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Key Parameters that Contribute to the Occurrence of Earthquake Induced Landslides

By Dyson N. Moses
University of Malawi

Abstract- Earthquakes cause widespread landslides more than other geotechnical hazards. In this study, the controlling factors of earthquake induced landslides are identified and evaluated for the major earthquakes with magnitude ≥ 6.6 that occurred between 1998 – 2015 worldwide. A quantitative technique of data analysis was applied to correlate controlling variables and landslides occurrence to draw their relationship determined by regression coefficient. Data was drawn from secondary sources; scientific and technical papers, technical reports, internet sites and relevant books on landslides. The analysis reveal that earthquake induced landslides spatial distribution has no correlation with earthquake magnitude and peak ground acceleration, but a weak positive correlation with respect to peak ground acceleration times shaking duration and Arias intensity. Earthquake induced landslides occurrence also show a strong correlation with regards to geology, fault type, slope angle and slope height. A negative correlation was established between earthquake induced landslides spatial distribution and the distance from the epicentre and surface rupture.

Keywords: *earthquake induced landslides, magnitude, peak ground acceleration, arias intensity, lithology and slope angle.*

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Dyson N. Moses

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Keywords: earthquake induced landslides, magnitude, peak ground acceleration, arias intensity, lithology and slope angle.

I. INTRODUCTION

Earthquakes are among the most destructive natural hazards on Earth. An earthquake can cause a slope to become unstable by the inertial loading it imposes or by causing a loss of strength in the slope materials there by inducing failure. Catastrophic landslides characterised by large and extremely rapid movements are among the most destructive phenomena associated with failure of slopes during earthquakes. Landslides encompass many phenomena involving lateral and downslope movement of earth materials under the influence of gravity, and in most cases also water [1], [2]. According to [3], the horizontal and in fewer cases vertical ground acceleration resulting from seismic shaking exert additional transient shear stresses and increases the ambient pore water pressures through cyclic gravitational loading, thus negatively affecting slope stability.

It has been evidently recognised that loss of lives and damage attributed to earthquakes are to a significant fraction incurred by "secondary" earthquake effects such as tsunami, landslides and liquefaction

rather than strong ground motion alone. [4] Estimated that approximately 5 % of all earthquake-related fatalities are a result of seismically induced landslides. [3] Argues that the use of the term "secondary hazards" to characterise these effects is potentially misleading, given that any of subsequent process cascades (downslope and downstream transfer of eroded soil and rock) may incur the highest fraction of total damage eventually. Therefore, in a bid to comprehend factors contributing to the occurrence of seismic slope failures this paper aims at documenting major earthquakes with moment magnitude $M_w \geq 6.6$ that occurred between 1998 and 2015 in the world, which triggered landslides and evaluate the factors that contributed to the landsliding activities. In this respect attempts are made to establish relationship between earthquake parameters (magnitude, PGA, focal depth and distance from the epicentre), geology and topographic parameters and earthquake induced landslides (EIL) by carrying out a bivariate analysis of the parameters against EIL.

II. METHODOLOGY

A comprehensive and rigorous review of data on landslides induced by major earthquakes ($M_w \geq 6.6$) that occurred between 1998 – 2015 in the world and studies of some well documented historical EIL have been considered. Data review entailed collection of all relevant information on EIL by historical major earthquakes in the world presented in Table. 1. In the analysis, some of the minor and major well documented cases like the Aysen, Chile 2007, Avaj, Iran 2002, Northridge, California 1994, the Finisterre Papua New Guinea 1993 and the Loma Prieta USA 1989 respectively for representativeness and validation of the study. Reviewed information include; scientific and technical papers, technical reports, seismological and landslides data by USGS. Valuable data was also gotten from internet sites and relevant books on EIL. In assessment of the EIL the parameters given in Figure 1 were considered.

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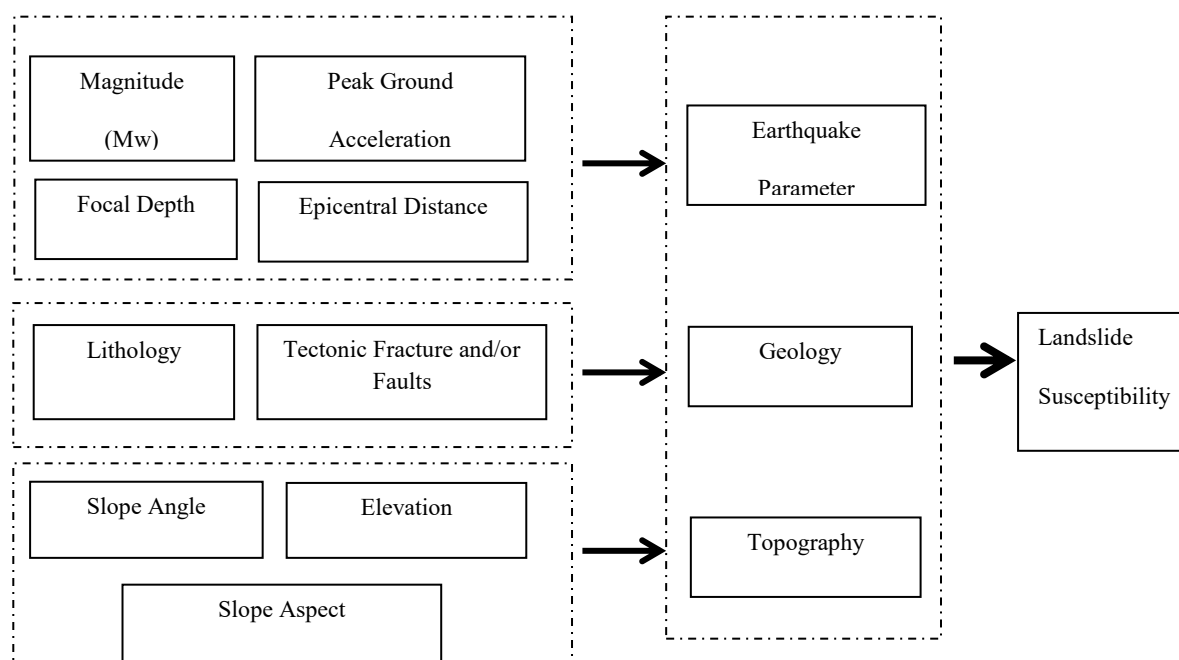


Figure 1: Flow-chart of the methodology used for assessing the EIL

III. CONTROLLING FACTORS OF EARTHQUAKE INDUCED LANDSLIDES

Seismic shaking is the principal cause of huge landslides often with disastrous consequences for the people and property concerned [1], [6]. A summary of the major earthquakes ($M_w \geq 6.6$) that induced landslides between 1998 and 2015 in the world are presented in Table.1. In addition, 3 major (Northridge, California 1994, the Finisterre Papua New Guinea (PNG) 1993 and the Loma Prieta USA 1989) and 2 minor (Aysen, Chile 2007 and Avaj, Iran 2002) well documented earthquake cases are also presented for

implementation and validation of the study. In the table, date of occurrence, name, magnitude, PGA, focal depth, duration of the earthquake, fault type, fault length, reported number of landslides, area affected by landslides and source of data are given. The paper complements the several researchers; [31], [41], [48] [15], [27] and [1]. [32] Stress that it is of primary significance to recognise the conditions that cause slopes to become unstable and the factors that trigger the movement. A great variety of slope movements reflect the diversity of factors that may disturb slope stability. Hence, this section presents the findings of the study.

Table 1: Summary of the major earthquakes that induced landslides between 1998-2015

No	Date	Name	Magnitude (Mw)	PGA (g)	Focal depth (km)	Duration (sec)	Fault Type*	Fault Length (km)	Reported No of Landslide	Landslide area (km ²)	Source†
1	25/05/2015	Gorkha, Nepal	7.8	0.35	10-15	50	TF	160	25,000	1,000	[7]
2	20/05/2013	Lushan	6.6	1.00	13	20	TF	35	3,810	2,200	[8]
3	18/09/2011	Sikkim, India	6.9	0.55	10	10	TF	68-119	210	22	[9]
4	11/03/2011	Tohoku, Japan	9.0	0.80	24	360	TF	500	3,477	28,380	[10]
5	14/05/2010	Yushu, China	6.9	0.38	17	15	SSF	30-51	2,036	1,194	[11]
6	12/01/2010	Haiti	7.0	0.55	13	30	SSF	65	> 4,490	2,150	[12]
7	27/02/2010	Maule, Chile	8.8	0.65	35	180	TF	500	410	74,131	[13]
8	30/11/2009	Sumatra, Indonesia	8.0	0.60	71	12	TF	60	89	-	[14]
9	12/05/2008	Wenchuan, China	7.9	0.62	19	60	TF	200	> 15,000	1,160	[15]
10	14/06/2008	Iwate-Miyagi, Japan	6.9	1.00	8	10	TF	20	4,161	600	[16]

11	21/04/2007	Aysen Fjord, Chile	6.2	0.50	10	-	SSF	12	538	17,000	[17]
12	16/07/2007	Niiigata Chuetsu–Oki	6.6	1.01	10	10	TF	39	70	250	[18]
13	15/09/2007	Pisco, Peru	8.0	0.50	39	48	TF	103	866	-	[19]
14	08/10/2005	Kashmir, Pakistan	7.6	0.56	10	25	TF	75	2,424	61	[20]
15	23/10/2004	Mid-Niiigata, Japan	6.6	0.48	9-14	30	TF	22	>1,353	250	[21]
16	21/01/2003	Tecoman, Mexico	7.6	0.38	24	30	TF	50	103	-	[22]
17	21/05/2003	Boumerdes, Algeria	6.8	0.58	12	15	TF	36	24	39	[23]
18	22/06/2002	Avaj, Iran	6.5	0.50	7.5	7	TF	13	14,000	3,600	[24]
19	03/11/2002	Denali fault, Alaska	7.9	0.36	5	140	TF	336	10,000	90,000	[25]
20	13/01/2001	Elsavado, USA	7.7	0.60	60	43	TF	65	600	-	[26]
21	21/09/1999	Chi-Chi, China Taiwan	7.5	0.75	33	40	TF	125	>10,000	127.8	[27]
22	17/01/1994	Northridge, California	6.7	0.64	19	20	TF	15	>11,000	10,000	[28]
23	13/10/1993	Finisterre PNG	6.9	0.35	19	20	TF	75	>4,700	55	[29]
24	17/10/1989	Loma Prieta USA	6.9	0.65	19	8-15	OF	35	4,000	15,000	[30]

*TF = Thrust Fault, OF = Oblique-slip Fault, SSF = Strike Slip Fault, = Data unavailabl

a) Seismic Parameters

The relationship between the seismic parameters of the major earthquakes and reported number of landslides and area affected by EIL has been investigated. Table.2 gives the statistical relations obtained among the seismic parameters of earthquakes and EIL area affected by EIL.

i. Earthquake Magnitude (M_w)

According to [1], the strength of the earthquake shaking is a major parameter that determines the occurrence of landslides. Based on this statement, the study endeavoured to establish a relationship between earthquake magnitude and number of landslides. Table.2 shows that there is no relationship found between earthquake magnitude and number of landslides. This highlights the significance of the role played by other factors such as geology and topography in influencing the occurrence of EIL. To assess the likely impact of the EIL in an area, a relationship between earthquake magnitude and area affected by the EIL was tested. The relationship of earthquake magnitude and the area affected by EIL for the major earthquakes (1998-2015) is presented in Figure 2. In this Figure the upper bound curves presented by [5], [33] and [1] are also shown. Plotted values of the investigated EIL areas with respect to the magnitude of earthquakes are clustered below and above the upper bound curves (Figure 2). The plot given in Figure 2 has been extensively but it gives limited information with respect to the trends.

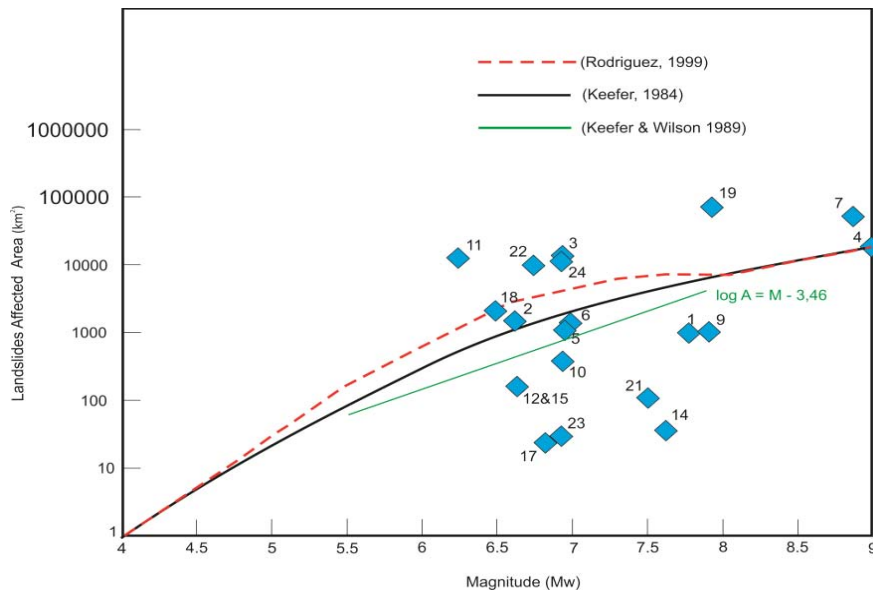


Figure 2: Relationship between earthquake magnitude and the area affected by landslides [5]

In view of this, the study applied a regression approach to establish a relationship between the landslides affected areas and magnitude of studied earthquake. The relationship between the variables

yielded a low regression value of $R^2 = 0.40$ (Figure 3) implying that there is a weak correlation between magnitude and the square root of the area affected by EIL.

Table 2: A summary of statistical relationship between seismic parameters and reported landslides and landslides area

Parameters	Reported No of Landslides			Area Affected by Landslides		
	Model fitted	R ²	Comment	Model fitted	R ²	Comment
Magnitude (Mw)	$\sqrt{LN} = 10.679M^2 + 165.22M - 577.58$	0.02	Increasing trend	$\sqrt{LA} = 50.581M^2 - 708.93M + 2528.7$	0.40	Weak Correlation
PGA (g)	$LN = 12285PGA^2 - 21224PGA + 12492$	0.03	No correlation	$LA = -4947.2PGA^2 - 6795.9PGA + 16683$	0.01	No correlation
PGA times Earthquake duration (g*t)	$LN = 2.8329PGA^2t^2 + 320.31PGA*t + 1907.5$	0.20	No correlation	$LA = 0.4931 PGA^2t^2 + 651.38PGA*t - 2563.3$	0.56	+ve correlation
Arias Intensity (I _a)	$LN = 2E-05I_a^2 - 0.4406I_a + 5346.2$	0.01	No correlation	$LA = 3814I_a^2 - 157.19I_a - 5795.6$	0.42	Weak Correlation
	$\sqrt{LN} = -3.326I_a^2 + 22.725I_a + 26.816$	0.02	No correlation	$\sqrt{LA} = 15.677I_a^2 - 6.263I_a$	0.45	Weak Correlation
Fault Length (FL)	$LN = 20.082FI$	0.28	Increasing trend	$LA = 0.1266FI^2 + 59.006FI$	0.55	+ve correlation
Focal Depth (D)	$LN = -87.976D + 6601.3$	0.05	No correlation	$LA = -105.79D + 12468$	0.01	No correlation

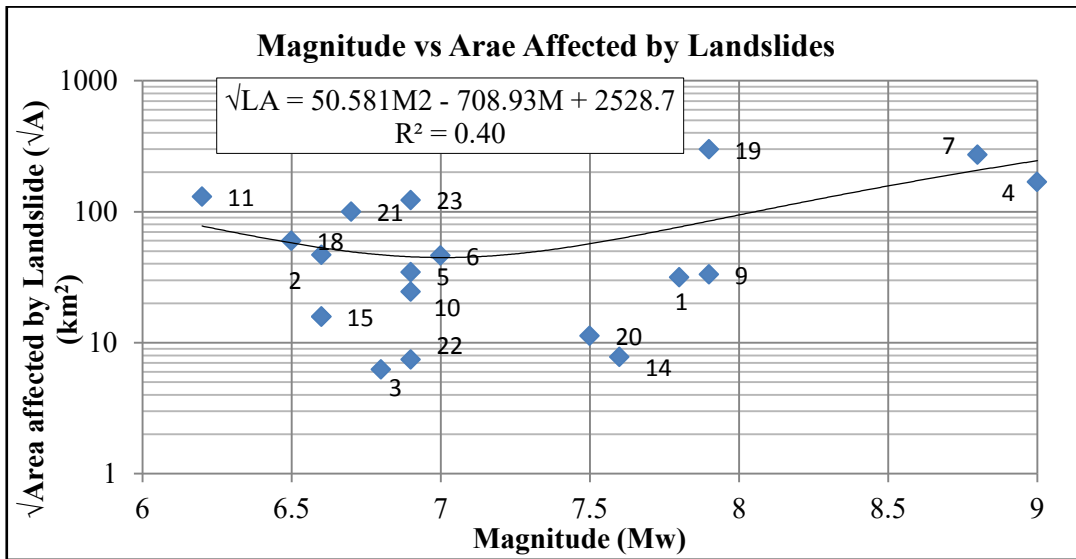


Figure 3: Relationship between the earthquake magnitude and Square root of area affected by EIL

ii. Peak Ground Acceleration (PGA)

PGA is a measure that describes the earthquake shaking reasonable to trigger landslides [11]. The statistical analysis between PGA and EIL is shown in Table. 2. The regression analysis indicates that there is no correlation between PGA and occurrence of landslides (Table. 2). For instance, while the Gorkhan, Nepal earthquake of 2015 with a PGA of 0.35g recorded 25,000 landslides the Niigata, Chuetsu-Oki Japan earthquake of 2007 with a PGA of 1.01g only caused 70 landslides. Thus, it is suggested that a good relationship of the two variables can be made if comparisons are made in the same geological and topographic settings. But it is important to point out that there seems to be a threshold value of $PGA \geq 0.3g$ for landslides occurrence during studied major earthquakes. This threshold PGA value is in agreement with the findings of [27].

Attempt was also made to find out a relationship between PGA and the area affected by landslides and PGA times earthquake duration against the area affected by landslides. Statistical information presented in Table. 2 shows that there is no correlation found between PGA and area affected by landslides. However, a good positive correlation is obtained when the duration of earthquake shaking is included (Table. 2). The coefficient of determination of $R^2 = 0.56$ was obtained when a square root of area affected by landslides is correlated with $PGA \cdot t$ (Figure 4) and a fairly high coefficient of determination is obtained when $PGA \cdot t$ is correlated against absolute numbers of landslides induced by earthquakes (Table 2). This indicates that duration of earthquake shaking has a significant effect in as far as EIL are concerned.

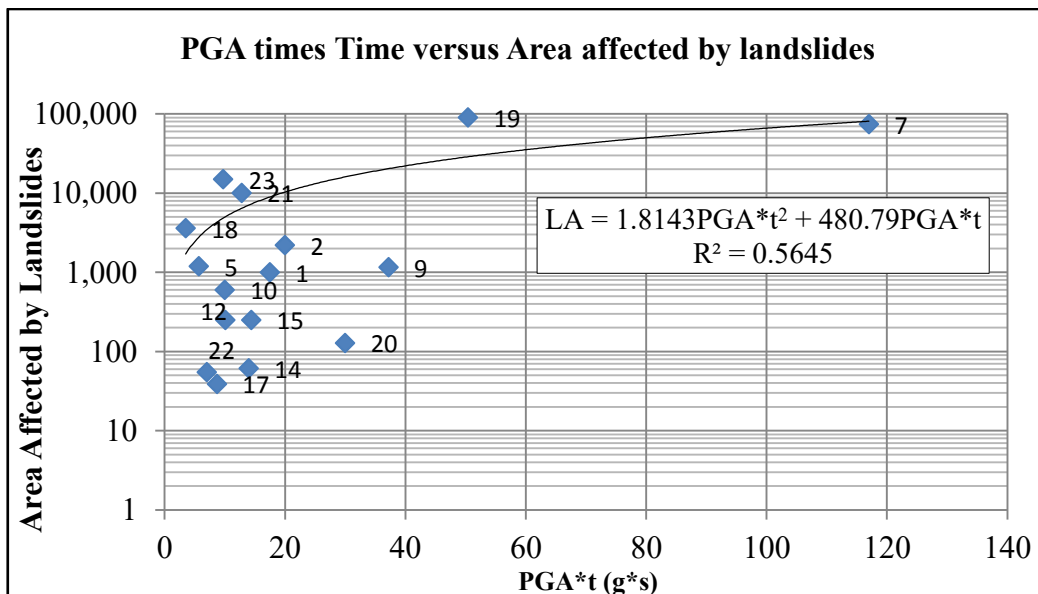


Figure 6: Relationship between $PGA \cdot t$ and area affected by EIL

iii. *Arias Intensity*

The Arias Intensity (I_A) is a measure of the strength of a ground motion developed by Arturo Arias in 1970. The measure was meant to determine the intensity of shaking by measuring the acceleration of transient seismic waves. Despite [39] conviction that I_A is a fairly reliable parameter to describe earthquake shaking necessary to trigger landslides, the measure had not gained support until the work of [35] who used the parameter. Although PGA is still the most commonly used parameter to describe earthquake ground motion, I_A is by far described as an improved seismic parameter [36], [37]. [36] Further explains that the use of the I_A has been proposed to quantify the effect of seismic shaking on ground failure phenomena more effectively. I_A is derived after Arias (1970) in [35] as follows

$$I_A = \frac{\pi}{2g} \int_0^{t_f} a(t)^2 dt \quad (1)$$

Where g is the acceleration due to gravity, $a(t)$ is the recorded acceleration time-history and t_f is the duration of the ground motion.

The principal advantage of I_A over PGA is that it measures the total acceleration content of the record rather than just the peak value, providing a more complete characterisation of the shaking energy than PGA [36]. The author further explains that Arias intensity is more objective and comparable from one earthquake to the other, making it a reliable indicator of the capacity of the earthquake shaking to trigger landslides. Thus, the study assessed the impact of I_A in inducing landslides and compares the results against the impact of PGA. The relationship between I_A and area affected by landslides is shown in Figure 5. The results indicate a weak positive correlation with a regression value of $R^2 = 0.45$ between I_A and square root of the area affected by landslides. In contrast, the relationship between PGA and area affected by landslides yielded no correlation. However, when PGA times time of earthquake shaking the coefficient of determination increase to $R^2 = 0.56$. A critical review of the I_A equation shows that it includes time in its derivation. This pattern clearly affirms that earthquake duration plays a key role in causing EIL.

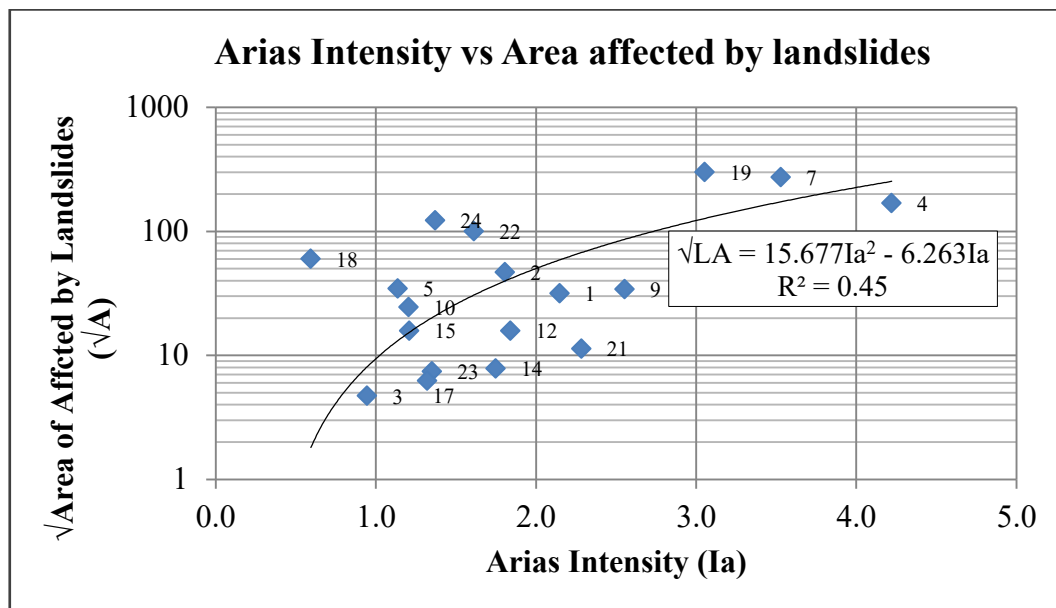


Fig. 5: Relationships between I_A and Area Affected by EIL

iv. *Focal Depth*

Focal depth describes the depth of an earthquake focus to the epicentre. Shallow focus earthquakes (≤ 35 km) are believed to cause widespread landslides than deep focus earthquakes [1]. Based on this statement, the study investigated the correlation between focal depth and area affected by landslides for the major earthquakes in order to understand the impact of focal depth on the occurrence of landslides. The results of the relationship are presented in Figure 6.

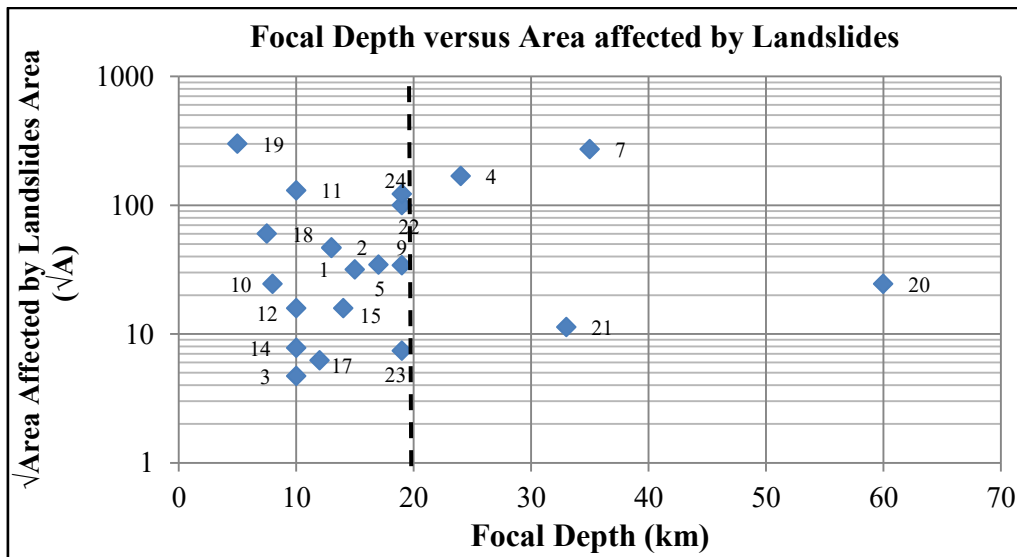


Figure 6: Relationship between focal depth and major EIL

It was observed that there is essentially no correlation between focal depth and EIL nor area affected by landslides, but it is interesting to note that majority of the landslides are concentrated within the depth ≤ 20 km. At depth > 20 km very few landslides are recorded. This finding validates a study by [38] who demonstrated that most of the earthquakes that caused landslides had a focal depth of ≤ 35 km and as the magnitude of the earthquake increased, they seem to have caused landslides over wider area. However, [33] in their study found some earthquakes that had focal depth > 40 km. This emphasises the dominating influence of other factors other than just focal depth though magnitude was high $M_w \geq 6.5$ for studied earthquakes.

It would be anticipated that the longer the earthquake produced fault length is, the more violently the ground will be shaken, and the more landslides will occur during the earthquake. However, the study established a very weak correlation between the fault length produced by earthquake and number of EIL for the studied earthquakes. The regression coefficient for the correlation is $R^2 = 0.28$ (Table 2). On the other hand, a fairly positive correlation between fault length and area affected by landslides with a coefficient of determination of $R^2 = 0.55$ which shows that fault length has somewhat a significant impact on the area affected by landslides.

v. Fault Length

A relationship between fault length and EIL was also investigated. The results are presented in Figure 7.

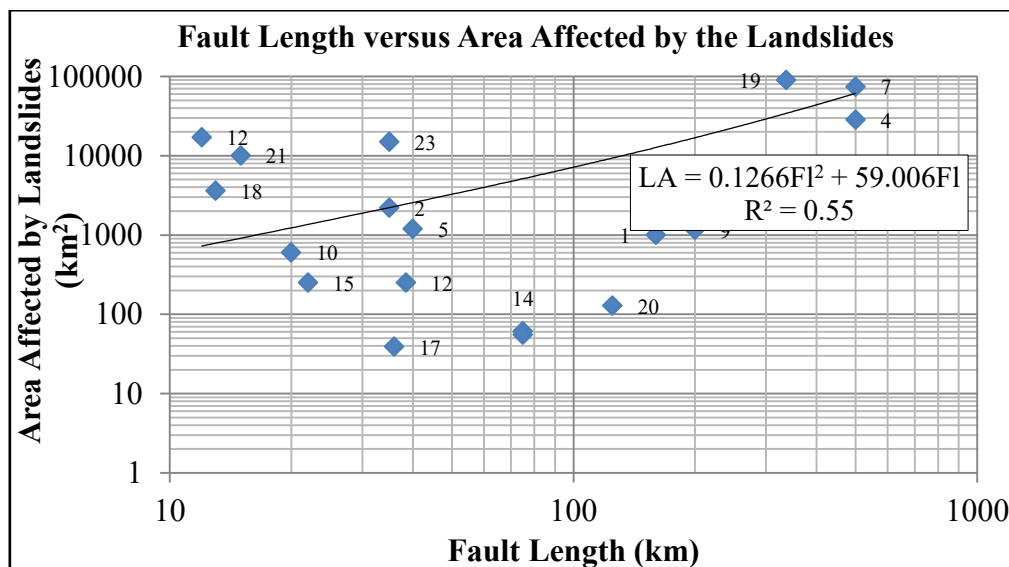


Figure 7: Relationship between fault length and area affected by landslides

vi. Distance from the Epicentre

In the scope of the study, efforts were made to establish the impact of distance from epicentre on occurrence of EIL. The findings are presented in Figure 8. EIL distributions as a function of distance from the epicentre indicate that most of the EIL occur within ≤ 50 km from the epicentre. The concentration of EIL gradually decrease with distance away from the

epicentre, and landslide concentration values waned beyond the 50 km band for studied earthquakes adopted as epitome of the trend; 2013 Lushan earthquake by and the Italian Catalogue of Earthquake – Induced Ground Failures (CEDIT). The findings corresponded with the results for the Loma Prieta and Chi-Chi 1999 earthquake in which the concentration of landslides waned off at ≤ 60 km band [34].

