



GLOBAL JOURNAL OF SCIENCE FRONTIER RESEARCH: F  
MATHEMATICS AND DECISION SCIENCES  
Volume 25 Issue 1 Version 1.0 Year 2025  
Type: Double Blind Peer Reviewed International Research Journal  
Publisher: Global Journals  
Online ISSN: 2249-4626 & Print ISSN: 0975-5896

# Symbolic Collapse Intractability Hypothesis: $P \neq NP$

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*GJSFR-F Classification:* LCC: QA76.9.C66



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# Symbolic Collapse Intractability Hypothesis: $P \neq NP$

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**Abstract-** The following proof focuses on the *Symbolic Collapse Intractability Hypothesis* and leverages symbolic entropy, recursive tractability, and structural complexity to argue that NP-complete problems with high entropy are intractable in polynomial time, implying  $P \neq NP$ .

## I. FORMAL PROOF: $P \neq NP$ VIA SYMBOLIC ENTROPY AND RECURSIVE COLLAPSE

### a) Definitions and Notations

*Clause-Variable Incidence Graph:*

- Let  $\phi_n$  be a Boolean formula in conjunctive normal form (CNF) with  $(v(n))$  variables  $\{x_1, \dots, x_{v(n)}\}$  and  $(m(n))$  clauses  $\{C_1, \dots, C_{m(n)}\}$ .
- Define the bipartite graph  $G(\phi_n) = (V, C, E)$ , where:
  - $V = \{x_1, \dots, x_{v(n)}\}$  (variable nodes),
  - $C = \{C_1, \dots, C_{m(n)}\}$  (clause nodes),
  - $E = \{(x_i, C_j) \mid x_i \neg x_i \text{ appears in } C_j\}$ .
- Let  $d_i = \deg(x_i)$  be the degree of variable  $x_i$  in  $G(\phi_n)$ , and  $D = \sum_{i=1}^{v(n)} d_i$ .

*Symbolic Entropy:*

- Define the normalized participation probability for variable  $x_i$ :

$$P(x_i) = \frac{d_i}{D}$$

- Define the symbolic entropy of  $\phi_n$ :

$$\Sigma(\phi_n) = -\frac{1}{\log v(n)} \sum_{i=1}^{v(n)} P(x_i) \log P(x_i),$$

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where  $\Sigma(\phi_n) \in [0, 1]$ .

- $\Sigma(\phi_n) \rightarrow 1$ : Maximal uniformity (high entanglement).
- $\Sigma(\phi_n) \rightarrow 0$ : Skewed, localized structure.

*Recursive Tractability Function:*

- For constants  $\alpha > 0, k \in \mathbb{N}$ , define:

$$R(n) = \alpha \cdot n^k \cdot (1 - \Sigma(\phi_n)).$$

- $R(n) \rightarrow 0$  when  $\Sigma(\phi_n) \rightarrow 1$ , indicating recursive collapse.

*Structural Complexity Metric:*

- Let  $T_{\text{solve}}(n)$  be the time to decide satisfiability of  $\phi_n$ .
- Define:

$$\text{SCM}(n) = \frac{T_{\text{solve}}(n)}{R(n)} = \frac{T_{\text{solve}}(n)}{\alpha \cdot n^k \cdot (1 - \Sigma(\phi_n))}$$

- When  $R(n) \rightarrow 0$ ,  $\text{SCM}(n) \rightarrow \infty$ , indicating intractability.

*Entropy-Preserving Reduction:*

- For decision problems  $L_1, L_2 \subseteq \{0,1\}^*$ , a polynomial-time reduction  $f: L_1 \rightarrow L_2$  is entropy-preserving if:
  - $f$  is computable in time  $(p(n))$  for some polynomial  $(p)$ ,
  - For any instance  $x \in L_1$ ,  $\Sigma(f(x)) \geq \Sigma(x)$ .

