carotene, minerals and other nutrients (Woolfe, 1992; Bovell-Benjamin, 2007; ILSI, 2008). However, with all its desirable traits, sweet potatoes also contain potential plant toxins and anti-nutritional factors such as phytate, oxalate and tannin (Olayiwola *et al.*, 2009; Eluagu and Onimawo, 2010) that affect the nutrient utilization in the body. Thus, this study was conducted with the aim of selecting sweet potato variety with high nutritive value and low anti-nutritive factors among three sweet potato varieties (yellow-fleshed, white-fleshed and orange-fleshed) currently cultivated and consumed in Ethiopia.

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II. MATERIALS AND METHODS

a) Description of the sampling area and sampling methods

The plant stems and leaves, storage root skin and flesh color of three sweet potato cultivars collected

from Areka Agricultural Research Center which are used for current study were shown in Figure 2.1a, b and c.



Koka-6 variety

Falaha variety

Kulfo variety

Figure 2.1a : Three sweet potato varieties stems and leaves



Koka-6 variety

Falaha variety

Kulfo variety

Figure 2.1b : Sweet potato varieties storage root skin colors



Koka-6 variety

Falaha variety

Kulfo variety

Figure 2.1c : Sweet potato varieties storage root flesh colors

(Photographs by author)

b) Experimental study setting

A laboratory experiment was conducted at the laboratories of Addis Ababa University of Food Science and nutrition program and Ethiopian Health and Nutrition Research Institute.

c) Preparation of sweet potato flour

Flour from sweet potato was prepared based on the method described by Adeleke and Odedeji (2010) and shown in figure 2.2. In the laboratory, within 24 hours of harvesting for all varieties root samples with all root sizes were carefully selected and mixed

separately for purpose of including all size in the study. The selected samples were manually cleaned by hand followed with clean water to remove adhering materials and soils. Then the cleaned samples were divided in to two parts for further operation. One portion was hand peeled and submerged in water to avoid enzymatic browning and then sliced to uniform thickness using a stainless steel knife. The slices were blanched in hot water (80°C) for 5 minutes in order to inactivate enzymes that may cause browning reaction and followed by immediate cooling in cold water to avoid further cooking (Eluagu and Onimawo, 2010). The cooled slices were

then drained on perforated plastic tray. The slices were dried in a hot air oven (drying oven model, DHG-9055A) at 60°C until the chips were brittle and easy to be milled (overnight). The dried samples were milled into fine powder using electric grinder (High-Speed sampling

machine model- FW100) until to pass through 0.425mm sieve. Sample preparation for second portion was the same as above except that the cleaned samples were unpeeled.

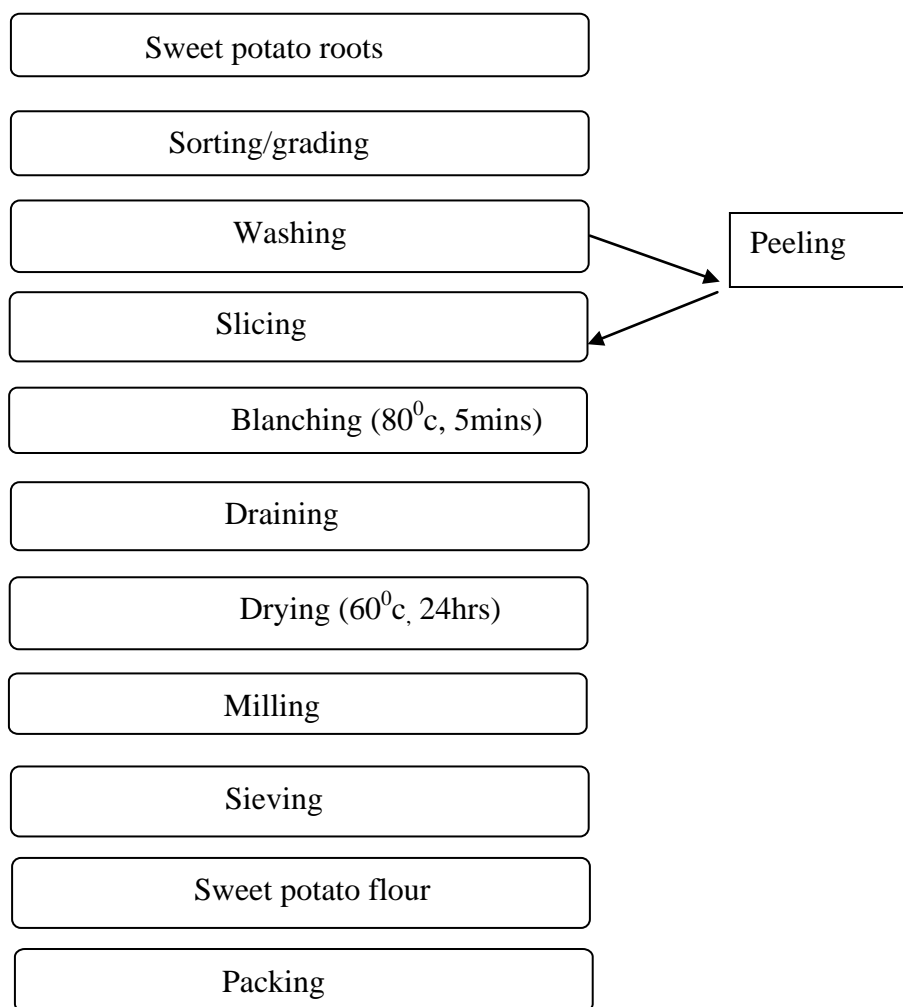


Figure 2.2 : Flow chart for the preparation of sweet potato flour

d) *Methods of Analysis*

i. *Proximate analysis*

The moisture content was determined according to AOAC (2000) using the official method 925.09 by oven drying. Crude fiber content was determined according to AOAC (2000) using official method 962.09. Protein content was determined according to AOAC (2000) using the official method 979.09. Total ash content was determined according to AOAC (2000) using the official method 923.03. The crude

fat content was determined according to AOAC (2000) using official method 4.5.01. Total carbohydrate content was calculated by difference using the formula as follows: Carbohydrate (%) = 100 – (% crude protein + % crude fiber + % total ash + % crude fat)

Total energy content was obtained using Atwater conversion factors 4, 9 and 4 for each gram of crude protein, crude fat and carbohydrate and expressed in calories, respectively (Guyot *et al.*, 2007).

$$\text{Total energy} \left(\frac{\text{Kcal}}{100\text{g}} \right) = (9 \times \% \text{Fat}) + (4 \times \% \text{Protein}) + (4 \times \% \text{Carbohydrate})$$

ii. *Mineral Analysis*

Calcium, magnesium, iron and zinc were determined according to the standard method of AOAC

(2000) using an Atomic Absorption Spectrophotometer (Varian SAA-20 Plus). Phosphorus was determined using UV-VIS spectrometer.

iii. *Analysis of anti-nutritional factors*

Phytate content was determined using method described by Latta and Eskin (1980) and later modified by Vaintraub and Lapteva (1988). Tannin content was determined using the method of Burns (1971) as modified by Maxson and Rooney (1972). Oxalate content of sample was determined using method originally employed by Ukpabi and Ejidoh (1989).

e) *Statistical analysis*

Data for nutritional and anti-nutritional factors of yellow, white and orange sweet potato cultivars in their

roots unpeeled and peeled conditions were analyzed with two-way ANOVA to evaluate the effects of variety and processing. Mean differences were statically significant at $p < 0.05$ and the means of each parameter were compared using Duncan's multiple range test procedures to separate the means using SPSS, version 15.0 software.

III. RESULTS AND DISCUSSIONS

a) *Proximate composition of yellow, white and orange fleshed sweet potatoes*

Table 3.1 : Proximate composition (g/100g)

Variety	Moisture*	Protein	Fat	Fiber	Ash	CHO**	Energy***
UYSP	71.73 ± 0.05 ^d	6.50 ± 0.05 ^a	0.49 ± 0.02 ^d	6.65 ± 0.00 ^a	3.49 ± 0.09 ^d	82.88 ± 0.16 ^e	361.86 ± 0.30 ^d
UWSP	72.45 ± 0.03 ^c	4.60 ± 0.10 ^b	0.53 ± 0.03 ^d	5.24 ± 0.01 ^b	4.84 ± 0.05 ^a	84.79 ± 0.22 ^d	368.12 ± 0.20 ^c
UOSP	76.97 ± 0.23 ^a	2.84 ± 0.41 ^{cd}	1.00 ± 0.07 ^b	4.52 ± 0.01 ^c	4.94 ± 0.04 ^a	86.72 ± 0.30 ^c	373.97 ± 1.87 ^b
PYSP	68.58 ± 0.45 ^f	4.41 ± 0.07 ^b	0.66 ± 0.00 ^c	3.59 ± 0.08 ^e	3.04 ± 0.04 ^e	88.32 ± 0.04 ^{ab}	376.90 ± 0.20 ^a
PWSP	70.51 ± 0.60 ^e	3.46 ± 0.01 ^c	0.72 ± 0.01 ^c	2.94 ± 0.09 ^f	4.04 ± 0.05 ^c	88.86 ± 0.14 ^a	375.65 ± 0.45 ^{ab}
POSP	74.84 ± 0.13 ^b	2.48 ± 0.24 ^d	1.12 ± 0.01 ^a	3.83 ± 0.06 ^d	4.33 ± 0.03 ^b	88.01 ± 0.04 ^b	373.05 ± 0.25 ^b

Reported values are the mean ± SE (n=2). Means with different letters in the same column are significantly different ($P < 0.05$). NB: UYSP & PYSP (Unpeeled and peeled Yellow Sweet Potato), UWSP & PWSP (Unpeeled and peeled White Sweet Potato) and UOSP & POSP (Unpeeled and peeled Orange Sweet Potato), respectively. *Wet basis, **Total Carbohydrate, *** in Kcal/100g.

i. *Moisture content*

The moisture content of three sweet potato varieties was significantly affected ($P < 0.05$) by processing and variety but their interaction did have a non significant effect. The mean values for moisture content among three sweet potato cultivars with two processing methods (Table 3.1) showed statistically significant variations; ranged from 71.73-76.97 and 68.58-74.84g/100g for unpeeled and peeled conditions, respectively. According to the result of statistical analysis, the mean moisture content of orange sweet potato variety was significantly ($P < 0.05$) higher than that of both yellow and white sweet potato varieties in both unpeeled and peeled conditions. Similarly, mean moisture content of white sweet potato variety was significantly ($P < 0.05$) higher compared to the mean moisture content of yellow sweet potato variety in both unpeeled and peeled conditions.

On the other hand, peeling was significantly decreased ($P < 0.05$) the mean moisture content of all three sweet potato varieties. This may be higher amount of water is contained in outer skin layer than that of inner flesh layer of sweet potato roots. Results considering moisture in the present study are in the same line and comparable with works of (ENV/JM/MONO, 2010 and Purcell *et al.* 1989). The reason for the observed differences in moisture content of samples in the present study from earlier works could be attributed to the variety difference, the climate, the type of soils and others factors while the observed differences in moisture content in the current study might be contributed by variety difference.

ii. *Crude protein content*

The crude protein content of three sweet potato varieties was significantly affected ($P < 0.05$) by processing, variety and their interaction. As it can be seen from statistical analysis (Table 3.1), significant differences ($p < 0.05$) exist between the protein content of the three sweet potato cultivars and the value ranged from 2.84-6.50g/100g in unpeeled and 2.48-4.41g/100g in peeled conditions. The crude protein content of yellow sweet potato variety was significantly ($P < 0.05$) higher than that of white and orange sweet potato varieties in both unpeeled and peeled conditions. Similarly, the mean crude protein content of white sweet potato variety was significantly ($P < 0.05$) higher compared to the mean crude protein content of orange sweet potato variety in both unpeeled and peeled cases. Such observed differences in crude protein content in the current study might be contributed by cultivars or genetic difference, since all the studied varieties were collected from the same environment and soil type. These results are well agreed within the range of values (1.73 to 11.8%) that had been reported by (Purcell *et al.*, 1989). It was observed that peeling decreases the mean crude protein contents of the three sweet potato varieties; this may be higher amount of protein is accumulated in outer skin layer than that of inner flesh layer of sweet potato roots. Similar results had been reported by (William *et al.*, 1984; ENV/JM/MONO, 2010).

iii. *Crude fat content*

It was observed that the crude fat content is generally low in all investigated sweet potato cultivars; a similar idea had been reported by Boggess *et al.* (1971). The crude fat content of the three sweet potato varieties

was indicated to be significantly affected ($P < 0.05$) by processing and variety but not in their interaction. Result in Table 3.1 shows that the mean fat content of three sweet potato cultivars was significantly varied and the mean value ranged from 0.49-1.00g/100g in unpeeled and 0.66-1.12g/100g peeled conditions. The observed value of crude fat in yellow, white and orange sweet potato varieties were 0.49, 0.53 and 1.00g/100g and 0.66, 0.72 and 1.12g/100g in unpeeled and peeled roots, respectively. The mean crude fat content of orange sweet potato variety was significantly ($P < 0.05$) higher than that of both yellow and white sweet potato varieties in their unpeeled and peeled cases. Yellow sweet potato cultivar contain lower level of mean crude fat content than that of white sweet potato cultivar in both unpeeled and peeled states but the value was not significantly different ($P > 0.05$). This observed difference among the three sweet potato cultivars may be contributed by genetic variation, since other factors are kept constant.