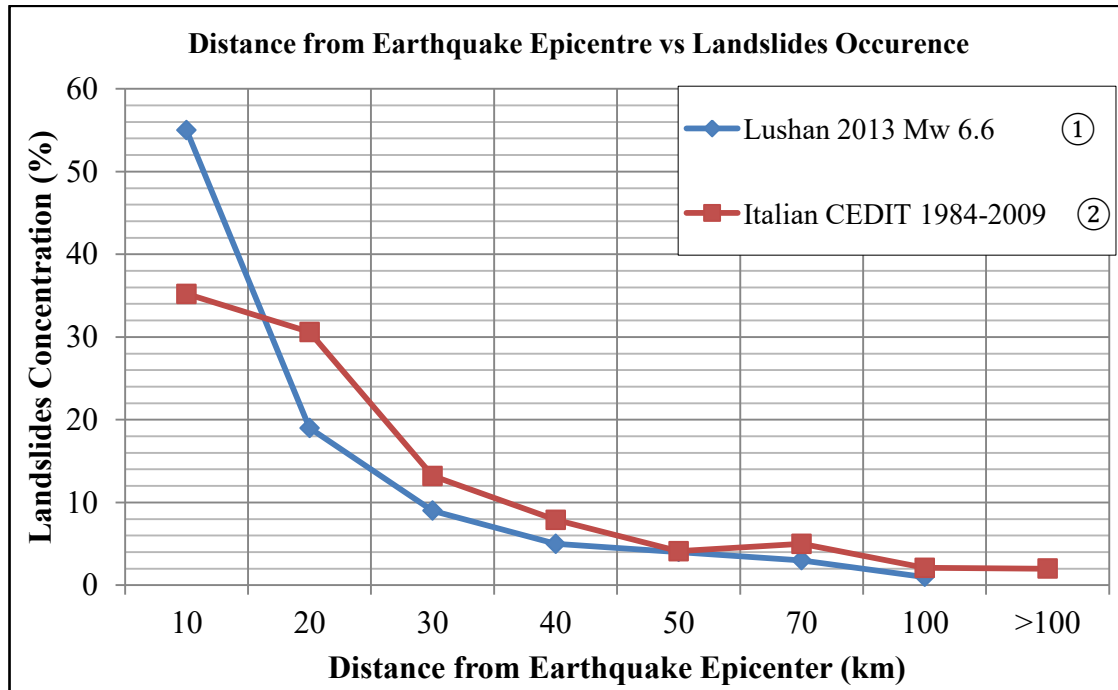


Figure 8: Distribution of landslide with respect to distance from the epicentre (Data from ψ [26] and ψ [15])

vii. Distance from the Fault Surface Rupture

The study also made efforts to understand the influence of distance from fault surface rupture on the occurrence of EIL. A plot of the distance from the fault plane against the EIL concentration is shown in Figure 9. The trend of the distribution reflects that of the distance from the epicentre against landslides. Data analysed from the studies of [41], [44], [15] and [20], show that landslides tend to concentrate on the hanging wall of the thrust faults than on the foot wall but on both walls the landslides concentration decrease away from the fault plane except for the Lushan earthquake, 2013 where the major landslides occurrence took place in the footwall (Figure 9). A similar pattern was found for the Loma Prieta 1989 and Chi-Chi 1999 earthquakes [34].

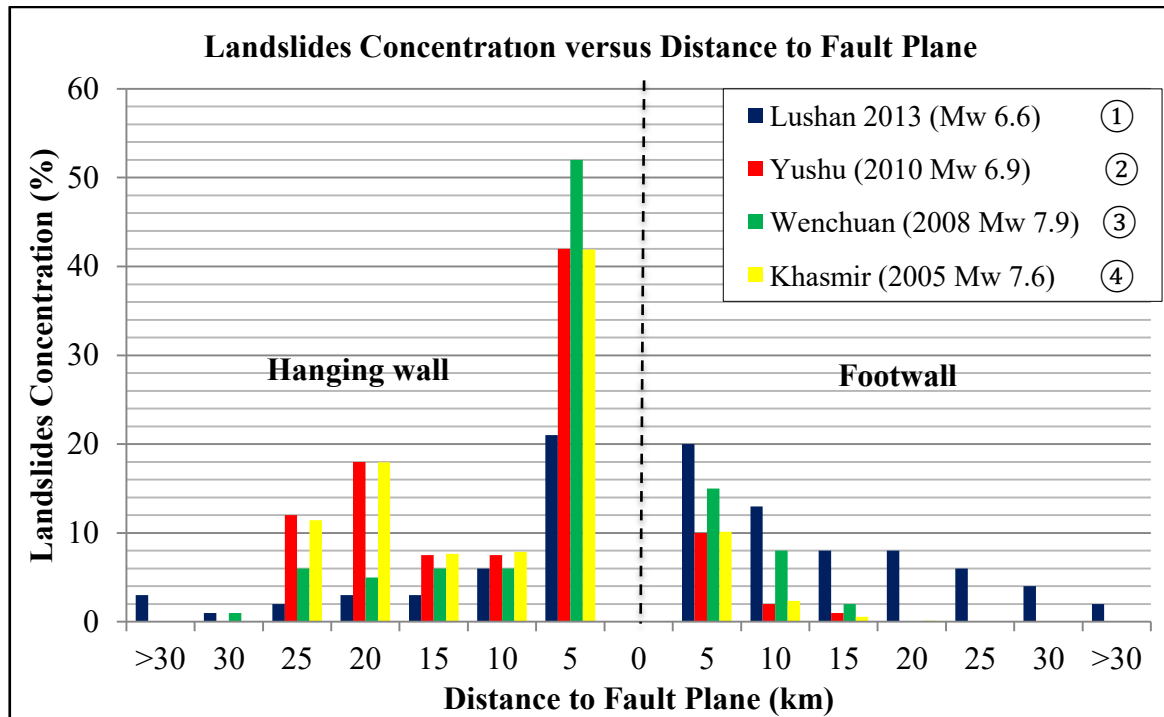


Figure 14: Landslides concentration with respect to distance from the fault plane (Data from [41], [11], [15] and [20])

b) Geological Environments

i. Lithology

It is widely recognised that lithology plays a significant role in seismic landslides occurrence because strength, structure, composition and related soil and rock properties consisting the slope determine the likelihood of landslides occurrence. Based on the study evaluated the influence of lithologic units on EIL. In this regard, a correlation between geology and landslides was done for 6 major landslides inducing earthquakes presented in Table 1. It can be observed that the distribution of landslides after the Tohoku 2011 earthquake recorded the highest value in the Neogene Sedimentary rocks (42%) followed by Quaternary Alluvium (Figure 10). During the Wenchuan 2008 earthquake, landslide occurrence was concentrated in the Cambrian sandstone and siltstone (49.6%) followed the Silurian slate and phyllite (23%) (Figure 11)

Similarly, after the 2007 Niigata Chuetsu–Oki Japan Earthquake several units indicate that they were particularly susceptible to landsliding, namely; Pliocene (56%) and Miocene (16%) sedimentary units, typically consisting of sandstones and mudstones, and Pleistocene dune sands (Figure 12). Weakly cemented sands were also particularly susceptible to failure from seismic shaking. In Taiwan, Neogene sandstone and shales after the Chi-Chi 1999 earthquake accounted for over 66% of the landslides induced by the ground shaking (Figure 13). The value is relatively lower than in

1994 Northridge Earthquake in which Tertiary sedimentary rocks accounted for 71% of the total landslides that occurred (Figure 14).

Lastly, for the 1989 Loma Prieta earthquake, sedimentary rocks were most susceptible to producing shallow disrupted landslides. More than 25% of the total landslides were concentrated in the formation, comprising of an interbedded sequence of sandstones, siltstones, and shales (Figure 15). It can be inductively concluded from these cases that Sedimentary rocks, particularly Tertiary sedimentary rocks, are highly susceptible to failure during earthquakes than other lithologic units.

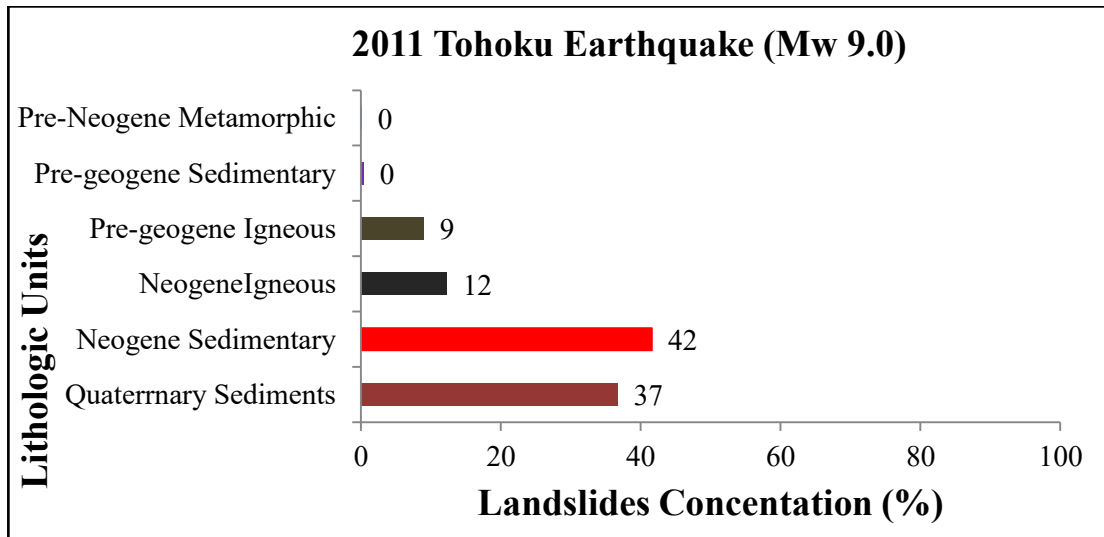


Figure 10: El landslides concentration with respect to lithology after the 2011 Tohoku Japan earthquake [10]

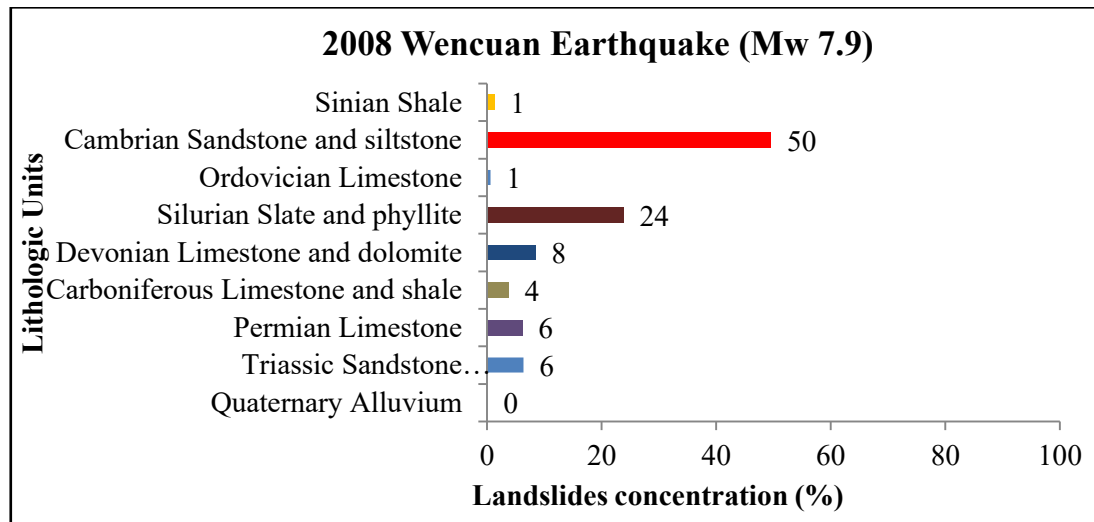


Figure 11: El landslides concentration with respect to lithology after the 2008 Wenchuan Earthquake [15]

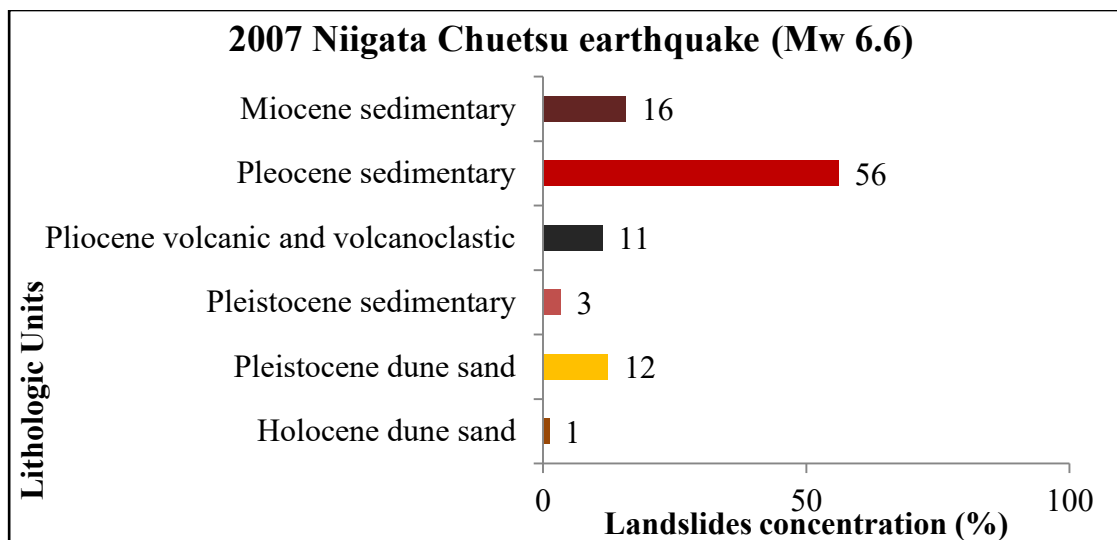


Figure 12: El landslides concentration with respect to lithology after the 2007 Niigata earthquake after [9]

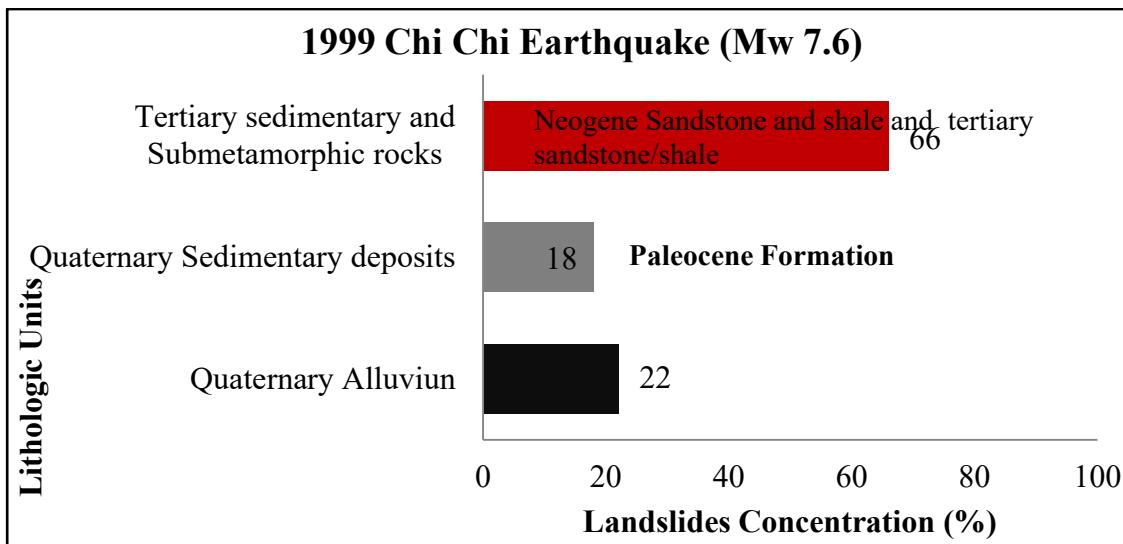


Figure 13: El landslides concentration with respect to lithology after the 1999 Chi Chi earthquake after [34]

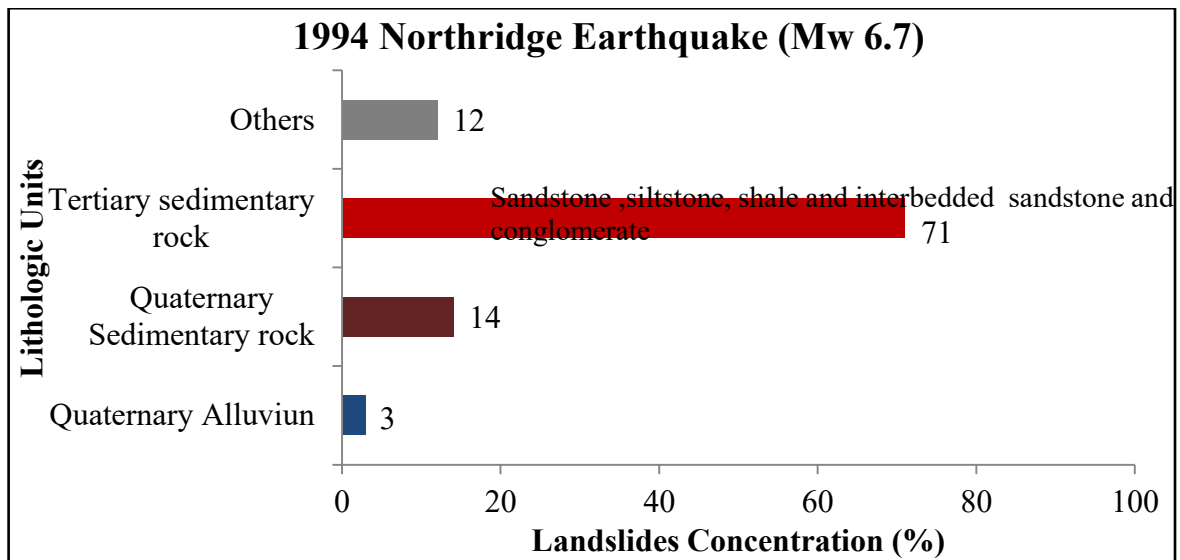


Figure 14: El landslides concentration with respect to lithology after the 1994 Northridge Earthquake [28]

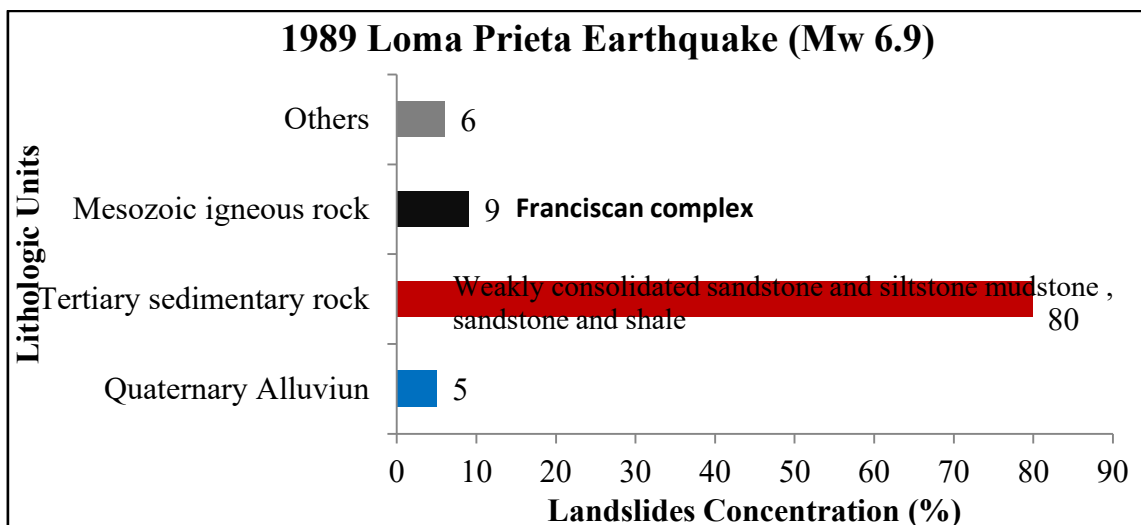


Figure 15: El landslides concentration with respect to lithology after the 1989 Loma Prieta Earthquake [33]

ii. *Fault Type*

Fault types were analysed to assess their impact on the occurrence of landslides after an earthquake. The relationship between fault type and landslides generating earthquakes is presented in Figure 16 using the information from Table.1. It can be observed in Figure 16 that occurrence of landslides is strongly related to thrust fault type. Earthquakes on

thrust faults recorded a frequency of 83% and have caused mass landslides of 91% of the total landslides investigated followed by earthquakes that occurred on strike slip faults (6%) with a frequency of 13% and the least of the earthquake that induced landslides are on oblique fault with 3% of the total reported landslides and 4% frequency of earthquake occurrence.

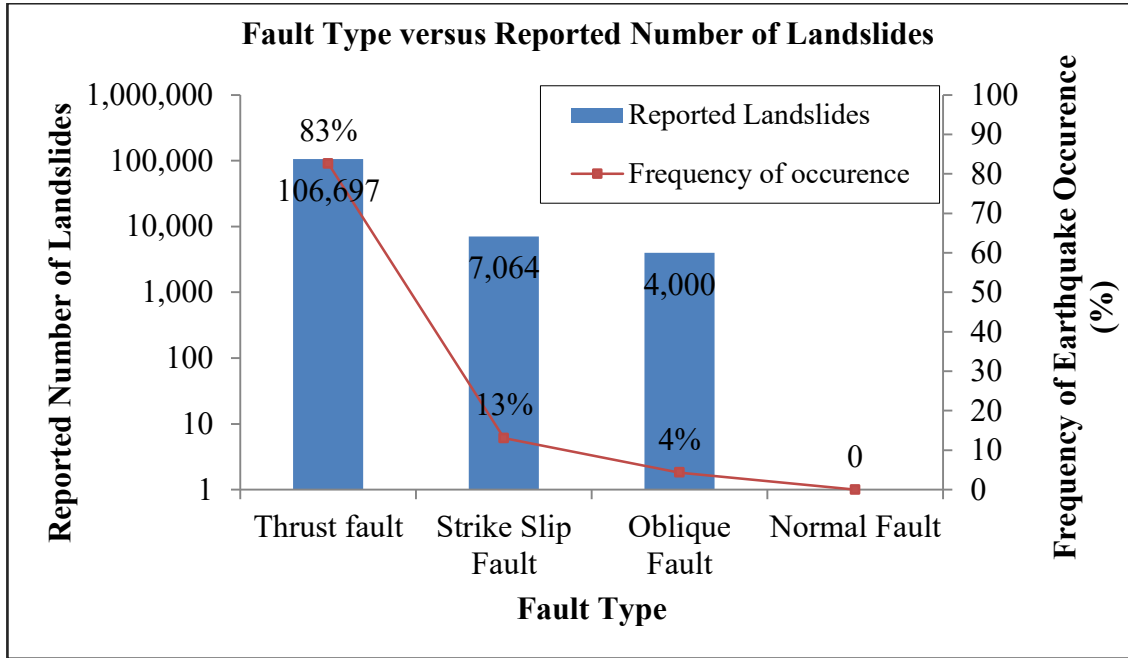


Figure 16: Relationship between fault type and reported number of landslides and their frequency (Source Table 1)

c) *Topography*

i. *Slope Angle*

Slope angle is one of the main factors that influence occurrence of landslides. [34] Argue that of all attributes of topography; slope angle is likely to be the strongest control on landsliding. Hillslope failures occur when the shear stress across a potential failure plane exceeds the substrate strength. Fundamentally, the stability of a hillslope is determined by its surface gradient, the density, cohesion and frictional properties of its substrate, the depth of potential failure plains, and the gravitational acceleration [34]. Slope angle of the EIL against the landslides concentration for the investigated major earthquakes from Table.1 have been presented in Figure 17.

Figure 17 shows that the trend line for Lushan 2013 earthquake recorded a peak landslides concentration the 40°-50° category and then declined with increase in slope angle. For Yushu 2010 earthquake, landslides are concentrated in the 40-50° category. However, the data on slope angle and landslides concentration for Yushu 2010 earthquake as presented by [11] was clumped for slope angles from ≥ 40 degree. The trend line for Wenchuan 2008, Niigata

Chuetsu Oki 2007, Kashmir 2005 and Northridge 1994 earthquakes show a common trend where landslides concentration increases with increasing slope angle until the maximum is attained in the 30°-40° category, and then decreases steadily with increasing slope gradient. This implies that if the data for Yushu 2010 earthquake was provided for 40°-50° category and above the trend line would have similar pattern of Wenchuan 2008, Niigata Chuetsu Oki 2007, Kashmir 2005 and Northridge 1994 earthquake.

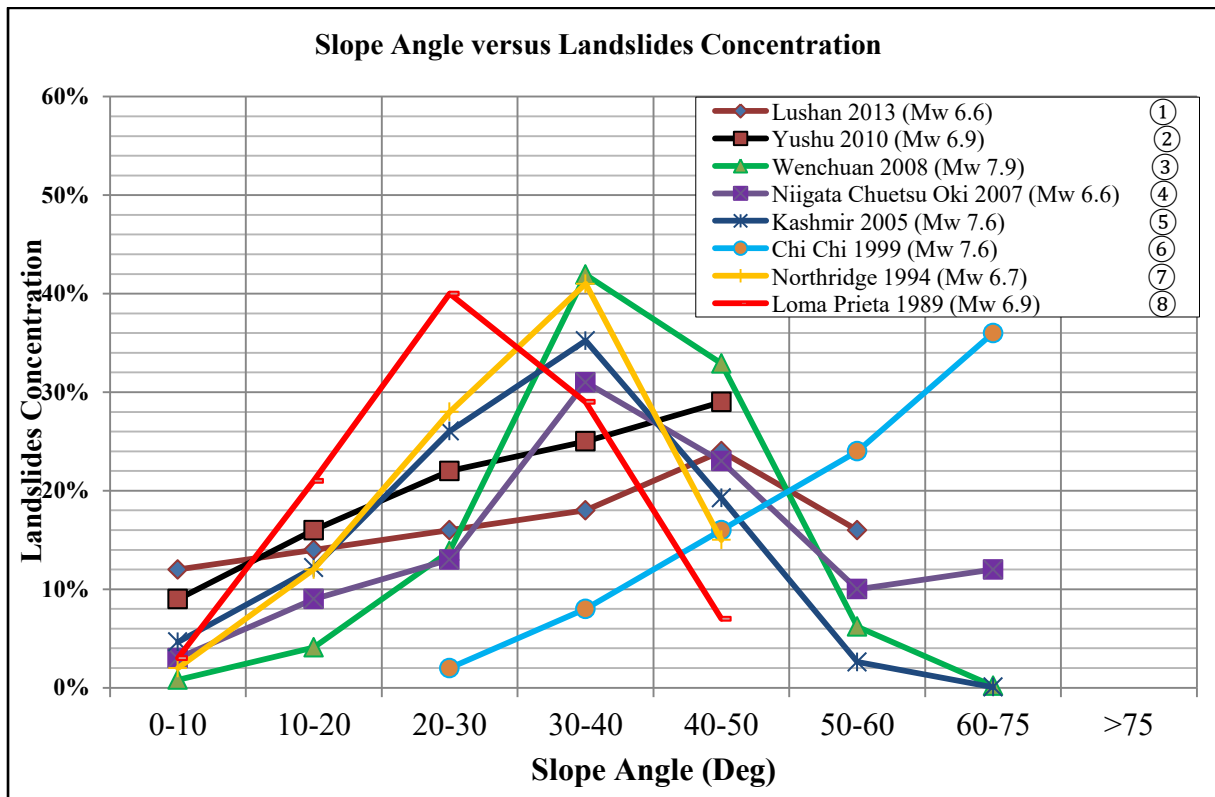


Figure 17: EIL concentration with respect to slope angles in degrees (Data from [8] ^o, [11] ^o, [15] ^o, [9] ^o[20] ^o [34] ^o, [28] ^o and [33] ^o)

In the case of the Chi-Chi 1999 earthquake, the landslides concentration increased continually in correspondence with the increasing slope angle. [34] Attribute this deviant trend of the Chi Chi 1999 landslides distribution to the fact that the steep slope angles of the young mountain ranges in Taiwan reflected the dominant type of failure since disrupted slides and toppling/rock falls typically occur on such kind of steep slopes.

ii. Slope Height

The impact of slope height cannot be ignored in the study of EIL. Elevation disparities and associated vegetation, weathering, slope material moisture content, and seismic wave amplification effect can control seismic landslides [42]. The histogram for elevation and EIL concentration for representative Yushu, 2010, Wenchuan 2008 and Kashmir 2005 earthquakes is presented in Figure 18. The results of the studied earthquakes show that the highest landslides values occurred at elevations from 750 m to 1500 m, and the values started declining as elevations increase above 1750 m.