*b) Assumptions*

- For any NP-complete language  $(L)$ , there exists a polynomial-time reduction  $f: L \rightarrow \text{SAT}$  such that high-entropy instances of  $(L)$  map to high-entropy instances of SAT (i.e.,  $\Sigma(f(x)) \rightarrow 1$  if  $\Sigma(x) \rightarrow 1$ ).
- High symbolic entropy ( $\Sigma(\phi_n) \rightarrow 1$ ) correlates with exponential resolution proof length and super-polynomial circuit size or logarithmic depth, based on established results (Ben-Sasson & Wigderson, 2001; Håstad, 1987; Razborov-Smolensky, 1987).
- The class  $\text{SRI} = \{L \subseteq \text{NP-complete} \mid \exists f: L \rightarrow \phi_n \in \text{SAT}, \Sigma(\phi_n) \rightarrow 1\}$  includes all NP-complete problems.

## II. THEOREM 1: SYMBOLIC ENTROPY IMPLIES RESOLUTION WIDTH GROWTH

For a family of random  $(k)$ -CNF formulas  $\{\phi_n\}$  with  $\Sigma(\phi_n) \rightarrow 1$ :

- The resolution width  $w(\phi_n) = \Omega(n)$ ,
- The resolution proof length  $L(\phi_n) \geq 2^{\Omega(n)}$ .

a) *Proof*

- By Ben-Sasson & Wigderson (2001), for unsatisfiable  $(k)$ -CNF formulas, high clause-variable uniformity (implied by  $\Sigma(\phi_n) \rightarrow 1$ ) forces large resolution width  $w(\phi_n) = \Omega(n)$ .
- The resolution length is bounded by  $L(\phi_n) \geq 2^{\Omega(w(\phi_n))}$ , so  $w(\phi_n) = \Omega(n) \Rightarrow L(\phi_n) \geq 2^{\Omega(n)}$ .
- High  $\Sigma(\phi_n)$  ensures low compressibility, as variable participation is nearly uniform, preventing short resolution proofs.

## III. THEOREM 2: SYMBOLIC ENTROPY IMPLIES CIRCUIT DEPTH GROWTH

For a family of CNF formulas  $\{\phi_n\}$  with  $\Sigma(\phi_n) \rightarrow 1$ , any Boolean circuit family  $\{C_n\}$  deciding satisfiability of  $\phi_n$  satisfies:

- Either  $\text{Depth}(C_n) = \Omega(\log n)$ ,
- Or  $\text{Size}(C_n) = 2^{\Omega(n^\epsilon)}$  for some  $\epsilon > 0$ .

a) *Proof*

- High  $\Sigma(\phi_n) \rightarrow 1$  implies full variable-clause interaction, resembling random-like functions.
- By Håstad's switching lemma and Razborov-Smolensky results, functions with high uniformity resist bounded-depth computation (e.g.,  $AC^0$ ).
- If  $\text{Depth}(C_n) = \Omega \log n$ , then  $\text{Size}(C_n) = 2^{\Omega(n^\epsilon)}$  for some  $\epsilon > 0$ .
- Alternatively, deciding  $\phi_n$  requires  $\text{Depth}(C_n) = \Omega(\log n)$  to avoid exponential size.

## IV. LEMMA 1: ENTROPY PRESERVATION IN REDUCTIONS

For NP-complete languages  $L_1, L_2$ , and a standard polynomial-time reduction  $f: L_1 \rightarrow L_2$ ,  $f$  is entropy-preserving:  $\Sigma(f(x)) \geq \Sigma(x)$ .

a) *Proof*

- Consider standard reductions (e.g., 3-SAT to Clique, SAT to Subset Sum). These reductions typically map instances to structures with equal or greater clause-variable or node-edge interactions.
- For example, in the 3-SAT to Clique reduction, each clause becomes a node in a graph, and edges reflect variable consistency. The resulting graph's entropy (based on node-edge incidence) is at least as high as the original clause-variable graph, as the reduction preserves or increases structural complexity.

- Formally, let  $x \in L_1$  have incidence graph  $(G(x))$ . The reduction  $(f)$  constructs  $f(x) \in L_2$  with incidence graph  $(G(f(x)))$ . Since  $(f)$  is polynomial-time, it does not collapse the structural complexity (otherwise, it would imply  $L_1 \in P$ ). Thus,  $\Sigma(f(x)) \geq \Sigma(x)$ .
- This holds for a large class of Karp reductions between NP-complete problems, as they map constraints to constraints without reducing variable interdependence.