On the other hand, the mean crude fat content was observed to be significantly higher ($P < 0.05$) in peeled than that of unpeeled sweet potato root in all their corresponding varieties. This might be dietary fat more accumulated in inner flesh layer than that of outer skin layer of sweet potato roots though biological processes. This result was similar with reported value of ENV/JM/MONO (2010).

iv. Crude fiber content

The crude fiber content of three sweet potato varieties was significantly affected ($P < 0.05$) by processing, variety and their interaction. It was observed that the mean crude fiber content of three sweet potato cultivars was significantly varied (Table 3.1) and the mean value ranged from 4.52-6.65g/100g for unpeeled and 2.94-3.83 g/100g for peeled roots. The mean fiber content of yellow, white and orange sweet potato varieties was 6.65, 5.24 and 4.52g/100g and 3.59, 2.94 and 3.83g/100g for unpeeled and peeled roots, respectively. The mean crude fiber content of yellow sweet potato variety was significantly ($P < 0.05$) higher than that of both white and orange sweet potato varieties in unpeeled condition but the value was significantly ($P < 0.05$) higher in orange sweet potato variety than that of both white and yellow sweet potato varieties in peeled condition. The result also indicated that the mean crude fiber content of white sweet potato variety was significantly ($P < 0.05$) higher compared to the mean value of orange sweet potato variety for unpeeled and was significantly ($P < 0.05$) lower for peeled case. On the other hand, processing conditions were indicated that the mean crude fiber value was significantly higher ($P < 0.05$) in unpeeled sweet potato root than that of peeled sweet potato root in all their corresponding varieties. This might be more dietary fiber accumulated in outer skin layer than that of inner flesh

layer of sweet potato root. A similar finding had been reported by ENV/JM/MONO (2010).

v. Total ash content

The mean ash value of yellow, white and orange sweet potato varieties was 3.49, 4.84 and 4.94g/100g and 3.04, 4.04 and 4.33g/100g for unpeeled and peeled roots, respectively (Table 3.1). The mean total ash content of yellow sweet potato variety was significantly ($P < 0.05$) lower than that of both white and orange sweet potato varieties in both unpeeled and peeled roots. Orange sweet potato cultivar has the highest mean ash content than that of white and yellow sweet potato cultivars in both unpeeled and peeled conditions but significant difference was not observed in unpeeled root of orange and white sweet potato cultivars. In considering effect of processing, similar trend was observed like in fiber; the mean ash content was significantly higher ($P < 0.05$) in unpeeled sweet potato root than that of peeled sweet potato root in all their corresponding varieties. This might be either more inorganic matter is accumulated in outer skin layer than that of inner flesh layer in storage sweet potato root or some inorganic matter that adhered the skin layer of root might be contributed during processing.

vi. Total carbohydrate content

The total carbohydrate content was determined by difference. The total carbohydrate content of three sweet potato varieties was significantly affected ($P < 0.05$) by processing, variety and their interaction. All the investigated sweet potato cultivars were significantly varied (Table 3.1.) in their carbohydrate content and the mean value ranged from 82.88-86.72g/100g in unpeeled and 88.01- 88.86g/100g in peeled conditions. The mean carbohydrate content of yellow, white and orange sweet potato varieties was 82.88, 84.79 and 86.72g/100g and 88.32, 88.86 and 88.01g/100g for unpeeled and peeled roots, respectively. The mean carbohydrate content of orange sweet potato variety was significantly ($P < 0.05$) higher than that of both yellow and white sweet potato varieties in case of unpeeled root samples while white sweet potato variety has the highest carbohydrate content in peeled condition. A similar idea had been reported by Collins and Walter (1982) that most of the dry matter (85 to 90%) of the sweet potato was carbohydrate. Effect of processing also showed that peeling was significantly increased ($P < 0.05$) the mean carbohydrate content of three sweet potato varieties. These observed variations might be result from the difference in the protein, fat, ash and fiber content of varieties and processing.

vii. Total energy content

The energy content of three sweet potato varieties was found significantly influenced ($P < 0.05$) by processing, variety and their interaction. All the investigated sweet potato cultivars were significantly varied (Table 3.1) in their energy content and the mean

value ranged from 361.86-373.97Kcal/100g in unpeeled and 373.05-376.90Kcal/100g in peeled root samples. The mean energy content of orange sweet potato variety was significantly ($P<0.05$) higher than that of both yellow and white sweet potato varieties in unpeeled root samples while the lowest value was obtained in yellow sweet potato in the same condition. In peeled condition, the highest and lowest mean energy content was observed in yellow and orange sweet potato varieties, respectively even though significant difference was not observed between yellow and white and orange and white sweet potato cultivars.

Similarly carbohydrate, the energy contents in all investigated sweet potato cultivars were high. Thus, the principle use of sweet potato like other starchy root and tuber crops as human food and animal feed is therefore as a source of dietary energy yielding ingredients (Philip, 1991). Effect of processing also revealed that peeling was significantly increased ($P<0.05$) the mean energy content of all the studied sweet potato cultivars. The observed variations in energy content in variety and processing may be contributed from the difference in the protein, fat and fiber content of varieties and processes.

b) Mineral composition of yellow, white and orange fleshed sweet potatoes

i. Calcium content

The calcium content of three sweet potato varieties was significantly affected ($P<0.05$) by processing, variety and their interaction. All the investigated sweet potato cultivars were significantly varied (Table 3.2) in their calcium content and the mean value ranged from 7.42-47.04mg/100g and 5.28-45.54mg/100g in unpeeled and peeled root samples, respectively. The mean calcium content of orange sweet potato variety was significantly ($P<0.05$) higher than that of both yellow and white sweet potato varieties in both unpeeled and peeled conditions while yellow sweet potato variety contains the lowest in both unpeeled and peeled cases. This variation might be contributed by cultivar difference. A similar idea had been reported by Elkins (1979) and Lopez *et al.* (1980). In some sweet potato cultivars, high level of average calcium

(78.6mg/100g) content had been reported by (Purcell *et al.*, 1989). The observed variation between average range value of calcium content in this result and earlier finding might be attributed by cultivars, climate, soil types, location and other factors (Serge, 1996).

On the other hand, effect of processing revealed that peeling was significantly decreased ($P<0.05$) the mean calcium content of all studied sweet potato varieties. This result is expected because higher value of average ash content was observed in the outer skin layer of sweet potato root than that of inner flesh layer during proximate study currently; hence ash is indicative of the amount of minerals contained in any food sample (Olaoye *et al.*, 2007). Moreover, there might be either more inorganic matter is accumulated in outer skin layer than that of inner flesh layer in storage sweet potato root or some minerals that are adhered with the outer layer of the root from the soil may be attributed calcium during processing.

ii. Iron content

The iron content of three sweet potato varieties was significantly affected ($P<0.05$) by processing, variety and their interaction. The mean values for iron content among three sweet potato cultivars with different processing methods (Table 3.2) showed statistically significant variations; ranged from 11.51-15.26mg/100g in unpeeled and 8.70-11.45mg/100g in peeled root samples. The mean iron content of orange sweet potato variety was significantly ($P<0.05$) higher than that of both yellow and white sweet potato varieties in unpeeled and peeled root samples. Similarly as calcium, yellow sweet potato variety contains the lowest iron content in both unpeeled and peeled cases. A similar finding had been reported by Elkins (1979) and Lopez *et al.* (1980). In some sweet potato varieties, low level of iron (1.72mg/100g) content had been reported by (Purcell *et al.*, 1989). This variation might be for the same reasons of calcium content that was mentioned above. The unpeeled root samples contain high level of iron content than that of peeled root samples in all investigated sweet potato cultivars; this might be for similar reasons that are mentioned in calcium content.

Table 3.2 : Mineral composition (mg/100g) of yellow, white and orange sweet potatoes

Variety	Calcium	Phosphorus	Iron	Zinc	Magnesium
UYSP	7.42±0.01 ^d	19.22±0.01 ^e	11.51±0.02 ^c	1.14±0.01 ^c	5.86±0.11 ^a
UWSP	7.95±0.02 ^c	24.50±0.01 ^a	13.35±0.01 ^b	1.97±0.01 ^a	5.98±0.025 ^a
UOSP	47.04±0.05 ^a	22.11±0.01 ^b	15.26±0.02 ^a	1.30±0.01 ^b	3.00±0.075 ^b
PYSP	5.28 ± 0.01 ^f	15.70± 0.10 ^f	8.70 ± 0.01 ^f	0.68± 0.01 ^f	UD
PWSP	6.04± 0.01 ^e	21.80 ± 0.01 ^c	9.69 ± 0.01 ^e	0.79±0.01 ^e	UD
POSP	45.54 ± 0.01 ^b	20.67 ± 0.01 ^d	11.45 ± 0.01 ^d	0.93±0.02 ^d	UD

Reported values are the mean ±SE (n=2). Means with different letters in the same column are significantly different ($P<0.05$). NB: UYSP & PYSP (Unpeeled and peeled Yellow Sweet Potato), UWSP & PWSP (Unpeeled and peeled White Sweet Potato) and UOSP & POSP (Unpeeled and peeled Orange Sweet Potato), UD (undetected).

iii. Zinc content

The zinc content of three sweet potato varieties was significantly affected ($P < 0.05$) by processing, variety and their interaction. All the investigated sweet potato cultivars were significantly varied (Table 3.2) in their zinc content and the mean value ranged from 1.14-1.97mg/100g in unpeeled and 0.68-0.93mg/100g in peeled condition. White and orange sweet potato cultivars contain the highest zinc content in unpeeled and peeled conditions, respectively while yellow sweet potato variety contains the lowest zinc content in both unpeeled and peeled conditions. Zinc content is generally low in all investigated sweet potato cultivars. Similar to other minerals, peeling was significantly decreased ($P < 0.05$) the mean zinc content of all studied sweet potato varieties even though the zinc content in both unpeeled and peeled cases can be considered low. A similar result had been reported by ENV/JM/MONO (2010) that sweet potato contains higher level of zinc in unpeeled (1.30mg/100g) condition than that of peeled (0.6–1.2mg/100g) condition.

iv. Phosphorus content

The phosphorus content of three sweet potato cultivars was significantly influenced ($P < 0.05$) by processing, variety and their interaction. The mean values for phosphorus content among three sweet potato cultivars with different processing methods (Table 3.2) was indicated statistically significant variations; ranged from 19.22-24.50mg/100g in unpeeled condition and 15.70-21.80mg/100g in peeled condition. White sweet potato cultivar had the highest value of phosphorus content in both unpeeled and peeled conditions while the lowest value was observed in yellow sweet potato variety in both unpeeled and peeled root samples. A similar finding had been reported by Elkins (1979) and Lopez *et al.* (1980) that the level of phosphorus content is varied from cultivar to cultivar and the observed average value was 39.2-48.9(mg/100g). In some sweet potato cultivars, high level of average phosphorus(115.4 mg/100g) content had been reported by (Purcell *et al.*, 1989). On the other hand, peeling was significantly decreased ($P < 0.05$) the mean phosphorus content of all studied sweet potato varieties; a similar idea was observed by ENV/JM/MONO (2010). This variation may be contributed by similar reasons that are discussed above for other minerals.

v. Magnesium content

The magnesium content of three sweet potato varieties was determined only in their root samples unpeeled cases (due to shortage of materials) and the mean value ranged from 3.00-5.98mg/100g. The mean magnesium content of orange sweet potato variety was significantly ($P < 0.05$) lower than that of both yellow and white sweet potato varieties. On the other hand, white sweet potato variety contains higher level of mean

magnesium value than that of yellow sweet potato variety but the value was not significantly different ($P > 0.05$) from each other. Generally, the magnesium content of all varieties in this investigation can be considered low next to zinc among the above discussed minerals. A similar idea had been reported by Elkins (1979) and Lopez *et al.* (1980) that the level of magnesium content is varied from cultivar to cultivar and the observed average value was 18.3-22.2 (mg/100g). In some sweet potato cultivars, the level of average magnesium (12.20-30.40mg/100g) content had been reported by Ukom *et al.*, (2009). The variations in magnesium content might be contributed cultivars, climate, soil types, location and other factors.

c) Anti-nutritional factors of yellow, white and orange fleshed sweet potato cultivars

i. Phytate and phytate mineral molar ratio

Results of statistical analysis show that the phytate content of three sweet potato cultivars was significantly affected ($P < 0.05$) by processing, variety and their interaction. The mean values for phytate content among three sweet potato cultivars with different processing methods (Table 3.3) indicated statistically significant variations; ranged from 93.37-111.43mg/100g in unpeeled and 49.35-78.38mg/100g in peeled root samples. The mean phytate content of yellow sweet potato variety was significantly ($P < 0.05$) higher than that of both orange and white sweet potato varieties in both unpeeled and peeled conditions while significantly the lowest value was observed in white sweet potato cultivar in both unpeeled and peeled conditions. This variation might be attributed by cultivar difference, since all studied sweet potato cultivars were collected from the same environment.

On the other hand, peeling was significantly decreased ($P < 0.05$) the mean phytate content of all studied three sweet potato cultivars. This is expected because more phosphorus is accumulated in the outer skin layer of sweet potato root than that of inner flesh layer; hence phytate is natural occurring phosphorus compound.

The mean value of phytate: calcium molar ratio in the present study was 0.91, 0.90, 0.74 and 0.51 for unpeeled yellow sweet potato, peeled yellow sweet potato, unpeeled white sweet potato and peeled white sweet potato cultivars, respectively which were higher than the reported critical molar ratio (0.24) of phytate: calcium, indicating that absorption of calcium was adversely affected by phytate in these roots. But in case of both unpeeled (0.12) and peeled (0.11) orange sweet potato variety, the value was found lower than the reported critical molar ratio of phytate: calcium, indicating that absorption of calcium was not adversely affected by phytate in orange sweet potato roots. However, sweet potato root is consumed in its boiled

state; this might reduce phytate level and enhance the bioavailability of calcium in yellow and white sweet potato varieties. All other calculated molar ratios in this study such as; Phytate: iron, phytate: zinc and [phytate x calcium]: zinc molar ratios for all sweet potato varieties in their unpeeled and peeled conditions were found less (Table 3.3) than their reported critical values, this indicates that absorption of iron and zinc from all studied sweet potato varieties were not inhibited by phytate and as a result these minerals in all roots are bioavailable.

ii. Oxalate content

The oxalate content of three sweet potato cultivars was investigated only in unpeeled case (due to shortage of materials) and the mean value ranged from 3.50-8.80mg/100g (Table 3.3). The mean oxalate content of yellow sweet potato variety was significantly ($P<0.05$) higher than that of both orange and white sweet potato varieties. Similarly, the mean oxalate content of orange sweet potato variety was significantly ($P<0.05$) higher than that of white sweet potato varieties. This observed variation among three sweet potatoes might be attributed by cultivar difference. Oxalates can have a harmful effect on human nutrition

and health, especially by reducing calcium absorption and aiding the formation of kidney stones (Noonan and Savage, 1999). However, the oxalate level observed in this study is low and also in recommended range for patients with calcium oxalate kidney stones if they consume up to 600g of any studied sweet potato cultivars per day; as patients are advised to limit their intake of foods with a total intake of oxalate not exceeding 50–60 mg per day (Massey *et al.*, 2001).