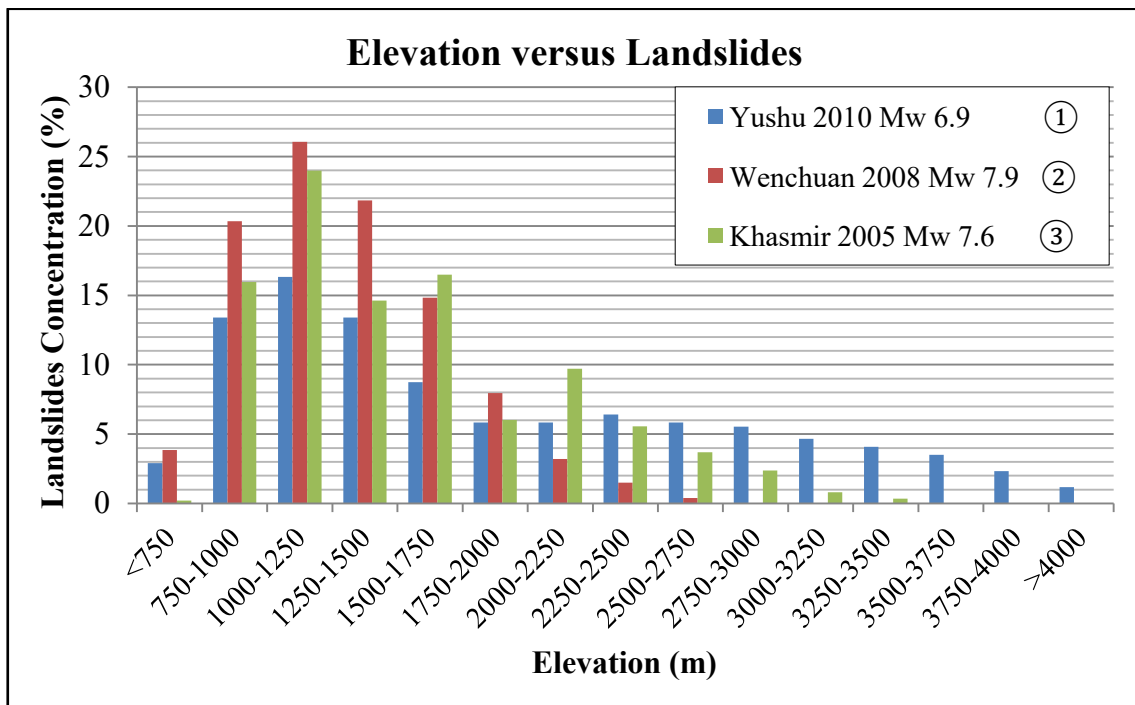


Figure 26: Distribution of landslides with respect to elevation; (Data from [11]^o, [15]^o and [31]^o)

IV. DISCUSSION

In this technical analysis, the principal factors for landslides occurrence due to major earthquakes $M_w \geq 6.6$ that occurred between 1998 – 2015 in the world, have been investigated. A quantitative technique of data analysis employed in this study to correlate variables so as to establish their relationship indicate that covariates found to be significantly associated with EI landsliding were; earthquake magnitude, peak ground acceleration and earthquake duration, proximity to the epicentre and/or fault rupture, geology, slopes angle and elevation.

The study has shown that earthquake magnitude has a weak correlation with the area affected by the landslides. However, when the earthquake magnitude are plotted against the square root of the landslides area, a better correlation with a regression coefficient of $R^2 = 0.40$ was obtained implying somewhat a significant influence of magnitude on area affected by EIL. The occurrence of landslides as a function of earthquake ground shaking reveals that PGA alone has no major influence unless it is combined with earthquake shaking duration. Similarly I_a gives a strong relationship with the EIL area since it incorporates the dimension of earthquake duration in the derivation of the parametric value. Focal depth also demonstrated an impact on the occurrence of EIL; shallow depths can be considered as a significant ingredient for occurrence of landslides which affect a considerably wide area as majority of the earthquakes that induced landslides recorded a depth ≤ 20 km.

Earthquakes at great depth in the ground virtually do not cause imminent landslides as the energy becomes lethargic upon reaching the surface of the earth [38]. The review of other studies show that earthquakes with a focal length of ≤ 35 km are likely to cause more landslides than deep seated ones although earthquakes with focal depth ≥ 35 might be register landslides. Landslides distribution as a function of distance from the epicentre and distance from the surface fault plane indicate that most of the landslides occur within 50 km from epicentre and/or fault plane and drastically reduce further away. This can be attributed to the attenuation of energy as the seismic waves travel through the ground.

In terms of geology, the analytical study revealed that geological setting has a great influence on occurrence of landslides during an earthquake. The main lithologic unit prone to landsliding due to seismic effect are the Tertiary sedimentary rocks with at least over 50% of landsliding concentration (Figures 10 - 15). Landslides also occur in metamorphic and igneous rocks if they could be weakened enough by discontinuities and/or weathering. Quaternary lithologic units recorded low landslides because they are usually in peneplains. However they could be prone to liquefaction if the loose sand and silt saturated are strongly shaken long enough by an earthquake causing them to behave like a liquid. It has also been discovered that landslides are strongly correlated to thrust fault type. Thrust fault type tends to register a high proportion and frequency of EIL seconded by strike slip fault type. This is because at thrust faults, compressional forces

cause the blocks on the fault plane to collide violently as the hanging wall runs over the foot wall during its upward movement along the fault plane. Interestingly, no landslides have been reported to have occurred on normal faults for the studied cases. This contrasting phenomenon is attributable to non-violent nature of the extensional forces operating on normal faults that might induce imperceptible deep seated failures with creeping effect. Furthermore, the hanging wall of the thrust fault generally tends to have a higher number of EIL than the foot wall of the thrust fault since it is the block that has relatively high motion. On the other hand, the strike slip fault tends to have almost an equal distribution of EIL on both sides of the fault ruptures.

Geographical environments cannot be ignored when considering seismically induced landslides. The paper has shown the general trend is such that landslides concentration increases corresponds with increasing slope angle until the maximum is reached in the 30°–40° category, and then decreases as slope gradient keeps increasing. It is also worth mentioning that the tendency of the slope to sliding is generally heightened by undercutting action of a river, proximity to drainages and roads as discussed by [43]. Regarding elevation, the results have shown that landslides increase with increasing slope elevation from 750m until the maximum is reached in the 1200–2500m range and then decrease as such heights are surpassed. Due to the complexity and negligible influence of slope aspect and curvature, the study did not focus but other studies might be conducted in that respect. These parameters have a strong reliance on location of the epicentre that determines the distribution of the seismic waves hence the outcomes are variant in individual earthquakes

V. CONCLUSION

The bivariate analysis applied in the study has demonstrated that no single factor could be picked out as the dominant causative agent of the EIL over the others because the effectiveness of one factor in inducing landslides is dependent on the other factors. Aside the interdependence of the controlling factors, the review of literature has shown that many earthquakes do not cause imminent landsliding activities. However, the earthquake cause slopes to become unstable by the inertial loading it imposes or by causing a loss of strength in the slope materials. so, when heavy rains pour down the ground shaking impact by an earthquake will have created conducive conditions for landsliding as was the case after the Chi-Chi earthquake [46]. Consequently, long-term landslide vulnerability assessments tend to under-represent EI landslide risks as the emphasis is typically put on rainfall-induced landslide events. Under-representation of EIL also comes from the complexity of the analysis because it involves numerous fields such as geology, geophysics,

seismology and geotechnics as observed. Thus it is recommended that long-term EIL vulnerability assessments need to be intensively researched on.

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Projection of Future Changes in Landuse/Landcover using Multi-Layer Perceptron Markov Model Over Akure City, Nigeria

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Abstract- The tropical areas of West Africa including Akure city are experiencing rapid urbanization due to the increasing socio-economic growth and opportunities in the cities. As a result, the demand for space has brought about man's alteration of the natural surface features, and this is likely to continue in the subsequent years. Urbanization processes in relation to Landuse/Landcover changes (LULCC) over Akure city were examined using Landsat TM, ETM+, and TIRS/OLI data for the periods 1986, 2000 and 2014. The Landsat images were subjected to pre-processing and classified based on the widely used supervised maximum likelihood classification scheme. Afterwards, the past and future (2028 and 2042) transitions, potential modification and extension of various land use/land cover types were carried out using Land Change Modeler (LCM) and the Multi-Layer Perceptron (MLP) Markov chain projection model.

Keywords: *urbanization, MLP, landuse/landcover, landsat.*

GJSFR-H Classification: *FOR Code: 960999*



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I. A. Balogun ^α & K. A. Ishola ^σ

Abstract- The tropical areas of West Africa including Akure city are experiencing rapid urbanization due to the increasing socio-economic growth and opportunities in the cities. As a result, the demand for space has brought about man's alteration of the natural surface features, and this is likely to continue in the subsequent years. Urbanization processes in relation to Landuse/Landcover changes (LULCC) over Akure city were examined using Landsat TM, ETM+, and TIRS/OLI data for the periods 1986, 2000 and 2014. The Landsat images were subjected to pre-processing and classified based on the widely used supervised maximum likelihood classification scheme. Afterwards, the past and future (2028 and 2042) transitions, potential modification and extension of various land use/land cover types were carried out using Land Change Modeler (LCM) and the Multi-Layer Perceptron (MLP) Markov chain projection model. The projected LULCC indicated a substantial increase in the built-up areas from 5.04% of the area covered in 2014 to 21.72% and 26.47% by 2028 and 2042 respectively. This was evident in the corresponding decrease in the areas covered by vegetation and bare soil. The observed rural (like Ipinza, Ibule, Shasha, Airport, NTA, etc) and sub-urban (like FUTA, Oba-Ile, Ijoka, Aule, Igoba etc) areas with abundant vegetation in the earlier periods have all experienced significant depletion and surface modifications in the latter years. The study concludes that, unabated vegetation loss and degradation could trigger serious environmental problems that are linked to increased surface thermal response, reduced infiltration and increased surface runoff.

Keywords: urbanization, MLP, landuse/landcover, landsat.

1. INTRODUCTION

The fast increasing population due to the socio-economic and infrastructural growth has led to the demand for space in most of the cities around the globe. This has attracted the attentions of relevant scientific communities in most of the developing countries. Evidence has revealed that the world is becoming progressively urbanised, with 45% of the population

already living in urban areas in the year 2000 (Arnfield, 2003). It has been estimated that by the year 2025, 60% of the world's population will live in cities (UNEP 2002; Ichimura 2003). World population is forecast to reach 9 billion by 2050, with almost all the growth in developing countries (UN Development Programme 2010). The UN predicts that 60% of the world's population will be living in cities by 2030 and that nearly all the population growth will be in the cities of developing countries. Urbanization process refers to the transformation of natural vegetation into anthropogenic surfaces which are lands covered with buildings, roads, parking lots, and other paved surfaces associated with socio-economic growth and development of an area. During this process, the surface biophysical properties including soil moisture, material heat capacity, surface reflectivity and emissivity are altered.

FAO (2010) reported that the rate of deforestation in Nigeria between 1990 and 2010 was averagely 409,650 ha or 2.38% per year. On a local scale, Ishola et al. (2016) noted that the modification of natural vegetation in a neighbouring Abeokuta city in Nigeria is the main driver of LULCC and attributed this to the compelling socio-economic factors like rural-urban migration, the demand for space as a result of increasing population, and lack of urban monitoring and planning. However, the future scenarios owing to these compelling factors were beyond the scope of the study.

LULCC assessment specifically involves identifying the spatial and temporal changes of living and non-living features that are occurring within ecosystems (Roy et al., 2014). It involves the ability to quantify the human-induced changes, alteration and transformation of the surface features using multi-temporal data sets. Detecting, describing and understanding such changes are of considerable interest, not only to ecologists or conservationist, but also to environmental scientists and resource managers (Salami, 1999). In fact, insights on land cover change especially in relation to natural vegetation are a pressing issue for sustainable development because these changes can lead to land use conflicts and other environmental consequences (EEA, 2007). Natural

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vegetation is a multifunctional land cover which could be retained to counter-balance built-up density, as well serve as carbon sinks, and aid ecosystem and biodiversity. Hence, their losses cannot be ignored because; they pose a major threat to the environment and ecosystem health. In this respect, it is imperative that the LULC dynamics be adequately monitored and analyzed. Furthermore, the decisions about the quantities, purposes, and related consequences of land use are still poorly understood (Oyinloye et al., 2004). Therefore, proper geo-management of land and availability of detailed, accurate and up-to-date geo-information require an urgent intervention (Lemmens, 2001). That is, understanding LULC dynamics is critical for the planning and protection of the environment, and ecosystem and biodiversity (Hansen et al., 2008; Gomez et al., 2011)

Land-cover mapping determines the current composition and distribution of land surface attributes, and this is subsequently used as the basis for assessing future change (McDermid et al., 2005; Miller and Rogan, 2007; Schulz et al., 2010; Carmelo et al., 2012). However, modeling the complex dynamic systems of the land surface features has been challenging (Ahmed, 2011). Some popular tools have been developed to

model urban growth and land cover changes including Geomod (Pontius and Spencer, 2005), SLEUTH (Silva and Clarke, 2002), Land Use Scanner (Hilferink and Rietveld, 1999), Environment Explorer (Verburg et al., 2004), SAMBA (Castella et al., 2005), Land Transformation Model (Pijanowski et al., 2000), and CLUE (Kok and Veldkamp, 2001). These tools make use of a number of methods such as Markov Chain (Balzter, 2000), Cellular Automata (Sante et al., 2010), Logistic Regression (McConnell et al., 2004), and Artificial Neural Network (ANN) (Civco, 1993). More details on the characteristics of each tool are discussed in the literature (Pontius et al., 2008). This study used the Multi-layer Perceptron (MLP), a type Neural Network method with more than one hidden layer, in order to model and project future land cover change scenarios (Ahmed and Ahmed, 2012). The MLP decides about the parameters to be used and how they should be changed to better model the data. It undertakes the classification of remotely sensed imagery using the back propagation algorithm. The MLP also performs a non-parametric regression analysis between input variables and one dependent variable with the output containing one output neuron (Atkinson and Tatnall, 1997).

II. MATERIALS AND METHODS

a) Study area

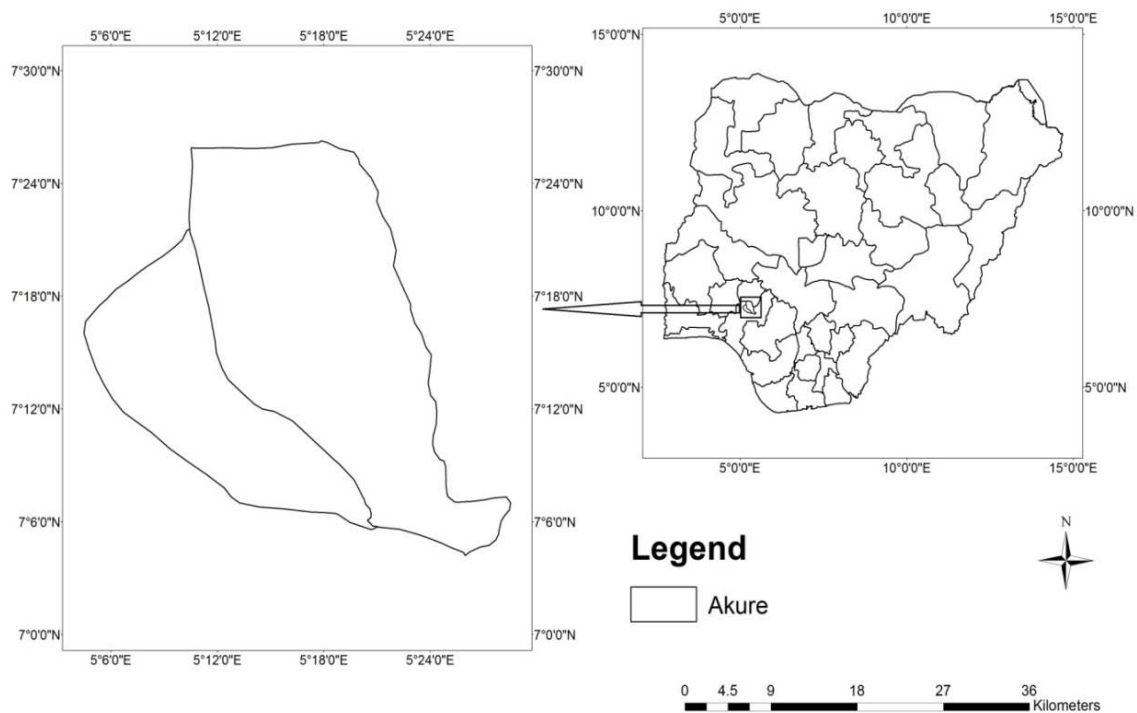


Figure 1: Map of Nigeria showing the location of the study area

The city of Akure in Ondo State, Nigeria has received wide attention in recent times. The rapid growth of the city, particularly within the last few decades, has made it one of the fastest growing metropolitan areas in the South-western Nigeria. Its increasing population and observed rapid rate of expansion in land use has been attributed to the socio-economic growth of the area (Balogun et al., 2012; Oyinloye and Fasakin, 2014). Akure area has witnessed tremendous growth in the size of built-up areas, number of immigrants, transportation and commercial activities. It is geographically situated between longitude 5°06'E to 5°38'E and latitude 7°07'N to 7°37'N (see figure 1) and lies on a relatively flat plain with roughly 370m above sea level. It is situated in the humid tropical region of Nigeria with average rainfall of about 1500 mm per annum. The annual average temperatures range between 21.4 and 31.1°C, and mean annual relative humidity is about 77.1% (NiMET, 2007). Its population has increased from 71,006 people in 1963 to 340,021 in 2006 (NPC, 2006), and has been estimated to increase annually by more than 5%. The increase in annual growth of the population has been tied to the administrative role of the town and its long standing role as a centre of economic activities attracting a large spectrum of immigrants into it.

b) *Materials*

The study adopted Landsat multisensory and multitemporal datasets acquired from the archives of

United States Geological Survey (USGS) including, Landsat thematic mapper (TM), enhanced thematic mapper plus (ETM+), and operational land imager/thermal infrared sensor (OLI/TIRS) covering 1986, 2000, and 2014 respectively (see Table 1). The study area is located in the Landsat path 190 and row 055 of the World Reference System (WRS-2).

The images were captured at different periods, thus, the different atmospheric conditions of the imageries. However, the atmospheric corrections with other corrections like geometric, radiometric, and topographic of the imageries were carried out in GIS environment. The radiometric correction employed the algorithm of Chander and Markham (2003) with the addition of an atmospheric correction. The 2000 image was used as the base image for geometrical correction due to its better visual quality. Geometric correction of the 2000 scene was based on Ground Control Points (GCPs) identified on the topographical maps of the area, and nearest neighbour resampling to a 30-meter pixel size with RMS error of 0.25. The 1986 and 2014 imageries were co-registered to the base image using additional GCPs into UTM projection with geometric errors of less than one pixel, so that all the images have the same coordinate system (Adedeji et al., 2015).

Table 1: Description of the Landsat imageries used (USGS, 2014)

City	Path/row	Sensor	%Clou	Date acquired	Resolution
Akure	190/055	Landsat5 TM	0.00	17/12/1986	30m/120m
		Landsat7 ETM+	0.00	15/02/2000	30m/60m
		Landsat8 OLI/TIRS	0.59	14/12/2014	30m/100m

c) *Methods*

i. *Image classification*

The acquired satellite imageries for the three examined dates were classified into four broad land cover classes based on the sample set created according to a total of 100 identified training samples (see Table 2). Training samples are representative of the desired land use classes (Magidi, 2010) and were determined based on ground truthing, researcher's personal experience and physiographical knowledge of the study area (Jensen, 2007). On average, 20 to 25 training samples for each land cover class were selected. All the images were analyzed with respect to their spectral and spatial distribution in order to develop the training sites (Ahmed, 2011). A chosen band combination (RGB = 432) was used to develop polygons around each training site of similar land cover. Then a unique identifier was assigned to each known land cover type (Ahmed and Ahmed, 2012). Afterwards, the statistical characterizations (i.e., signatures) of each

land cover class were developed and a supervised maximum likelihood classification method with a uniform prior was used for all classes. This procedure has proven to be a robust and consistent classifier for multi-date classifications (Wu et al., 2006). Each composed image was ordered into 4 area classes: water, vegetation, built-up and bare soil as described in Table 2. Furthermore, a distance threshold was adopted for each class to remove the pixels that probably do not belong to that class and was determined by examining interactively the histogram of each class in the generated distance image. Pixels with a distance value greater than the threshold were assigned a class value of zero in the thematic image. The accuracies of the classified imageries were checked with a stratified random sampling method of 200 reference pixels for each examined dates. This sampling number is thought to be a compromise between statistical rigor and practical limitations (Wu and Murray, 2003). The confusion/error matrices (not shown) were basically

used to assess the overall, producer's, and user's accuracy of classification results, as well as the kappa

coefficient. This is an important method for evaluating per-pixel classification (Weng, 2002).

Table 2: Details of the land cover types

Land Cover Type	Description
Built-up Surface	All infrastructure—residential, commercial, mixed use and industrial surface areas, asphalt road network, pavements, rocks, parking lots, and other man-made structures.
Water Body	River, permanent open water, lakes, ponds, canals, permanent/seasonal wetlands, low-lying areas, marshy land, and swamps.
Vegetation	Trees, natural vegetation, mixed forest, gardens, parks and playgrounds, grassland, vegetated lands, agricultural lands, and crop fields.
Bare Soil	Fallow land, earth and sand land in-fillings, construction sites, developed land, excavation sites, open space, bare soils, and the remaining land cover

ii. *Land cover change detection analysis*

Change detection analysis was carried out on Landsat images of different years (i.e. 1986, 2000 and 2014) to analyze the pattern and trend of change in the study area using Land Change Modeller (LCM) for ecological sustainability and Markov Chain model (Eastman, 2006). Using LCM requires mainly two time categorical maps and so the classified maps (say 1984 (time-1) and 2003 (time-2)) were used as inputs for the change analysis. This enabled us to understand the gains and losses, the net transition of areas and contributions among the land use/land cover classes; and to quantify the changes that occurred from time-1 to time-2 (Eastman, 2006).

iii. *Projection of future land cover changes*

There exists several land use/cover change modeling techniques, but the right simulation technique / model depends on the scope of study, availability of datasets, objective of the research, and the accuracy of the prediction (Pontius et al., 2008; Ahmed, 2011). Considering accuracy and wide acceptability, both the Markov Chain (Basharin et al., 2004) and MLP modeling techniques (Atkinson and Tatnall, 1997) were applied in this study to project the future changes of land cover. A detailed description on the MLP Markov modeling technique has been comprehensively described by Ahmed and Ahmed (2012). The observed changes in land cover that is, the transitions from other land cover types to built-up areas, were used as the dependent variables; while the spatial variables such as the distance from all land cover types to built-up areas, water body, vegetation, and bare soil; the empirical likelihood transformation, were used as the independent variables. These two types of variables were used to train the MLP Markov model and to produce the transition potential maps between the historical years.

Afterwards, future scenarios of LULCC were predicted for 2028 and 2042 using the Multi-Objective Land Allocation (MOLA) algorithm (Khoi and Murayama,

2010). The quantities of modifications were determined by the Markovian conversion probabilities (Eastman et al., 1995). After this, a multi-objective allocation was run to allocate land for all receivers of a set class (Khoi and Murayama, 2010; Eastman, 2012). The results of the reallocation of each set class were then overlaid to produce the final predicted maps.

III. RESULTS AND DISCUSSION

a) *Land cover changes*

This section presents the various classes of land use/ land cover adopted in this study, and the analysis of the changes that have taken place over time (Figure 2).The overall accuracies of the classified images revealed 91.67% in 1986, 85.83% in 2000, and 88.33% in 2014. The Kappa coefficients were observed to be 0.81, 0.74, and 0.76 for 1986, 2000, and 2014 respectively (Table 3). The Kappa coefficient is a measure of the proportional/percentage improvement by the classifier over a purely random assignment to classes (Ahmed et al., 2013).



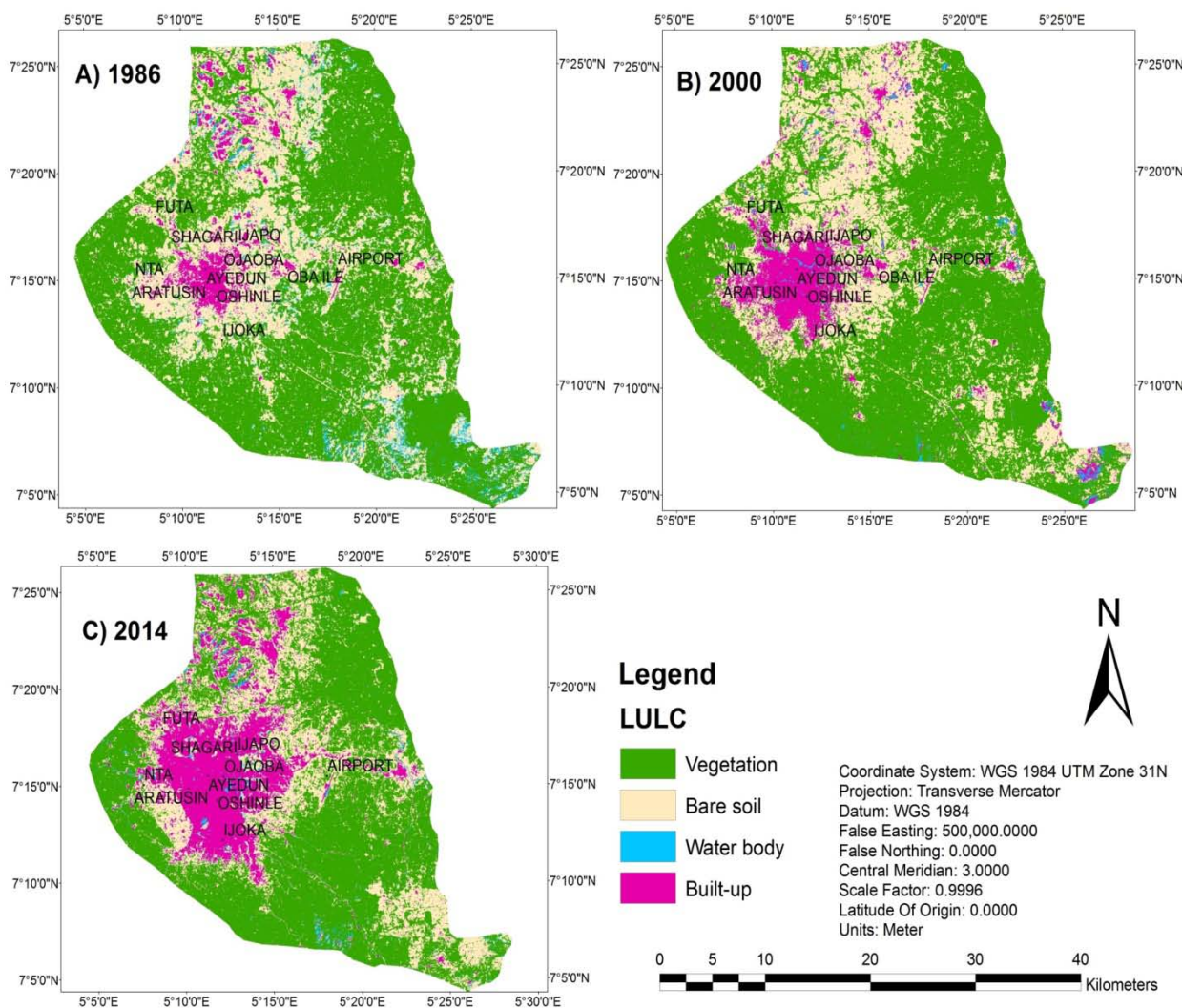


Figure 2: Spatial distribution of Landuse/Landcover maps of Akure for A) 1986 B) 2000 and C) 2014

Table 3: Accuracy assessments of the Land cover classes

Year	User's Accuracy (%)				Producer's Accuracy (%)				Overall Accuracy (%)	Kappa Coefficient
	Water Body	Vegetation	Built-up Area	Bare Soil	Water Body	Vegetation	Built-up Area	Bare Soil		
1986	75.00	98.78	66.67	77.42	100.00	92.05	100.00	88.89	91.67	0.81
2000	0.00	83.33	88.89	93.75	0.00	98.48	72.73	69.77	85.83	0.74
2014	0.00	91.46	81.82	88.00	0.00	96.15	90.00	68.75	88.33	0.76

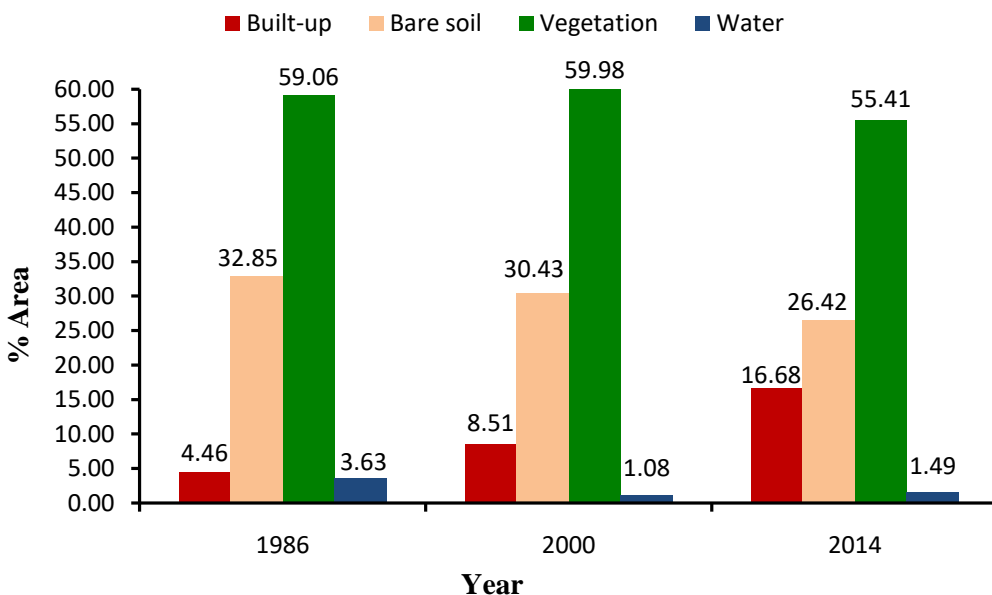
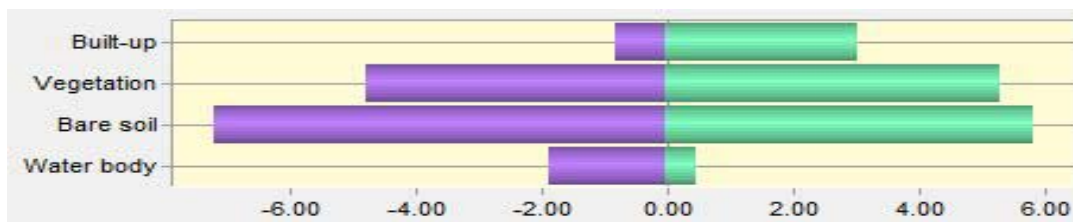


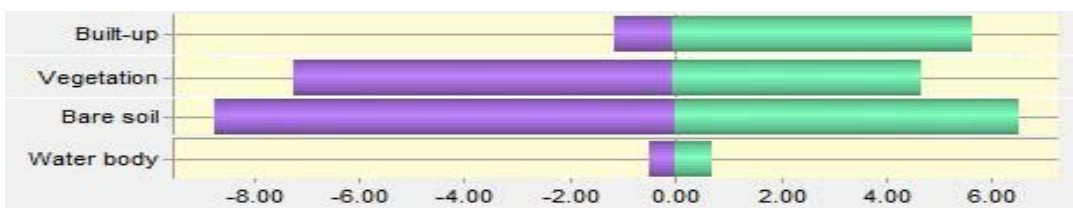
Figure 3: Percentages of area covered by landcover types in Akure

The analysis of the spatial-temporal pattern of the land cover changes presented in Figures 2 and 3 revealed that, the proportion of Built-up area exhibits an increasing trend, while other land cover classes decreased in the proportion of area covered over the years. Figure 3 shows that vegetation covers the largest proportion/percentage of this area followed by bare surfaces throughout the period under study. Although the percentage area covered by Built-up has increased over the years from 4.46% in 1986 to 16.68% in 2014. This is obvious in the loss of percentage area covered by vegetation (59.06%) and bare soil (32.85%) in 1986 to 55.41% and 26.42% respectively in 2014, as a result of the increasing socio-economic factors (population, economic, technological and institutional growth). These have triggered competition for space for various urban development purposes such as residential, commercial, recreation, institutional, industrial, transportation etc, thereby increasing the built-up area and consequently decreasing vegetation and bare soil areas. If the above

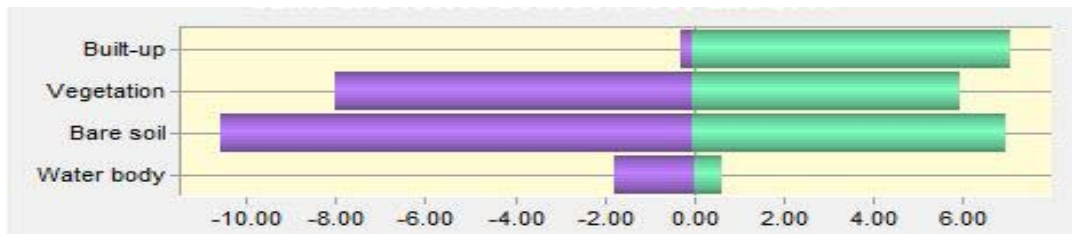
enumerated factors are left unchecked with appropriate urban planning and development policies, the city might be facing serious environmental, bioclimatological and health challenges in no distant time. Balogun et al. 2011 reported the existence of urban heat island (UHI) intensity of 4°C in the city. The consistent increase in the built up area will continue to affect the environment through enhanced releasing of pollutants to the atmospheric environment and subsequent degradation of the local climate. Balogun et al. (2014) observed that the carbon monoxide (CO) concentrations at the urban area were 2-3 times higher than that of the rural site. The increase in built up area can often leads to continual loss of biodiversity on a long term and also increases potentials for flooding. Among all the land cover classification, there is little/no significant percentage area covered by the water body throughout the period under investigation Further analyses were conducted to understand these patterns of conversion throughout the period of study.