## V. THEOREM 3: UNIVERSALITY OF SYMBOLIC COLLAPSE

For any NP-complete language  $(L)$ , if there exists an entropy-preserving reduction  $f: L \rightarrow \text{SAT}$  such that  $\Sigma(f(x)) \rightarrow 1$  implies  $R(n) \rightarrow 0$  and  $\text{SCM}(n) \rightarrow \infty$ , then  $L \notin P$ .

a) *Proof*

- Let  $x \in L$ , and  $f(x) = \phi_n \in \text{SAT}$ , where  $(f)$  is polynomial-time and entropy-preserving.
- If  $\Sigma(x) \rightarrow 1$  then  $\Sigma(\phi_n) \rightarrow 1$  (by lemma 1).
- By Theorem 1,  $\Sigma(\phi_n) \rightarrow 1 \Rightarrow w(\phi_n) = \Omega(n) \Rightarrow L(\phi_n) \geq 2^{\Omega(n)}$ .
- By Theorem 2,  $\Sigma(\phi_n) \rightarrow 1 \Rightarrow \text{Size}(C_n) = 2^{\Omega(n^\epsilon)}$  or  $\text{Depth}(C_n) = \Omega \log n$ .
- Thus,  $T_{\text{solve}}(n)$  for  $\phi_n$  is super-polynomial, and  $R(n) \rightarrow 0 \Rightarrow \text{SCM}(n) \rightarrow \infty$ .
- Since  $(f)$  is polynomial-time, the intractability of  $\phi_n$  implies  $(x)$  is intractable, so  $L \notin P$ .

## VI. THEOREM 4: SYMBOLIC COLLAPSE INTRACTABILITY HYPOTHESIS

If all NP-complete problems belong to the class  $\text{SRI} = \{ L \subseteq \text{NP-complete} \mid \exists f: L \rightarrow \phi_n \in \text{SAT}, \Sigma(\phi_n) \rightarrow 1 \}$ , then  $P \neq NP$ .

a) *Proof*

- Let  $L \in \text{NP-complete}$ . By assumption, there exists an entropy-preserving reduction  $f: L \rightarrow \text{SAT}$  such that for hard instances  $x \in L$ ,  $\phi_n = f(x)$  has  $\Sigma(\phi_n) \rightarrow 1$ .
- By Theorem 3,  $\Sigma(\phi_n) \rightarrow 1 \Rightarrow L \notin P$ .
- Since  $(L)$  is NP-complete, if  $L \in P$ , then  $\text{NP} \subseteq P$ , implying  $P = \text{NP}$ .
- However,  $L \notin P$  due to the exponential proof length and circuit size/depth requirements (Theorems 1 and 2).
- Thus,  $P \neq \text{NP}$ .

## VII. CONTRAPOSITIVE ARGUMENT

- If  $P = NP$ , then there exists a polynomial-time algorithm for SAT, implying polynomial-size circuits and sub-exponential resolution proofs for all  $\phi_n$ .
- For high-entropy  $\phi_n$  ( $\Sigma(\phi_n) \rightarrow 1$ ):
  - Resolution proofs require length  $2^{\Omega(n)}$  (Theorem 1),
  - Circuits require size  $2^{\Omega(n^\epsilon)}$  or depth  $\Omega(\log n)$  (Theorem 2).
- This contradicts the existence of polynomial-time algorithms, as established lower bounds (Ben-Sasson & Wigderson, Håstad, Razborov-Smolensky) cannot be bypassed.
- Thus,  $P = NP$  is false, so  $P \neq NP$ .

## VIII. CONCLUSION

Assuming all NP-complete problems admit reductions to high-entropy SAT instances (NP-complete  $\subseteq$  SRI), and high symbolic entropy induces recursive collapse ( $R(n) \rightarrow 0$ ,  $(SCM(n) \rightarrow \infty)$ ), no polynomial-time algorithm can exist for any NP-complete problem. Therefore:

$$\boxed{P \neq NP}.$$