Oxalate like phytate binds minerals such as calcium and magnesium and interfere with their metabolism. The importance of oxalate content of an individual plant product in limiting total dietary calcium availability is of significance only when the ratio of oxalate: calcium is greater than one (Frontela *et al.*, 2009). Under this circumstance, the oxalate has potential to complex, not only the calcium contained in the plant, but also that derived from other food sources (Davis and Olpin, 1979). The oxalate: calcium values of YSP, WSP and OSP was 0.53, 0.20 and 0.06, respectively. These values were lower than the reported critical molar ratio (1.0) of oxalate: calcium, indicating that absorption of calcium not adversely affected by oxalate in all studied sweet potato varieties.

Table 3.3 : Anti-nutritional factors (mg/100g) and phytate mineral molar ratios of yellow, white and orange fleshed sweet potato varieties

Variety	Phytate	Oxalate	Tannin	Phy:Ca	Phy:Fe	Phy:Zn	[PhyxCa]:Zn
UYSP	111.43±0.04 ^a	8.80±0.02 ^a	34.38	0.91±0.001 ^a	0.83±0.005 ^a	9.67±0.8 ^b	0.018±0.005 ^b
UWSP	93.37±0.01 ^c	3.50±0.04 ^c	B.D.L	0.71±0.001 ^b	0.59±0.00 ^c	4.70±0.0 ^f	0.01±0.00 ^b
UOSP	95.15±0.09 ^b	5.71±0.08 ^b	B.D.L	0.12±0.00 ^d	0.53±0.00 ^d	7.39±0.19 ^d	0.09±0.005 ^a
PYSP	78.38±0.01 ^d	UD	B.D.L	0.90±0.00 ^a	0.77±0.005 ^b	11.90±0.00 ^a	0.015±0.005 ^b
PWSP	49.35±0.03 ^f	UD	B.D.L	0.51±0.005 ^c	0.46±0.03 ^e	6.53±0.29 ^e	0.01±00 ^b
POSP	77.75 ±0.01 ^e	UD	B.D.L	0.11±0.005 ^e	0.58±0.005 ^c	8.15±0.28 ^c	0.10±0.005 ^a

Reported values are the mean ±SE (n=2). Means with different letters in the same column are significantly different ($P<0.05$). NB: UYSP& PYSP (Unpeeled and peeled Yellow Sweet Potato), UWSP&PWSP (Unpeeled and peeled White Sweet Potato) and UOSP&POSP (Unpeeled and peeled Orange Sweet Potato), UD (undetected), B.D.L (below detection levels).

iii. Tannin content

The mean value of tannin content was 34.38 mg/100g in unpeeled yellow sweet potato cultivar and the value was below detection level in unpeeled and peeled white sweet potato variety, unpeeled and peeled orange sweet potato variety and peeled yellow sweet potato variety (Table 3.3). This result indicates that the level of tannin content is absent or insignificant in three investigated sweet potato cultivars except unpeeled condition of yellow sweet potato variety. It was also observed that tannin is accumulated in the outer skin layer of yellow sweet potato variety and it was removed by peeling with the outer skin layer of the root (Table 3.3). The presence of tannin only in yellow sweet potato variety might be contributed by the presence of polyphenolic compound such as flavonoids (quercetin, C₁₅H₁₀O₇) which found in yellow sweet potato varieties (Guan *et al.*, 2006) and the absence of tannin in white

and orange sweet potato varieties might be lack of these compounds in their roots.

The beneficial or anti-nutritional property of tannin depends on its amount in the diet. The toxicity effects of the tannin may not be significant since the total acceptable tannic acid daily intake for a man is 560 mg (Anonymous, 1973). The current result shows that if a man daily consumes up to 1900 grams of unpeeled yellow sweet potato roots and any amount of unpeeled and peeled white sweet potato, unpeeled and peeled orange sweet potato and peeled yellow sweet potato roots, the level of tannin in these roots will not cause the toxicity effect to man.

IV. CONCLUSION AND RECOMMENDATIONS

a) Conclusion

This study has covered information on the nutritional and anti-nutritional status of yellow, white and orange fleshed sweet potato cultivars in their raw roots unpeeled and peeled conditions. The result showed that the nutritional and anti-nutritional contents of three sweet potato varieties were significantly varied due to cultivar variation, processing conditions and their interactions. Orange sweet potato variety contains the highest level of moisture, fat, ash, carbohydrate, energy, calcium and iron in unpeeled condition and fiber, moisture, fat, ash, calcium, iron and zinc in peeled condition. The protein content was least in orange sweet potato cultivar in both conditions. Yellow sweet potato variety contains the highest level of protein, phytate, phytate: calcium, Phytate: iron and Phytate: zinc molar ratios in both unpeeled and peeled conditions while fiber, oxalate and tannin value was highest in unpeeled condition. White sweet potato variety contains the highest and lowest levels of phosphorus and phytate in both unpeeled and peeled conditions and it was found in intermediate position for other nutrients. On the other hand, peeling was decreased the levels of moisture, protein, fiber, ash, carbohydrate, calcium, phosphorus, iron, zinc and phytate and increased the other parameters such as fat, carbohydrate and energy contents in their corresponding varieties. Among three sweet potato cultivars, this result has indicated that orange sweet potato variety is potentially good source of nutrients compared to other sweet potato varieties.

b) Recommendations

- ◆ Since orange sweet potato variety is potentially good source of nutrients among three sweet potato varieties, more emphasis should be given for its cultivation in agricultural sectors as well as farmers land.
- ◆ It is highly recommended that sweet potato roots should be consumed in its unpeeled state provided that peeling of sweet potato roots removes most of nutrients that are important to human health.

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Participatory on - Farm Evaluation and Demonstration of Improved Forage Species under Rain Fed Condition in Hamer Woreda of South Omo Zone

By Denbela Hidosa, Worku Bedeke & Mesifin Mengistu

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Keywords: dry matter yield, *lablab intoriturum*, *lablab purpureus* *vigna unguiculata*.

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Abstract- Participatory field experiment was conducted at Dimeka zuriya peasant associations of Hamer woreda of South Omo zone in the 2014 main cropping season at on farm condition under rain fed using the improved legume forage species to identify the adaptable and high biomass yielding forage species. The field experiment was laid out in a randomized complete block design (RCBD) with three replications where trial agro pastoralists farm were considered as replications. The improved legume forage species tested were *Lablab purpureus*, *Lablab intoriturum*, and *Vigna unguiculata*. Each improved legume species was planted in a single plot area of 3 m x 4m. The average fresh biomass yield (FBY) and dry matter yield (DMY) of the tested species were 20 tone/ha, 14.84 tone/ha and 12 tone/ha and 7.2 tone /ha, 4.5 tone/ha and 6.8 tone /ha for *Labalab purpureus*, *Lablab intoriturum* and *Vigna unguiculata*. The dry matter yield (DMY) obtained current study revealed that there was significant difference ($P < 0.05$) between *Labalab purpureus* and *Vigna unguiculata*. Out of the tested forge species over cropping season, the one which gave the maximum dry matter yield was *Lablab Purpureus* and *Vigna unguiculata*, which gave (7 tones ha⁻¹) and (6.8 tones ha⁻¹) in the cropping season is advisable for the study areas and their vicinities. Therefore, use of the best performing forage species is important in the tested area even though further testing is imperative by including their feeding value under different intervention, chemical composition and their response to the disease and pest resistance to put the recommendation on strong basis.

Keywords: dry matter yield, *lablab intoriturum*, *lablab purpureus* *vigna unguiculata*.

I. INTRODUCTION

Ethiopia is home, excluding some non sedentary area of country such as pastoral areas of Afar and Somali regions, to approximately 56.71 million of cattle, 29.33 million of sheep, 29.11 million goats, 1.16 million camels, 56.87 million chickens, and 2.03 million horses 7.43 million donkey and 0.40 million mules (CSA, 2015). However, productivity achieved from livestock is very much lower than other African countries or world (Belete et al., 2010; Gebremedhin et al., 2004; FAO, 2009). Many studies confirmed that feed shortage both in quality and quantity is a critical and major cause for a

low productivity of livestock in Ethiopia particularly in dry seasons (Mengistu, 2002; Mengistu and Amare, 2003; Zegeye, 2003; Amede et al., 2005; Duguma et al., 2012; Seyoum and Zinash, 1995; Ørskov, 1998; Tolera, 2007; Solomon, 2010 and Alemayehu, 2004). In the study area, which is pastoral and agro pastoral production system, livestock population is entirely depend on the feed from natural pastures is estimated to covers 80-90% of the livestock feed resource (Mengistu, 2006). Especially, in the dry season the availability and quality of natural pasture reduced to such an extent that livestock may not fulfill the energy requirement to maintain their bodyweight. This results in body weight loss and reduction of production and productivity (Galmessa et al., 2013) and made pastoral communities less benefit from prevailed production system in the study area. Improving the feed resource base by identifying alternative and more nutritious feeds is necessary to alleviate the prevailing nutritional constraints of livestock in the study area. One way of improving the production from livestock is by improving the nutritional constraints through the development of improved forage species and proper supplementation with leguminous forages (Poppi and McLennan, 1995) which are rich in crude protein(CP) content usually the most limiting nutrients in tropical livestock diets. Among them *Lablab purpureus* Lablab is drought hardy, and has been grown in arid, semi-arid and humid regions with rainfalls between 200 and 2500 mm (Hendricksen and Minson, 1985b; Cameron, 1988). Dry matter production potential per hectare varies with rainfall, soil condition and time of seeding and it could be yield 3-10 t / DM per ha (Denbela et al., 2015; Alemayehu, 2003; Cameron, 1988; Mayer et al., 1986). Conversely, *Vigna unguiculata* is one of the most important legume food and feed crops (Bennett-Lartey and Ofori, 1999) and it is widely grown and planted under rain fed conditions in in sub- Saharan Africa (Allen, 1983). However, in the study district, study on evaluation the adaptability of tested forage species is not has been carried out due to remoteness and mobile nature of pastoralists. The on farm evaluation and introduction of these species imperative in order to overcome the feed constraints

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both in quality and quantity to the study area therefore, this study was aimed to evaluate the high yielding improved legume species and demonstrates to pastoralists' communities.

II. MATERIAL AND METHODS

a) Description of study area

The study area is located in Hamer Woreda of South Omo Zone, the Southern Nations, Nationalities and Peoples Regional state of Ethiopia which is bordered on the South by Kenya, on the South West by Dasenchi woreda, on the West by Nyangatom woreda, on the North by Bena-tsemay woreda and on the East by the Oromia Regional State. The average temperature is above 37°C in most parts of Woreda and altitude varies from 450 meters to 1765 meters above sea level. The average annual rainfall is 400 mm. It is estimated that 66% of the population lead a pastoral production system and 34% of the population practice a crop livestock mixed production system.

b) Selection of trial site and trial pastoralists

Demeka zuria peasant association was selected for on farm participatory evaluation of improved legume forage species in collaboration with Woreda pastoral affairs' office experts and Developmental agents after undertaken in-depth discussion on the objectives of the research activity. Three trial pastoralists' households were selected after community meeting. The criteria for selection of trial pastoralists were availability of land and interest of pastoralist in research process. Finally, training was delivered to Agricultural extension workers, pastoralists (trial and non trial) who involved in research, peasant administrative leaders on Participatory research approach, role of gender in research and forage production strategies and utilizations.

c) Experimental Design and Treatment

After delivery of the training, each trial pastoralist contributed a 0.125 hectare of land. The area was divided in to three plots which have 3m x 4m area and each tested species was planted in each plots using randomized complete block design (RCBD) with three replications. Each trial pastoralists planted *Lablab intortum*, *Lablab purpureus* and *Vigna unguiculata* by using participatory approach at on farm level for their adaptability test. The experimental materials were planted at a spacing of 30 cm and 50 cm between plants and rows respectively by using a seed rate 15 kg per hectare.

d) Crop management and Data collection

The planted species management activity such as hoeing, weeding, Diseases and Pest inspection carried out and trial farms were continuously monitored. The growth performance data like germination (emergence) date, stem height growth, number of leaf, days to 50 % of heading were collected. In order to

measure the length of stem and number of leaf per each species at 50% heading age, five plant from middle of two row per plots were randomly taken for height of plant and number of leaf per plant were measured. The average height of plant and number of leaf per plant had been considered for their growth potential of the tested species. Conversely, each tested species were harvested eight week after planting to determine fresh biomass (FBY) and dry matter yield (DMY) production potential. Three samples were randomly taken per plot at quadrates of 50cm x50 cm area by cutting using sickle and weighed samples and transported to Jinka Agriculture research Center and allotted to cut in to small pieces and made pooled it. The representative samples were subjected to oven dried at 105°C for 24hrs at Jinka Agricultural Research Center Animal Feed evaluation Laboratory. The dry matter yield of each species was calculated by the final weight collected from oven dried divided by initial weight before the subjecting to the oven dried.

e) Statistical Analysis

Analysis of data like date of emergence, stem height, number of leaf, days to 50 % of heading and yield data like fresh and dry matter yield were performed by using general liner model (GLM) procedure of SAS statistical soft ware version 9.1. Effect of tested species were considered as significant in all statistical calculation if ($P \leq 0.05$). Means were separated using Duncan's least significant difference (LSD) test with following model.

$$Y_{ij} = A + \beta_i + t_j + e_{ij}$$

Where: Y_{ij} = dry matter yield,

A = General mean of the treatments,

β_i = block effects,

t_j = treatment(species) effects and

e_{ij} = experimental (random) error

VI. RESULTS AND DISCUSSION

Table 1 : The fresh biomass yield, dry matter yield, Coefficients of variance and least significance difference tested

Tested Species	species FBY/plot/g ±SE	DMY/plot/g ±SE
Lablab purepureus	504±32 ^c	180±27 ^c
Lablab intoritum	371±32 ^b	113±27 ^a
Vigna unguiculata	292±32 ^a	170±27 ^b
LSD 0.05	8.50	7.90
CV (%)	6.75	5.70

(Means with the same letter in column for fresh biomass yield and dry matter based to 50% flowering stage are not significantly different $P < 0.05$) FBY = Fresh biomass yield (t/ha), DMY = dry matter yield (t/ha), SE = standard error, g= gram, LSD = least significance difference and CV = Coefficients of variance.