(a)

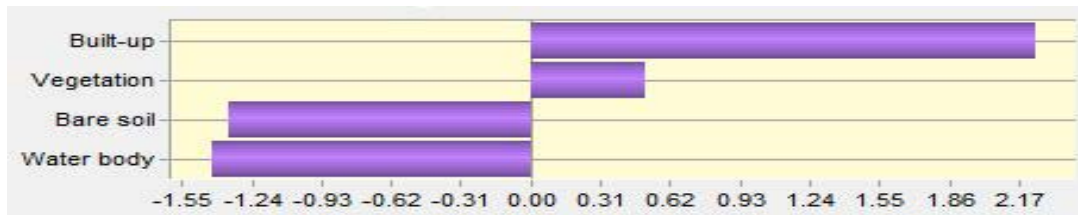


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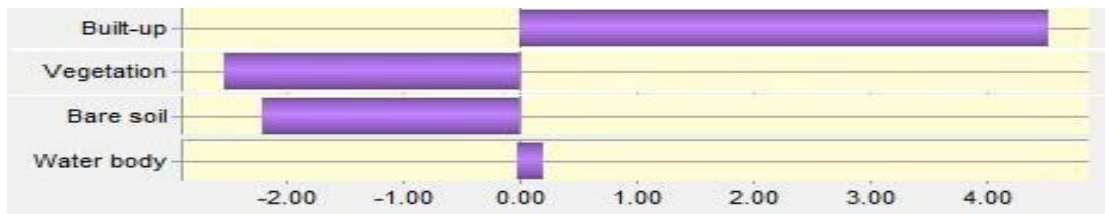


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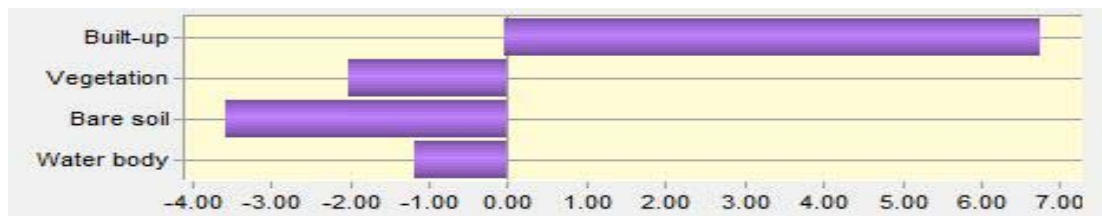
Figure 4: Gains and Losses in Land cover areas between (a) 1986 and 2000 (b) 2000 and 2014(c) 1986 and 2014



(a)

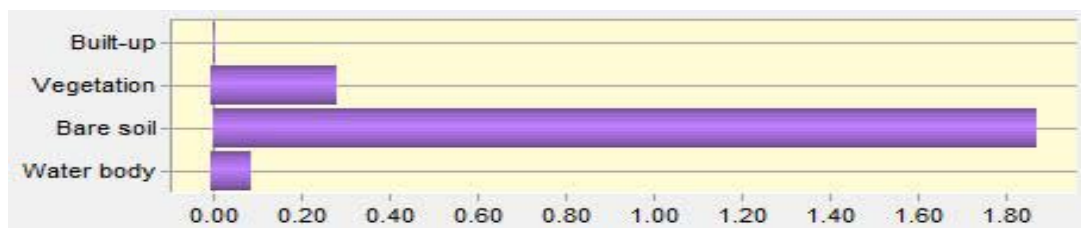


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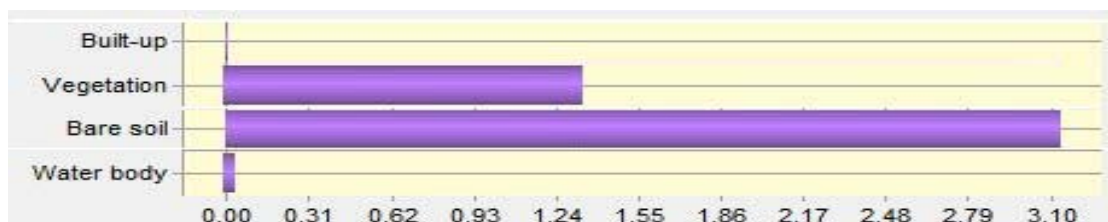


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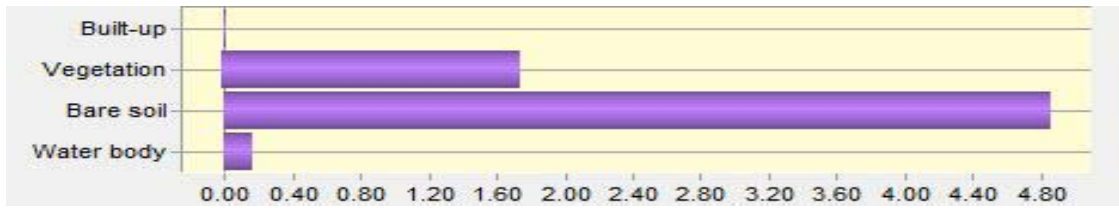
Figure 5: Net Change in Land cover areas between (a) 1986 and 2000 (b) 2000 and 2014 (c) 1986 and 2014



(a)

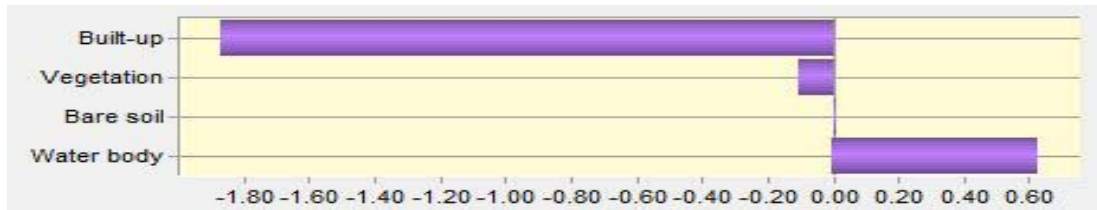


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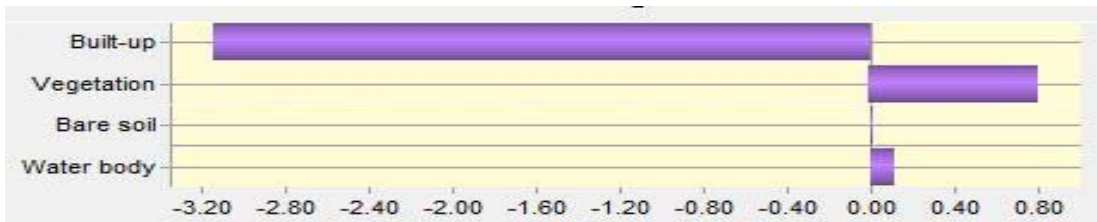


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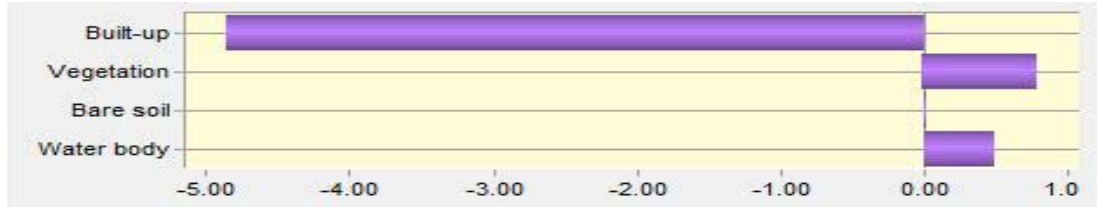
Figure 6: Contributions to Net Change in Built-up areas between (a) 1986 and 2000 (b) 2000 and 2014 (c) 1986 and 2014



(a)

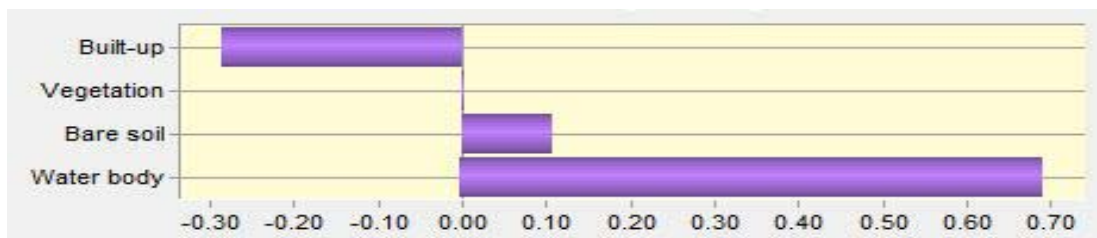


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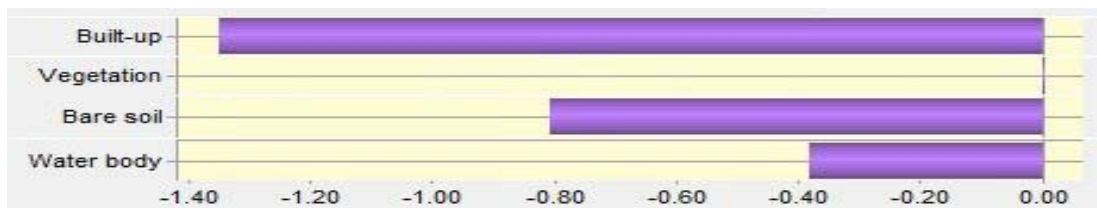


(c)

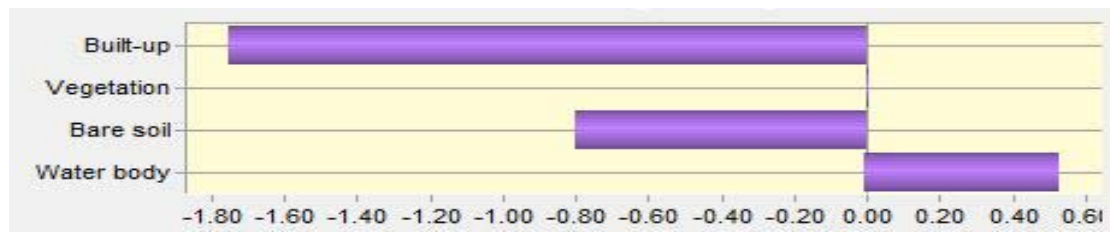
Figure 7: Contributions to Net Change in Bare soil areas between (a) 1986 and 2000 (b) 2000 and 2014 (c) 1986 and 2014



(a)



(b)



(c)

Figure 8: Contributions to Net Change in vegetated areas between (a) 1986 and 2000 (b) 2000 and 2014 (c) 1986 and 2014

The changes in land cover types presented in Figure 4 revealed that, only a small percentage (<2%) of area covered by built-up was lost to other land cover types in both periods (1986-2000, and 2000-2014). Most of the built-up were lost due to demolitions carried out for road expansions, construction of new road networks and buildings without appropriate government approval. However, a significant change occurred in the vegetation and bare soil categories in both periods. These land cover categories lost more land areas than they gained in each of the period (Figure 4). Analysis of the net change in the areas covered by the land cover classes show that, there was significant and progressive change (increased with magnitude >1.5%) in built-up areas in all the periods (Figure 5). The net change of bare soil and vegetation shows a general decrease except for the period (between 1986 and 2000) that vegetation showed a little increase in net change. The conversion patterns between the land cover categories are illustrated in Figures 6-8. It was observed that the bare soil was the major contributor to net change (increasing) in built-up areas followed by vegetation and no significant contribution from water body (Figure 6). Conversely, only water body was converted to bare soil between 1986 and 2000 (Figure 7a). More significant contributions to net change (increasing) in bare soil areas were seen from vegetation in other periods (Figure 7b-c).

Although a few proportion of water body still contributed to the extension of the bare soil areas but not as effective as vegetation in these later periods. However, there were no places where built-up was converted to bare soil type at all. In addition, Figure 8 revealed that the water body is the major contributor to extending vegetated areas. Although a few percentage of bare soil areas were modified to vegetation between 1986 and 2000 (Figure 8a), there was no contributions to net change in vegetation between 2000 and 2014 (Figure 8b). That one land cover category contributes to the net change of another was also established Ahmed et al. (2013).

b) Projection of future land cover change

The analysis of past changes in LULC distributions with regard to spatial explanatory variables enables assessment of the degree to which locations

might likely change in the future. Markov Chain analysis was performed for the multi-temporal land cover images of 1986-2000, and 2000-2014 including predicting for 2028 and 2042 as shown in Figures 9 and 10 respectively. Results of the MLP Markov Chain models focused mainly on providing the knowledge of how much, where, what type of land use/land cover changes will occur from 2014 to 2028 and from 2028 to 2042. It has been observed that the built-up areas showed an increased trend, as other classified land cover types decreased in the previous years. The projected land cover scenarios indicates similar spatial distribution and trend with an expectation of continual expansion in the built-up areas and decreasing vegetation and bare soil surfaces from 2014 to 2028 (Figure 9), and more expansion between 2028 and 2042 (Figure 10). The observed rural (like Airport, NTA, etc) and sub-urban (like FUTA, Oba-Ile, Ijoka, etc) areas with abundant vegetation in the earlier periods will experience significant depletion and surface modifications between 2014 and 2028 (Figure 9). The built-up and the bare surface areas would have expanded towards and encroach these areas by 2028, provided the driving mechanisms such as continual growth in the socio-economic factors are sustained. Figure 10 also indicate that the urban centres are expected to widely extend to the rural areas (like Ipinsa, Ibule, some rural parts of Aule, Oba-Ile and Ijoka, Igoba, and Sasha) by 2042.

A quantitative assessment of the simulated land cover scenarios presented in Figure 11 suggests that approximately 5.04% of areas covered by both vegetation and bare soil in 2014 will be modified to built-up areas by 2028. Similarly, about 4.75% of the same areas will be converted to built-up by the year 2042. In all, there will be a substantial increase in the built-up areas to 21.72% and 26.47% by 2028 and 2042 respectively. This will be evident in the corresponding decrease in the areas covered by vegetation and bare soil. The prediction of the future scenarios of land use change in the study area reveals probable continuous degradation of the forests, light and thick vegetation which will result in more degraded lands. Unabated vegetation loss and degradation could trigger serious environmental problems that are linked to increased surface thermal response, reduced infiltration and increased surface runoff.

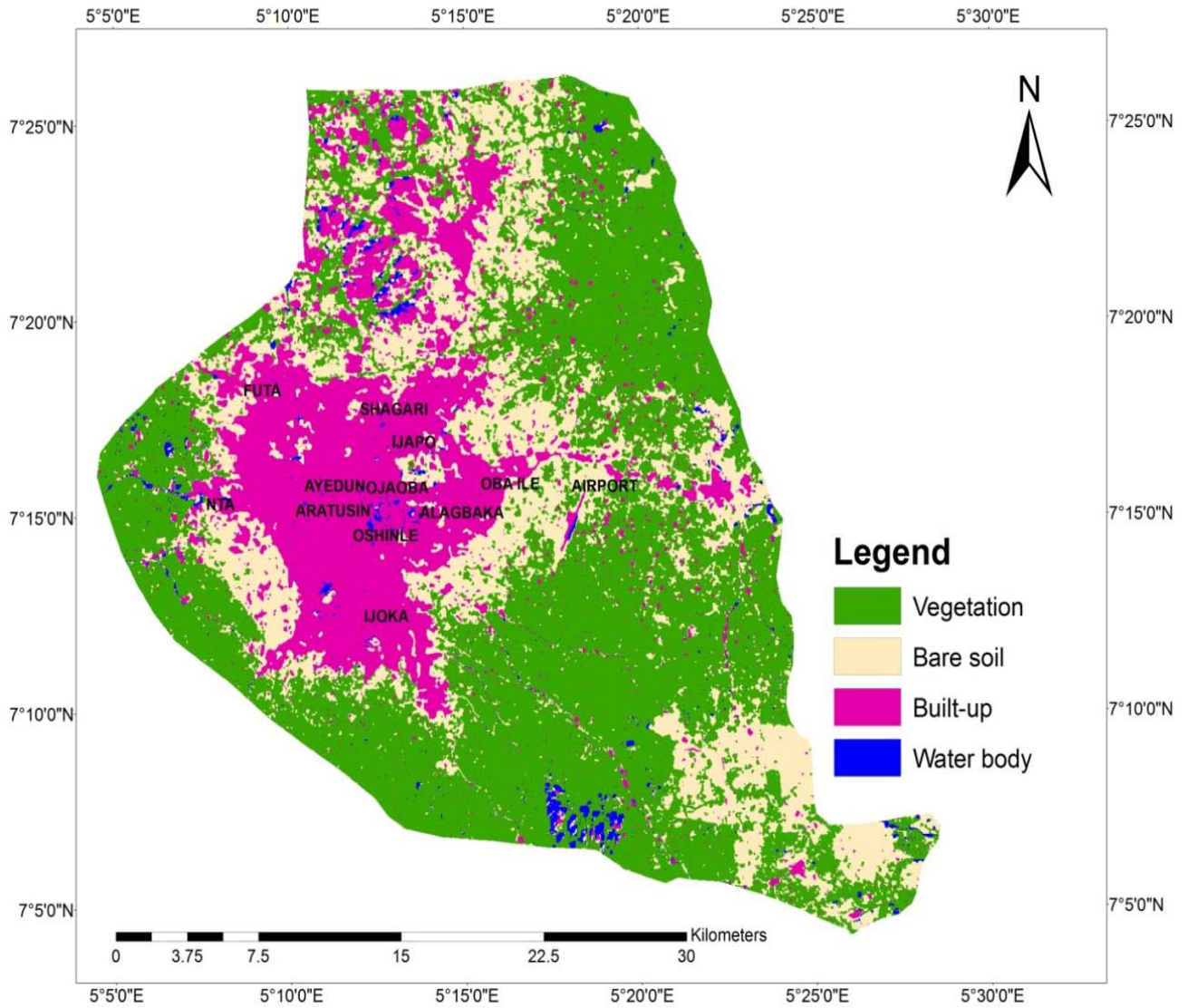


Figure 9: MLP Markov model projected land cover scenario of Akure area for 2028

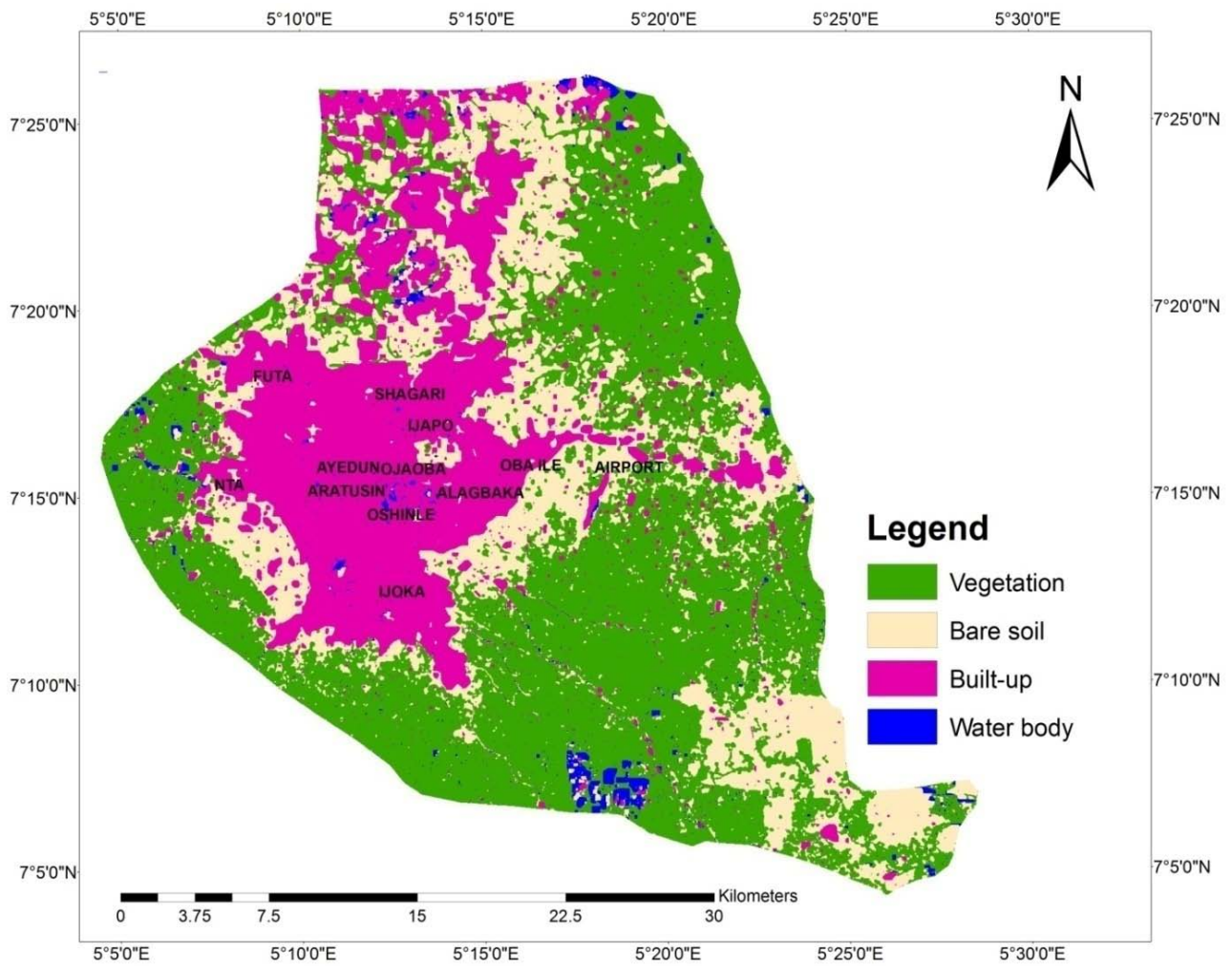


Figure 10: MLP Markov model projected land cover scenario of Akure area for 2042

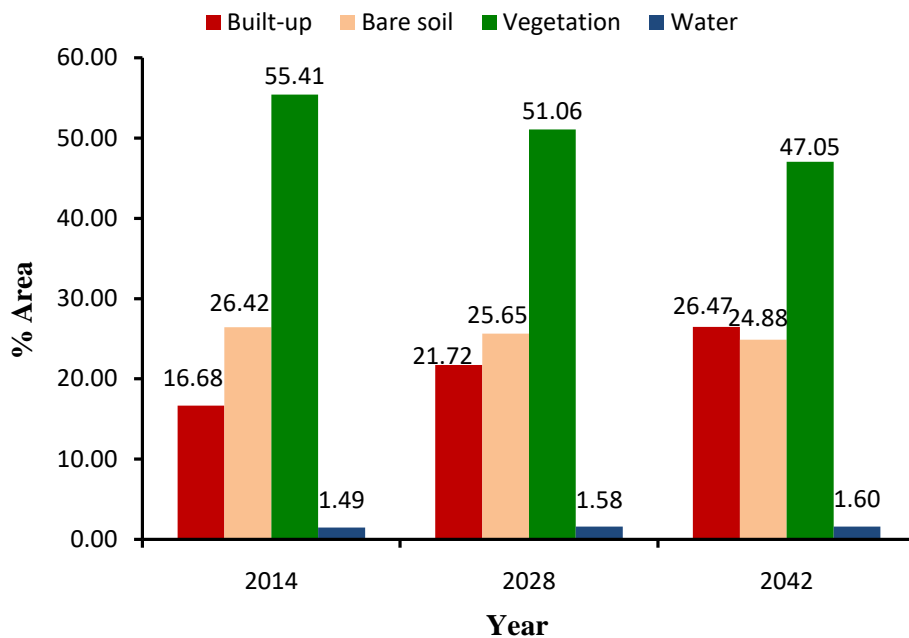


Figure 11: Simulated Percentages of Area covered by landcover types in Akure

III. CONCLUSIONS

This study has examined the past and future LULCC due to urbanization processes over Akure City in Nigeria. The observed rapid increase in built up areas and the continuous depletion of the vegetated areas calls for concern. Most especially, the projected future changes by the year 2028 and 2042 follows the trend of observed between 1984 and 2014, revealing that built-up areas will continue to increase rapidly and the vegetated areas will be reducing drastically. This will have serious implication on the local climate of the city coupled with the enhanced greenhouse gases through the depletion of the carbon sinks. This call for improved urban planning that accommodates urban greening in the future urban developments, considering the mitigating roles of vegetations on heat islands and global warming. Although, the potential modifications and extension of built-up density to the rural and sub-urban areas as projected by MLP model in the subsequent years pose major environmental threats, these areas can yet be explored for business opportunities which will inturn contribute to the socio-economic growth of the city.

Findings from the observed changes in LULC are consistent with that of previous studies, thus the projected changes are reliable because they are based on well-established MLP Markov technique in the literature. The model results can serve as guide to urban planners and policy makers. Furthermore, urbanization has been identified as the major driver of land cover changes. Thus, effective policies that are capable of ameliorating urban sprawl and the environmental impacts should be put in place. Further study will seek to quantitatively assess the impact of this LULCC on the urban thermal field in the future context.