The mean value of tasted species biomass yield and other agronomic traits measured were presented in Table 1 and 2 . There were significant difference ($P < 0.05$) in fresh biomass yield (FBY) and dry matter yield (DMY) production potential between among the *Lablab purpureus*, *Lablab intoritum* and *Vigna unguiculata* in the trial peasant association (Table 1). The average fresh biomass yield potential of tested species in to the study area were 20 t/ha, 14.84 t/ha and 12 t/ha respectively for *Lablab purpureus*, *Lablab intoritum* and *Vigna unguiculata* which attested that *Lablab purpureus* produced higher fresh biomass than *Lablab intoritum* and *Vigna unguiculata*. On the other hand conversely, the *Lablab intoritum* is better yielder than *Vigna unguiculata* in the current study. Meanwhile, on the other hand, the dry matter yield (DMY) obtained in this study was revealed that there were also significance difference ($P < 0.05$) among the *Lablab purpureus*, *Lablab intoritum* and *Vigna unguiculata* in the study area (Table 1).

The dry matter production potential of the tasted species to the study area is 7. 2 t /ha, 4.5 t/ha and 6.8 t /ha for *Lablab purpureus*, *Lablab intoritum* and *Vigna unguiculata*. The result obtained from this study in the case of *Lablab purpureus* was not corroborated to what Denbela et al. (2015) reported that *Lablab purpureus* produced on average 4.5t/ha at kako trial location and also Amodu et al. (2005) reported that *Lablab purpureus* produced dry matter range 4.5 to 4.9

t/ha in November at a location in the Northern part of Nigeria. On the other hand, result obtained in the current study for *lablab purpureus* corroborated to what Cameron (1988), Mayer et al. (1986) and Alemayehu (2003) reported that it can produced dry matter yield on average 3-5 t/ha in lowland area of Ethiopia. The variability in dry matter yield production might be attributed variability in rainfall, Soil fertility, Agro ecological location and pastoralist adopted management practice (Cameron, 1988; Mayer et al., 1986). On the other hand, the dry matter yield obtained from the *Vigna unguiculata* in current study is not corroborated with previous finding reported by Geleti et al. (2014) which indicated that the dry matter yield on average for *Vigna unguiculata* accession grown under rain fed condition ranges from the 10. 74 – 12.57 t/ha. However, it also controversial to what Bilatu et al. (2012) reported which indicated that value obtained in our current study higher dry matter yield (2 t/ha) than what they reported. Likewise, the variation source for dry matter yield b/n our current study when it was compared with Bilatu and others finding for *Vigna unguiculata*, which might be variation in accession potential which was reported by Anele UY. et al.(2011a) and Rivas -Vega et al.(2006) or it might be seasonal, agro ecological and soil variations (Anele UY. et al. , 2011a ; Anele UY. et al., 2011b) or other factors like trial pastoralists management.

Table 2 : The growth potential of tested species in study area in 2014 cropping season

Species	PH(cm) ± SE	Number of leaf at 4 week ±SE	Number of leaf at 8 week ±SE	Date of germination ± SE	Days t o 50% flowering ±SE
<i>Lablab purepureus</i>	67±0.75 ^a	12±0.43 ^a	17±0.67 ^c	5.23±0.23 ^a	48.5±0.89 ^a
<i>Lablab Intoritum</i>	80±0.7 ^b	8.5±0.43 ^b	12±0.67a	5.65±0.23 ^a	85.4±0.89 ^b
<i>Vigna unguiculata</i>	69.5±0.75 ^a	10±0.43 ^a	15±0.6 ^b	6.7±0.23 ^a	45.8±0.89 ^a
LSD 0.05	5.80	7.45	12.32	1.55	25.45
CV (%)	3.70	6.80	6.34	5.25	8.87

(Means with the same letter in a column for each steam and leaf height base are not significantly different at $\alpha = P < 0.05$ LSD = least significance difference, CV = Coefficients of variance cm = centimeter, PH = plant height and SE =Standard error

Pertaining to the result obtained in this study on plant height depicted that there were significant difference ($P < 0.05$) between the *Lablab intoritum* and

Lablab purpureus and also *Lablab intoritum* and *Vigna unguiculata* presented (Table 2). However, there was none significance difference ($P > 0.05$) between *Lablab*

Purpureus and *Vigna unguiculata* at 8 week. The plant height recorded for the *Lablab* species was $67 \pm 0.75 - 80 \pm 0.75$ cm at 50 % (8th weeks) which was lower than the value reported by different authors such as M. R. Hassan et al. (2014) (161cm), Yusufali (2005) (150cm) and Omokanye et al. (2000) and Adesoji et al. (2013) (229cm). Meanwhile, the height growth in length obtained for *Vigna unguiculata* in this study revealed that *Vigna unguiculata* grown similar to the *lablab purpureus* not *lablab intortitum* and however, it was in line with earlier study that reported by I.A. Ekpo et al. (2012). The leaf part of forage very important due to leaf contained better crude protein content and low in structural carbohydrate than any other part (fraction) of forages and played significant role to rumen microbe (Van Soest, 1994). Legumes forages with high biomass of leaf would seem to be those of highest nutritional value (Norton and Poppi, 1995). Therefore, the result on the number of leaf (leaf biomass) per tested species demonstrated that the number of leaf differs significantly ($p < 0.05$) at fourth week of growth period between *Lablab Purpureus* and *Lablab intortitum*, *Lablab intortitum* and *Vigna unguiculata* presented in Table 2. However, on the other hand, the number of leaf count b/n *Lablab Purpureus* and *Vigna unguiculata* was not significantly differ ($P > 0.05$). The values for number of leaves of experiential in this study on average at 4th week were 12 ± 0.43 , 8.5 ± 0.43 and 10 ± 0.43 respectively for *Lablab purpureus*, *Lablab intortitum* and *Vigna unguiculata*. The value obtained in our current study for *Lablab purpureus* was in line with previous finding reported by Adesoji et al. (2013) at 6 week and however lower than what M. R. Hassan et al. (2014) reported under irrigated condition after sowing in Samaru and the slightly increase in when the week at 8 week (18 ± 0.67 , 12 ± 0.67 and 15 ± 0.60) which were higher than the values that reported by M. R. Hassan et al. (2014) at 9 week after sowing under rain-fed conditions. On the other hand, understanding at what stage of forage development is important for harvesting forage with good quality in order to boost the livestock production. One of the important stage is to be advised to farmers/pastoralist to harvest forage is when forage has been started blooming up to 50%, this is due to forage at 50% blooming characterized by high nutrient composition. Therefore, it is highly important to evaluate the exact days of the 50% blooming stage of tested species in order to quantify the dry matter production potential of tested species in to the testing environment, which is noticed that when the forage species are not harvest at appropriate stage it is associated with a decrease in the nutrient content, digestibility, and subsequent nutritional value of the forage. As a plant matures the contents of water, protein, nonstructural carbohydrates, minerals, and vitamins decrease. The current study declared that the there were significant difference ($P < 0.05$) was observed in the days to 50% flowering (blooming)

between *Lablab intortum* and *Lablab purpureus*, *Lablab intortum* and *Vigna unguiculata*. However, there is none significance difference ($P > 0.05$) was observed between *Lablab purpureus* and *Vigna unguiculata* presented in Table 2. The first species flowered was *Vigna unguiculata* and *Lablab purpureus* with mean of 45.8 and 48.50 days respectively and which is not corroborated to what Fassil Berhe (2014) reported that *Vigna unguiculata* bloomed at 50% within 53 days and whereas, *Lablab purpureus* was bloomed within 64 days. This is may be attributed due to variation in species is a fast growing potential (Mark and Paul, 2007) or environmental variation (Anele UY. et al., 2011a; Anele UY. et al., 2011b). While *Lablab intortum* flowered last with a mean of 85.40.

VII. CONCLUSION AND RECOMMENDATION

The current study revealed that the tested improved legume species well performed and adapted to tested Agro ecology with yielded both high fresh and dry matter. The *Lablab purpureus* (7.2 t/ha) and *Vigna unguiculata* (6.80t/ha) were produced highest dry matter yield and highly important to study area than *Lablab intortum* which was produced 4.50 t/ha which was less important to the study area in term of dry matter production potential. The result reported in the current study is from data of one year cropping season. However, for the forage species yields may be variable in other seasons. Likewise, the further research should be conducted to identify their feeding value under different intervention, chemical composition and their response to the disease and pest resistance. Moreover, pastoralists' perceptions need to be studied to incorporate local technical knowledge in future forage varieties evaluation trials and to confirm suitability of the varieties under farmer circumstances. The information obtained would benefit in promotion of the forage varieties in wider scale (through pre-scale-up programs).

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Sero-Prevalence of Bovine Foot and Mouth Disease in Selected Districts of Eastern Showa Zone, Oromia Regional State, Ethiopia

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Abstract- A cross-sectional study was conducted from September 2014 to July 2015 with the objective of determining sero-prevalence of Foot and Mouth Disease (FMD) in cattle in selected districts of Eastern Showa Zone, Oromia Regional. Blood samples were collected from a total of 634 cattle at Export abattoir, Veterinary Clinics in and around Bishoftu town of Adaa district and Bull screening quarantine station in Adama. The study animals were selected by systematic random sampling and blood samples were collected from jugular vein using sterile vacutainer tube. The blood samples were submitted to the National Veterinary Institute (NVI), and the serum were harvested and processed by using 3ABC-ELISA technique. The current study indicated the overall sero-prevalence of bovine FMD was 10.88% and statistically there were differences ($P=0.002$) in sero positivity of FMD among animals from Abattoir, Veterinary clinic and Feedlot. The sero-prevalence was higher in animals tested from export abattoirs than those from Feedlot and Clinics.

Keywords: bovine, FMDV, sero-prevalence, ethiopia, 3ABC-ELISA.

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SEROPREVALENCE OF BOVINE FOOT AND MOUTH DISEASE IN SELECTED DISTRICTS OF EASTERN SHOWA ZONE OROMIA REGIONAL STATE ETHIOPIA

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Sero-Prevalence of Bovine Foot and Mouth Disease in Selected Districts of Eastern Showa Zone, Oromia Regional State, Ethiopia

Dinaol Belina ^α, Yimer muktar ^σ, Birhanu Girma ^ρ & Shimelis Mengistu ^ω

Abstract- A cross-sectional study was conducted from September 2014 to July 2015 with the objective of determining sero-prevalence of Foot and Mouth Disease (FMD) in cattle in selected districts of Eastern Showa Zone, Oromia Regional. Blood samples were collected from a total of 634 cattle at Export abattoir, Veterinary Clinics in and around Bishoftu town of Adaa district and Bull screening quarantine station in Adama. The study animals were selected by systematic random sampling and blood samples were collected from jugular vein using sterile vacutainer tube. The blood samples were submitted to the National Veterinary Institute (NVI), and the serum were harvested and processed by using 3ABC-ELISA technique. The current study indicated the overall sero-prevalence of bovine FMD was 10.88% and statistically there were differences ($P=0.002$) in sero positivity of FMD among animals from Abattoir, Veterinary clinic and Feedlot. The sero-prevalence was higher in animals tested from export abattoirs than those from Feedlot and Clinics. The study also considered sex, age and origin of animals as risk factors though the result indicated they were statistically insignificant ($P=0.85, 0.41$ and 0.45) respectively. In conclusion the findings of the present study pointed out FMD virus is circulating with 10.88% sero-prevalence at the study area. Thus, appropriate control strategy has to be designed and applied. Further, studies should also be conducted to identify and characterize the circulating virus strain.

Keywords: bovine, FMDV, sero-prevalence, ethiopia, 3ABC-ELISA.

I. INTRODUCTION

FMD is one of the major endemic trans-boundary livestock diseases of socioeconomic importance in Ethiopia. It is highly contagious viral disease of both domestic and wild cloven-hoofed animals (FAO 2007). FMD is caused by virus of the genus Aphtho virus which belongs to the family picornaviridae (Shao et al 2010). There are seven serotypes of the virus namely: A, O, C, SAT-1, SAT-2 SAT-3 and Asia 1. Within serotypes, many subtypes identified by biochemical and immunological tests (OIE 2004) and infection with one serotype does not confer immune protection against another. The serotype O, A and C have had the widest distribution in the world (Rweyemamu et al 2008) and serotype A, O, C and SAT₂ are identified and reported from Ethiopia (Gelaye et al 2005).

The disease has a high morbidity although mortality is rare in adult animals. However, myocarditis may occur in young animals resulting in death. The recovered animals remain in poor physical condition over long periods leading to economic losses for livestock industries (Sangare 2002). The economic importance of the disease is not only due to production loss, but also because of restrictions on the local and international animal trade (James and Rushton 2002).