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Determinants of the Adoption of Sustainable Land Management Practices among Smallholder Farmers' in Jeldu District, West Shewa Zone, Oromia Region, Ethiopia

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Abstract- Land degradation is a major cause of Ethiopia's low and declining agricultural productivity, continuing food insecurity, and abject rural poverty. The productivity of agricultural economy, which is the backbone of the country's economy, is being seriously eroded by unsustainable land management practices both in areas of food crops and in grazing. Low land productivity due to land degradation in form of soil erosion is one of the leading challenges to improving the performance of the smallholder farming system sector in Ethiopia. In this context, the adoption of Sustainable Land Management practices/ technologies is quite crucial to increase agricultural productivity, ensure food security and improve the livelihoods of smallholder farmers. Farmers recommend various SLM practices/technologies for sustainable implementation, but adoption of such agricultural land management practices/ technologies is still very low. There is no clear understanding of the problems encountered by farmers in the adoption of recommended SLM practices/ technologies.

Keywords: *sustainable land management practices, adoption, smallholder farmers.'*

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Abstract- Land degradation is a major cause of Ethiopia's low and declining agricultural productivity, continuing food insecurity, and abject rural poverty. The productivity of agricultural economy, which is the backbone of the country's economy, is being seriously eroded by unsustainable land management practices both in areas of food crops and in grazing. Low land productivity due to land degradation in form of soil erosion is one of the leading challenges to improving the performance of the smallholder farming system sector in Ethiopia. In this context, the adoption of Sustainable Land Management practices/ technologies is quite crucial to increase agricultural productivity, ensure food security and improve the livelihoods of smallholder farmers. Farmers recommend various SLM practices/technologies for sustainable implementation, but adoption of such agricultural land management practices/ technologies is still very low. There is no clear understanding of the problems encountered by farmers in the adoption of recommended SLM practices/ technologies. Therefore, the main purpose of this study was to assess the socio-economic, institutional, psychological and biophysical determinant factors that influence adoption of SLM practices/technologies among smallholder farmers in Jeldu district in West Shewa zone. Primary data were collected through household questionnaires surveys, focus group discussions, key informants interviews and personal observations while secondary data were collected from relevant local authority reports and records. A total of 224 households were interviewed. Both Descriptive statistics and binary logistic regression model were used to analyze the data. The computed independent T-test for the mean income difference was statistically highly significance between adopters and non-adopters, suggesting that adopters were in better-off position to improve their livelihood. From the 18 explanatory variables entered into the model, 14 variables were found to be statistically significant in determining adoption of SLM Practices by farmers in the study area at less than 5 to 10% probability levels. These are education level of the household head, farm size, perception of land degradation ,effectiveness of SLM practices, credit service access, frequency of development agent contact and livestock ownership significantly positively affect adoption of land management practices while distance to market affects it negatively at less 10% probability levels. Planners and policy makers should formulate appropriate policies and programs

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considering the farmers' interest, capacity, and limitation in promoting improved soil conservation technology for greater acceptance and adoption by the farmer.

Keywords: sustainable land, management practices, adoption, smallholder farmers.

I. INTRODUCTION

a) Background and Justification of the study

To feed the world's growing population which is projected to exceed 9.2 billion by 2050 (World Bank, 2009; FAO, 2013; Nkonya *et al.*, 2011.), it will be compulsory to boost the production of food. However, land degradation is extensively increasing, covering approximately 23% of the globe's terrestrial area, increasing at an annual rate of 5-10 million hectares, and affecting about 1.5 billion people globally (Gnacadjia, 2012). Processes of land degradation occur in all climatic regions, with 'land' interpreted to include soils, vegetation, and water, and with the concept of 'degradation' implying adverse consequences for humanity and ecological systems (Conacher, 2009; Vlek *et al.*, 2010; Braun *et al.*, 2012; Pingali *et al.*, 2014). Land consists of not only the soil but also the associated natural resources such as water, vegetation, landscape, and microclimate that are components of a larger ecosystem(Thompson *et al.*, 2009; Chasek *et al.*, 2011; Reed *et al.*, 2011).As the land is inter-connected with other natural resources such as the air, water, fauna and flora, managing land well, in addition to guaranteeing food supplies, poverty reduction and socio-economic protect environment and natural resources and to provide ecological functions and services in a sustainable manner(World Bank, 2003; Bridges and Oldeman, 1999; Berry *et al.*, 2003; Jones *et al.*, 2003; Stringer and Reed, 2007; Bai *et al.* 2008; Stoosnijder, 2007; Nachtergaele *et al.* 2010; Lal and Stewart, 2013; Zuccaet *et al.*, 2014) .Land degradation often results from immediate causes such as biophysical causes and unsustainable resource management practices, or with underlying causes including population density, poverty, institutional set up, land tenure and access to agriculture extension, infrastructure, opportunities and constraints created by market access as well as policies and

general government effectiveness (Nkonya *et al.*, 2011; Lambin *et al.*, 2001).

Ethiopia's economy has its foundation in the smallholder agriculture. Land degradation is a major cause of Ethiopia's low and declining agricultural productivity, continuing food insecurity, and abject rural poverty (Pender and Hazell, 2000; IFAD, 2001; Shiferaw and Bantilan, 2004; (FAO, 2012). Soil erosion is a major problem with substantial costs to agriculture in the Ethiopian highlands, amounting annually to a minimum of 2-3 percent of agricultural gross domestic product (World Bank, 2007). The productivity of agricultural economy, which is the backbone of the country's economy, is being seriously eroded by unsustainable land management practices both in areas of food crops and in grazing lands (Leonard, 2003; Shiferaw and Holden 1998). At present extent and speed of land degradation, particularly due to soil erosion is distinguished as a serious threat to the viability of the subsistence agriculture in the country (Lakewet *et al.*, 2000; Le *et al.*, 2014). Its severity is explained by a decline in productivity, formation of rills and gullies in both farming and grazing lands through time (Stringer and Reed, 2007; Bai *et al.*, 2008; Nachtergaele *et al.*, 2010; Lal and Stewart, 2013; Zucca *et al.*, 2014). Although the country endowed with enormous biophysical potential, it has been affected by the interlinked and reinforcing problems of land degradation and extreme poverty (Teshome *et al.*, 2014). This is further aggravated by high population pressure, climatic variability, top-down planning systems, lack of appropriate and/or poor implementation of policies and strategies, limited use of sustainable land management practices, limited capacity of planners, land users as well as frequent organizational restructuring (Tesfaye *et al.*, 2013; Kassie *et al.*, 2009; Tiwari *et al.*, 2008; Bewket, 2007; Shiferaw and Holden 1998). There is evidence that these problems are getting worse in many parts of the country, particularly in the highlands (areas >1500m above sea level). Furthermore, climate change anticipated to accelerate land degradation in Ethiopia (Pender and Gebremedhin, 2007). Nearly 85 percent of Ethiopia's population, 95 percent of its cultivated land, and 80 percent its 35 million cattle are found in the highlands. The considerable diversity of Ethiopia's highland areas means that many factors influencing the adoption of land management inputs and investments are highly sensitive to the local biophysical and socioeconomic context.

Recognizing the threat of land degradation, the government of Ethiopia has made several Natural Resource Management (NRM) interventions through various programmes such as productive safety net programme (PSFP), Food for Work programme and MERET and MERET PLUS Programme since mid-1970s and 80s (Aklilu, 2006; Shiferaw and Holden, 1998). As a result a range of land conservation practices, which

include stone terraces, stone bunds, area closures, and other soil and water conservation technologies and practices have been introduced into individual and communal lands at massive scales. In 2008, Ethiopia launched Sustainable Land Management Programme (SLMP) in 36 woreda defined as the process of enhancing agricultural yields with minimal environmental impact and without expanding the existing agricultural land base (Tesfaye *et al.* 2013; Kassie *et al.* 2009; Tiwari *et al.*, 2008; Bewket, 2007). The concept and definition of sustainability is broad and varies depending on the problems to be addressed. There is a need to give a clear working definition of sustainability in the context of our problem. WOCAT (2005), define Sustainable Land Management in more specific term as the use of both indigenous and introduced land management practices and technologies for agricultural and other purposes to meet human livelihood needs, while simultaneously ensuring the long-term productive potential of these resources and the maintenance of their environmental functions. In this regard, SLM is not only the use of physical SWC measures, which is a common mistake made by almost all actors in the country, but also includes the use of appropriate soil fertility management practices, agricultural water and rain water management, forestry and agroforestry, forage and range land management, and application of these measures in a more integrated way to satisfy community needs while solving ecological problems (Bridges and Oldeman, 1999; Berry *et al.*, 2003; Jones *et al.*, 2003; Stringer and Reed, 2007; Bai *et al.*, 2008; Stoosnijder, 2007; Lal & Stewart, 2013; Zucca *et al.*, 2014; Gete *et al.*, 2006). SLM is a combination of technologies, policies and activities integrating socio-economic and environmental concerns in order to reach simultaneously environmentally friendly, economic viable and socially acceptable production goals (Smyth and Dumanski, 1993; Hurni, 2000).

The downward spiral of land degradation and poverty cannot be reversed in a sustained fashion unless farmers adopt profitable and sustainable land management practices or pursue livelihood strategies that are less demanding of the land resource than current agricultural strategies (Berry *et al.*, 2003; Jones *et al.*, 2003; Stringer and Reed, 2007; Bai *et al.* 2008; Stoosnijder, 2007; Nachtergaele *et al.*, 2010; Lal and Stewart, 2013; Zucca *et al.*, 2014). Adoption of sustainable land management (SLM) practices plays a critical role in achieving food security, household income and poverty reduction through reducing soil erosion and improving soil fertility. However, studies reveals that farmers adoption of SLM practices/ technologies at lower rate and more often they dis-adopt them (Aklilu and de Graaff, 2007 (Thompson *et al.*, 2009; Chaseket *et al.*, 2011; Akhtar-Schuster *et al.*, 2011; Reed *et al.*, 2011; ELD Initiative, 2013). In most places, implemented SWC Structure was either totally or

partially destroyed by farmers (Tesfaye *et al.* 2013; Kassie *et al.*, 2009 and Tiwari *et al.*, 2008 and Bewket, 2007). For instance, of the total conservation measures implemented between 1976 and 1990, only 30% of soil bunds, 25% of stone bunds, 60% of hillside terraces, 22% of the planted trees, and 7% of the reserve areas survived (TGE, 1994; Nurhussen, 1995). A recent survey in the Amhara region also showed that only 30% of the implemented soil and water conservation structures of the past two and half decades of conservation, work has survived (EPLUA, 2005). The above two survey results, however, should be seen in time context. Better land and water management and increased use of soil conservation practices could help to reverse soil degradation and boost crop yields, but in many parts of the country, these practices are not yet widely adopted. The adoption and investment in sustainable land management is crucial in reversing and controlling land degradation, rehabilitating degraded lands and ensuring the optimal use of land resources for the benefit of present and future generations (Akhtar-Schuster *et al.*, 2011).

Despite on-going land degradation and the urgent need for action to prevent and reverse land degradation, the problem has yet to be appropriately addressed. Identifying the determinants of SLM adoption is a step towards addressing them (Braun, *et al.*, 2012). There is an urgent need for evidence-based economic evaluations, using more data and robust economic tools, to identify the determinants of adoption as well as economic returns from SLM (Tesfaye *et al.* 2013; Kassie *et al.* 2009; Tiwari *et al.*, 2008; Bewket, 2007). Given this state of conditions, analysis of the issue of what specifically determines the decision taken by farmers to adopt SLM practices/technologies is very important and relevant to formulate policy options and support systems that could accelerate use of soil conservation technologies (Stoosnijder, 2007; Lal & Stewart, 2013; Zucca *et al.*, 2014). To ensure sustainable adoption and implementation of SLM practices and beneficial impacts on productivity and other outcomes, rigorous empirical research needed on where particular SLM interventions are likely to be successful (Brown *et al.*, 2006; Fensholt and Proud, 2012; Beck *et al.*, 2011). For a better understanding of the barriers faced by households when deciding to adopt SLM practices more detail context specific household-level studies focusing on the barriers of SLM practices adoption by farmers needed (Carthy, 2011; Tesfaye *et al.* 2013; Kassie *et al.*, 2009; Tiwari *et al.*, 2008; Bewket 2007; Shiferaw and Holden, 1998). An available evidence shows that studies on the determinants of adoption of SLM practices among smallholder farmers are few and far below adequacy. Further research on the adoption of land management practices is needed to build on this understanding of what works, and where. Therefore, this study conducted

in view of bridging this gap. It intends to add to the stock of knowledge on the factors that determine farmers' decision to implement certain sustainable land management practices. The general objective of this study was to assess the determinant of adoption of SLM practices/technologies among smallholder farmers' in Jeldu district in West Shewa zone of Oromia regional state, Ethiopia. So, this study is significant in that the identification of context based determinant factors of adopting sustainable land management practices will inform decision makers to design context-specific socio-economic, biophysical, institutional and demographic context based SLM technologies/ practices and avoids "one size fits to all" problem of the previous top down approaches. Such knowledge is important to guide policy makers and development agencies in crafting programs and policies that can better and more effectively address land degradation in Ethiopia.

II. THEORETICAL FRAMEWORK OF THE STUDY

There are many perspectives involved in understanding farmers' views as to how and why they make decisions on whether or not to adopt the improved technology for soil conservation(). There are many complexities and regional variations in biophysical and socio-cultural factors so that conclusions drawn based on the condition of one area cannot necessarily be replicated in another area (ICIMOD, 1995; Thompson and Warburton, 1985). Adoption of agricultural technologies is affected by various factors, usually categorized into; farm specific characteristics, technology specific attributes, and farmer's socioeconomic characteristics. Examples of such variables that have been found to influence technology adoption include: farm size, farmer's age, education, social networks (e.g. membership of association), dependency ratio, gender, access to agricultural advice and information, land tenure security, soil fertility, soil type, income, input availability, access to markets, risk aversion behavior, technology awareness, farming experience, adequacy of farm tools, technical and economic feasibility of using the technology, agro-ecological conditions, access to credit and presence of enabling policies (Feder *et al.*, 1985; Boyd and Turton, 2000; Olwande *et al.*, 2009). Some of these factors increase adoption; others reduce adoption; while others have mixed effects,

Adoption of conservation technology should not be regarded as an end in itself, but rather as a continuous decision-making process. Individuals pass through various learning and experimenting stages from awareness of the problem and its potential solutions and finally deciding whether to adopt or reject the given technology. Adoption of new technology normally passes through four different stages, which include awareness, interest, evaluation, and finally adoption

(Rogers and Shoemaker 1971). At each stage, there are various constraints (social, economic, physical, or logistical) for different groups of farmers. In Ethiopia, the adoption of improved soil conservation technology has been very low at farm level and it is apparent that there is gaps between what technicians see as necessary and what the farmers are prepared to do in the field (Paudel and Thapa 2001). Adoption behavior is complex and often requires a blend of income, profit, and institutional support (Ervin and Ervin 1982; Feder and Umali, 1993). Farmers' adoption of SLM Practices is determined by interactive effects of household socio economic characteristics, resource availability, physical characteristics of the land and institutional support provided by the public or NGO sector (Garcia 2001; Mbagal-Semgalawe and Folmer, 2000; Paudel and Thapa, 2004). It is important to understand the relationship between these factors and the process of adoption of new technology to improve farm production and sustainable land management. It is assumed that the farmers will compare the advantages and appropriateness of different soil conservation technologies, based on the available resources at their disposal and their opportunity for profit. Therefore, the conceptual framework of the adoption of SLM practices in this article is based on the principal of absolute and comparative advantage to farmers in combination with some influence of the personal, socio-economical, institutional, and biophysical factors. The empirical binary logistic regression model used in this study explains the factors that influence the decision of farmers to adopt or not adopt improved soil conservation technologies.

III. METHODOLOGY OF THE STUDY

a) Description of the Study Area

The study was conducted at Jeldu district, West Shewa zone, Central Ethiopia, which is delineated by Meta Robi, Dendi and Ejere *Woredas* in East, Gindeberet *Woreda* in West, Abuna Gindeberet *Woreda* in North and Eliphata *Woreda* in South. The total population of the District is 202,655 (out of which 102,796 are female and 99,859 are males). The average household size is 7 persons in the District. From this, the Watershed has total area of 9260 ha, with variable agro ecology of high lands (80%), midlands (15%) and lowlands (5%). According to the Bureau of agriculture and rural development of the district, the average land holding in the area has a bi-modal rainfall pattern with two distinct rainy and cropping seasons. The main rainy season (*meher*), which is also the main cropping season, extends from June to September. The short rainy season, known as "*belg* rain", usually covers the period from February to April. The mean annual rainfall of the area ranges from 1800 to 2200 mm. The maximum and minimum temperature of the area ranges from 17 to

22°C. The farming system of the area is mainly rain-fed. The soil type is characteristic of clay and clay-loam type, but the riverbed has a loam and sandy-loam type of soil (Dereje, 2010). Eucalyptus (*Eucalyptus globules*) is the main tree planted in the area while there is almost no natural forest except some remnants of very few scattered trees of forest in the crop land and scattered vegetation around the steep slopes and gorge of Meja River. According to Birhanu (2011), 20-30 years go the area was fully covered by natural forest. *Hagenia abyssinica*, *Dombeya torrida*, *Buddleja polystachya* and *Chamaecytisus palmensis* (tree Lucerne) are among the fodder trees and shrubs species that are considered important contributors to grazing animal nutrition in the highlands of Galessa and Jeldu areas. It has an area of 139, 389 hectares. Undulating slopes divided by V-shaped valleys of seasonal and/or relatively permanent streams characterize the topography of the study area. Steep slopes are found along the valley sides, where slopes greater than 30% is very common. The district is characterized as a mixed crop livestock production system. Land preparation mainly done by ox-drawn plough. The main crops grown in the study areas include wheat (*Triticumaestivum*), teff (*Eragrostistef*), broad bean (*Viciafaba*), barley (*Hordeum vulgare*) and potato (*Solanum tuberosum*). Soil erosion in the area is mainly attributed to the steep slopes, population pressure, deforestation, poor farming methods and vulnerable soils. However, the major factor fuelling soil erosion on the steep slopes is that farmers are increasingly destroying contour bunds on terraces to pave way for more farmland. As a result, soil erosion has been accelerated which in periods of heavy rainfall results in silting and flooding of the valley-bottom fields and landslides are becoming very common.

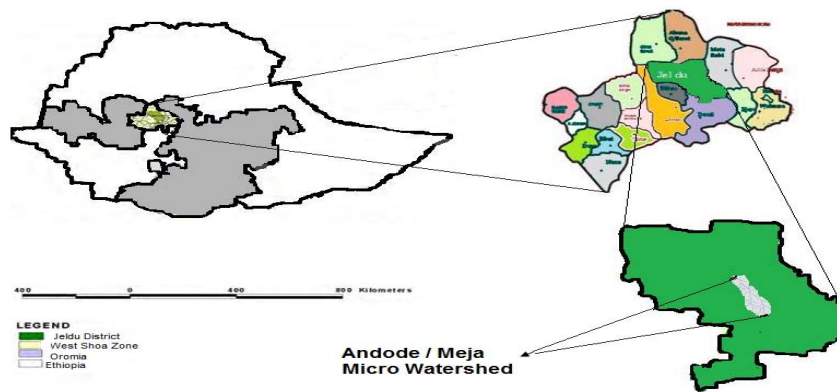


Figure 1: Map of the Study Area

b) Sampling Design of the Study

In this study, a multi-stage sampling procedure employed. First, Jeldu district was purposively selected because the district is one of severely affected by land degradation (Brihanu, 2011). The district is highly vulnerable to land degradation in particular soil compaction, deforestation and environmental degradation. Second, four *kebele* (*Edensa Galan, Seriti, KoluGalal and Chillanko*) were randomly selected from the existing 38 *kebeles* (lowest administrative unit in Ethiopia). Thirdly, the sample respondent households were selected by simple random technique. The sample size of the study determined by using Gujarati sample size determination formula (Gujarati, 2004). Accordingly, 224 sample households from the selected *kebeles* drew using simple random sampling technique for the household questionnaire survey. The random selection of households based on the list of household heads found in each *kebeles* and proportional to the size population.

c) Data Collection Techniques and Instruments

Data for the study was collected from both primary and secondary sources. Primary data collected by employing household questionnaire survey, focus group discussion, field observation, and key informant interview to bring the study to realization. Information about personal characteristics of the household head, the knowledge of SLM practices/ technologies, the resource endowment of farmers, farm management practices, cropping patterns, crop yield, role of different institutions to improve farming, and adoption of improved and indigenous soil conservation technologies, such as the construction of check dams, terrace improvement, terrace bunds, hedge management, retention walls, waterways, and mulching, were collected through individual interviews by using a semi- structured questionnaire. Pilot-tests of questionnaires were made by distributing questionnaire to fifteen farmers in each site to assess whether the instruments were appropriate and suited to the study at hand. Necessary adjustments were made based on the comments obtained from pre-test responses from

farmers to ensure reliability and validity. Data collectors were trained with respect to the survey techniques and confidentiality issues. Additional qualitative information, such as changes in soil conservation practices and cropping patterns over time, adoption of indigenous and improved soil conservation technologies, role of local level institutions in the promotion of SLM technologies/practices were collected through six focus group discussions, 12 key informant interviews, and through observation of the watershed. Focus group discussions were conducted with 8 to 10 farmers in each group. Audiocassettes were used to record the focus group discussions and key informant interviews.

d) Methods of Data Analysis

i. Descriptive Analysis Techniques

Data were analyzed through generation of descriptive statistics and binary regression model. Descriptive static techniques such as percentages, means, standard deviations and frequency counts, tables were generated for general information, t-tests were applied to compare the mean differences between adopters and non adopters, chi-square tests were applied to analyze categorical data, correlation and cross tabulation method were used to identify inter-dependence among various factors influencing the adoption of soil conservation technology. T-test was run to see if there is statistically significant difference in continuous variables of farm characteristics of household who have adopted introduced soil and water conservation practices and those have not done so. The chi-square was used to see if there is systematic association between decision on the use of introduced soil and water conservation practices and with some of the independent variables, for categorical data.

ii. Binary Logistic Regression

Binary logistic regression model was developed to assess the personal, social, economic, institutional, and bio-physical cal factors influencing the adoption of SLM practices in this study (Agresti, 1996). The Binary Logit Model was applied in this study to assists in estimating the probability of decision on the use of introduced soil and water conservation practices that

can take one or more of practices or do not practiced the technologies. In the study area farmers practice improved and traditional physical soil and water conservation structures. There are also non-adopters of these improved soil and water conservation measures. A logistic regression mode was developed to explore the personal/social, economic, institutional, and geographical factors influencing the adoption of SLM in this study. A regression model, and its binary outcomes, helps the researcher to explore how each explanatory variable affects the probability of the occurrence of events (Long and Freese, 2006). This model helps to explore the degree and direction of the relationship between dependent and independent variables in the adoption of improved soil conservation technology at the household level. The logistic regression model is an appropriate statistical tool to determine the influence of independent variable son dependent variables when the dependent variable has only two groups. In the logistic model, the coefficients are compared with the probability of an event occurring or not occurring and bounded between 0 and 1 (Sheikh, 2003). The dependent variable becomes the natural logarithm of the odds when a positive choice is made. The odds ratio and predicted probability of the independent variables indicate the influence of these variables on the likelihood of adoption of improved technology if other variables remain the same. Hence, if the estimated values of these variables are positive and significant, it implies that the farmers with higher values for these variables are more likely to adopt improved soil conservation technology

$$P_i = \frac{1}{1+e^{-Z_i}} \quad (1)$$

Where P (i) is a probability of adopting a given practice for ith farmer and Z (i) is a function of m explanatory variables (Xi), and is expressed as:

$$Z_i = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_m X_m \quad (2)$$

Where,

β_0 Is the intercept and β_i are the slope parameters in the model. The slope tells how the Log-odds in favor of adopting soil conservation practices change as independent variables change by a unit. Since the conditional distribution of the outcome variable follows a binomial distribution with a probability given by the conditional mean P_i , interpretation of the coefficient will be understandable if the logistic model can be rewritten in terms of the odds and log of the odds (Hosmer and Lemeshew, 1989.) Since the conditional distribution of the outcome variable follows a binomial distribution with a probability given by the conditional mean P_i , interpretation of the coefficient will be understandable if the logistic model can be rewritten in terms of the odds and log of the odds. The odds to be used can be defined as the ratio of the probability

that a farmer uses or adopts the practice P_i to the probability that he or she will not P_{i-1}
 But,

$$1-P_i = \frac{1}{1+e^{-Z_i}} \quad (3)$$

Therefore,

$$\frac{P_i}{1-P_i} = \frac{1+e^{Z(i)}}{1+e^{-Z_i}} = e^{Z_i} \quad (4)$$

$$\frac{P_i}{1-P_i} = \frac{1+e^{Z(i)}}{1+e^{-Z_i}} = e^{\beta_0} + \sum_{i=1}^M \beta_i X_i \quad (5)$$

And

Taking the natural logarithm of the odds ratio of equation (5) will result in what is known as the log it model as indicated below:

$$L_n \left[\frac{P_i}{1-P_i} \right] = L_n [e^{\beta_0 + \sum_{i=1}^M \beta_i X_i}] = Z_i \quad (6)$$

If the disturbance term U_i is taken in to account the log it model becomes:

$$Z_i = \beta_0 + \sum \beta_0 X_i + U_i \quad (7)$$

Hence, the above econometric model was used in this study and was treated against potential variables assumed to affect the farmer decision of soil conservation practices. The parameters of the model were estimated using the iterative maximum likelihood estimation procedure. The later yields unbiased and asymptotically efficient and consistent parameter estimates. Therefore, the above econometric model was used in this part of the study to identify determinant variables that influence adoption practices of land management in the study area.

Definition of Variables and Working Hypothesis

1. **Dependent Variable:** The dependent variable for the adoption model indicates whether a household has adopted SLM practices ("adopt" versus "not-adopt"). Therefore, in this study adopters are households who adopted at least one SLM practices while non-adopters are those who did not adopt any of these land management practices. SLM technologies/practices include adoption of improved terraces, hedge plantation, construction of check dams and terrace bunds, whereas indigenous technologies include mulching, slope terraces, retention walls, plantation of shrubs and trees at the edge of farm terraces, diversion drains, and waterways. Improved and indigenous SLM practices were identified based upon field observation and discussion with farmers. In this study, a farmer who has adopted at least one improved soil conservation technology, either as recommended by extension workers or with some modification, was defined as adopter. A value of "1" was assigned to all households who adopted at

least one improved SLM practices (the 'adopters') and "0" was assigned to households using only indigenous SLM practices (the "no adopters"). Whether or not to adopt any SLM practices is determined by personal, social, economic, institutional, and geographical factors. These variables were treated as explanatory variables in this study.

2. *Selection of Explanatory Variables and Expected Impact on Adoption:* Adoption of SLM practices/technologies in the study area is a complicated process similar to the other research in agriculture technology adoption (Doss 2006; McDonald and Brown 2000) that may be influenced by a set of interrelated personal, social, economical, institutional, and biophysical factors (Table 1).

Table 1: Definition of all the explanatory variables used in the model

Variable	Description
Adoption	A value of "1" was assigned to all households who adopted at least one improved SLM practices (the "adopters") and "0" was assigned to households using only indigenous SLM practices (the "no adopters").
Demographic factors	AGE Age of the household head in years
	HHSIZE Number of people in the household
	EDUCATION Literacy of the household head; 1 if literate and 0 otherwise
	SEX Gender of the household head; 1 if male and 0 otherwise
Institutional factors	Family-labour Potentially available family labour force
	TENURE Whether a farmer perceives a risk of loss of land in the future; 1 if he/she perceives 0 otherwise
Physical Factors	MEMBSHIP Membership in local organizations; 1 if a farmer is a member and 0 otherwise
	TRAINING Whether training about SLM practice received by the farmer; 1 if a farmer got training and 0 otherwise
	CREDIT ACCESS Whether a farmer needed credit and was able to get it; 1 if he/she accessed 0 otherwise
	EXTENSION VISITS Number of extension visits received
Economic Factors	FMSIZE The size of the farm, in hectares
	DISTANCE Average distance of a plot from homestead, in minutes
Attitudinal Factors	SLOPE Slope of the plot; 1 if steep and 0 otherwise
	OFFINCOM Whether a farmer engaged in off-farm employment, 1 if a farmer has off-farm employment and 0 otherwise
Attitudinal Factors	TOTAL INCOME Estimated average income earned annually
	LIVESTOCK Number of livestock's in TLU ¹
	PERCEPTDEGRADATION whether a farmer perceives land degradation as a problem; 1 if farmer had perceived land degradation as a problem and 0 otherwise
	PERCEPTSLM whether a farmer anticipates introduced structures effective in retaining soil from erosion; 1 if a farmer anticipates soil retention due to structures and 0 otherwise

IV. RESULT AND DISCUSSION

a) Descriptive Statistics

In order to investigate the presence of group means difference with respect to the hypothesized socio-economic, biophysical and institutional factors uni-variate tests were used. Student's t-test and Chi-square test were used, respectively to identify potential continuous and dummy variables differentiating adopters from non-adopters. Adopters and non-adopters significantly different in three of the nine hypothesized continuous socio-economic variables (Table 2). The survey results showed that landholding size of total sample households ranges from 0.125 to 4.00 ha with a mean of 1.29 and standard deviation of 0.79 ha. The average landholding size of adopters and non-adopters were 1.54 and 1.27 ha with a standard deviation of 0.99 and 1.05, respectively. There was a

slight difference in the mean size of landholding between the two groups. However, the result of t-test showed that the mean landholding size difference between the two groups was significant. Land is one of the most important production factors for agricultural production. In rural households, in the study area land and labor account for the largest share of agricultural inputs. Hence, the quality and quantity of land available for farm households largely determine the amount of production. When land holdings are intensively fragmented and scattered much time and energy are lost in moving from one plot to another and make difficulty in application of organic manure. Therefore it is possible to conclude that plots of land located relatively closer to one another and to homes of land users get the opportunity to be more conserved as compared to those located farther apart and fragmented. Land ownership system has its own impact on the way

farmers adopt land management practices. Evidence from many parts of the world suggests that lack of control over resources is one of the major reasons for the degradation of natural resources. It is argued that farmers' decisions to investment on land management

activities as well as their choice and implementations of land management practices are affected by tenure security. Some argue that private ownership is vital, because it encourages farmers to invest on and opt for efficient and lasting practices (Belay, 2000).

Table 2: Continuous Variables Differentiating Adopters from Non-Adopters of SLM Practice/ Technologies among 224 Sample Households

Variables	Adopters		Non-adopters		t-value
	Mean	Standard Deviation	Mean	Standard Deviation	
Household Size (in number)	6.4	1.7	6.7	1.8	0.232
Age of household head (in years)	51.5	14.4	49.05	13.76	-0.36
Education status of household head (in years)	3.1	1.06	3	0.99	3.46**
Land holding size (in hectares)	1.54	0.99	1.27	1.05	2.251**
Farming Experience (in years)	27	13.42	24	11.87	0.232
Distance of plots from residence (in Kms)	0.57	0.221	0.68	0.46	0.96
Off-farm income (in ETB)	452.5	123.67	376.42	99.56	0.87
Livestock holdings (in TLU)	3.45	1.02	3.04	1.20	2.86**
Extension contact(in number)	1.02	0.76	0.98	0.78	1.98*
Size of labour force	3.02	1.66	2.96	1.54	3.65**

**indicates significant at 10%and 5% probability level respectively. One TLU is equivalent to a 250-kilogram animal in terms of feed requirements.

Livestock is an important component of the farming system in the study area. A vast majority of the sample households included in this survey own animals of different kind. Cattle, donkeys, horse sheep, goats and chicken are common domestic animals. Small ruminants and chickens were sold and serve the purpose of immediate cash needs at times of cash shortage. The size of livestock owned indicates the wealth status of the household. The average size of livestock in TLU was found to be 3.45, 3.79 and 3.04 for total sample households, SLM adopters and non-adopters with a standard deviation of 1.02, and 1.2, respectively. About 33% of total sample household heads has more than five TLU sizes of livestock. The main purpose of keeping livestock is for draught power. Livestock products such as milk and meat have secondary importance to the farmers. Small ruminants are mainly used as income sources as well as for household consumption. The livestock production system commonly found in the villages is an extensive system where open grazing is the main style of feeding. The t-test revealed that there is significant difference in the number of oxen owned by farmers who have adopted SLM practices and those who have not.

The number of labour force available in the family is assumed to influence decision of farmers to adopt SLM practices. Families with large household members will be able to supply the extra-labour that could be required for adoption and continuous implementation SLM activities. Family labour is the main source of farm labour except for potato production for which farmers commonly use hired labour. Labour is highly demanded during planting and harvesting

seasons in the study area. Due to shortage of agricultural land in the area, some farmers may also leave their village looking for employment in other places during the months of September to December. In addition, the result of t-test revealed that there was significant difference in the mean size of labour force between adopters and non-adopters. The average available labour was calculated to be 2.95person per day for total sample households, 3.02person per day for adopters and 2.96 person per days for non-adopters, with a standard deviation of 1.68, 1.66, and 1.54, respectively.

In the study area, the most important sources of information cited were through communication with relatives and neighbors, community leaders, and the government's mainstream agricultural extension program. Farmers' pointed out the governments' extension service as the most important one. In addition, they further revealed that information about input supply and use, land management practices; and soil and water conservation practices are among the aspects covered by the extension services. Access to extension service is very important element of institutional support needed by farmers to enhance the use of agricultural technologies in general and soil and water conservation technologies in particular. Three Development Agents (DA's) were assigned in each sample *kebeles*. It was expected that sample farmers in the study area have an access to extension services through the DAs, attending field days and trainings. However, about 22% of adopters, 43% of non-adopters have reported that they did not get extension services (visits) in the year 2015/016. Development agents had visited about 56%

of sample households from one to three times per month. The average monthly frequency of extension visits was found to be 0.97 and 0.70 for users and non-users with a standard deviation of 0.80 and 0.83, respectively. The mean monthly extension visit difference of the two groups was found to be statistically significance.

b) Descriptive Statistics of Categorical Variables

Generally, adopters and non-adopters not only vary in terms of quantitative variables but also in terms of qualitative variables. It was, therefore, quite essential to use a method of testing the differences between adopters and non-adopters.

From the total 224 sample household heads, 84 (37.5%) were men's and 140(62.5%) were women's respectively (Table 3). The majority of adopters of the SLM Practices (63.36%) were male-headed households while only 36.63 % were female-headed households. Chi-square test results show that there is a statistically

significant difference between adopters and non-adopters in terms of sex of the household heads at 10% probability level. Overwhelming majority of farmers disclosed that their land productivity is declining with each passing year due to soil erosion. Farmer's perception about the existence of land degradation problem on their farm plots, causes of the problems as well as its consequences might make farmers to adopt and continuously implement SLM measures. The majority of the sample household heads (78.12%) have perceived the problem of soil erosion on their farm plots. From this, only 58.28 % of households adopted SLM practices/ technologies at least in one of their plots. This can imply that perceiving the problem of land degradation problem is cannot always be a guarantee for adoption of SLM practices/ technologies. The difference between the two groups with respect to perceiving the existence of land degradation on farm plots was statistically significant.

Table 3: Dummy variables differentiating SLM adopters from non-adopters of SLM practices among 224 sample households

Variable	Score	Adopter	Non-adopter	Total	χ^2
Sex	0	37	47	84	8.65***
	1	64	76	140	
	0	17	32	49	
Perception	1	102	73	175	6.25***
	0	34	52	85	
Degree of slope of the plot	1	77	62	139	1.34
	0	87	22	109	
Access to credit service	1	88	27	115	7.05***
	0	33	37	70	
Land certification	1	98	56	154	9.63***
	0	56	62	118	
Prior public conservation campaign	1	72	34	106	1.02
	0	56	62	118	

***: significant at <1 probability level.

In the study area, it was found that only 51.34 % of the respondents have reported obtaining credit at least once since the last five years. Whereas, 48.66 % of respondents have not obtained credit from formal sources. When the data analyzed by disaggregating into adopters of SLM practices and that of non-adopters, it was assured that 79.81% of those who were adopted and continuously practiced SLM practices have obtained credit, but only 20.18% has got credit from those non-adopters. The Chi-square analysis disclosed that there is a significant association between access to credit service and adoption of SLM practices and it is significant at 10% level of significance. This could prove that farmers who have access to credit have a higher probability of adopting and retaining SLM practices/technologies than those with no access. Focus group discussions revealed that more than half of the farmers are cultivating erosion prone areas. It was

revealed that there are some steep slope areas that shouldn't be under cultivation due to their nature, but are now coming under cultivation due to population pressure. This is a major challenge that seems to exacerbate land degradation. Key Informant Interview also confirmed that the slope of the farm land is highly related to the degree of involvement in management activities. Farmers living on steep slope are involved more in the continued use of management measures than those who own flat or gently sloping farm lands. Credit sources for purchase of livestock and crop production are not satisfactory. Although credit facilities are available from microfinance institutions such as Oromia Saving and Credit Share Company and *Busa Gonofa* microfinance, most farmers do not use the services because of fear of risks associated with crop and livestock performance failures that could lead to failure of repayment of the loan. As survey result shows

(table2) only 13.3% of the respondents used microfinance service. Moreover, the credit services provided by the micro-finance institutions are group based; which makes individual farmers accountable for the group members who are unable to pay their loan. It was also indicated that the service provision is limited to only once per year so that it may not be available when it is needed most.

c) *Causes of land Degradation in the Study Area*

The contributing factors for land degradation are multifaceted and miscellaneous. It is the result of complex interaction between physical, biological and socioeconomic issues. Response to the inquiry on whether the study area households perceived land degradation as a problem in their farm lands have shown (table 4) that 72% of the surveyed respondents perceived land degradation as being a serious problem in their farming and grazing plots. As indicated (table 4), the major cause of land degradation mentioned by 98 % farmers was lack of conservation structures. The farmers' perceived various causes of land degradation in their farmland and surrounding landscapes. Overwhelming majority of farmers' in the study areas were aware that land degradation in various forms and levels was happening on their farm lands as well as in the surrounding landscapes. Table 4 presents the locally perceived land degradation causes that were mentioned by the respondents as being the contribution of the

farming practices to the observed land/soil degradation in the study areas. About 35 % of the respondents associated land degradation to low adoption and sustained implementation of soil and water conservation measures used in their farmlands while 32.5%, 30.83%, 28.33%, 27.5%, 25.83% and 18.33% considered Cultivation of marginal areas and steep slopes; overgrazing and continuous cropping; torrential rains (high intensity rainfalls); expansion of eucalyptus trees; deforestation and clearing of vegetation and soil erosion vulnerable soil type reported to be responsible for the land degradation and soil erosion proms respectively. This finding clearly corroborates with Bekele and Holden (1998) report which elucidates those vast areas of the highlands of Ethiopia could be classified as suffering from severe to moderate soil degradation. Increasing intensification and continuous cultivation on sloping lands without supplementary use of soil amendments and conservation practices poses a serious threat to sustainable land use. In addition, Brown and Wolf (1984) stated that the apparent increase of soil erosion over the past generation is not the result of a decline in the skills of farmers but rather the result of the pressures on farmers to produce more. Hence, farmers of the study area were aware of soil erosion but they are forced to intensify and produce more food crops for their basic livelihood.

Table 4: Farmers' Perception on Land Degradation and soil erosion in the study area

Farmers' perceived causes land degradation	Frequency (n=120)	Percentages
Overgrazing and continuous cropping	37	30.83
Deforestation clearing of Vegetation	31	25.83
Cultivation of marginal and steep slope areas	39	32.5
Low adoption of conservation measures and practices	42	35
Torrential rains/high intensity of rainfall (extreme weather events)	34	28.33
Erosion vulnerable soil type	22	18.33
Expansion of Eucalyptus Trees	33	27.5

* Note: A multiple response frame was used. Hence, total count is more than the number of respondents

d) *Land Management Practices in the study area*

Any land management practice, to be effective, needs to be economically feasible, socially acceptable and environmentally friendly. The researcher focused on the land management practices, especially introduced and indigenous land management practices

productivity and woody biomass production. Some of their indigenous practices were effective, despite some limitations. Farmers were asked to explain indigenous land management measures which were implemented on their farm and the surrounding land. Their answers were summarized in the table 5 below.

i. *Adoption of Indigenous SLM Practices/ technologies*

For generations farmers in different parts of the country used to apply their own indigenous SLM practices to halt land degradation, improve soil

Table-5: Indigenous Land Management Practices

Indigenous land management practices	Frequency (n=224)	Percentage
Crop rotation	157	70
Crop residue	102	45.53
Fallowing	91	40.62
Traditional waterway	134	59.82
Mixed cropping	67	29.91
Animal manure	138	61.6
Furrow	149	66.51

As one can understand from Table-5, the most widely implemented indigenous were crop rotation (70%) followed by furrow (66.51%) of the respondents. Results of the FGD revealed that low implementation of crop rotation resulted from habitual cultivation of one type of crop on the same plot of land and from low awareness; however, less admission to fallowing was due to large population whereby no land is left fallow. Crop rotation is one of the most important means of improving soil fertility as well as conserving the soils. It is a system by which nitrogen restoration is attained by alternating different types of crops on the same cultivated land. This practice is considered to be very effective in maintaining the nitrogen status of the soils where leguminous plants are included in the rotation (Belay, 2000). Similarly, a study conducted in Tigray region indicated that farmers were choosing which crops to grow in rotation according to how they adapt to the soil and the rainfall pattern as well as economic consideration such as the price of the crops to be chosen (Corbeels et al 2000). Crop rotation, one of the most widely applied soil fertility enhancing measures has a number of functions as well as benefits to the farmer. According to Belay (2000), crop rotation improves the soil fertility and controls the spread of weeds and insects. High application of animal manure was attributed to livestock production by the mixed farmers in the study area. The use of animal dung, ash and household trash to crop land as manure is common

practice to improve soil fertility. In the study area, this is well manifested in the homestead gardening or at backyards. Description of indigenous practices of manuring shows highest concentration of manure around the homesteads (Herweg, 2002).

ii. Adoption of Introduced SLM practices/ Technologies

The introduction of SLM practices in the country has dated back many hundred years. However, the most recent attempts, which are more focused and extensive, started after the 1973-74 droughts in parts of the country. Long-term productivity and sustainability of the land resource requires sound land conservation measures in the farming systems that enhance maintenance and/or improvement of soil and land quality in general. This is an important consideration as it influences agricultural productivity and local livelihoods. In many instances, environmental degradation has stimulated a variety of responses and adaptation mechanisms by local communities. This study made an enquiry on whether farmers had undertaken any deliberate efforts to protect their land holdings from soil degradation. Majority of respondents (63.75 %) indicated to have used one or more SLM Practices in their farms as a means of adjusting and adapting to land degradation processes. Graph2 presents the various SLM practices as mentioned by the interviewed farmers.

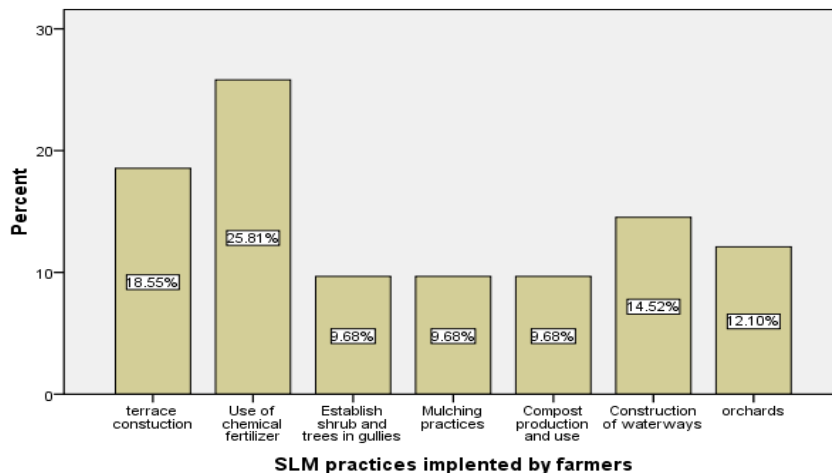


Figure 2: Adoption introduced of SLM practices implemented by farmers in the study area

As discussed by Shiferaw and Holden (1998), construction of bunds is arduous and labor intensive, requiring as much as 100 person days to construct a bund on a small quarter-hectare plot. Furthermore, opportunity costs can be very high, with bunds taking up 10–20 percent of cultivable area and even more on sloped plots. Bunds therefore actually reduce the area under cultivation by a significant percent. If farmers are to be benefited from installing bunds, productivity must not only increase, but must increase by more than is lost by the reductions in cultivation area. As found by Kassie, (2005), drier areas offer higher returns to bunds than wetter ones. The combination of wet conditions and complications associated with small plots where bunds occupy significant portions of cultivable area, and difficulties in plowing appear to drive these results. The reasons behind limited implementation of the modern measures of land management as reported by FGD participants were different. Mulching was implemented by more significant proportion of the sample household heads due to the fact that crop residue disposed on their farm brought about better result in keeping the land protected from evaporation of its moisture and also breaks up heavy rain drops thereby minimizing run off. Fairly more than half 60% of the sample households have developed grass strip. This measure has double advantage; for land management and for animal feeding.

e) *Constraints to Community Participation in Sustainable Land Management (SLM) Practices*

Community participation in sustainable land management practices is of great importance as it seeks to guarantee access and control over resources by the communities living in them, but who depend on these resources to satisfy their various needs (ecological, economic, social, cultural and spiritual needs). Community participation ensures more commitment in ensuring that resources are more sustainably managed, where apart from communities depending on these resources for a living and conserving them, they at the same time become their guardians (Arega and Hassan, 2003; Tesfaye, 2003; Lakew et al., 2000; Yilkal, 2007; Habtamu, 2006). The active participation of various stakeholders in decision making is crucial for ensuring the long term sustainability of community-based resource management initiatives. In several occasions however, sustainable land management has not received the expected involvement of local communities. Some of the reasons that have influenced the local people's participation SLM practices in the study area are discussed here.

Table 6: Constraints to Community Participation in Sustainable Land Management (SLM) Practices

Constraints to adoption of SLM practices	Frequency(n=224)	Percentage (%)
Lack of incentives	72	32.14
Labour intensiveness	66	29.46
Land shortage	69	30.8
Financial constraint(Poverty)	109	48.67
Complexity Conservation measures	76	33.93

*Note: n is frequency of responses (multiple) for each measure

A financial constraint (poverty) was the main reason reported for not being able to implement SLM practices (mentioned by 48.67% of people as presented in table 7). Artificial fertilizer, ranked most highly in terms of their capacity to improve the soil is also the most expensive measures. It does not follow however that is the poorest that degrade the land most (or that it is the wealthiest who invest most in the land, as shown above). The poorest are often eager to sell their labor, as they are desperate for cash income to buy necessities. In so doing they are rarely able to cultivate all their own fields and so these fields benefit from more regular following than those belonging to wealthier people. This defends Dejene *et al* (1997) findings that the poor face financial and socio-economic constraints which seriously impede management practices and innovations. Lack of adequate incentive was the main reason that people cited for being unable to implement

SLM Practices (reported by 32.14% of people as presented in table 7). Land quality is important variable affecting incentives in this area. The FGD data reveals that that 'the more productive or profitable the land use the more farmers will be willing to maintain and invest in better land management and erosion control practices. Relatively flat, irrigable land suitable for vegetable production generates greater returns to labor and capital, and therefore a stronger incentive to invest. Thus it receives much more attention than steeply sloping fields given to maize and beans.

Land shortage was the main reason that people cited for being unable to implement erosion prevention methods (30.8%) as trees and terraces both absorb land and trees further shade crops. It was also cited as a constraint to improving fertility by 37% of people (referring to the desire for longer and more frequent fallows). Thus population pressure, (as it lowers per

capita land availability), could be regarded as a factor contributing to degradation in Study areas but other factors affect whether this results in intensification with soil improvement or degradation. Local people will not convert their ladder terraces into more permanent terraces because they say they would be too labor intensive to maintain (it would involve digging residues into the soil twice annually rather than pulling soil down slope to bury them). With significant rates of out-migration, labor can hardly be said to be a constraining variable to land improvement—thus returns to labor, as outlined above, must be regarded as more significant. The survey result also revealed conservation measures are so complex that they do not understand exactly how to go about their implementation (noted by 33.93 % of people). This arises due to lack of consultation with the community in enacting the policies. This point is consistent with the view of Rogers (Reed and Dougill, 2009; Reed et al, 2006), that innovations which are difficult to understand and implement are less likely to be adopted than technically simple ill innovations, although the scientifically rigorous indicators used in the top-down paradigm may be quite objective, they may also be difficult for local people to use. It was reiterated that some of these measures require financial investment which they do not have, and therefore they are unable to implement them.. This lowers the productivity and income of the poor and reinforces the "vicious cycle" of poverty and natural resource degradation. This means that if land degradation is to be managed sustainably, and then the communities need to be involved in the planning process and resourced to implement projects introduced by authorities

Also the others the reasons elucidated was the taking too lightly the severity of the land degradation risk by many people in the area. Where the tenure system is not guaranteed individual farmers may not be concerned with problems of land degradation regardless of their holdings being at risk as such land degradation is considered as a general community problem. Such attitudes may result in no action being taken against land degradation even when there are no clear hindrances. The implication of the foregoing is that effective conservation is likely to be achieved when land tenure systems are properly secured and articulated. Thus efforts are needed to ensure integrated community-level planning that could promote individual farmers efforts without undermining community interests. Adoption and/or practicing certain SLM measures are much influenced by the farmer's economic situation, including resource endowments. For instance, farmers with sufficient land holdings can afford to conserve by following and constructing various physical SWC structures, while land constrained farmers may not. Similar experiences would be the case for

other conservation measures that require heavy investment by the farmer, for example making of soil erosion control structures that may need additional labour, and using fertilizers and/or manure.

From the in-depth interviews held with FGDs participants on management, institutional barriers were identified as another challenge of community involvement. Poor coordination between farmers, traditional/local authorities and NGOs was seen as a major barrier to land management in the area. Reasons assigned for the lack of coordination were conflict of interest among stakeholders, especially concerning resource use and control, the seemingly entrenched stance of some traditional or local authorities on issues relating to land and its use, and the difficulty in convening meetings of all stakeholders to identify priority projects to be undertaken. The lack of coordination among stakeholders (farmers, traditional authorities, governmental agencies, NGOs, etc) sometimes results in duplication of efforts in some areas whereas other places receive little or no attention at all. Furthermore, lack of genuine involvement between local communities, NGOs and governmental agencies who undertake conservation projects is holding back sustainable land management in the in the study area. This situation often results in a top-down approach to planning. For example, authorities design conservation plans with the scientific knowledge available and then take them to the people for execution, a process which usually leads to inappropriate execution or to the failure of some conservation efforts. Also, a top-down approach may result in the location of projects at sites that may not be fitting to the inhabitants. The household survey reveals that most projects which did not involve the local people at certain levels of planning failed. 79% of the interviewed farmers held the view that their knowledge is very relevant to any intervention exercise and therefore should be sought before any plan is implemented, whereas 21% held a opposing view. Those who saw the relevance of local participation in land management stated that local people should not only be viewed as a labour pool for conservation projects but as people whose experience in the area as land users has given them enough knowledge to share.

Conservation practices are adopted when local communities have satisfied basic needs. Besides population pressure, other factors also need to be evaluated, such as the support of public institutions and sufficient cohesion of local communities, especially a strong community organization. The combination of these factors will result in the decision and the capacity of land users to invest time and resources in land conservation. Decision-making about land management and land degradation should encompasses, among others, factors that may be biophysical (agro-ecological conditions, location), economic (access to credit and

markets, non-farm incomes, availability of technologies), social (organizational structure, labor availability, land tenure), historical (environmental history and that of land tenure) and cultural (traditional knowledge, environmental awareness, and gender). Socioeconomic and cultural factors should receive crucial attention in policy decision-making. For instance at a time, the attitude of local communities may be more critical than the availability of technology; the latter, although an important issue, may only be a tool to achieve goals in a social context.

f) *Econometric Analysis of Determinants of Adoption of SLM Practices*

Logistic regression model was used to address the second objective of the study. That is to identify the factors that affect adoption of the introduced land management practices in the study area. The likelihood ratio test statistic exceeds the chi-square critical value with 12degrees of freedom. The result is significant at less than 1% probability level indicating that the hypothesis that all the coefficients except the intercept are equal to zero is not acceptable. Likewise, the log likelihood value was significant at 1% level of significance. Another measure of goodness of fit used in logistic regression analysis is the Count-R², which indicates the number of sample observations correctly predicted by the model. TheCount-R² is based on the principle that if the estimated probability of the event is less than0.5, the event will not occur and if it is greater than 0.5 the event will occur. In other words, the ith observation is grouped as non-adopters if the computed probability is greater than or equal to 0.5, and as adopter otherwise. The discussion about the significant variables is given below.

Age of the Household Head: This result suggests that older farmers are less likely to adopt SLM practices. This could be explained by the fact that older farmers have a short planning horizon compared with younger colleagues. This is in line with the findings of Anley et al. (2007) and Shiferaw& Holden (1998).

Off- Farm Activities: Adoption of SLM practices also found to be negatively influenced by off-farm activities. This is because farmers who are involved in off-farm activities may encounter time and labour constraints for investing in bunds. This is in line with other findings (Tenge et al., 2004; Amsalu and deGraaff, 2007).

Number of livestock owned: The number of TLUs is positively related to the decision of compost/manure investment. This is because animal manure is one of the major inputs for compost/manure production. As hypothesized, this variable affected adoption of SLM practices s positively and significantly at 5% probability level. The marginal effect for this variable shows that keeping all factors constant an increase in livestock ownership by one TLU increases the probability of SLM Practices adoption by 0.031.

Extension contact: As hypothesized, frequency of extension contact is found to have a significant positive effect on the adoption of SLM Practices s at 10% probability level. This may be explained by the fact that the message/contents that farmer gain from extension agents help them to initiate to use the newly introduced land management practices on their farm to protect their land from erosion and improve its fertility. Therefore, contact between a farmer and development agent and information gained accelerate the attitude of farmers towards SLM practices positively, and the decision of farmers to invest on SLM Practice on his/her land (Tesfaye 2006). Many other case studies too revealed that low adoption of rainwater harvesting technology were due to lack of extension services (Nasr, 1999; Kihara, 2002; Mitiku and Sorsa, 2002; Ngigi, 2003). The marginal effect value for farm size shows that keeping all factors constant an increase in extension contact by one e increases the probability of SLM Practice adoption by 0.032.

Farmers' perception on effectiveness of introduced land management practices: This variable is hypothesized to influence land management practices adoption either positively or negatively. The model results show that this variable has a significant positive impact on land management practices. The variable is significant at less than 5% probability level. As hypothesized, farmers' perception of effectiveness of SLM measures influence households' decision to invest on introduced land management practices positively.

Table 4: Analysis of Determinants Using Binary Logistic Regression Model result for perception of the effects of land degradation risks

Variable	β	SE	Z	Sig	Odd Ratio
AGE	2.142**	0.562	0.862	0.0671	0.025
HHSIZE	0.235	1.320	1.230	0.215	0.0670
EDUCATION	0.072*	1.892	2.290	0.021	0.201
SEX	0.040**	3.536	0.968	0.091	0.056
FAMILY-LABOUR	0.235*	0.360	0.386	0.026	0.024
TENURE	0.042**	1.765	0.564	0.086	0.210
MEMBERSHIP	0.246	1.156	1.961	0.534	0.056
TRAINING	0.836*	2.034	0.862	0.020	0.092
EXTENSION VISIT	0.865*	0.458	1.926	0.031	0.032
FRMSIZE	2.280	0.985	0.862	0.915	0.042
LIVESTOCK	0.965*	2.045	1.926	0.020	0.031
TOTAL INCOME	1.626	1.963	0.034	0.234	0.023
OFFINCOME	-0.025*	2.094	2.026	0.0251	0.031
DISATANCE	-0.965**	1.096	0.648	0.096	0.802
CREDIT ACESS	1.028*	2.064	1.025	0.020	0.035
SLOPE	2.860**	2.021	1.806	0.091	0.020
PERCEPDEGRADATION	0.689*	1.091	0.962	0.031	0.380
PERCEPTSLM	1.096**	2.026	0.863	0.062	0.031
Constant					

Model Chi-square 102.280
 Log likelihood function 92.165
 Nagelkerke (R²) 0.75
 Number of observation 224

** , * Significant at 0.1 and 0.05 probability levels, respectively

Perception of severity of land degradation: This variable indicates the severity of soil erosion as perceived by the farm households. The variable positively influenced the adoption of SLM practices/ technologies at less than 1 percent level of significance. The reason for this is that farm households' awareness of the erosion hazard is attached to their perception of the negative consequences of soil erosion and benefits of soil and water conservation. This could be explained by the fact that those farmers who have perceived soil erosion as a serious problem were willing to participate in conservation strategies of land management. Those farmers, who have better perception of soil erosion, will develop good initiations towards management scheme and become less dependent on external assistance for undertaking land management activities.

Educational level of sampled household head: As hypothesized, education of the HH head was found to be positive and having a significant influence on the adoption of improved soil conservation technology. This implies that longer schooling of the HH head increased their ability to access information, and strengthened his/her analytical capabilities with new technology. Furthermore, a longer education leads to a better understanding of the new technology when reviewing the different extension materials, which enhanced adoption of improved technology. Many authors report that education has a positive impact in the adoption of improved soil conservation technology (Lapar and Ehui

2004; Mbaga-Semgalawe and Folmer 2000;). The findings of this study on the effect of education were close to that of other studies conducted previously. Adoption of a given technology is a behavioral change process, which is the result of a decision to apply that particular innovation. Farmers need enough information about the technology to make the right decision. Education enhances the capacity of individuals to obtain, process, and utilize information disseminated by different sources. This implies that literate farmers are in a better position to get information and use it in such a way that it contributes in their adoption of SLM Practices. As hypothesized, educational level of household heads was found to be a significant at less than five percent probability level. This may be explained by the fact that those farmers who were more educated are likely to use introduced land management than the non-educated farmers in the study area. This is because, educated farmers were more opt in understanding the problem of land degradation and could easily decide to take part in conservation strategies of land management practices . This is attributable to the fact that education reflects acquired knowledge of environmental amenities and educated farmers tend to spend more time and money on land management practices. The marginal effect value for education shows that keeping all factors constant an increase in education by one year increases the probability of adoption of SLM Practices by 0.201.

Land tenure: Farmer's feeling about the land belongs to him/she will have a positive effect on his/her decision to adopt land management practices. The lack of title to land is one important factor affecting adoption of SLM Practices because lack of tenure security means that people are reluctant to invest in new land management practices on a land which they do not formally own. Therefore, farmers' perception that the farmland he/she owns will remain his/her owns at least during his/her lifetime affects the decision on land management practices. For farmers' to be able to carry out long or medium term investment, they require security of tenure. This does not necessarily mean that they have to have individually documented proof of title rather need the feeling of ownership to make sure that the land will be theirs to work in the foreseeable future, and not unpredictably taken away and reallocate to somebody else. This variable is found to significantly and positively affect the independent variable, SLM Practice. This is because to adopt and invest on land management practices, first there should have a sense of ownership so that farmer can take care of his land.