The transmission of FMD is primarily occurs via respiratory aerosols and direct or indirect contact with infected animals. Aerosol transmission requires proper temperature and humidity. However, in FMD endemic countries, both the respiratory and oral routes are considerably important. Cattle and sheep may be source of the virus up to 5 days before they develop the clinical signs. Small ruminants mostly develop silent or clinically in-apparent infection and play important role in epidemiology or spread of FMD to cattle, (Radostits et al 2000). In addition to live animals, shipment of untreated meat and meat products, milk and semen from infected animals are also factor for FMD virus transmission. The FMDV can survive for 1-2 days in the human respiratory tract, thus potentially spreading to animals (Asseged 2005). Contact with contaminated fomites such as boots, glove, and clothes can also be a source of infection (Sahle 2004).

After initial replication in cornfield epithelia the virus enters the bloodstream through regional lymph nodes (Alexandersen et al 2003). When susceptible animals are in contact with clinically infected animals, clinical signs usually develop in 3 to 5 day (Kitching, 2002). Most of the time virus vesicles develop at skin and mouth than other organs during the acute phase of the disease and rupture, usually within 48 hr. The viremia persists for 4–5 days (Alexandersen et al 2001). The severity of clinical signs of the disease varies with the strain of the virus, the exposure dose, the age, and the breed of the animal, the host species, and its degree of immunity.

In cattle clinical sign of FMD include fever, dullness, anorexia and fall in milk production followed by oral lesion such as vesicle on the tongue, dental pad, gums, soft palate, nostrils or muzzle that lead to excess salivation, drooling and serous nasal discharge. Teat lesion can occur and cause a decrease in milk

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production, and hoof lesion in the inter-digital space and on the coronary band are leading to lameness (Sahle 2004).

In Ethiopia, Understanding of the geographic distribution of the disease and serotypes of FMD the virus involved are among important in puts required to initiate control program, furthermore, lack of awareness of the intermediary cattle dealers regarding the risk and the relatively short distance between production and feedlot locations makes the feedlots particularly vulnerable to the introduction of the disease without diagnosis. In general in Ethiopian the current traditional livestock management with uncontrolled movement of animals, attributes to FMDV spread (Megersa et al 2009). Extensive movement of livestock, the high rate of contact among animals in communal grazing areas, watering points and at commercial markets in Ethiopia is major transmission and dissemination factor (Bayissa and Bereda 2009). Different papers were published on FMD in different area of the country but there is no recent published data from the export abattoir and Veterinary Clinics or feedlot of Eastern Showa, particularly in the Bishoftu and Adama cities. Therefore, this study was designed to investigate the Sero-prevalence of bovine FMD from abattoir, veterinary clinic and feedlot in Adaa district and Adama special zone of Eastern Showa zone in Oromia.

II. MATERIALS AND METHODS

a) Study Area

The study was conducted in selected Abattoirs and Veterinary Clinics in and around Bishoftu and Bull screening quarantine station (for feedlot) in Adama of East Showa zone. Bishoftu and Adama the two main towns of east Showa zone located to the South East of Addis Ababa. Because of closeness to Addis Ababa in both cities there are huge investments on feedlot and dairy activities and also export abattoirs. Bishoftu of the Adana district has an altitude of 1, 860 m.a.s.l. with an average annual rainfall of 866 mm. It has a bimodal rainy seasons; a main rain season extends from the month of June to September and a short rain season from March to May. The annual average minimum and maximum temperature is 11°C and 26°C respectively. Humidity is about 61.3%. Though those animals from Adama feedlot were originally from different parts of the country they were tested after they stayed a minimum of two months at the screening station. Adama the special zone is located at 8°32`N 39 ° 16`E at elevation of 1712m a.s.l. The City sits between the base of an escarpment to the west, and the Great Rift Valley to the east. Adama has a tropical climate of wet and dry season (NMSA, 2011).

b) Study Animals

The study animals were cattle selected from abattoir and veterinary clinics in and around Bishoftu of Adaa, and Bulls screened for export at screening

quarantine station in Adama feedlot. Both sexes and different age groups were included the age groups were considered as (≤ 4 years) Young, ($4\text{years} < x < 10\text{years}$) Adult and (≥ 10 years) Old.

c) Study Design

A cross-sectional study was undertaken from November 2014 to July 2015. During the laboratory work, a total of 634 sera samples collected from abattoir, veterinary clinics and feedlot station were examined by using 3ABC ELISA for the detection of FMD antibodies in Selected districts of eastern Showa Zone of Oromia Region.

d) Sample Size Estimation

The sample size was determined according to the formula given by Thrusfield (2005), by considering 14.5% (Alemayehu et al 2014) previous prevalence and absolute desired precision of 5% at confidence level of 95%.

$$n = \frac{1.96^2 p_{exp} (1 - p_{exp})}{d^2}$$

Where: n= sample size, Pexp= expected prevalence=14.5%, d= absolute precision of 5%.

Accordingly the sample size was 191; however, in order to increase precision of the study it was raised by 3.3 folds and a total of 634 animals were included in the study.

III. STUDY METHODOLOGY

a) Sample collection

A total of 634 blood samples were collected from Abattoir, Veterinary clinic and Adama feedlot by using systematic random sampling method. Blood sample was collected from jugular vein of individual animals by using 10 ml of sterile vacutainer tube and labeled with specific field code. Then the blood was allowed to clot by placing it overnight at room temperature. Then the samples were transported to the National Veterinary Institute (NVI) Laboratory by using an icebox for serological examination. The sera sample then stored at - 20°C until laboratory investigation.

b) Serological test

The serological test was conducted for the Sero-prevalence of FMD by using the 3ABC-ELISA. The 3-ABC-ELISA was used according to the manufacturer's instructions. Briefly, the serum was diluted 1/100, added in duplicate to the wells of a 96-well micro-titer plate pre-coated with the vector-expressed viral 3ABC antigen, and incubated for 60 min at 37°C in a humid chamber. Unbound antibody was washed away, and a horseradish peroxidase-labeled guinea pig anti-bovine immunoglobulin G conjugate was added. Unbound conjugate was removed by washing, and the substrate was added and incubated until the difference in the

optical density (OD) reading between the negative and positive controls become greater than or equal to 0.4 (after about 20 min). The OD was determined for each well at 405 nm with an automatic ELISA reader.

c) *Data Analysis*

Data was entered in to Microsoft Excel and analyzed by using SPSS version 20.0 statistical software. Prevalence differences of the study variables (infection rate, age, sex, geographic origin of the animals and group of animal from which sample collected were analyzed by chi-square and descriptive statistics. A statistically the difference was considered significant when the calculated p-value is less than 0.05 at 95% confidence level.

IV. RESULTS

Out of 634 sera tested using 3ABC ELISA 69 (10.88%) animals were sero-positive for bovine FMDV. The highest prevalence was recorded in animals from export abattoirs (15.5%) whereas the lowest sero-prevalence was recorded in animals from Clinics. Animals from abattoir were found to be more affected than feedlot and Vet. Clinic groups of animals the difference was statistically significant (P=0.002) (Table 1).

Table 1 : Sero-prevalence of FMD in cattle of different groups of the study animals

Animal group	N ^o of examined	N ^o Positive (%)	χ^2 (P-Value)
Feedlot	250	17 (6.8)	12.843 (0.002)*
Abattoir	303	47 (15.5)	
Vet. Clinic	81	5 (6.17)	
Total	634	69 (10.88)	

* Statistical significant

The prevalence of bovine FMD was statistically insignificant among different age groups (P=0.41), Sex (P=0.85) geographical origin (P=0.45) of the study animals (table 2 and 3).

Table 2 : Sroprevalence of FMD in cattle of different age and sex groups

Variables	levels	N ^o of examined	N ^o Positive (%)	χ^2 (P-value)
Age	Young	320	30 (9.37)	1.79 (0.41)
	Adult	248	32 (12.90)	
	Old	66	7 (10.60)	
	Total	634	69 (10.88)	
Sex	Male	623	68 (10.90)	0.04 (0.85)
	Female	11	1 (9.10)	
	Total	634	69 (10.88)	

Table 3 : Seroprevalence of FMD in cattle of different origin

Variables	Levels	N ^o of examined	N ^o Positive (%)	χ^2 (P-value)
Origin	Borena	369	45(12.20)	3.66 (0.45)
	Harar	33	3(9.10)	
	Wallo	44	3(6.80)	
	Bishoftu	82	5(6.10)	
	Adama	106	13(12.26)	
Total		634	69(10.88)	

V. DISCUSSION

The present study showed that, the overall sero-prevalence of bovine FMD was 10.88% which was comparable with the findings of Gelaye et al (2009), who reported 12.05% in the Bench Maji zone, Southern Ethiopia, Molla et al (2010), who reported 8.8% in South

Omo Zone and Megersa et al (2009), who reported 9.5% in indigenous cattle in Southern Ethiopia. On other hand, the sero-prevalence of bovine FMD in this study was lower than the findings of Rufael et al (2008), who reported 26.5% from Borena pastoral system, Southern Ethiopia, Mekonnen et al (2011), who reported 24.6 % in Borena and Guji Zones, Tesfaye (2006), who reported

21% in Borena pastoral area. This variation of seroprevalence of bovine FMD reported from the different area of the country by different researcher might be due to variation in management system, intervention and agro-climatic condition. However, the seroprevalence of bovine FMD reported in this study was higher when compared to the previous findings of Bedru (2006), 5.53%, on quarantined bulls for export at Nazareth and Dire Dawa stations; Jenberie (2008), 5.6%, from Afar Regional State and Abunna et al (2013), reported 8.01% from Dire Dawa and its surroundings, Eastern Ethiopia.

In the current study, age group was also considered as risk factor for Sero-prevalence of bovine FMD and the result showed age was statistically insignificant ($P= 0.41$). This result agreed with the findings of Gelaye et al (2009), who reported no significant association between bovine FMD and age of cattle. This might be because of unequal involvement of different age groups in our sampling where majority of our study animals were young animals due to accessibility. However, contradicting our present finding Mohamoud et al (2011), Molla et al (2010), Gebretsadik (2009) and Kibore et al (2013), reported from different geographical areas that seroprevalence of bovine FMD was statistically associated with the age of animals. Radostits et al (2000), indicated that young animals are relatively more susceptible than adult animals even though, the present study indicated that higher seroprevalence in adult (between 4 and 10 years age) than both young (≤ 4 years) and old (≥ 10 years) (table: 2). This variation might be because of the adults and old cattle were acquired the infection through repeated exposure to the different serotypes of the virus and close contact with other animals due to uncontrolled animal movement at market place and communal pasture grazing area, however, young cattle were herded around homesteads and hence may have less chance of exposure to the virus and additionally the prevailing passive maternal immunity may give them protection against the disease (Megersa et al 2009).

The previous findings of Mohamoud et al (2011), in Awbere and Babille districts of Jijiga zone, Somalia Regional State, Eastern Ethiopia; Gelaye et al (2009), from Bench Maji zone, southwestern Ethiopia and Megersa et al (2009), in indigenous cattle in Southern Ethiopia indicated there is no significant difference between sexes in seroprevalence of FMD in cattle. Supporting these findings our present study also showed statistically no significant difference between sexes in seroprevalence of bovine FMD (table 2). However, Hailu et al (2010), reported from northwest part of Ethiopia that the incidence of seroprevalence of bovine FMD was statistically higher in females than in male in cattle. In our present study such variation might be resulted from a very small female to male sampling ratio.

In the current study, though there was no statistical difference ($P= 0.45$) in seroprevalence of bovine FMD among the origins of animals, the highest seroprevalence was found in animals from Borena (12.2%) than animals came from Adama, Harar, Walo and Bishoftu. This might be due to the fact that Borena is found in pastoral area where animal movement is highly uncontrolled. Attributing to this finding Megersa et al. (2009), also reported seroprevalence of bovine FMD was higher in animals originated from pastoral areas in Ethiopia. The variation in the seroprevalence of bovine FMD in relation to the origin of animals in this study might be due to differences in animal's production and management system at their geographical origins and also due to the uncontrollable movement of cattle from one border of the country to other border of the country.

The difference in prevalence among the group of animals where sample were collected was statistically significant ($P= 0.002$) (table1). The highest prevalence was recorded in animals from Abattoirs (15.5%) and the lowest prevalence was recorded in animals from Clinics (6.17%). This variation might be due the fact that animals brought to export abattoirs and feedlot were come from different geographical areas of the country where there was a chance of contact among animals from different zones and districts whereas animals brought to the Clinic come from kebeles surrounding or nearby the study areas.

VI. CONCLUSION AND RECOMMENDATIONS

The present study indicated that, the overall seroprevalence of bovine FMD at the study area was 10.88%. The highest seroprevalence was found in cattle slaughtered at abattoirs in Bishoftu than animals include in the study from Veterinary Clinics, and Adama feedlot. Age, sex and origin of the animals were statistically insignificant with seroprevalence of bovine FMD. The occurrence of bovine FMD in the study area may cause restriction on the trade of animals and animal products internationally. Therefore, Special attention should be given to those areas with higher seroprevalence of bovine FMD by designing appropriate control measure, including vaccination and restriction of animal movements to minimize further transmission of the disease, animals brought to export abattoirs and feedlots from different localities have to be transported separately in accordance with their geographic origins and further study on FMD virus distribution and transmission should also be conducted.

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Impact of Boloso-1 Taro Production on Livelihood Security of Farming Communities in Kindo Koyisha and Duguna Fango Woredas, Wolaita Zone

By Zekarias Bassa, Bereket Zeleke, Tessema Erchafo & Ashenafi Mekonin

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Abstract- Root crops in general and taro in particular are the main food crop, the only and livelihood dependant highly productive and multifunctional crop that used for as livestock feed supplement and human food item in southern Ethiopia, but its role in food and nutritional security had not been studied and well documented, consequently less attention was given in development agenda of the country. Hence, the study was aimed to undertake investigation in livelihood role Improved taro variety that helps in generation information on role of improved taro for farming communities in the district. The study was undertaken in Kindo koisha Woreda and Duguna Fango Woreda of Wolaita zone Administrative. The study revealed that the contribution of taro on the overall livelihoods of the beneficiaries is significantly high. The impact of Boloso-1-Taro ranges from saving life to reduction of migration and school dropout rates. It has also diversified income for the beneficiary farmers in general and able to generate income from selling taro production that empowered women and changed the family's living status.