Slope of the farm plots (SLOP): This variable positively influenced the adoption of SLM practices/ technologies at less than 1 percent level of significance. The significant positive terms in adoption of conservation practices indicate that farmers are inclined to invest in conservation practices where their farm plots are located on higher slopes. The slope of a plot also affects the adoption of land management structures because the steeper the slope, the more likely the land will be exposed to degradation. Hence, it is believed that adoption of physical land structures tends to be likely on steeper slopes This goes with the perception that those plots can only be productive if protected by conservation structures. On the other hand, Berhanu and Swinton (2003) have stated that an increase in the slope of the plots may create a disincentive to invest in soil conservation practices as the slope of the plot increase the distance between two consecutive terraces will decrease because the structures of SLM measures occupy more area of land and will create inconvenience for farm operation. Slope is an indicator of the likelihood of degradation on the land. But, Lapar (1999) in the Philippines found that the slope of a plot to be one of the factors significantly influencing the adoption of land management. Their results suggest that a farmer who operates a field with steeper slope is more likely to adopt the land management technology.

g) Conclusion and Policy Implication

The findings of this study have important policy implications for promoting sustainable land management practices and technologies in the study area. Descriptive data analysis showed that only 63.75 % of the HH adopted SLM practices. Farmers reported that the improved terraces are effective in reducing soil

erosion, though they were not common due to high labor cost and inconveniency for ploughing with oxen. A range of socio-economic, institutional, personal and biophysical factors determines adoption of SLM practices in the study area. The result of the binary logistic regression model showed that SLM practices is significantly influenced by education, tenure security, livestock ownership, perception of severity of land degradation, perception of effectiveness of SLM measures, off-farm activities, credit services access, age of households, slop of the plot ant etc. Planners and policy makers should formulate appropriate policies and programs considering the farmers' interest, capacity, and limitation in promoting improved soil conservation technology for greater acceptance and adoption by the farmers. Any future land management efforts should give a due attention to genuinely involve farmers in entire process of any land management interventions from technology generation to final monitoring and evaluation. Generally, this study recommends that decision-making about land management and land degradation should encompasses factors that may be biophysical (agro-ecological conditions, location), economic (access to credit and markets, non-farm incomes, availability of technologies), social (organizational structure, labor availability, land tenure), historical (environmental history and that of land tenure) and cultural (traditional knowledge, environmental awareness, and gender.

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Determinants of Adoption of Sustainable Land Management (SLM) Practices among Smallholder Farmers' in Jeldu District, West Shewa Zone, Oromia Region, Ethiopia

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Abstract- Land degradation in form of soil erosion and fertility loss are ruthless problems in developing countries including Ethiopian Highlands, which have serious implications for food security and livelihoods of local farmers in particular and the nation in general. Low land productivity due to land degradation in form of soil erosion is one of the leading challenges to improving the performance of the smallholder farming system sector in Ethiopia. In this context, the adoption of Sustainable Land Management practices/ technologies is quite crucial to increase agricultural productivity, ensure food security and improve the livelihoods of smallholder farmers. Farmers recommend various SLM practices/technologies for sustainable implementation, but adoption of such agricultural land management practices/ technologies is still very low. There is no clear understanding of the problems encountered by farmers in the adoption of recommended SLM practices/ technologies.

Keywords: *sustainable land management practices, adoption, smallholder farmers'.*

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Abstract- Land degradation in form of soil erosion and fertility loss are ruthless problems in developing countries including Ethiopian Highlands, which have serious implications for food security and livelihoods of local farmers in particular and the nation in general. Low land productivity due to land degradation in form of soil erosion is one of the leading challenges to improving the performance of the smallholder farming system sector in Ethiopia. In this context, the adoption of Sustainable Land Management practices/ technologies is quite crucial to increase agricultural productivity, ensure food security and improve the livelihoods of smallholder farmers. Farmers recommend various SLM practices/technologies for sustainable implementation, but adoption of such agricultural land management practices/ technologies is still very low. There is no clear understanding of the problems encountered by farmers in the adoption of recommended SLM practices/ technologies. Therefore, the main purpose of this study was to assess the socio-economic, institutional, psychological and biophysical determinant factors that influence adoption of SLM practices/technologies among smallholder farmers in Jeldu district in West Shewa zone. Primary data were collected through household questionnaires surveys, focus group discussions, key informants interviews and personal observations while secondary data were collected from relevant local authority reports and records. A total of 224 households were interviewed. Both Descriptive statistics, binary logistic regression model were used to analyze the data. The computed independent T-test for the mean income difference was statistically highly significance between adopters and non-adopters, suggesting that adopters were in better-off position to improve their livelihood. From the 18 explanatory variables entered into the model, 14 variables were found to be statistically significant at less than 5 to 10% probability levels. These are education level of the household head, farm size, perception of land degradation, effectiveness of SLM practices, frequency of development agent contact and livestock ownership significantly positively affect adoption o land management practices while distance to market affects it negatively at less 10% probability levels. Planners and policy makers should formulate appropriate policies and programs considering the farmers' interest, capacity, and limitation in promoting improved soil conservation technology for greater acceptance and adoption by the farmer.

Keywords: sustainable land management practices, adoption, smallholder farmers'.

I. INTRODUCTION

a) Background and Justification of the study

To feed the world's growing population which is projected to exceed 9.2 billion by 2050 (World Bank, 2009; FAO, 2013; Nkonya *et al.*, 2011.), it will be necessary to boost the production of food. However, land degradation is extensively increasing, covering approximately 23% of the globe's terrestrial area, increasing at an annual rate of 5-10 million hectares, and affecting about 1.5 billion people globally (Gnacadjia, 2012). Processes of land degradation occur in all climatic regions, with 'land' interpreted to include soils, vegetation, and water, and with the concept of 'degradation' implying adverse consequences for humanity and ecological systems (Conacher, 2009; Vlek *et al.*, 2010; Braun *et al.*, 2012; Pingali *et al.*, 2014). Land consists of not only the soil but also the associated natural resources such as water, vegetation, landscape, and microclimate that are components of a larger ecosystem(Thompson *et al.*, 2009; Chasek *et al.*, 2011; Akhtar-Schuster *et al.*, 2011; Reed *et al.*, 2011).As the land is inter-connected with other natural resources such as the air, water, fauna and flora, managing land well, in addition to guaranteeing food supplies, poverty reduction and socio-economic protect environment and natural resources and to provide ecological functions and services in a sustainable manner(World Bank, 2003; Bridges and Oldeman, 1999; Berry *et al.*, 2003; Jones *et al.*, 2003; Stringer and Reed, 2007; Bai *et al.* 2008; Stoosnijder, 2007; Nachtergaele *et al.* 2010; Lal and Stewart, 2013; Zuccaet *al.*, 2014).Land degradation often results from immediate causes such as biophysical causes and unsustainable resource management practices, or with underlying causes including population density, poverty, institutional set up, land tenure and access to agriculture extension, infrastructure, opportunities and constraints created by market access as well as policies and general government effectiveness (Nkonyaet *al.*, 2011; Lambinet *al.*, 2001).

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Ethiopia's economy has its foundation in the smallholder agriculture. Land degradation is a major cause of Ethiopia's low and declining agricultural productivity, continuing food insecurity, and abject rural poverty (Pender and Hazell, 2000; IFAD, 2001; Shiferaw and Bantilan, 2004; (FAO, 2012). The productivity of agricultural economy, which is the backbone of the country's economy, is being seriously eroded by unsustainable land management practices both in areas of food crops and in grazing lands (Leonard, 2003; Shiferaw and Holden 1998). At present extent and speed of land degradation, particularly due to soil erosion is distinguished as a serious threat to the viability of the subsistence agriculture in the country (Lakewet *et al.*, 2000; Le *et al.*, 2014). Its severity is explained by a decline in productivity, formation of rills and gullies in both farming and grazing lands through time (Stringer and Reed, 2007; Bai *et al.*, 2008; Nachtergaele *et al.*, 2010; Lal and Stewart, 2013; Zuccaet *et al.*, 2014). Although the country endowed with enormous biophysical potential, it has been affected by the interlinked and reinforcing problems of land degradation and extreme poverty (Teshomeet *et al.*, 2014). This is further aggravated by high population pressure, climatic variability, top-down planning systems, lack of appropriate and/or poor implementation of policies and strategies, limited use of sustainable land management practices, limited capacity of planners, land users as well as frequent organizational restructuring (Tesfaye *et al.* 2013; Kassie *et al.*, 2009; Tiwari *et al.*, 2008; Bewket, 2007; Shiferaw and Holden 1998). There is evidence that these problems are getting worse in many parts of the country, particularly in the highlands (areas >1500m above sea level). Furthermore, climate change anticipated to accelerate land degradation in Ethiopia (Pender and Gebremedhin, 2007).

Recognizing the threat of land degradation, the government of Ethiopia has made several Natural Resource Management (NRM) interventions through various programmes such as productive safety net programme (PSFP), Food for Work programme and MERET and MERET PLUS Programme since mid-1970s and 80s (Aklilu, 2006; Shiferaw and Holden, 1998). As a result a range of land conservation practices, which include stone terraces, stone bunds, area closures, and other soil and water conservation technologies and practices have been introduced into individual and communal lands at massive scales. In 2008, Ethiopia launched Sustainable Land Management Programme (SLMP) in 36 woreda defined as the process of enhancing agricultural yields with minimal environmental impact and without expanding the existing agricultural land base (Tesfaye *et al.* 2013; Kassie *et al.* 2009; Tiwari *et al.*, 2008; Bewket, 2007). The concept and definition of sustainability is broad and varies depending on the problems to be addressed. There is a need to give a clear working definition of sustainability in the context of

our problem. WOCAT (2005), define Sustainable Land Management in more specific term as the use of both indigenous and introduced land management practices and technologies for agricultural and other purposes to meet human livelihood needs, while simultaneously ensuring the long-term productive potential of these resources and the maintenance of their environmental functions. In this regard, SLM is not only the use of physical SWC measures, which is a common mistake made by almost all actors in the country, but also includes the use of appropriate soil fertility management practices, agricultural water and rain water management, forestry and agroforestry, forage and range land management, and application of these measures in a more integrated way to satisfy community needs while solving ecological problems (Bridges and Oldeman, 1999; Berry *et al.*, 2003; Jones *et al.*, 2003; Stringer and Reed, 2007; Bai *et al.*, 2008; Stoosnijder, 2007; Lal & Stewart, 2013; Zuccaet *et al.*, 2014; Geteet *et al.*, 2006). SLM is a combination of technologies, policies and activities integrating socio-economic and environmental concerns in order to reach simultaneously environmentally friendly, economic viable and socially acceptable production goals (Smyth and Dumanski, 1993; Hurni, 2000).

The downward spiral of land degradation and poverty cannot be reversed in a sustained fashion unless farmers adopt profitable and sustainable land management practices or pursue livelihood strategies that are less demanding of the land resource than current agricultural strategies (Berry *et al.*, 2003; Jones *et al.*, 2003; Stringer and Reed, 2007; Bai *et al.* 2008; Stoosnijder, 2007; Nachtergaele *et al.*, 2010; Lal and Stewart, 2013; Zuccaet *et al.*, 2014). Adoption of sustainable land management (SLM) practices plays a critical role in achieving food security, household income and poverty reduction through reducing soil erosion and improving soil fertility. However, studies reveals that farmers adoption of SLM practices/ technologies at lower rate and more often they dis-adopt them (Aklilu and de Graaff, 2007 (Thompson *et al.*, 2009; Chaseket *et al.*, 2011; Akhtar-Schuster *et al.*, 2011; Reed *et al.*, 2011; ELD Initiative, 2013). In most places, implemented SWC structure was either totally or partially destroyed by farmers (Tesfaye *et al.* 2013; Kassie *et al.* 2009 and Tiwari *et al.*, 2008 and Bewket, 2007). For instance, of the total conservation measures implemented between 1976 and 1990, only 30% of soil bunds, 25% of stone bunds, 60% of hillside terraces, 22% of the planted trees, and 7% of the reserve areas survived (TGE, 1994; Nurhussen, 1995). A recent survey in the Amhara region also showed that only 30% of the implemented soil and water conservation structures of the past two and half decades of conservation, work has survived (EPLUA, 2005). The above two survey results, however, should be seen in time context. Better land and water management and increased use of soil

conservation practices could help to reverse soil degradation and boost crop yields, but in many parts of the country, these practices are not yet widely adopted. The adoption and investment in sustainable land management is crucial in reversing and controlling land degradation, rehabilitating degraded lands and ensuring the optimal use of land resources for the benefit of present and future generations (Akhtar-Schuster *et al.*, 2011).

Despite on-going land degradation and the urgent need for action to prevent and reverse land degradation, the problem has yet to be appropriately addressed, especially in the developing countries, including in Eastern Africa. Identifying the determinants of SLM adoption is a step towards addressing them (Braun, *et al.*, 2012). There is an urgent need for evidence-based economic evaluations, using more data and robust economic tools, to identify the determinants of adoption as well as economic returns from SLM (Tesfaye *et al.* 2013; Kassie *et al.* 2009; Tiwari *et al.* 2008; Bewket, 2007). One size- fits-all approaches will not solve land management problems in the heterogeneous environment of the Ethiopian highlands (Brown *et al.*, 2006; Fensholt and Proud, 2012; Beck *et al.*, 2011). The growing consensus appears to be that many past soil conservation programs were disappointing for a number of reasons: they used a flawed "environmental narrative" to promote large-scale, top-down interventions; gave inadequate consideration to farmers' perspectives, constraints, and local conditions; provided limited options to farmers; and in some contexts promoted options of very limited profitability (Shiferaw and Holden, 1999; Keeley and Scoones, 2000; Dejene 2003; Rahmato, 2003; Bekele, 2004). Implementation of SLM should be seen within the specific local context.

Given this state of conditions, analysis of the issue of what specifically determines the decision taken by farmers to adopt SLM practices/technologies is very important and relevant to formulate policy options and support systems that could accelerate use of soil conservation technologies (Stoosnijder, 2007; Lal & Stewart, 2013; Zucca *et al.*, 2014). To ensure sustainable adoption and implementation of SLM practices and beneficial impacts on productivity and other outcomes, rigorous empirical research needed on where particular SLM interventions are likely to be successful (Brown *et al.*, 2006; Fensholt and Proud, 2012; Beck *et al.*, 2011). For a better understanding of the barriers faced by households when deciding to adopt SLM practices more detail context specific household-level studies focusing on the barriers of SLM practices adoption by farmers needed (Carthy, 2011; Tesfaye *et al.* 2013; Kassie *et al.* 2009; Tiwari *et al.* 2008; Bewket 2007; Shiferaw and Holden 1998). An available evidence shows that studies on the determinants of adoption of SLM practices among smallholder farmers are few and far below adequacy. Therefore, this study

conducted in view of bridging this gap. It intends to add to the stock of knowledge on the factors that determine farmers' decision to implement certain sustainable land management practices. The general objective of this study was to assess the determinant of adoption of SLM practices/technologies among smallholder farmers' in Jeldu district in West Shewa zone of Oromia regional state, Ethiopia. So, this study is significant in that the identification of context based determinant factors of adopting sustainable land management practices will inform decision makers to design context-specific socio-economic, biophysical, institutional and demographic context based SLM technologies/ practices and avoids "one size fits to all" problem of the previous top down approaches. Such knowledge is important to guide policy makers and development agencies in crafting programs and policies that can better and more effectively address land degradation in Ethiopia.

II. THEORETICAL FRAMEWORK OF THE STUDY

There are many perspectives involved in understanding farmers' views as to how and why they make decisions on whether or not to adopt the improved technology for soil conservation. There are many complexities and regional variations in biophysical and socio-cultural factors so that conclusions drawn based on the condition of one area cannot necessarily be replicated in another area (ICIMOD, 1995; Thompson and Warburton, 1985). Adoption of agricultural technologies is affected by various factors, usually categorized into; farm specific characteristics, technology specific attributes, and farmer's socioeconomic characteristics. Examples of such variables that have been found to influence technology adoption include: farm size, farmer's age, education, social networks (e.g. membership of association), dependency ratio, gender, access to agricultural advice and information, land tenure security, soil fertility, soil type, income, input availability, access to markets, risk aversion behavior, technology awareness, farming experience, adequacy of farm tools, technical and economic feasibility of using the technology, agro-ecological conditions, access to credit and presence of enabling policies (Feder *et al.*, 1985; Boyd and Turton, 2000; Olwande *et al.*, 2009). Some of these factors increase adoption; others reduce adoption; while others have mixed effects,

Adoption of conservation technology should not be regarded as an end in itself, but rather as a continuous decision-making process. Individuals pass through various learning and experimenting stages from awareness of the problem and its potential solutions and finally deciding whether to adopt or reject the given technology. Adoption of new technology normally passes through four different stages, which include awareness, interest, evaluation, and finally adoption

(Rogers and Shoemaker 1971). At each stage, there are various constraints (social, economic, physical, or logistical) for different groups of farmers. In Ethiopia, the adoption of improved soil conservation technology has been very low at farm level and it is apparent that there is gaps between what technicians see as necessary and what the farmers are prepared to do in the field (Paudel and Thapa 2001). Adoption behavior is complex and often requires a blend of income, profit, and institutional support (Ervin and Ervin 1982; Feder and Umali, 1993)

Farmers' adoption of SLM Practices is determined by interactive effects of household socio economic characteristics, resource availability, physical characteristics of the land and institutional support provided by the public or NGO sector (Garcia 2001; Mbagaga-Semgalawe and Folmer, 2000; Paudel and Thapa, 2004). It is important to understand the relationship between these factors and the process of adoption of new technology to improve farm production and sustainable land management. It is assumed that the farmers will compare the advantages and appropriateness of different soil conservation technologies, based on the available resources at their disposal and their opportunity for profit. Therefore, the conceptual framework of the adoption of SLM practices in this article is based on the principal of absolute and comparative advantage to farmers in combination with some influence of the personal, socio-economical, institutional, and biophysical factors. The empirical binary logistic regression model used in this study explains the factors that influence the decision of farmers to adopt or not adopt improved soil conservation technologies.

III. METHODOLOGY OF THE STUDY

a) Description of the Study Area

The study was conducted at Jeldu district, West Shewa zone, Central Ethiopia, which is delineated by

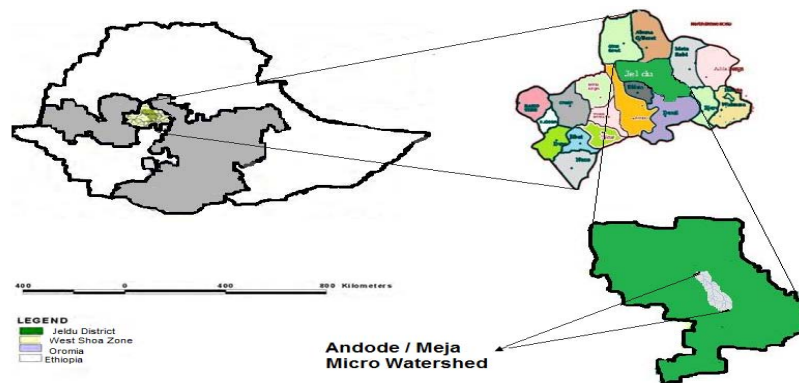


Figure 1: Map of the Study Area

b) Data Collection Techniques and Instruments Adopted

Data for the study was collected from both primary and secondary sources. Primary data collected

Meta Robi, Dendi and Ejere Woredas in East, Gindeberet Woreda in West, Abuna Gindeberet Woreda in North and Eliphata Woreda in South. The area has a bi-modal rainfall pattern with two distinct rainy and cropping seasons. The main rainy season (*meher*), which is also the main cropping season, extends from June to September. The short rainy season, known as "*belg* rain", usually covers the period from February to April. The mean annual rainfall of the area ranges from 1800 to 2200 mm. The maximum and minimum temperature of the area ranges from 17 to 22°C. The farming system of the area is mainly rain-fed. The soil type is characteristic of clay and clay-loam type, but the riverbed has a loam and sandy-loam type of soil (Dereje, 2010). *Eucalyptus globules* are the main tree planted in the area. It has an area of 139, 389 hectares. Undulating slopes divided by V-shaped valleys of seasonal and/or relatively permanent streams characterize the topography of the study area. Steep slopes are found along the valley sides, where slopes greater than 30% is very common. The district is characterized as a mixed crop livestock production system. Land preparation mainly done by ox-drawn plough. The main crops grown in the study areas include wheat (*Triticumaestivum*), teff (*Eragrostistef*), broad bean (*Viciafaba*), barley (*Hordeum vulgare*) and potato (*Solanum tuberosum*). Soil erosion in the area is mainly attributed to the steep slopes, population pressure, deforestation, poor farming methods and vulnerable soils. However, the major factor fuelling soil erosion on the steep slopes is that farmers are increasingly destroying contour bunds on terraces to pave way for more farmland. As a result, soil erosion has been accelerated which in periods of heavy rainfall results in silting and flooding of the valley-bottom fields and landslides are becoming very common.

by employing household questionnaire survey, focus group discussion, field observation, and key informant interview to bring the study to realization. Information about personal characteristics of the household head,

the knowledge of SLM practices/ technologies, the resource endowment of farmers, farm management practices, cropping patterns, crop yield, role of different institutions to improve farming, and adoption of improved and indigenous soil conservation technologies, such as the construction of check dams, terrace improvement, terrace bunds, hedge management, retention walls, waterways, and mulching, were collected through individual interviews by using a semi-structured questionnaire. Pilot-tests of questions were made by distributing questionnaire to five farmers in each site to assess whether the instruments were appropriate and suited to the study at hand. Necessary adjustments were made based on the comments obtained from pre-test responses from farmers to ensure reliability and validity. Data collectors were trained with respect to the survey techniques and confidentiality issues. Additional qualitative information, such as changes in soil conservation practices and cropping patterns over time, adoption of indigenous and improved soil conservation technologies, role of local level institutions in the promotion of SLM technologies/practices were collected through six focus group discussions, 12 key informant interviews, and through observation of the watershed. Focus group discussions were conducted with 8 to 10 farmers in each group. Audiocassettes were used to record the focus group discussions and key informant interviews. A secondary data source includes journal articles, research reports and other publications, including internet sources of information.

c) *Sampling Design of the Study*

In this study, a multi-stage sampling procedure employed. First, Jeldu district was purposively selected because; the district is one of severely affected areas by land degradation (Brihanu, 2011). The district is highly vulnerable to land degradation in particular soil compaction, deforestation and environmental degradation. Second, four *kebele* (*Edensa Galan, Seriti, KoluGalal and Chillanko*) were randomly selected from the existing 38 *kebeles* (*lowest administrative unit in Ethiopia*). Thirdly, the sample respondent households were selected by simple random technique. The sample size of the study determined by using Gujarati sample size determination formula (Gujarati, 2004). Accordingly, 224 sample households from the selected *kebeles* drew using simple random sampling technique for the household questionnaire survey. The random selection of households based on the list of household heads found in each *kebeles* and proportional to the size population.

d) *Methods of Data Analysis*

i. *Descriptive Analysis Techniques*

Data were analyzed through generation of descriptive statistics and estimation of double-hurdle

models. Descriptive static techniques such as percentages, means, standard deviations and frequency counts, tables were generated for general information, t-tests were applied to compare the mean differences between adopters and non adopters, chi-square tests were applied to analyze categorical data, correlation and cross tabulation method were used to identify inter-dependence among various factors influencing the adoption of soil conservation technology. T-test was run to see if there is statistically significant difference in continuous variables of farm characteristics of household who have adopted introduced soil and water conservation practices and those have not done so. The chi-square was used to see if there is systematic association between decision on the use of introduced soil and water conservation practices and with some of the independent variables, for categorical data.

ii. *Binary Logistic Regression*

Binary logistic regression model was developed to assess the personal, social, economic, institutional, and bio-physical factors influencing the adoption of ISCT in this study (Agresti, 1996). The Binary Logit Model was applied in this study to assist in estimating the probability of decision on the use of introduced soil and water conservation practices that can take one or more of practices or do not practiced the technologies. In the study area farmers practice improved and traditional physical soil and water conservation structures. There are also non-adopters of these improved soil and water conservation measures. A logistic regression model was developed to explore the personal/social, economic, institutional, and geographical factors influencing the adoption of SLM in this study. A regression model, and its binary outcomes, helps the researcher to explore how each explanatory variable affects the probability of the occurrence of events (Long and Freese, 2006). This model helps to explore the degree and direction of the relationship between dependent and independent variables in the adoption of improved soil conservation technology at the household level. The logistic regression model is an appropriate statistical tool to determine the influence of independent variable on dependent variables when the dependent variable has only two groups. In the logistic model, the coefficients are compared with the probability of an event occurring or not occurring and bounded between 0 and 1 (Sheikh, 2003). The dependent variable becomes the natural logarithm of the odds when a positive choice is made. The odds ratio and predicted probability of the independent variables indicate the influence of these variables on the likelihood of adoption of improved technology if other variables remain the same. Hence, if the estimated values of these variables are positive and significant, it implies that the farmers with higher values for these variables

are more likely to adopt improved soil conservation technology

$$P_i = \frac{1}{1+e^{-Z_i}} \quad (1)$$

Where P (i) is a probability of adopting a given practice for ith farmer and Z (i) is a function of m explanatory variables (Xi), and is expressed as:

$$Z_i = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_m X_m \quad (2)$$

Where,

β_0 is the intercept and β_i are the slope parameters in the model. The slope tells how the Log-odds in favor of adopting soil conservation practices change as independent variables change by a unit. Since the conditional distribution of the outcome variable follows a binomial distribution with a probability given by the conditional mean P_i , interpretation of the coefficient will be understandable if the logistic model can be rewritten in terms of the odds and log of the odds (Hosmer and Lemeshew, 1989.) Since the conditional distribution of the outcome variable follows a binomial distribution with a probability given by the conditional mean P_i , interpretation of the coefficient will be understandable if the logistic model can be rewritten in terms of the odds and log of the odds. The odds to be used can be defined as the ratio of the probability that a farmer uses or adopts the practice P_i to the probability that he or she will not P_i-1

But,

$$1-P_i = \frac{1}{1+e^{-Z_i}} \quad (3)$$

Therefore,

$$\frac{P_i}{1-P_i} = \frac{1+e^{Z(i)}}{1+e^{-Z_i}} = e^{Z_i} \quad (4)$$

And

$$\frac{P_i}{1-P_i} = \frac{1+e^{Z(i)}}{1+e^{-Z_i}} = e^{\beta_0} + \sum_{i=1}^M \beta_i X_i \quad (5)$$

Taking the natural logarithm of the odds ratio of equation (5) will result in what is known as the log it model as indicated below:

$$L_n \left[\frac{P_i}{1-P_i} \right] = L_n [e^{\beta_0 + \sum_{i=1}^M \beta_i X_i}] = Z_i \quad (6)$$

If the disturbance term U_i is taken in to account the log it model becomes:

$$Z_i = \beta_0 + \sum \beta_i X_i + U_i \quad (7)$$

Hence, the above econometric model was used in this study and was treated against potential variables assumed to affect the farmer decision of soil conservation practices. The parameters of the model were estimated using the iterative maximum likelihood estimation procedure. The later yields unbiased and asymptotically efficient and consistent parameter

estimates. Therefore, the above econometric model was used in this part of the study to identify determinant variables that influence adoption practices of land management in the study area.

Definition of Variables and Working Hypothesis

1. *Dependent Variable:* The dependent variable for the adoption model indicates whether a household has adopted SLM practices ("adopt" versus "not-adopt"). Therefore, in this study adopters are households who adopted at least one of these practices while non-adopters are those who did not adopt any of these land management practices. SLM technologies/practices include adoption of improved terraces, hedge plantation, construction of check dams and terrace bunds, whereas indigenous technologies include mulching, slope terraces, retention walls, plantation of shrubs and trees at the edge of farm terraces, diversion drains, and waterways. Improved and indigenous SLM practices were identified based upon field observation and discussion with farmers. In this study, a farmer who has adopted at least one improved soil conservation technology, either as recommended by extension workers or with some modification, was defined as adopter. A value of "1" was assigned to all households who adopted at least one improved SLM practices (the 'adopters') and "0" was assigned to households using only indigenous SLM practices (the "no adopters"). Whether or not to adopt any SLM practices is determined by personal, social, economic, institutional, and geographical factors. These variables we retreated as explanatory variables in this study.
2. *Selection of Explanatory Variables and Expected Impact on Adoption:* Adoption of SLM practices/technologies in the study area is a complicated process similar to the other research in agriculture technology adoption (Doss 2006; McDonald and Brown 2000) that may be influenced by a set of interrelated personal, social, economical, institutional, and biophysical factors (Table 1).

Table 1: Definition of all the explanatory variables used in the model

Variable		Description
Adoption		A value of "1" was assigned to all households who adopted at least one improved SLM practices (the "adopters") and "0" was assigned to households using only indigenous SLM practices (the "no adopters").
Demographic factors	Age	Age of the household head in years
	Hhsize	Number of people in the household
	Education	Literacy of the household head; 1if literate and 0 otherwise
	Sex	Gender of the household head; 1if male and 0 otherwise
	Family-Labour	Potentially available family labour force
Institutional factors	Tenure	Whether a farmer perceives a risk of loss of land in the future; 1 if he/she perceives 0 otherwise
	Membership	Membership in local organizations; 1if a farmer is a member and 0 otherwise
	Training	Whether training about SLM practice received by the farmer; 1 if a farmer got training and 0 otherwise
	Credit Access	Whether a farmer needed credit and was able to get it; 1 if he/she accessed 0 otherwise
Physical Factors	Extension Visits	Number of extension visits received
	Fmsize	The size of the farm, in hectares
	Distance	Average distance of a plot from homestead, in minutes
Economic Factors	Slope	Slope of the plot; 1 if steep and 0 otherwise
	Offincom	Whether a farmer engaged in off-farm employment, 1 if a farmer has off-farm employment and 0 otherwise
Attitudinal Factors	Total Income	Estimated average income earned annually
	Livestock	Number of livestock's in TLU
	Perceptdegradation	whether a farmer perceives land degradation as a problem; 1 if farmer had perceived land degradation as a problem and 0 otherwise
	Perceptslm	whether a farmer anticipates introduced structures effective in retaining soil from erosion; 1 if a farmer anticipates soil retention due to structures and 0 otherwise

IV. RESULT AND DISCUSSION

a) Descriptive Statistics

In order to investigate the presence of group means difference with respect to the hypothesized socio-economic, biophysical and institutional factors uni-variate tests were used. Student's t-test and Chi-square test were used, respectively to identify potential continuous and dummy variables differentiating adopters from non-adopters. Adopters and non-adopters significantly different in three of the nine hypothesized continuous socio-economic variables (Table 2). The survey results showed that landholding size of total sample households ranges from 0.125 to 4.00 ha with a mean of 1.29 and standard deviation of

0.79 ha. The average landholding size of adopters and non-adopters were 1.54 and 1.27 ha with a standard deviation of 0.99 and 1.05, respectively. There was a slight difference in the mean size of landholding between the two groups. However, the result of t-test showed that the mean landholding size difference between the two groups was significant. Land is one of the most important production factors for agricultural production. In rural households, in the study area land and labor account for the largest share of agricultural inputs. Hence, the quality and quantity of land available for farm households largely determine the amount of production.