Keywords: *bolos 1 taro, food crop, livelihood, productive.*

GJSFR-D Classification : *FOR Code: 070199*



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Impact of Boloso-1 Taro Production on Livelihood Security of Farming Communities in Kindo Koyisha and Duguna Fango Woredas, Wolaita Zone

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Abstract- Root crops in general and taro in particular are the main food crop, the only and livelihood dependant highly productive and multifunctional crop that used for as livestock feed supplement and human food item in southern Ethiopia, but its role in food and nutritional security had not been studied and well documented, consequently less attention was given in development agenda of the country. Hence, the study was aimed to undertake investigation in livelihood role Improved taro variety that helps in generation information on role of improved taro for farming communities in the district. The study was undertaken in Kindo koisha Woreda and Duguna Fango Woreda of Wolaita zone Administrative. The study revealed that the contribution of taro on the overall livelihoods of the beneficiaries is significantly high. The impact of Boloso-1-Taro ranges from saving life to reduction of migration and school dropout rates. It has also diversified income for the beneficiary farmers in general and able to generate income from selling taro production that empowered women and changed the family's living status. Hunger and complete poverty has been eradicated from the project sites mainly due to taro intervention. The study also confirmed that the improved taro variety played a significant role in price stabilization in the district, which justified by the market price reduction of common food crops after intervention in the district. Taro overrides most common crops interims of yield per hectare, adaptability to different areas, price stability, drought tolerance, scalability, climate resilience, multifunction nature (human food and livestock supplement). Regression result of factors determining the productivity of improved Boloso 1 taro indicated that the productivity of the specific crop varies across farming community due to social, cultural, natural and environmental capability of the households. From explanatory variables used in the regression, attendance in formal education, extent of family drop outs, quantity of farm tools the household own, yield of other major crops and market distance to farmers' residence significantly affected productivity of improved taro. Attendance in formal education, farm tools owned, other major crops yield positively affected the productivity, while the extent of family drop outs and market distance negatively influenced the extent of improved taro yield collected per households. The study result confirmed that enabling the farming communities to attend formal education, owning adequate farm tools and improving the farm management capabilities ought to be the major assignment for the projects and other agricultural

development programs working in the district. One of the challenges exist in the study area is lack adequate of improved taro seed, erratic rain fall, untimely supply of the seed, awareness problem in value addition practices using taro as livestock supplement, which is pertinent for improvement of yield and efficient utilization of the resources thereby improve the food and nutritional security of farming communities in sustainable manner. Hence, adequate and timely improved taro seed dissemination, adoption of value chain development cattle fattening, milling and linking producers to market benefit and scaling up believed further optimize the crop productivity there by play a significant role in nutritional and food security improvement of the communities. The policy implication of study result indicates that strong policy support and due consideration is need for root and tuber crops that is comparable to their role in the livelihoods of farmers in southern Ethiopia.

Keywords: bolos 1 taro, food crop, livelihood, productive.

I. INTRODUCTION

a) Background and justification

In Southern Ethiopia, root and tuber crops are one of the traditional food crops. As they are alternatives to cereals, their contribution to food-self-sufficiency, income generation and soil based resource conservation is indispensable. Among those root and tuber crops grown in Ethiopia, enset, potato, sweet potato, taro and cassava are the principal crops (Yared, 2014; Tewdros, 2013).

Taro is a highly prized and dominant staple food in the subsistent sectors; and an important source of cash income when marketed locally. Unlike other root crops, taro performs well under water logged conditions and can stay for prolonged period of time after harvest without damage. Because of this nature of the commodity, its production is becoming massive and has been contributing a lot for areas under chronic food insecurity (Yared and Tewdros .,2014;African Bioscience Challenge Fund, 2012).

The most important feature of taro is its good adaptability, resistant to different diseases and produce high amount of yields in different areas especially on tropical environments (Tewodros, 2013). The consumption of both taro and sweet potato is threefold:

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human food, animal feed and the production of alcohol and starch. Yared. D and Tewdros. M. 2014).

Seasonal food shortage is amongst the principal problems of farmers in mid-altitude areas of Southern Ethiopia. Taro is important part of food security packages. Globally, taro is grown over a wide range of environments from 1,300-2,300m.a.s.l.; mostly by the resource poor farmers. Its compatibility with various types of limited input farming systems (versatility) and reliability under conditions such as drought, high rain fall, and low soil fertility have made it attractive crop to farmers (Yared.et.al, 2014).

To overview the level of introduction and adoption of taro technology, to analyze its economic, livelihood and nutritional and food security role for farming community, identify opportunities and challenges, it is indispensable to carryout and follows the multi-sectoral institutions approach to implement this research. Therefore, this study is planned to undertake investigation on impacts of improved taro variety on food and nutritional security in Kindo Koyesha and Duguna Fango woredas of Wolaita zone.

b) *Rationale of the assignment*

i. *Provision of Improved Taro Boloso-1variety – new technology*

The project entitled 'creating resilience through integrated multi-sectorial approach in emergency prone areas of Wolaita Zone' has been implementing in Kindo Koysha and Duguna Fango woredas of Wolaita Zone in collaboration with small-holder farmers, GOs and other stakeholders since 2012. The principal objective of this project was to contribute to the improvement in nutritional status of vulnerable households in Wolaita zone through building their resilience to future shocks. Among the different tasks of the intervention in the district, enhancing nutritional and food security of target households was one of the major aims of the project. As part of the planned activity in this sector, Concern worldwide distributed improved Taro Boloso-1 variety seed to target households.

Taro production has been covering large proportion of the area at the target Woreda. But its impact on household's livelihood, its production constraints and opportunities were not studied documented and well documented. Therefore, Concern Worldwide in partnership with Areka Agriculture Research Centre planned to conduct this study on the impact of taro on target households. This study aimed to assess contribution of taro production and marketing on the project's targeted household's livelihoodn in Kindo Koysha and Duguna Fango woredas of Wolaita zone. It is also planned to develop strong evidence-base in-depth information on the seeds contribution in food and nutrition security of target households. In addition, synthesizing knowledge and lessons learned as a base to scale up these interventions and use as a

guide for future programming was also another goal of the study.

c) *Objectives*

General Objective

- The overall objective of this study was to conduct impact assessment of taro dissemination on food and nutrition security of project's target HHs.

Specific objective

- To assess factors affecting productivity of Improved taro
- To examine impact of improved taro variety production in food and nutritional security of targeted households.

II. METHODOLOGY

a) *Site description*

The Wolaita zone is one of the thirteen zones of the Southern region of Ethiopia covering an area of 4471.3 km². For administrative purpose it is divided in to twelve woredas (districts) namely; Boloso Bombe, Boloso Sore, Damot Gale, Damot Weydie, Damot Pulasa, Damot Sore, Diguna Fango, Humbo, Kindo Koysha, Kindo Didaye, Offa and Sodo Zuria. The study was carried out in two Woreda namely Duguna Fango ad kindo Kosha(CSA,2014).

In southern Ethiopia, the root crop area coverage meher cropping season was more than 90,000ha in 2014 and its productivity per ha of land estimated to be more than 302 quintal, which was higher than other food crops in the country. Root crop coverage of Wolaita zone was more than 32 000 ha and its productivity estimated to be greater than 336 quintal/ha, which was higher than the region average (CSA, 2014.)

Data Collection and sampling techniques

The two main data collection techniques used were focus group discussion (FGD) and formal survey techniques. FGD process was guided by checklist that was drafted by the researchers and commented by Concern officials and experts for improvement. For FGD, farmers, youth, women, kebele leaders and DAs were selected purposively representing different age and gender groups based on the involvement of individuals in the process of Taro seed dissemination and utilization. The major challenges of production and productivity of root crops comprised of untimely and inadequate seed distribution for farming communities, erratic rain fall, lack of scientific information on impact of improved taro variety and its role in food and national security of beneficiary farmers. Hence, the investigation on role of Boloso 1 Taro variety in food and nutritional security believe help a lot in generation scientific and timely information, characterize the opportunity and challenges of taro production and identify determinants of productivity of the crop.

Total of four FGD were carried out in two kebeles per each woreda partaking 15 individuals per FGD in average at the beginning of the study.

Information gathered during FGD created the basic map of the intervention and helped to verify the data collected with survey. It also helped as important resource to develop structured questionnaire for formal survey.

Nine experienced enumerators were hired and trained in administering the structured questionnaire for two days. Filled questionnaires were also examined by the researchers and appropriate comments were forwarded to enumerators for better quality of collected data on the first days of survey.

The formal survey was carried out on 180 beneficiaries with the help of trained enumerators. The approach by Kothari (2004) was employed to determine appropriate sampling size of taro beneficiaries for the study: $n = z^2pq/d^2$: Where n = the sample size $z = 1.96$, P = Population proportion (the proportion of taro producer in Kindo Koisha and Duguna Fango. d = the significance level set at 95% confidence level. This corresponds with a z value of 1.96. q = is a weighting variable computed as $1-P$.

Primary data was collected by focusing on overall impacts of the Taro technology intervention through evaluating socioeconomic characteristics of beneficiaries, contribution of taro on their livelihood in terms of income, food security and market stability, volume of production per farmland with taro and other major crops (maize, cassava, sweet potato, sorghum, potato and teff). Before and after intervention bases. The impact is also evaluated on bridging food gaps in different months, nutritional security and food

diversification in household, perceptions of beneficiaries on what has been done, success stories, opportunities and constraints of utilizing taro were collected from farmers, traders and other participants involved in production and marketing of taro. Role of other actors including development agents, community workers, agricultural officers and project implementers and supervisors were also assessed to differentiate cumulative and individual (Concern Worldwide) contributions in the study area.

Information from secondary sources such as Areka Agricultural Research Center (AARC), Concern-Worldwide (ECHO I end line survey report, Assessment reports, PPDM reports, annual reports, proposal and DIP), Central Statistical Authority (CSA), Wolaita zone and both woreda agricultural offices is also utilized as a complement and reference for our study. All monitoring data from concern worldwide which is collected since the beginning of the project, annual action plans and reports, quarter progress reports, baseline and end line survey reports, assessment reports, project proposal, log frame and M&E plan were reviewed.

b) Data Analysis

Descriptive statistical analysis was used to define mean, mode, percentage and standard deviation of important economic variables considered in collecting information. In addition to descriptive analysis method, econometric analysis was employed to identify factors affecting productivity and contribution of improved taro variety for livelihood of farming community. Linear Regression Model was employed to analyze the econometric relationship between explanatory and dependant economic variables.

III. RESULTS AND DISCUSSION

Table 1 : School drop outs, migrations before and after the intervention

Years of technology intervention	2003/4EC		2006/7EC	
	Mean	Std. Dev.	Mean	Std. Dev.
Statistical variable				
Age of the respondent, in years	42.75	9.80		
Male No. of school drop outs < 15 years	.15	.46	0.07	.34
Male No. of school drop outs 15 to 65 years	.25	.54	.07	.34
Male No. migrated < 15 years	.04	.19	.02	.18
Male No. migrated 15 to 65 years	.17	.42	.07	.34
Female-No. of school drop outs < 15 years	.06	.22	.01	.09
Female No. of school drop outs 15 to 65 years	.19	.48	.02	.14
Female-No. migrated < 15 years	.03	.17	.01	.08
Female-No. migrated 15 to 65 years	.11	.33	.02	.13

The average age of framers in the study district was 43 and the age of respondents to other farmers varies in 10 year. The result shows that there is a big difference on the rate of school drop outs and migration between 2011 and 2014. 26% of male students aged 15-65 left their study before the end of academic year in 2011 but it slides down to only 9% in 2014. It dropped from 14% to 2% in the case of female students at the same age range. When the farming communities able to

secure food security, they engage their children fully in education and the study results confirmed this. The main reason for declining of drop outs for both male and female are improvement in food security and infrastructural development. In comparison to males, the extent of decline in drop outs in females is higher than that of males. This is because of opening of new education centers at the vicinity of communities and other infrastructural development. Introduction of

productive variety of taro contributed a lot in facilitating the education process through assuring food security. When the migration level is examined, it descended from 17% to 8% for 15-65 age male and 11% to 2% for women. The major causes of migration listed by farming communities are food insecurity, lack of income and

search of jobs. When the farming communities are able to secure food at household level, their level of participation in agriculture actively improved and, consequently, their probability to migrate from place to place decline immediately equipments from 2011 to 2014.

Table 2 : Farm and communication tools ownership before and after the intervention

Years of technology intervention	2003/4EC		2006/7EC	
	Mean	Std. Dev.	Mean	Std. Dev.
Statistical variable				
Radio-No. Owned	.05	.22	.24	.43
Tape recorder-No. owned	.06	.33	.05	.21
Phone (mobile)-No. owned	.04	.20	.31	.49
Hoe No. owned in	1.08	.63	1.49	.98
Spade-No. owned in	.42	.58	.70	.69
Ox plough (set)-No. owned	.71	.73	.84	.73
Sickle-No. owned	1.16	1.20	1.58	1.43
Animal cart No. owned	.00	.00	.05	.29

The result in above table indicates that improved taro beneficiaries have got improvement in access and utilization to communication tools and farm implements when compared to the base year (2003/4EC) in 2006/7EC. Since the interview beneficiary farmers are poor in wealth status at the intervention period, the improvement in agricultural and

communication tool was the outcome of dissemination of improved Taro variety to the farmers. This study result indicates that dissemination of improved taro variety made the targeted farmers to develop more farm and communication tools and, consequently ownership of this equipments played a positive role in improvement in food and nutritional security.