Table 2: Continuous variables differentiating adopters from non-adopters of SLM practice/ technologies among 224 sample households

Variables	Adopters		Non-adopters		t-value
	Mean	Standard Deviation	Mean	Standard Deviation	
Household Size (in number)	6.4	1.7	6.7	1.8	0.232
Age of household head (in years)	51.5	14.4	49.05	13.76	-0.36
Education status of household head (in years)	3.1	1.06	3	0.99	3.46**

Land holding size (in hectares)	1.54	0.99	1.27	1.05	2.251**
Farming Experience (in years)	27	13.42	24	11.87	0.232
Distance of plots from residence (in Kms)	0.57	0.221	0.68	0.46	0.96
Off-farm income (in ETB)	452.5	123.67	376.42	99.56	0.87
Livestock holdings (in TLU)	3.45	1.02	3.04	1.20	2.86**
Extension contact(in number)	1.02	0.76	0.98	0.78	1.98*
Size of labour force	3.02	1.66	2.96	1.54	3.65**

**indicates Significant at 10%and 5% probability level respectively

Livestock is an important component of the farming system in the study area. A vast majority of the sample households included in this survey own animals of different kind. Cattle, donkeys, horse sheep, goats and chicken are common domestic animals. Small ruminants and chickens were sold and serve the purpose of immediate cash needs at times of cash shortage. The size of livestock owned indicates the wealth status of the household. The average size of livestock in TLU was found to be 3.45, 3.79 and 3.04 for total sample households, SLM adopters and non-adopters with a standard deviation of 1.02, and 1.2, respectively. About 33% of total sample household heads has more than five TLU sizes of livestock. The t-test revealed that there is significant difference in the number of oxen owned by farmers who have adopted SLM practices and those who have not.

The number of labour force available in the family is assumed to influence decision of farmers to adopt SLM practices. Families with large household members will be able to supply the extra-labour that could be required for adoption and continuous implementation SLM activities. In addition, the result of t-test revealed that there was significant difference in the mean size of labour force between adopters and non-adopters. The average available labour was calculated to be 2.95person per day for total sample households, 3.02person per day for users and 2.96person per days for non-users, with a standard deviation of 1.68, 1.66, and 1.54, respectively.

In the study area, the most important sources of information cited were through communication with relatives and neighbors, community leaders, and the

government's mainstream agricultural extension program. Farmers' pointed out the governments' extension service as the most important one. In addition, they further revealed that information about input supply and use, land management practices; improved cultural practices and soil conservation practices are among the aspects covered by the extension services. Access to extension service is very important element of institutional support needed by farmers to enhance the use of agricultural technologies in general and soil conservation technologies in particular. Three Development Agents (DA's) were assigned in each sample *kebeles*. It was expected that sample farmers in the study area have an access to extension services through the DAs, attending field days and training. However, about 22% of users, 43% of non-adopters have reported that they did not get extension services (visits) in the year 2015/016. Development agents had visited about 56% of sample households from one to three times per month. The average monthly frequency of extension services/visits/ was found to be 0.97 and 0.70 for users and non-users with a standard deviation of 0.80 and 0.83, respectively. The mean monthly extension visit difference of the two groups was found to be statistically significance.

b) *Descriptive Statistics of Categorical Variables*

Generally, adopters and non-adopters not only vary in terms of quantitative variables but also in terms of qualitative variables. It was, therefore, quite essential to use a method of testing the differences between adopters and non-adopters.

Table 3: Dummy variables differentiating SLM adopters from non-adopters of SLM practices among 224 sample households

Variable	Score	Adopter	Non-adopter	Total	χ^2
Sex	0	37	47	84	8.65***
	1	64	76	140	
	0	17	32	49	
Perception	1	102	73	175	6.25***
	Degree of slope of the plot	0	34	52	
Access to credit service	1	77	62	139	1.34
	0	87	22	109	
Land certification	1	88	27	115	7.05***
	0	33	37	70	
Prior public conservation campaign	1	98	56	154	9.63***
	0	56	62	118	
	1	72	34	106	1.02

***: significant at <1 probability level.

From the total 224 sample household heads, 84 (37.5%) were men's and 140(62.5%) were men's respectively (Table 3). The majority of adopters of the SLM Practices (63.36%) were male-headed households while only 36.63 % were female-headed households. Chi-square test results show that there is a statistically significant difference between adopters and non-adopters in terms of sex of the household heads at 10% probability level.

Overwhelming majority of farmers disclosed that their land productivity is declining with each passing year due to soil erosion. Farmer's perception about the existence of land degradation problem on their farm plots, causes of the problems as well as its consequences might make farmers to adopt and continuously implement SLM measures. The majority of the sample household heads (78.12%) have perceived the problem of soil erosion on their farm plots. From this, only 58.28 % of households adopted SLM practices/ technologies at least in one of their plots. This can imply that perceiving the problem of land degradation problem is cannot always be a guarantee for adoption of SLM practices/ technologies. The difference between the two groups with respect to perceiving the existence of land degradation on farm plots was statistically significant.

In the study area, it was found that only 51.34 % of the respondents have reported obtaining credit at least once since the last five years. Whereas, 48.66 % of respondents have not obtained credit from formal sources. When the data analyzed by disaggregating into

adopters of SLM practices and that of non-adopters, it was assured that 79.81% of those who were adopted and continuously practiced SLM practices have obtained credit, but only 20.18% has got credit from those non-adopters. The Chi-square analysis disclosed that there is a significant association between access to credit service and adoption of SLM practices and it is significant at 10% level of significance. This could prove that farmers who have access to credit have a higher probability of adopting and retaining SLM practices/technologies than those with no access.

c) *Smallholder Farmers' Status of Adoption of SLM Practices/Technologies*

Long-term productivity and sustainability of the land resource requires sound land conservation measures in the farming systems that enhance maintenance and/or improvement of soil and land quality in general. This is an important consideration as it influences agricultural productivity and local livelihoods. In many instances, environmental degradation has stimulated a variety of responses and adaptation mechanisms by local communities. This study made an enquiry on whether farmers had undertaken any deliberate efforts to protect their land holdings from soil degradation. Majority of respondents (63.75 %) indicated to have used one or more SLM Practices in their farms as a means of adjusting and adapting to land degradation processes. Graph2 presents the various SLM practices as mentioned by the interviewed farmers.

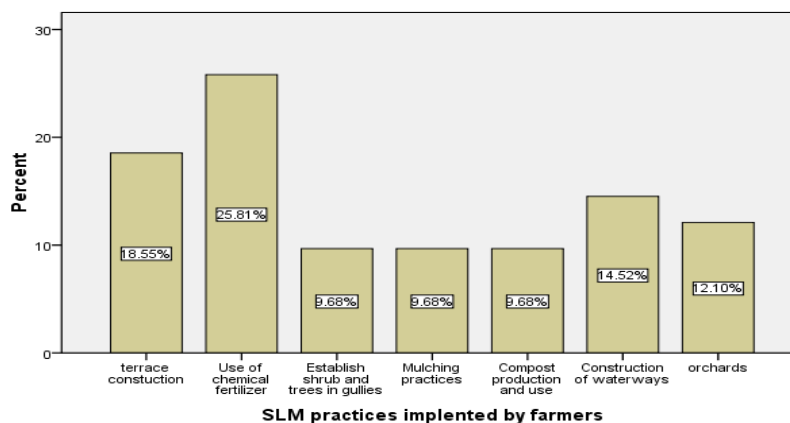


Figure 2: SLM practices implemented by farmers in the study area

d) *Farmers perceived Constraints of adoption of SLM Practices*

In previous discussions, it was indicated that land degradation in the study area has been the major problem farmers faced with. In addition, the initiatives taken to tackle the problem and efforts have been end up with mixed results of both success and failure. In terms of problems with the conservation activity, about 56.24% of the respondents complained that they face problems in putting up conservation structures. Only

23% of the respondents do not encounter any problem. The most important problem mentioned by the respondents was conservation practices compete for labor that could have allocated for other activities. Local people will not convert their terraces into more permanent terraces because they perceive that the SLM Practices would be too labour intensive to maintain (it would involve digging residues into the soil twice annually rather than pulling soil down slope to bury them). With significant rates of out-migration, labour can

hardly be said to be a constraining variable to land improvement— thus returns to labor, as outlined above, must be regarded as more significant. Land shortage was also another main reason that people cited for being unable to implement erosion prevention methods (27%) as trees and terraces both absorb land and trees further shade crops. Among institutional factors, low credit availability and access (62%) and lack of community participation before farmers applying introduced SLM practices (78%) were mentioned by the majority. In addition, the presence of different drawback associated with introduced SLM practices such as narrowing land, inconvenient for ploughing and damage of structures by rain or livestock were the other restraining factor explained by the majority.

e) *Multicollinearity Test*

Prior to running the logistic regression analysis, the existence of Multicollinearity among the explanatory variables were checked using variance inflation factor (VIF). The VIF values for all the explanatory variables were found to be very small (much less than 10) indicating that absence of Multicollinearity between the explanatory variables. For this reason, all of the explanatory variables were included in the final analysis.

f) *Econometric Analysis of Determinants of Adoption of SLM Practices*

Logistic regression model was used to address the second objective of the study. That is to identify the factors that affect adoption of the introduced land management practices in the study area. The likelihood ratio test statistic exceeds the chi-square critical value with 12degrees of freedom. The result is significant at less than 1% probability level indicating that the hypothesis that all the coefficients except the intercept are equal to zero is not acceptable. Likewise, the log likelihood value was significant at 1% level of significance. Another measure of goodness of fit used in logistic regression analysis is the Count-R², which indicates the number of sample observations correctly predicted by the model. TheCount-R² is based on the principle that if the estimated probability of the event is less than0.5, the event will not occur and if it is greater than 0.5 the event will occur. In other words, the ith observation is grouped as non-adopters if the computed probability is greater than or equal to 0.5, and as adopter otherwise. The discussion about the significant variables is given below.

Table 4: Analysis of Determinants Using Binary Logistic Regression Model result for perception of the effects of land degradation risks

Variable	βSE	Z	Sig	Odd	Ratio
Age	2.142**	0.562	0.862	0.0671	0.025
Hhsize	0.235	1.320	1.230	0.215	0.0670
Education	0.072*	1.892	2.290	0.021	0.201
Sex	0.040**	3.536	0.968	0.091	0.056
Family-Labour	0.235*	0.360	0.386	0.026	0.024
Tenure	0.042**	1.765	0.564	0.086	0.210
Membership	0.246	1.156	1.961	0.534	0.056
Training	0.836*	2.034	0.862	0.020	0.092
Extension Visit	0.865*	0.458	1.926	0.031	0.032
Frmsize	2.280	0.985	0.862	0.915	0.042
Livestock	0.965*	2.045	1.926	0.020	0.031
Total Income	1.626	1.963	0.034	0.234	0.023
Offincome	-0.025*	2.094	2.026	0.0251	0.031
Disatance	-0.965**	1.096	0.648	0.096	0.802
Credit Access	1.028*	2.064	1.025	0.020	0.035
Slope	2.860**	2.021	1.806	0.091	0.020
Percepdegradation	0.689*	1.091	0.962	0.031	0.380
Perceptslm	1.096**	2.026	0.863	0.062	0.031
Constant					

Model Chi-square 102.280
 Log likelihood function 92.165
 Nagelkerke (R²) 0.75
 Number of observation 226

** , * Significant at 0.1 and 0.05 probability levels, respectively

Age of the Household Head: This result suggests that older farmers are less likely to adopt SLM practices. This could be explained by the fact that older farmers have a short planning horizon compared with younger colleagues. This is in line with the findings of Anley et al. (2007) and Shiferaw & Holden (1998).

Off- Farm Activities: Adoption of SLM practices also found to be negatively influenced by off-farm activities. This is because farmers who are involved in off-farm activities may encounter time and labour constraints for investing in bunds. This is in line with other findings (Tenge et al., 2004; Amsalu & deGraaff, 2007).

Number of livestock owned: The number of TLUs is positively related to the decision of compost/manure investment. This is because animal manure is one of the major inputs for compost/manure production. As hypothesized, this variable affected adoption of SLM practices positively and significantly at 5% probability level. The marginal effect for this variable shows that keeping all factors constant an increase in livestock ownership by one TLU increases the probability of SLM Practices adoption by 0.031.

Extension contact: As hypothesized, frequency of extension contact is found to have a significant positive effect on the adoption of SLM Practices at 10% probability level. This may be explained by the fact that the message/contents that farmer gain from extension agents help them to initiate to use the newly introduced land management practices on their farm to protect their land from erosion and improve its fertility. Therefore, contact between a farmer and development agent and information gained accelerate the attitude of farmers towards SLM practices positively, and the decision of farmers to invest on SLM Practice on his/her land (Tesfaye 2006). Many other case studies too revealed that low adoption of rainwater harvesting technology were due to lack of extension services (Nasr, 1999; Kihara, 2002; Mitiku and Sorsa, 2002; Ngigi, 2003). The marginal effect value for farm size shows that keeping all factors constant an increase in extension contact by one e increases the probability of SLM Practice adoption by 0.032.

Farmers' perception on effectiveness of introduced land management practices: This variable is hypothesized to influence land management practices adoption either positively or negatively. The model results show that this variable has a significant positive impact on land management practices. The variable is significant at less than 5% probability level. As hypothesized, farmers' perception of effectiveness of SLM measures influence households' decision to invest on introduced land management practices positively.

Perception of severity of land degradation: This variable indicates the severity of soil erosion as perceived by the farm households. The variable positively influenced the adoption of SLM practices/ technologies at less than 1 percent level of significance. The reason for this is that farm households' awareness of the erosion hazard is attached to their perception of the negative consequences of soil erosion and benefits of soil and water conservation. This could be explained by the fact that those farmers who have perceived soil erosion as a serious problem were willing to participate in conservation strategies of land management. Those farmers, who have better perception of soil erosion, will develop good initiations towards management scheme and become less dependent on external assistance for undertaking land management activities.

Educational level of sampled household head: As hypothesized, education of the HH head was found to be positive and having a significant influence on the adoption of improved soil conservation technology. This implies that longer schooling of the HH head increased their ability to access information, and strengthened his/her analytical capabilities with new technology. Furthermore, a longer education leads to a better understanding of the new technology when reviewing the different extension materials, which enhanced adoption of improved technology. Many authors report that education has a positive impact in the adoption of improved soil conservation technology (Lapar and Ehui 2004; Mbaga-Semgalawe and Folmer 2000;). The findings of this study on the effect of education were close to that of other studies conducted previously. Adoption of a given technology is a behavioral change process, which is the result of a decision to apply that particular innovation. Farmers need enough information about the technology to make the right decision. Education enhances the capacity of individuals to obtain, process, and utilize information disseminated by different sources. This implies that literate farmers are in a better position to get information and use it in such a way that it contributes in their adoption of SLM Practices. As hypothesized, educational level of household heads was found to be a significant at less than five percent probability level. This may be explained by the fact that those farmers who were more educated are likely to use introduced land management than the non-educated farmers in the study area. This is because, educated farmers were more opt in understanding the problem of land degradation and could easily decide to take part in conservation strategies of land management practices. This is attributable to the fact that education reflects acquired knowledge of environmental amenities and educated farmers tend to spend more time and money on land management practices. The marginal effect value for education shows that keeping all factors constant an increase in education by one year increases the probability of adoption of SLM Practices by 0.201.

Land tenure: Farmer's feeling about the land belongs to him/she will have a positive effect on his/her decision to adopt land management practices. The lack of title to land is one important factor affecting adoption of SLM Practices because lack of tenure security means that people are reluctant to invest in new land management practices on a land which they do not formally own. Therefore, farmers' perception that the farmland he/she owns will remain his/her owns at least during his/her lifetime affects the decision on land management practices. For farmers' to be able to carry out long or medium term investment, they require security of tenure. This does not necessarily mean that they have to have individually documented proof of title rather need the

feeling of ownership to make sure that the land will be theirs to work in the foreseeable future, and not unpredictably taken away and reallocate to somebody else. This variable is found to significantly and positively affect the independent variable, SLM Practice. This is because to adopt and invest on land management practices, first there should have a sense of ownership so that farmer can take care of his land.

Slope of the farm plots (SLOP): This variable positively influenced the adoption of SLM practices/ technologies at less than 1 percent level of significance. The significant positive terms in adoption of conservation practices indicate that farmers are inclined to invest in conservation practices where their farm plots are located on higher slopes. This goes with the perception that those plots can only be productive if protected by conservation structures. On the other hand, Berhanu and Swinton (2003) have stated that an increase in the slope of the plots may create a disincentive to invest in soil conservation practices as the slope of the plot increase the distance between two consecutive terraces will decrease because the structures of SLM measures occupy more area of land and will create inconvenience for farm operation.

g) Conclusion and Policy Implication

The findings of this study have important policy implications for promoting sustainable land management practices and technologies in the study area. Descriptive data analysis showed that only 63.75 % of the HH adopted SLM practices. Farmers reported that the improved terraces are effective in reducing soil erosion, though they were not common due to high labor cost and inconveniency for ploughing with oxen. A range of socio-economic, institutional, personal and biophysical factors determines adoption of SLM practices in the study area. The result of the binary logistic regression model showed that SLM practices is significantly influenced by education, tenure security, livestock ownership, perception of severity of land degradation, perception of effectiveness of SLM measures, off-farm activities, credit services access, age of households, slop of the plot ant etc. Planners and policy makers should formulate appropriate policies and programs considering the farmers' interest, capacity, and limitation in promoting improved soil conservation technology for greater acceptance and adoption by the farmers. Any future land management efforts should give a due attention to genuinely involve farmers in entire process of any land management interventions from technology generation to final monitoring and evaluation. *Generally, this study recommends that decision-making about land management and land degradation should encompasses factors that may be biophysical (agro-ecological conditions, location), economic (access to credit and markets, non-farm incomes, availability of*

technologies), social (organizational structure, labor availability, land tenure), historical (environmental history and that of land tenure) and cultural (traditional knowledge, environmental awareness, and gender.

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29. Think technically: Always think technically. If anything happens, then search its reasons, its benefits, and demerits.

30. Think and then print: When you will go to print your paper, notice that tables are not be split, headings are not detached from their descriptions, and page sequence is maintained.

31. Adding unnecessary information: Do not add unnecessary information, like, I have used MS Excel to draw graph. Do not add irrelevant and inappropriate material. These all will create superfluous. Foreign terminology and phrases are not apropos. One should NEVER take a broad view. Analogy in script is like feathers on a snake. Not at all use a large word when a very small one would be sufficient. Use words properly, regardless of how others use them. Remove quotations. Puns are for kids, not grunt readers. Amplification is a billion times of inferior quality than sarcasm.

32. Never oversimplify everything: To add material in your research paper, never go for oversimplification. This will definitely irritate the evaluator. Be more or less specific. Also too, by no means, ever use rhythmic redundancies. Contractions aren't essential and shouldn't be there used. Comparisons are as terrible as clichés. Give up ampersands and abbreviations, and so on. Remove commas, that are, not necessary. Parenthetical words however should be together with this in commas. Understatement is all the time the complete best way to put onward earth-shaking thoughts. Give a detailed literary review.

33. Report concluded results: Use concluded results. From raw data, filter the results and then conclude your studies based on measurements and observations taken. Significant figures and appropriate number of decimal places should be used. Parenthetical remarks are prohibitive. Proofread carefully at final stage. In the end give outline to your arguments. Spot out perspectives of further study of this subject. Justify your conclusion by at the bottom of them with sufficient justifications and examples.

34. After conclusion: Once you have concluded your research, the next most important step is to present your findings. Presentation is extremely important as it is the definite medium through which your research is going to be in print to the rest of the crowd. Care should be taken to categorize your thoughts well and present them in a logical and neat manner. A good quality research paper format is essential because it serves to highlight your research paper and bring to light all necessary aspects in your research.

INFORMAL GUIDELINES OF RESEARCH PAPER WRITING

Key points to remember:

- Submit all work in its final form.
- Write your paper in the form, which is presented in the guidelines using the template.
- Please note the criterion for grading the final paper by peer-reviewers.

Final Points:

A purpose of organizing a research paper is to let people to interpret your effort selectively. The journal requires the following sections, submitted in the order listed, each section to start on a new page.

The introduction will be compiled from reference matter and will reflect the design processes or outline of basis that direct you to make study. As you will carry out the process of study, the method and process section will be constructed as like that. The result segment will show related statistics in nearly sequential order and will direct the reviewers next to the similar intellectual paths throughout the data that you took to carry out your study. The discussion section will provide understanding of the data and projections as to the implication of the results. The use of good quality references all through the paper will give the effort trustworthiness by representing an alertness of prior workings.



Writing a research paper is not an easy job no matter how trouble-free the actual research or concept. Practice, excellent preparation, and controlled record keeping are the only means to make straightforward the progression.

General style:

Specific editorial column necessities for compliance of a manuscript will always take over from directions in these general guidelines.

To make a paper clear

- Adhere to recommended page limits

Mistakes to evade

- Insertion a title at the foot of a page with the subsequent text on the next page
- Separating a table/chart or figure - impound each figure/table to a single page
- Submitting a manuscript with pages out of sequence

In every sections of your document

- Use standard writing style including articles ("a", "the," etc.)
- Keep on paying attention on the research topic of the paper
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- Align the primary line of each section
- Present your points in sound order
- Use present tense to report well accepted
- Use past tense to describe specific results
- Shun familiar wording, don't address the reviewer directly, and don't use slang, slang language, or superlatives
- Shun use of extra pictures - include only those figures essential to presenting results

Title Page:

Choose a revealing title. It should be short. It should not have non-standard acronyms or abbreviations. It should not exceed two printed lines. It should include the name(s) and address (es) of all authors.



Abstract:

The summary should be two hundred words or less. It should briefly and clearly explain the key findings reported in the manuscript-- must have precise statistics. It should not have abnormal acronyms or abbreviations. It should be logical in itself. Shun citing references at this point.

An abstract is a brief distinct paragraph summary of finished work or work in development. In a minute or less a reviewer can be taught the foundation behind the study, common approach to the problem, relevant results, and significant conclusions or new questions.

Write your summary when your paper is completed because how can you write the summary of anything which is not yet written? Wealth of terminology is very essential in abstract. Yet, use comprehensive sentences and do not let go readability for brevity. You can maintain it succinct by phrasing sentences so that they provide more than lone rationale. The author can at this moment go straight to shortening the outcome. Sum up the study, with the subsequent elements in any summary. Try to maintain the initial two items to no more than one ruling each.

- Reason of the study - theory, overall issue, purpose
- Fundamental goal
- To the point depiction of the research
- Consequences, including definite statistics - if the consequences are quantitative in nature, account quantitative data; results of any numerical analysis should be reported
- Significant conclusions or questions that track from the research(es)

Approach:

- Single section, and succinct
- As an outline of job done, it is always written in past tense
- A conceptual should situate on its own, and not submit to any other part of the paper such as a form or table
- Center on shortening results - bound background information to a verdict or two, if completely necessary
- What you account in an abstract must be regular with what you reported in the manuscript
- Exact spelling, clearness of sentences and phrases, and appropriate reporting of quantities (proper units, important statistics) are just as significant in an abstract as they are anywhere else

Introduction:

The **Introduction** should "introduce" the manuscript. The reviewer should be presented with sufficient background information to be capable to comprehend and calculate the purpose of your study without having to submit to other works. The basis for the study should be offered. Give most important references but shun difficult to make a comprehensive appraisal of the topic. In the introduction, describe the problem visibly. If the problem is not acknowledged in a logical, reasonable way, the reviewer will have no attention in your result. Speak in common terms about techniques used to explain the problem, if needed, but do not present any particulars about the protocols here. Following approach can create a valuable beginning:

- Explain the value (significance) of the study
- Shield the model - why did you employ this particular system or method? What is its compensation? You strength remark on its appropriateness from a abstract point of vision as well as point out sensible reasons for using it.
- Present a justification. Status your particular theory (es) or aim(s), and describe the logic that led you to choose them.
- Very for a short time explain the tentative propose and how it skilled the declared objectives.

Approach:

- Use past tense except for when referring to recognized facts. After all, the manuscript will be submitted after the entire job is done.
- Sort out your thoughts; manufacture one key point with every section. If you make the four points listed above, you will need a least of four paragraphs.



- Present surroundings information only as desirable in order hold up a situation. The reviewer does not desire to read the whole thing you know about a topic.
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This part is supposed to be the easiest to carve if you have good skills. A sound written Procedures segment allows a capable scientist to replacement your results. Present precise information about your supplies. The suppliers and clarity of reagents can be helpful bits of information. Present methods in sequential order but linked methodologies can be grouped as a segment. Be concise when relating the protocols. Attempt for the least amount of information that would permit another capable scientist to spare your outcome but be cautious that vital information is integrated. The use of subheadings is suggested and ought to be synchronized with the results section. When a technique is used that has been well described in another object, mention the specific item describing a way but draw the basic principle while stating the situation. The purpose is to text all particular resources and broad procedures, so that another person may use some or all of the methods in one more study or referee the scientific value of your work. It is not to be a step by step report of the whole thing you did, nor is a methods section a set of orders.

Materials:

- Explain materials individually only if the study is so complex that it saves liberty this way.
- Embrace particular materials, and any tools or provisions that are not frequently found in laboratories.
- Do not take in frequently found.
- If use of a definite type of tools.
- Materials may be reported in a part section or else they may be recognized along with your measures.

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- Report the method (not particulars of each process that engaged the same methodology)
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- To be succinct, present methods under headings dedicated to specific dealings or groups of measures
- Simplify - details how procedures were completed not how they were exclusively performed on a particular day.
- If well known procedures were used, account the procedure by name, possibly with reference, and that's all.

Approach:

- It is embarrassed or not possible to use vigorous voice when documenting methods with no using first person, which would focus the reviewer's interest on the researcher rather than the job. As a result when script up the methods most authors use third person passive voice.
- Use standard style in this and in every other part of the paper - avoid familiar lists, and use full sentences.

What to keep away from

- Resources and methods are not a set of information.
- Skip all descriptive information and surroundings - save it for the argument.
- Leave out information that is immaterial to a third party.

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The principle of a results segment is to present and demonstrate your conclusion. Create this part a entirely objective details of the outcome, and save all understanding for the discussion.

The page length of this segment is set by the sum and types of data to be reported. Carry on to be to the point, by means of statistics and tables, if suitable, to present consequences most efficiently. You must obviously differentiate material that would usually be incorporated in a study editorial from any unprocessed data or additional appendix matter that would not be available. In fact, such matter should not be submitted at all except requested by the instructor.



Content

- Sum up your conclusion in text and demonstrate them, if suitable, with figures and tables.
- In manuscript, explain each of your consequences, point the reader to remarks that are most appropriate.
- Present a background, such as by describing the question that was addressed by creation an exacting study.
- Explain results of control experiments and comprise remarks that are not accessible in a prescribed figure or table, if appropriate.
- Examine your data, then prepare the analyzed (transformed) data in the form of a figure (graph), table, or in manuscript form.

What to stay away from

- Do not discuss or infer your outcome, report surroundings information, or try to explain anything.
- Not at all, take in raw data or intermediate calculations in a research manuscript.
- Do not present the similar data more than once.
- Manuscript should complement any figures or tables, not duplicate the identical information.
- Never confuse figures with tables - there is a difference.

Approach

- As forever, use past tense when you submit to your results, and put the whole thing in a reasonable order.
- Put figures and tables, appropriately numbered, in order at the end of the report
- If you desire, you may place your figures and tables properly within the text of your results part.

Figures and tables

- If you put figures and tables at the end of the details, make certain that they are visibly distinguished from any attach appendix materials, such as raw facts
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- In spite of position, each table must be titled, numbered one after the other and complete with heading
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- Make a decision if each premise is supported, discarded, or if you cannot make a conclusion with assurance. Do not just dismiss a study or part of a study as "uncertain."
- Research papers are not acknowledged if the work is imperfect. Draw what conclusions you can based upon the results that you have, and take care of the study as a finished work
- You may propose future guidelines, such as how the experiment might be personalized to accomplish a new idea.
- Give details all of your remarks as much as possible, focus on mechanisms.
- Make a decision if the tentative design sufficiently addressed the theory, and whether or not it was correctly restricted.
- Try to present substitute explanations if sensible alternatives be present.
- One research will not counter an overall question, so maintain the large picture in mind, where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

Approach:

- When you refer to information, differentiate data generated by your own studies from available information
- Submit to work done by specific persons (including you) in past tense.
- Submit to generally acknowledged facts and main beliefs in present tense.



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<i>References</i>	Complete and correct format, well organized	Beside the point, Incomplete	Wrong format and structuring



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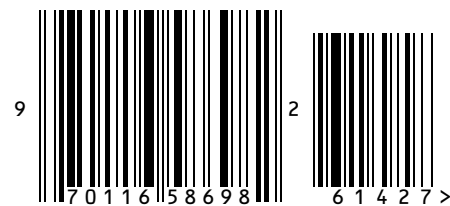
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