Table 3 : Roofing materials of beneficiary houses before and after the intervention

Woreda	Keble	Roofing material of the main house in 2003/4EC(2011)			Roofing material of the main house in 2006/7EC (2014)		
		Grass	Iron sheet	Total	Grass	Iron sheet	Total
Kindo Koysha	Bade	43	7	50	22	29	51
	Woyde Fechena	39	10	49	16	33	49
Duguna Fango	Fango Humbo	38	6	44	26	18	44
	Dendo Offa	26	1	27	14	12	10
Total		146	23	170	78	92	170

It is concluded that the roofing material used in housing can be evidence for the income and livelihood status of a household in the study areas. Only 13.5% of the beneficiaries had a roofing material of iron sheet before the multi-sectoral resilience building project intervention in which provision of improved Taro was one of the activities. It moved up to 54.11% in 2014.

Impact of Improved Taro Dissemination in ownership of Livestock

The result shows that the rate of ownership for all oxen, cow, heifers, calves, sheep, goats, poultry, bee hives and donkey has boosted after the intervention in study Woreda. Different ownership rates are seen among small and large ruminants. For instance, goats were owned by only 18% of the respondents in 2011 but now around 75% of the respondents have at least one

goat. This can be explained by the fact that goats are tough animals which can survive drought challenges and land shortage for grazing through browsing leafy plants that are not preferred by other animals. In the other hand, even if the enhancement rate of oxen and cow ownership is below that of goat, it has been improved from 19% and 61% to 42% and 91%, respectively. Oxen are used mainly as a ploughing of the farms. Improvement of cow ownership is a good ownership is a great opportunity for a family to have milk in a dish that diversifies their food sources. Moreover, availability of milk in a dish means a better nutritional security in household.

Table 4 : Livestock ownership of farmers in two various years

	2014		2011	
	Mean	Std. Dev.	Mean	Std. Dev.
Oxen-No. owned	0.42	0.60	0.19	0.43
Oxen-shared	0.20	0.42	0.11	0.36
Cow No. owned	0.91	0.66	0.61	0.68
Cow shared	0.55	0.67	0.24	0.47
Heifers -No. owned	0.28	0.49	0.06	0.23
Heifers –shared	0.10	0.34	0.02	0.14
Calves -No. owned	0.28	0.48	0.03	0.21
Calves -shared	0.21	0.44	0.01	0.12
Sheep -No. owned	0.49	0.79	0.13	0.50
Sheep –shared	0.20	0.55	0.01	0.17
Goats-No. owned	0.75	1.09	0.18	0.64
Goats-shared	0.21	0.55	0.06	0.35
Poultry -No. Owned	0.67	1.59	0.06	0.23
Poultry –shared	0.14	0.62	0.01	0.12
Bees hive -No. owned	0.03	0.24	0.00	0.00
Donkey -No. owned	0.17	0.39	0.01	0.08

The yield of local taro is 2 qt per timad in average but 7 qt yield can be harvested from Boloso-1-taro variety in farmer's field condition. Even if this result is far below the documented potential of improved taro,

it still surpasses the local variety by 250% or above three fold. The local taro was consumed continuously for a maximum of 2 months as a sole crop but the improved variety can be used for up to 5 months.

Table 5 : Taro Variety, production and Market pattern

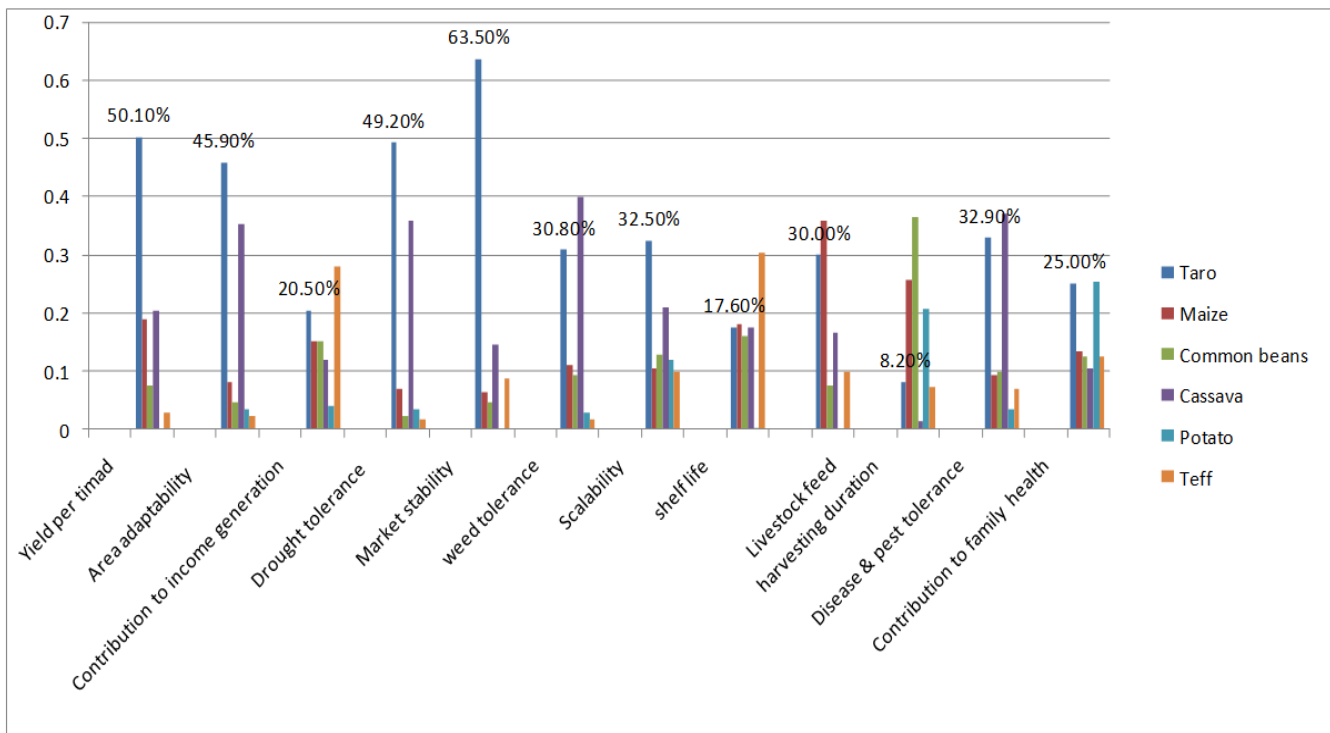
	Local Taro Variety production and Market pattern				Boloso 1 Taro variety Production and Marketing pattern			
	Minimum	Maximum	Mean	Std. Dev.	Minimum	Maximum	Mean	Std. Dev.
Land Area (Timad) in 2006/07	0.00	1.00	0.06	0.16	0.10	3.00	0.64	0.53
Yield (qt) in 2006/07	0.00	50.00	2.07	9.32	0.50	50.00	6.86	8.17
Consumed (qt) in 2006/07	0.00	50.00	3.74	12.9	0.00	50.00	4.05	7.17
Sold (qt) in 2006/07	0.00	1.00	0.02	0.15	0.00	180.0	3.02	16.14
No. of months the crop consumed 2006/07	0.00	8.00	0.57	1.45	0.00	12.00	4.68	2.41
Quantity Used as a seed (qt) 2003/4	0.00	20.00	0.47	2.46	0.00			
Land Area (Timad) in 2003/4	0.00	25.00	0.63	2.51	0.00			
Yield (qt) in 2003/04	0.00	50.00	3.65	9.66	0.00			
Consumed (qt) in 2003/4	0.00	40.00	2.15	4.96	0.00			
Taro local-Sold (qt) in 2003/4	0.00	5.00	0.48	0.81	0.00			
Taro local-No. of months the crop consumed	0.00	12.00	1.88	2.31	0.00			
Taro local-Used as a seed (qt) 2003/4	0.00	50.00	0.99	5.01	0.00			

Table 6 : Role of Gender in Taro production and marketing

Activities	Gender	N	Percentage
Planting, Earth up and weeding	Husband	130	76.5%
	Wife	15	8.8%
	Son	16	9.4%
	Daughter	9	5.3%
Harvesting	Husband	117	70.1%
	Wife	22	13.2%
	Son	20	12.0%
	Daughter	8	4.8%
Feeding the livestock	Husband	46	27.9%
	Wife	81	49.1%
	Son	30	18.2%
	Daughter	8	4.8%
Marketing of taro	Husband	7	4.4%
	Wife	135	84.9%
	Son	3	1.9%
	Daughter	14	8.8%

The study revealed that due to an introduction of Boloso-1, an improved taro variety, women have got access to get cash from the crop. According to the survey, 84.9% and 8.8% of the respondents responded that surplus taro products from the total production in the household were marketed by wife and daughter. This implies that, in the study area, taro production has empowered women by enabling them by diversifying their cash source. But the majority of the production

activities were covered by male. According to this study planting, earth up, weeding and harvesting activities of taro production were covered by male, but marketing of taro product and feeding livestock by taro feed were undertaken by women(see the above table). In general, in the study area, taro production and marketing has contributed a lot to women through empowerment by marketing and to earn income better than other crops.



The major crops namely maize, common beans, cassava, potato and taro are compared by respondents for different parameters indicated in the above figure. The criteria were yield per ha, adaptability to their agro-ecology, income generating ability, drought tolerance, market stability, weed tolerance, scalability, shelf life, livestock feed, harvesting duration, and disease and pest tolerance. According to the study, taro is ranked first by most parameters such as yield per ha, adaptability to different areas, drought tolerance, market stability, scalability, disease and pest tolerance and contribution to family health. The harvesting duration of Taro is longer than most major crops except cassava. The relative advantage of producing taro on stabilizing the market has been mentioned by 63.5% of the total respondents.

Farmer's General Perception on Taro

The study revealed that Boloso-1, an improved taro variety, has contributed more to crop productivity improvement, increased farm income, reduced migration, generate employment opportunities and improvement in nutritions at household level, but majority of the respondents responded that they are indifferent about the contribution of taro to women empowerment. Accordingly, the graph below shows the general perception of the respondents on the contribution of the taro for livelihood improvement in the study area hence majority of the respondents were strongly agreed that Boloso-1 has contributed to poverty reduction at household level. But some of the respondents were responded that they don't know about contribution of taro to poverty reduction.

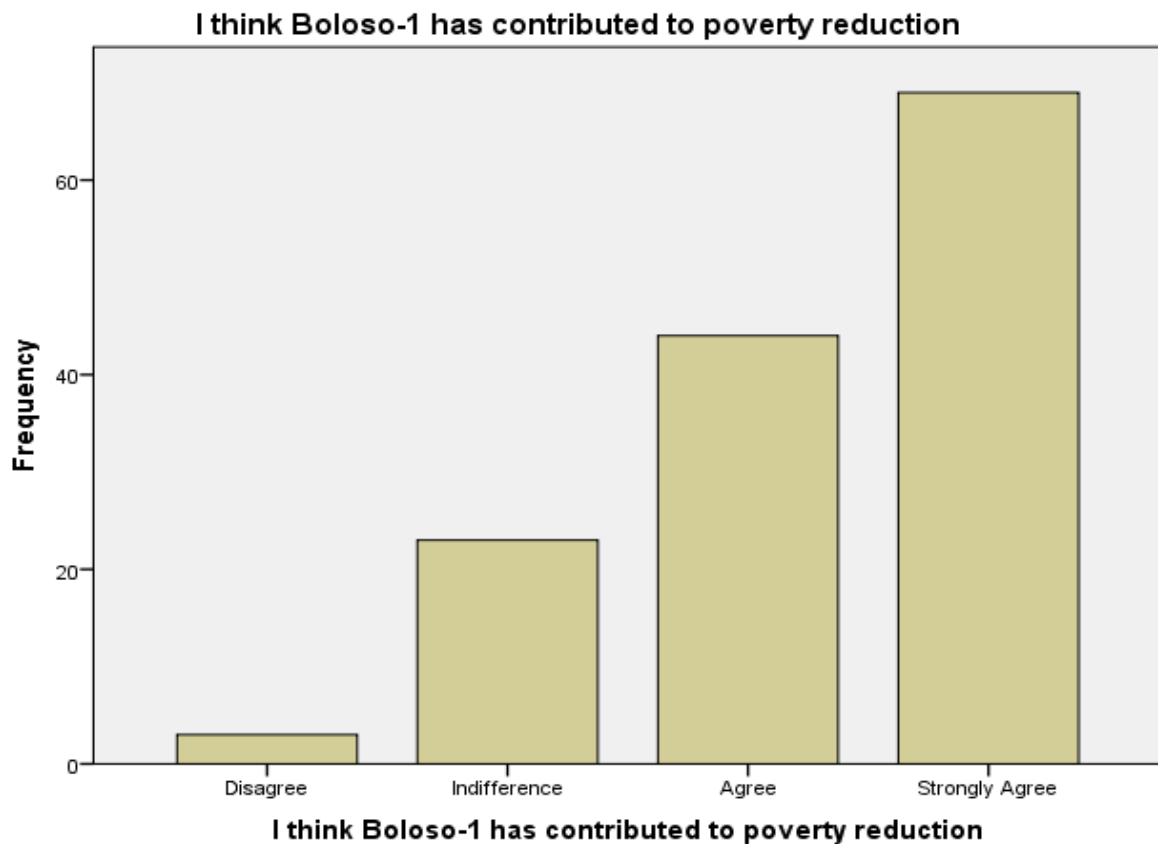
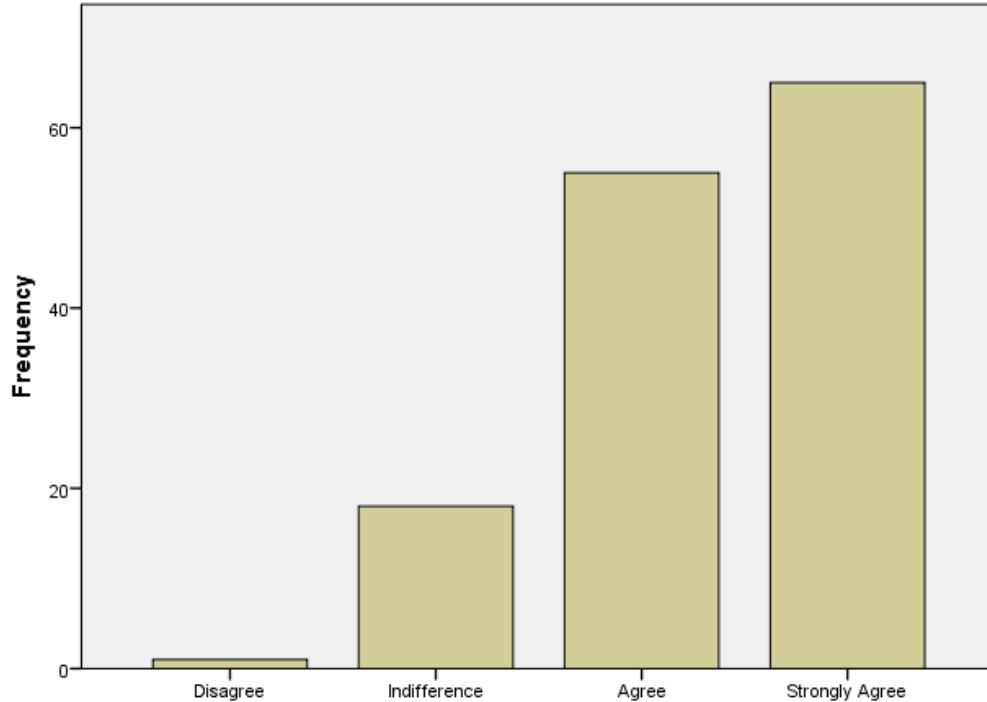


Fig. Graphical presentation of farmers' perception on contribution of taro for poverty reduction

This study revealed that most of the taro producers have knowledge about the contribution of taro to living standard improvement at household level in the study area. According to the survey undertaken more than 60% of the respondents responded that they were strongly agreed with the contribution of taro to improvements in living standards of the community in the study area.



I think Boloso-1 has contributed to improvement in standard of living



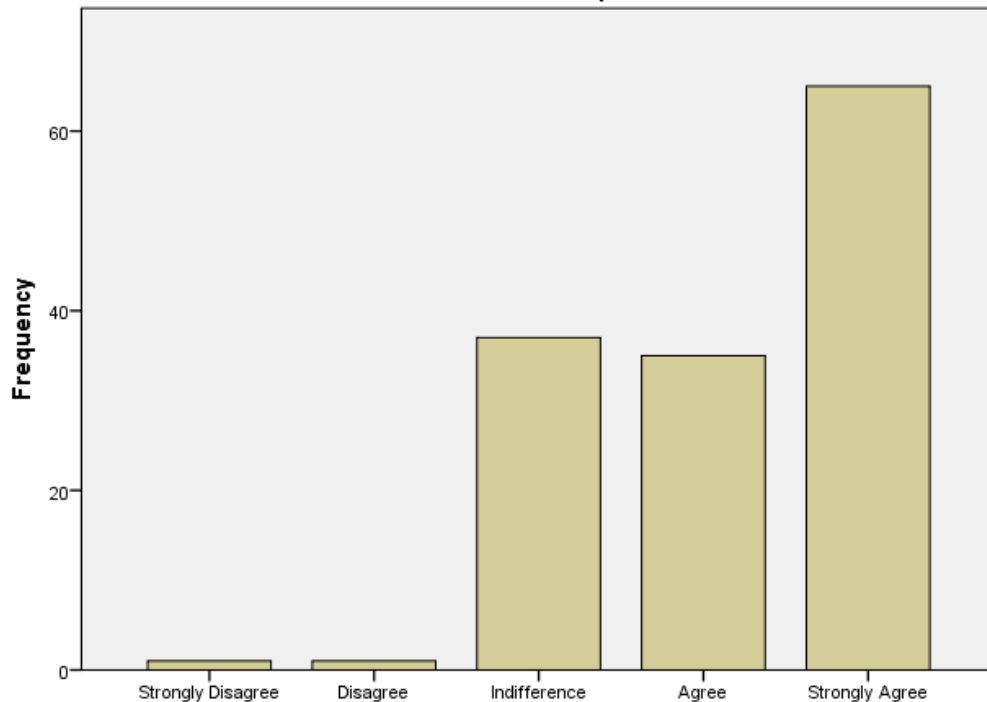
I think Boloso-1 has contributed to improvement in standard of living

Fig. Graphical presentation of farmers' perception on contribution of taro to living standard improvements

According to the study, because of the introduction of Boloso-1, an improved taro variety, in the study area the average nutrition level was increased at household level as the have got access to incorporate

taro food items their family's daily meal. As the study revealed majority of the respondents strongly agreed that their households' average nutrition level is increased due to taro introduction.

I think Boloso-1 has contributed to improvement in nutrition



I think Boloso-1 has contributed to improvement in nutrition

Success stories

Ato Moges Mota is one of Concern worldwide beneficiaries in Damot Shinka Kebele of Duguna Fango Woreda. He took 2 quintals of taro seed from Concern worldwide in March 2013. He planted it on quarter hectares of land and harvested 10 quintals of taro product. He sold six qt, used two qt for home consumption and two qt saved as a seed. By using money from selling taro, he bought a donkey, which is very important animal commonly used to transport his agricultural inputs to his farm and farm products to markets at woreda center and nearby kebeles. Then, he planted his taro seed in the same farm in 2014 and got 500 birr by selling some part of taro product. He bought sheep and started rearing it. This diversified his farm as additional livestock component and contributed to building household asset. Sheep is raised mainly to be sold as income source during public festivals and it can also be consumed in home for holidays or slaughtered as additional food for mothers when they deliver a baby. Similar stories are common in both project woredas.

W/ro Almaz Meskele is also a farmer and a woman household head in Duguna Damot Shinka Kebele of Duguna Fango Woreda. She was not able to feed and educate her children due to her low economic status; therefore, two of her sons were migrated to Bitena and Wolaita Soddo towns to look for better life. After receiving the same amount of Taro seed in 2013, she collected eight quintals. Then, she sold four, consumed two and kept two qt as a seed. Then, she brought her children back to home and started life together with them. She bought clothes and important educational items for her children. Now they are students in grade 5 and 7. She is also so proud to witness the market stabilizing effect of Boloso -1- Taro and nonexistence of mal-nutrition problem in the area after introduction of taro seed by Concern worldwide. She said "every food commodity becomes cheaper in Taro harvesting months and diseases related to food shortage are almost forgotten". "We lost almost 50% of newly born children before few years, but now it has been history".

W/ro Mogite Pola is one of woman household heads in Borkoshe Kebele of Kindo Koysha Woreda. She was the poorest person who had nothing to eat before taking a part in Concern interventions. She took 2.5 quintals of Boloso 1 Taro at the beginning of interventions. She was not able to plant all Taro seed provided to her on her own land since she has a challenge of land shortage. Then, she took the remaining taro seed to farmers who are not involved in the project. She planted it there with a consensus to share the product. She saved 80% of the taro product as a food source and the remaining is deposited as a seed. Now she is free from extreme hunger and very glad for that. She said, 'Boloso 1 Taro is a Blessing as it

is locally named 'Bereket' by farmers indicating the better yield and its power to fill household consumption demand". This story is shared by many beneficiaries in Kindo Koyisha.

Table 7 : Results of Linear Regressions Analysis (dependant variable Yield of Bolos 1 Taro collected in 2006)

	Unstandardized	Coefficients	Standardized	T	Sig.	Collinearity Statistics	
	B	Std. Error	Beta			Tolerance	VIF
(Constant)	-.153	3.180		-.048	.962		
sex 1M2F	.038	.212	.009	.182	.856	.882	1.134
Age	.066	.062	.065	1.069	.288	.565	1.771
formal edu/not	1.66	.978	.087	1.696	.094*	.800	1.249
total Family siz	-.228	.377	-.059	-.606	.547	.222	4.508
Family indepdsiz	.216	.451	.048	.478	.634	.208	4.809
family drop outs 2006	-2.152	.898	-.151	-2.397	.019**	.528	1.893
HH Migration 2006	.281	1.518	.010	.185	.854	.695	1.439
Extent of agricultural facilities	1.88	1.078	.098	1.746	.085*	.667	1.499
Extent of market info. facilities	-.175	.410	-.025	-.427	.671	.600	1.668
crop yield 2006	.368	.019	.972	18.903	.000***	.797	1.254
total cattle 2006	.222	.289	.042	.767	.446	.693	1.444
shot 2006	.042	.333	.007	.126	.900	.739	1.352
chicken 2006	-.186	.450	-.021	-.413	.681	.815	1.227
market dist	-.389	.121	-.177	-3.201	.002***	.686	1.457
Income from taro 2006	.001	.003	.011	.200	.842	.747	1.339
remittance income 2006	.014	.018	.047	.787	.434	.594	1.683
Model summary	R	R Square	Adjusted R square	Std.error of the estimate			
	.944	0.891	0.868	6.413			

Significance level: ***,** and * justifies significany at 1%,5% and 10% respectively.

The econometric approach employed was linear regression Model that sort out institutional, social and biological factors that contributes for improvement in the yield of improved taro disseminated by the Project to targeted beneficiaries. From the explanatory variables employed, market distance in km, other major crop yield, quantity of household information facilities (radio, tape recorder and mobile) owned, attendance in formal education and extent of family drop outs significantly affected the yield of improved taro collected per household.

Attendance in formal education: Attendance in formal education was one of explanatory variable affect significantly the level of taro production in 2006EC fiscal year and defined as 1 for those attended formal education and 0 (zero) otherwise. Attendance of formal education for small scale farmer increases the probability of taro yield obtained per households in 1.6 times, holding the other explanatory variables constant. The Attendance in formal education improved the awareness of the small scale farmers in following improved cultivation techniques and enable to share experience from others, the households that attended formal education own better yield of the taro, in comparison to households that did not attended formal education,

Extent of Family drop outs: This is one of the estimates that affected negatively the level of taro productivity in the household in the study district. The coefficients of the specific independent variables was -2.15.The regression result confirmed that when the number of

family drop outs increase by one unit, the extent of taro yield decreased by more than 2 unit, keeping other independent variables constant. Since the family members dropped out the education move to other area in search of jobs and income earning activities, their contribution for taro production becomes zero inform of seed preparation, land cultivation, weeding and harvesting tasks that have high value in productivity of the specific crop, consequently the number of family drop outs negatively affected the productivity.

Quantity of farm tools owned: The coefficients of the predicator was 1.88. This indicates that havening one extra agricultural facilities such as hoe, ox plough set and spade, induces increment in the yield of Taro productivity at household level, keeping the other explanatory variable constant. Since owning farm tools helps to cultivate, earth up, weed and harvest the crop, it has positive role in productivity of taro in the district. Hence, enabling the small scale farmers to have adequate farm tools could promote the productivity of cropping and needs project support for provision.

Yield of other major crops cultivated: The coefficients of the estimate was .368. The result implies that the yield obtained from other major crops such as cassava, teff, sorghum and common bean own positive role in the yield of Taro. The extent of care taken for one major crops provokes production cares to be taken for other crops cultivated ,consequently, the yield collected form one crop induces farmers to cultivate, weed and harvest in better manner and to earn more from the subsequent crops.

Market Distance: The parameter estimate for variable market distance was -389. This indicates that the farming communities that located nearest to market point collect better yield of taro in comparison to farmer that found distant away from marketing point, given all other independent variables fixed. The research finding confirmed that the informal institution the so called market have significant role in the productivity of taro in the study district. Hence linking the improved taro variety producer to the market, adoption of value chain development in form of cattle fattening, milling and branding the powder of the crop could optimize the productivity and support in food and nutritional security of the farming community.

IV. CONCLUSION AND RECOMMENDATIONS

The study reveals that the contribution of taro on the overall livelihoods of the beneficiaries is significantly high. The impact of Boloso-1-Taro ranges from saving life to reduction of migration and school dropout rates. It has also diversified income for the beneficiary farmers in general and able to generate income from selling taro production that empowered women and changed the family's living status. Hunger and complete poverty has been eradicated from the project sites mainly due to taro intervention. The interviewed farmers also confirmed that the improved taro variety played a significant role in price stabilization in the district, which justified by the market price reduction of common food crops after intervention in the district. Taro is better than most common crops interims of yield per hectare, adaptability to different areas, market stability, drought tolerance, contribution to family health and scalability. In relation climate resilience, higher productivity per ha ,multipurpose function of the improved taro variety for both human food and livestock supplement and adaptability to various range of weather, adequate and timely Improved taro seed dissemination, adoption of value chain development cattle fattening, milling and linking producers to market benefit and scaling up believed further optimize the crop productivity there by play a significant role in nutritional and food security improvement of the communities.

Regression result of factors determining the productivity of improved Boloso 1 taro indicated that the productivity of the specific crop varies across farming community due to social, cultural, natural and environmental capability of the households. From explanatory variables used in the regression, attendance in formal education, extent of family drop outs, quantity of farm tools the household own, yield of other major crops and market distance to farmers' residence significantly affected productivity of improved taro. Attendance in formal education, farm tools owned, other major crops yield positively affected the productivity, while the extent of family drop outs and market distance

negatively influenced the extent of improved taro yield collected per households. The study result confirmed that enabling the farming communities to attend formal education, owning adequate farm tools and improving the farm management capabilities ought to be the major assignment for the projects and other agricultural development programs working in the district.

One of the challenges exist in the study area is lack adequate of improved taro seed, erratic rain fall, untimely supply of the seed, awareness problem in value addition practices using taro as livestock supplement, which is pertinent for improvement of yield and efficient utilization of the resources thereby improve the food and nutritional security of farming communities in sustainable manner.

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